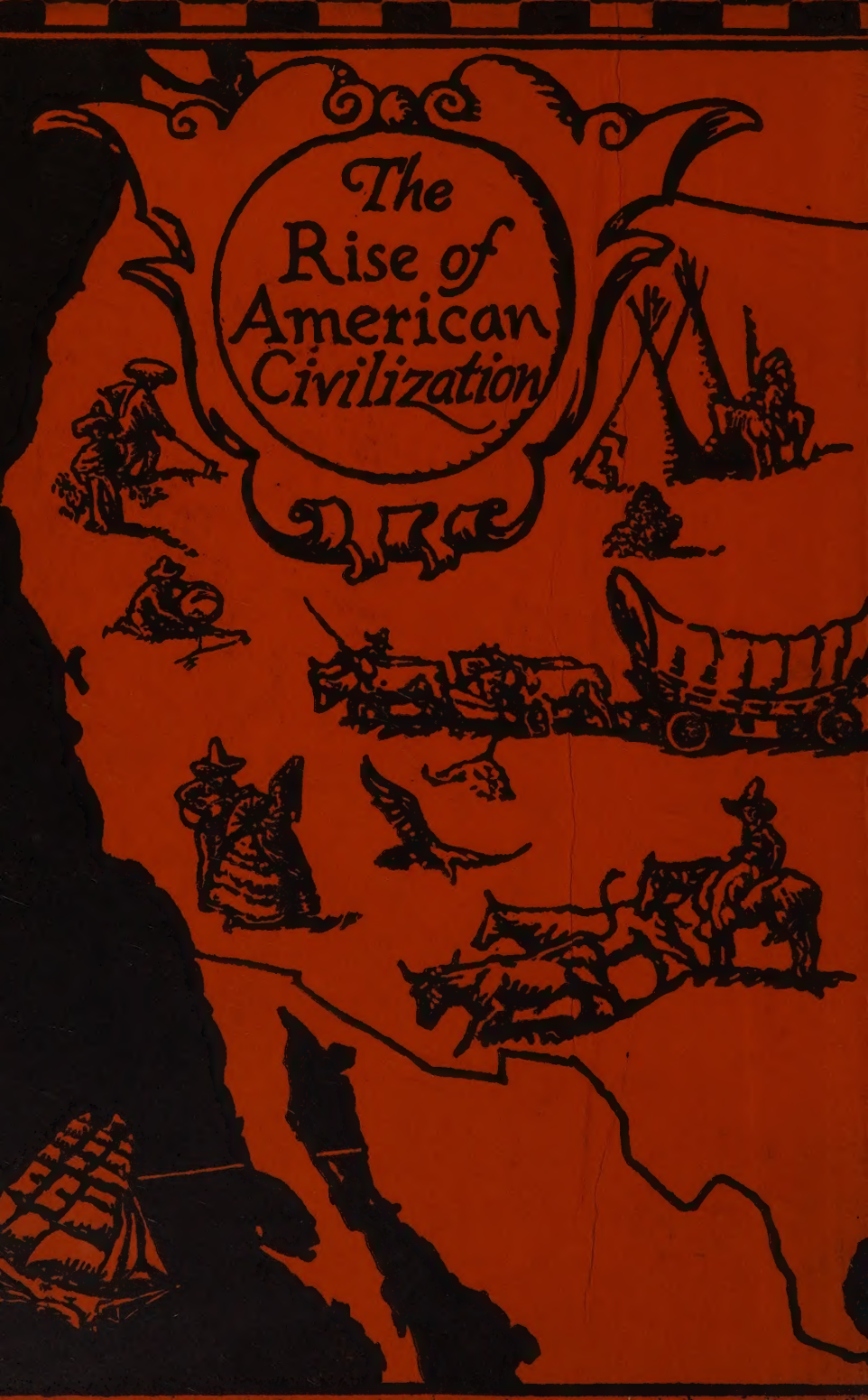
# The Rise of American Civilization



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# THE RISE OF AMERICAN CIVILIZATION

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TORONTO

# THE RISE OF AMERICAN CIVILIZATION

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*Decorations by*
WILFRED JONES

IN TWO VOLUMES

VOLUME I

*The Agricultural Era*

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# THE RISE OF AMERICAN CIVILIZATION

## THE AGRICULTURAL ERA

# THE RISE OF AMERICAN CIVILIZATION

## CHAPTER I

### *England's Colonial Secret*

THE discovery, settlement, and expansion of America form merely one phase in the long and restless movement of mankind on the surface of the earth. When the curtain of authentic history first rose on the human scene, tribes, war bands, and armies had already seared plains and valleys with their trails and roads and launched their boats on the trackless seas. Viewed from a high point in time, the drama of the races seems to be little more than a record of migrations and shifting civilizations, with their far-reaching empires—Babylonian, Egyptian, Persian, Abyssinian, Athenian, Roman, Mongol, Turkish,

and Manchu—as fleeting periods of apparent pause and concentration in the universal flow of things.

It was not without some warrant, perhaps, that one of the very earliest Greek philosophers, Anaximander, more than five centuries before the Christian era, reached the startling conclusion that the cosmos which he beheld with penetrating eyes was a limitless flood, ever in motion, throwing up new forms and beings and drawing them again into its devouring immensity according to the law of destiny —whirling worlds, swaying tides, growing crops, wandering herds, puny man, and his little systems erected proudly for a day against eternity being but symbols of an unchanging force, the essence of all reality. Conceived even in terms of modern mathematics, a purely mechanistic philosophy is engaging in its simplicity, but we are warned by one recent historian, Henry Adams, that mere motion cannot account for direction or for the problems of vital energy; and by another, Oswald Spengler, that "there is an organic logic, an instinctive, dream-sure logic of all existence, as opposed to the logic of the inorganic, the logic of understanding and of things understood—a logic of direction as against a logic of extension."

More than two thousand years after Anaximander, in the nineteenth century, the German philosopher, Hegel, seeking the solution to the endless changes of history, came to the conclusion that the evolution of humanity was, in its inmost nature, the progressive revelation of the divine spirit. Assuming, as necessary, God the unconditioned, creator and upholder of all, Hegel saw in the kaleidoscopic time-patterns of civilization, strewn through the ages, mere partial reflections of the grand Idea underlying the universe—"an infinite power realizing its aim in the absolute rational design of the world." Nations rising and declining were to him but pawns in a majestic game, each with its mission to fulfill, with its heroes as servants of their epochs carrying out that aspect of the Idea then fated for realization.

And according to this philosopher, the chosen method of the Absolute was movement by thesis, antithesis, and synthesis: every system, every concept, every situation calling forth from the vasty deep its opposite, its challenge; the conflict of the two finally reaching a reconciling synthesis or solution. Though logic would seem to imply that change must be unbroken in the future as in the past, Hegel in fact announced that the goal of the long process had been reached in Germany and the Prussian monarchy: God had labored through the centuries to produce the ideal situation in which Hegel found himself. But that naïve conviction did not prevent his great hypothesis from affecting deeply the thought of the modern age. If historians, working with concepts less ambitious—with concrete relations rather than with ultimates—have been inclined in recent days to avoid the Hegelian creed, theologians and statesmen have continued to the latest hour to find in it the weight of telling argument.

Near the close of Hegel's century, a German economist, Werner Sombart, seeking the dynamic of imperialism, reduced the process to the terms of an everlasting struggle among human societies over feeding places on the wide surface of the earth and over the distribution of the world's natural resources. While this doctrine is too sweeping in its universality, it is not without illustrations. For three thousand years or more the clash of ancient races and empire builders had, as its goal, possession of the rich valleys of the Nile and the Euphrates, where food for congested populations could be won with ease and ruling classes could be readily founded on servile labor. Every one of the strong empires that rose in those fertile regions and enjoyed a respite of security was in turn overwhelmed by a conquering horde which coveted its land and its accumulated wealth. The spoils of industry were the rewards of valor. When the Athenian empire was at its height, no fewer than a thousand cities paid tribute to its treasury and a lucrative commerce, spread over the Mediterra-

nean, swelled the opulence of its merchants. The age of Pericles had its price. The Carthaginian empire, embracing in its conquered area Northern Africa, Southern Spain, Corsica, Sardinia and half of Sicily, was first and foremost a trading state dominated by the idea of gathering from its subject provinces every particle of wealth that could be wrested from them by arms or squeezed out of them by monopoly.

Before the sword of Rome rich Carthage fell. When the two powers came face to face on the soil of Sicily, it was the hope of gain as well as fear of death that carried the vote for war in the Roman assembly. For this we have the authority of Polybius: "The military men told the people that they would get important material benefits from it." In this simple flash is revealed the powerful passion that drove the armies of the Republic beyond the borders of Italy and at length in many centuries of almost ceaseless aggression extended the empire of Rome to the sands of Arabia and to the snows of Scotland. Perhaps, as that modern pro-consul, Lord Curzon, has said by way of justification, the dominant motive was a search for "defensible frontiers"—something not yet found by any military commander anywhere on the globe. Still the noble lord had to confess in the same breath that Rome, having conquered a world, regarded her provinces "solely from the point of view of revenue." Varus, who was sent out a poor man to govern Syria, amassed a million in two years.

When Rome had grasped more than she could defend, her fair cities and fertile fields became spoils of victory for the German barbarians that had long beaten against her borders. For two hundred years at least the civilization of the Mediterranean world was at the mercy of migratory Teutons. Finally there were no more Roman provinces to seize; then feudal war lords employed their acquisitive talents for the next thousand years in fighting one another over manors and towns, pausing occasionally to unite against the Moslem, who threatened them

all with destruction. When, eventually, out of this struggle emerged five states—Spain, Portugal, France, Holland, and England—strong enough in armed might and rich enough in treasure to engage in larger enterprise, fortune opened for them, first, the Atlantic and then the world arena in which to deploy their unresting energies. As the grateful merchants of London long afterward carved on the tomb of William Pitt, that brilliant forerunner of modern imperialism, commerce was again united with war and made to flourish.

It was the age-old lure of substantial things that sent the path-breakers of the seas on their perilous journeys—Columbus across the Atlantic in 1492 and da Gama around the Cape to India six years later. Their adventures were only novel incidents in the continuous search for riches. Centuries before, the Romans had carried on an immense commerce with the gorgeous East; in Oriental markets they gathered spices, silks, perfumes, and jewels for the fashionable shops of the Eternal City, and from their treasure chests poured a golden stream of specie to pay for these luxuries. In vain did the stern Roman moralists—Puritans of that time—cry out against the thoughtless maidens and proud dames who emptied their purses buying gauds and trinkets brought at such cost from the ends of the earth. When the Romans passed, their Teutonic heirs gazed upon the spoils of the East with the same fascination that had gripped the grand ladies of the Via Sacra. All through the middle ages a traffic in the luxuries of the Orient continued with increasing volume, enriching the Mohammedan and Italian merchants who served as brokers for the bazaars of the Indies and the shops of Madrid, Lisbon, Paris, Bruges, and London. If the risks of the overland journeys were great, the gains of the dangerous business were enormous.

Inevitably, therefore, an ardent desire to enlarge their profits by direct operations seized the traders of Europe, driving first the Italians, then the Spanish, Portuguese,

Dutch, English, and French, out upon the wide Atlantic in a search for unbroken water routes to the Far East. It is true that Queen Isabella, on yielding to the importunities of Columbus, stipulated in the bond the conversion of heathens to the true Catholic faith; it is true that Catholic missionaries were pioneers in the economic penetration of unknown lands; but in the main the men who organized and commanded expedition after expedition into Asia, the Americas, and Africa had their hearts set on the profits of trade and the spoils of empire. In fact, Spain followed closely the example of Rome, mother of her civilization, when she sent forth military chieftains to conquer, enslave, rule, and exploit.

Nor were the English less eager to gather riches by this process. Sir Francis Drake, who looted his way around the world during the reign of Queen Elizabeth, swept treasure into his chests with a reckless disregard for the rights of private property that would have delighted the Gothic barbarians who plundered their way through the streets of Rome. Captain John Smith was ordered by his superiors to hunt for gold in Virginia and for a passage to the South Seas, where it was thought more booty awaited new vikings. His men too would have enslaved the Indians and ruled a subject population if the fierce, proud spirit of the natives had not baffled their designs. They tried and failed. Even the voyage of the Pilgrims, who fled to America for their conscience' sake had to be financed; and the capitalists who advanced funds for this hazardous venture expected to reap rewards for their aid.

Nevertheless, the story of human migration cannot all be told in terms of commerce, profits, conquest, and exploitation. A search for trade has not been the sole motive that has led wanderers into distant places, an empire of toiling subjects not the only vision before migratory bands. Unquestionably many of the Greek colonies which adorned the Mediterranean fringe rose on the sites of mere trading posts or were planted to make room for redundant

populations at home, but others sprang from domestic unrest and from the ambitions of leaders. Moreover, the Greeks went far beyond mere ruling and exploiting; they often peopled colonies with their own racial stocks, reproducing the culture of their homeland, and sometimes even improving on their inheritance. It was in outlying provinces that two of the greatest Greek philosophers, Thales and Pythagoras, set up their schools and it is on the ruins of tiny cities in lands remote from Athens that some of the noblest monuments of Greek taste are found to-day—mute testimony to a faithful reproduction of Hellenic culture.

Not even the German migrations into the Roman empire were purely economic in origin. They have been attributed by some writers merely to overpopulation; but the records that have come down to us do not bear out that simple thesis. The causes were varied, including the pressure of invaders driving Germans from their own lands, internecine quarrels ending in the flight of the vanquished over the borders into Rome, countless tribal wars springing from lust and ambition, and finally the lure of Roman luxury and peace. It was only in the final stages of the German invasions into Rome that direction of the process was taken by the organized war band rather than by the moving clan with flocks, herds, and household goods—the war band that conquered and settled down upon subject populations. Though the Spanish migrations which later carried Iberic civilization out into a new Latin empire eventually encircling the globe were an extension of the predatory operation, the heroic deeds of Catholic missionaries, daring for religion's sake torture and death, bore witness to a new force in the making of world dominion.

Into the English migration to America also entered other factors besides trade and conquest. Undoubtedly the political motive, though perhaps even it had economic roots, was a potent element in the colonization of the Atlantic seaboard, transferring the dynastic and national rivalries of the Old World to the New. Grudges and ambitions

that might have flamed up and burnt out on European battlefields now spread round the earth and precipitated contests for dominion in the four quarters of the globe. The settlement of Virginia under the English flag was, among other things, an act of defiance, directed against the sovereigns of Spain and Portugal to whom Pope Alexander VI had assigned the American continents.

In no relation can the religious motive in English expansion be neglected without doing violence to the record, even though dynastic and economic elements were mingled with the operations of Protestant missionaries as they sought to bring Indians into their own fold and to check the extension of papal authority. The first duty of Virginians, declared Captain Smith, was to "preach, baptise into the Christian religion, and by the propagation of the Gospel to recover out of the arms of the devil, a number of poor and miserable souls wrapt up unto death in almost invincible ignorance." Still more significant in English expansion than the work of preachers in quest of souls to save were the labors of laymen from the religious sects of every variety who fled to the wilderness in search of a haven all their own.

Thus it must be said that as faith in Mahomet inspired the armies that carried forward the scimitar under the crescent, threatening to subdue three continents, so faith in Christ inspired the missionaries who served with the forerunners of expanding Europe and mingled with the hopes and passions of the colonists who subdued the waste places of the New World to the economy and culture of the Old. And to this religious motive must be added the love of adventure, curiosity about the unknown, forced sale into slavery, the spirit of liberty beckoning from the frontiers of civilization, the whip of the law, and the fierce, innate restlessness which seizes uncommon people in rebellion against the monotonous routine of ordered life.

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Among the movements that have scattered the human race far and wide over the surface of the earth, the English migration to America was in one fundamental respect unique. Spain, like Rome, conquered and exploited, but the English, by force of circumstances, were driven into another line of expansion. They had no less lust for gold than had the Spanish, but the geographical area which fell into their hands at first did not yield the precious treasure. They would have rejoiced to find, overcome, and exploit an ancient American civilization—another Mexico or Peru; their work in India revealed the willingness of the spirit and flesh; and yet in the economy of history this was not to be their fate in the New World.

Instead of natives submissive to servitude, instead of old civilizations ripe for conquest, the English found an immense continent of virgin soil and forest, sparsely settled by primitive peoples who chose death rather than bondage. To this continent the English colonial leaders, like the Greeks in expansion, transported their own people, their own economy, and the culture of the classes from which they sprang, reproducing in a large measure the civilization of the mother country. Unlike the Spaniards and other empire builders, the English succeeded in founding a new state, which became vast in extent, independent in government, and basically European in stock. That achievement is one of the capital facts of world history.

How did it happen that the English, who came late upon the imperial scene, alone among the European powers achieved just this result? It was certainly not because they were first in the arts of exploration, war, and colonization. Far from it; the Italians were the pathfinders of the high sea. Three hundred years before the English ventured from their little island home to plant colonies in Virginia, Italian mariners had sailed out through the Straits of Gibraltar, and down the coast of Africa in search of a water route to the fabled markets of the East. It was an Italian, Christopher Columbus, who unfurled the flag of Spain

above a motley crew of many nationalities and made the fateful voyage of 1492 that discovered America. It was a Portuguese, Vasco da Gama, who rounded the Cape of Good Hope with the banner of Portugal flying at his masthead, visited the markets of India, and brought back treasure and tales that filled all Europe with commotions.

Before a single English sea captain dared the wide Atlantic, the impetuous Spaniard held in fee the West Indies, ruled huge empires on two American continents, and laid claims to fair domains in the Orient. More than half a century before Francis Drake bore Queen Elizabeth's pennant round the world, the expedition of the indomitable Portuguese, Magellan, under Spanish patronage, on the most perilous voyage in the annals of the sea, had circumnavigated the globe. When Henry VII, stirring from his insular lethargy for a brief moment, bethought himself in 1497 of high adventure beyond the Atlantic, it was an Italian, John Cabot, who took charge of the king's ships, directed the voyage that skirted the shores of Labrador, and gave England her lawyer's claim to the North American continent.

Three years previous to the planting of the first successful English post in America at Jamestown, the French had established a permanent colony at Port Royal on the banks of the Annapolis. Long before a single English ship had plowed the waters of the Indian Ocean or threaded its way among the spice islands of the golden East, the resolute Dutch had visited a hundred Indian ports, established trading factories, and planted the outposts of empire. Slowly indeed did the idea dawn in the minds of Englishmen that, while other nations might carry goods, religion, culture, and the sword across the ocean, they themselves could found great states, occupied and governed mainly by people of their own stock.

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The success of the English in this form of colonial enterprise was due to many factors of circumstance and policy. Their insular position freed them from the expense of maintaining a large army and required them to put their money into a navy for protection. The ships which protected them, unlike armies, could sail the seven seas, seize distant territories, and defend broad dominions. Early in the reign of Queen Elizabeth, English statesmen saw with half an eye the sign of the sea power. They did not evolve a grand scheme such as Captain Alfred Mahan, long after the deeds, formulated in a coherent and cogent theory of words, but they discovered that lands beyond the seas could be permanently held only by a sovereign who also ruled the waves.

Acting on that understanding they laid the foundations of the navy which struck down the Spaniards in the battle of the *Armada* in 1588, the Dutch in a long series of conflicts, the French in two hundred years of warfare, and at last, in the fullness of time, the Germans who grasped for the trident. It was through the sea power that England was able to seize and hold the geographical theaters for her commercial and colonial empire.

Rivalries and jealousies of the continental states likewise served England's imperial fortune. Slowly, through their endless strife with rulers on the other side of the Channel, English statesmen worked out a flexible system known as "the balance of power," which made for safety at home and dominion in America, Asia, and Africa. With a skill that was a marvel to the seasoned chancelleries of Europe, they played the Dutch against the French, the French against the Dutch, the Prussians against the French, and the French against the Prussians.

By such means the governments of Europe that singly or in combination might have defied England on the sea were worn down to wrathful impotence. Dutch soldiers allied with England sent to their graves thousands of Louis XIV's best men who, if they had lived, might have built securely the groundwork of a French state in Canada. The power

of France that might have grasped India was broken by the shock of Frederick the Great's picked Prussian troops on the battlefields of Europe.

The political condition of the Continent, as well as its undying rivalries, was another factor that favored English colonial success. In the seventeenth century, all eastern Europe was landlocked and slumbering in ancient customs or engaged in local conflicts that had little or no bearing on trade and empire. Central Europe—the geographical region now occupied by Germany, Austria, Italy, and a number of minor states—was in chaos. Germany was an aggregation of petty feudal domains from which Prussia was just emerging under Hohenzollern mastery. Italy was not a nation, merely a "geographical expression"—a collection of warring principalities and jealous cities.

For various reasons, moreover, the Atlantic powers that might have frustrated English colonial designs were not prepared to supply people of their own stock to possess the soil of the New World. Though the Dutch were full of zeal and enterprise in both hemispheres, they were primarily traders, and the Hudson Valley, which was to be their New Netherland, was wrested from them by the English sea power. France had a population many times that of England, her people were ardent explorers, skillful traders in distant markets, and shrewd managers in commerce; but French monarchs wasted their substance in interminable wars on the Continent which promised the addition of new principalities or the aggrandizement of their families. The people, the money, the labor that might have made New France a living reality instead of a mere dream, were destroyed in futile fighting which yielded neither glory nor profit. Moreover, when in 1685 the French king outlawed all his Protestant subjects, he even denied them a haven in his American dominions.

Spain, whose warriors carried her flag around the world and whose missionaries counted no barrier insurmountable, was also a feudal and clerical power rather than a com-

mercial and manufacturing country; her peasants bound to the land in serfdom could not migrate at will to subdue with plow and hoe the soil won by the sword. Indeed while the English colonies in America were but mewing their infancy, the Spanish empire, majestic in outward appearance, was already racked by administrative incompetence and financial decay. Finally, Spain's resolute neighbor, Portugal, great enough to seize Brazil, was too small to overcome on the sea the might of Britain. So auspicious circumstances on the Continent lent favor to the English cause.

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Something more than strength at sea, ingenuity in manipulating the balance of power, and weakness among neighbors was, however, necessary to the planting of successful colonies across the Atlantic. Essentially that undertaking was civilian in character. It called for capital to equip expeditions and finance the extension of settlements. It demanded leadership in administration and the spirit of business enterprise. Relying largely upon agriculture for support, at least in the initial stages, colonization also required managers capable of directing that branch of economy. In all its ramifications, it depended upon the labor of strong persons able and eager to work in field, home, and shop at the humbler tasks which give strength and prosperity to society—clearing ground, spinning wool, plowing, sowing, reaping, garnering, and carrying on the other processes that sustain life.

Nor was that all. If the European stock was to preserve its racial strains and not fuse with Indians and Negroes, as was the case in large parts of Spanish-America, colonization could not possibly succeed without capable and energetic women of every class who could endure the hardships of pioneer life. Finally, being a branch of business enterprise, it could not flourish without a fortunate combination of authority and self-government: the one, guaran-

teeing order and coöperation; the other, individual initiative necessary to cope with strange and protean circumstance.

At the opening of the seventeenth century it was England, of all the powers of Europe, that was best fitted for this great human task. The English people were at that time far ahead of their Continental neighbors on the road from feudal to bourgeois economy, a long and dusty road marked by toil, revolution, and war. In concrete terms, just what did this mean? First of all, it meant the overthrow, or, at least, the social subjugation of the feudal and military class—a class nourished by landed estates and committed to the ideal that fighting was the noblest work of man.

With the decline of the feudal order went the downfall of the monopolistic clergy similarly sustained by landed property. Correlative with this social change was the emancipation of the smaller landed gentry, the yeomanry, and the peasants from the rigid grasp of their overlords —a process of individualization which affected women as well as men, giving to agriculture new forms of ownership and management. Finally through the dissolution of the old order there rose to power in England a class of merchants, traders, and capitalists, dwellers in towns, or "bourgs," from which, for the want of a more comprehensive and accurate term, the word bourgeois has been derived to characterize modern civilization.

With the decay of feudal and clerical authority went political and legal changes of vital significance. For the successful direction of business enterprise, the wayward and irresponsible conduct of absolute monarchs, accustomed to tax, imprison, and harass their subjects at will, was utterly impossible. Regularity in economy called for regularity in government—the standardization of the monarchy by rules of accountancy; hence the development of constitutional law—of political self-government for the classes capable of grasping and retaining it. Being secular in nature,

business enterprise was more concerned with the character and credit of those with whom it carried on transactions than with their theological opinions; hence a decline in religious intolerance and the rise of the spirit of practical accommodation.

Historians have long been at swords' points in trying to explain England's early transition from a feudal and clerical civilization to a civilian and bourgeois culture. The Nordic school of scholars delights in ascribing this development to the peculiar genius of Teutonic peoples for freedom and self-government. Its most eloquent advocate, John Richard Green, who united racial pride with evangelical enthusiasm, saw in local meetings of rude tribesmen held in the forests of northern Germany—a moot more ignorant than an assembly of Russian mujiks—the origin of the English Parliament, the source of popular liberty. He looked upon it, he exclaimed, as upon the headwaters of a mighty river.

Though once widely accepted, the interpretation of the Teutonic school has been sharply challenged in recent times, French scholars, not to our surprise, advancing to throw down the gage. Leaders among these doubters seek to demonstrate with great learning that the bulk of the English people are not Teutonic at all, but Celtic—conquered first by the Romans, then by the Anglo-Saxons, and finally by the Normans. English institutions, they tell us, are not Germanic, but a peculiar mixture of primitive Celtic, ancient Roman, barbaric Nordic, and Gallo-Norman cultures. If the Teutons had a genius for developing parliamentary government, trial by jury, liberty of speech and press, a free peasantry, and a triumphant bourgeoisie, why, such critics ask, was Germany, the original home of the Teutons, one of the last nations of western Europe to exhibit these elements of civilization? The question is unanswered and the battle royal over the true key to English social development goes on.

The sober judgment of those given to research rather

than controversy runs against any single explanation of the peculiarities in the institutions of England in the seventeenth century. Modern scholars are inclined to lay stress upon factors more tangible than innate characteristics of the people, namely, the early establishment of a despotic monarchy and the insularity afforded by the English Channel. The stark William the Conqueror and his powerful successors were able in the main to hold in subjection the feudal lords, lay and clerical, and in time weld warring kingdoms, principalities, and dukedoms into a fairly homogeneous society with one law, one administration, and a single language. Happily for the growing nation, the attempts of the baronage to break the Crown by imposing upon it the anarchic restraints of Magna Carta in the interests of inherited feudal privileges were defeated by the magnificent disregard which King John's successors showed for most of the prohibitions written down in that historic document.

Intimately related to this civilizing process was the English Channel—"The Silver Streak"—which, by cutting England off from her warlike and ambitious neighbors on the Continent, protected her government and her people against invading armies. Not after 1066 did a foreign marauder set foot on English soil; not after the close of the Wars of the Roses in 1485 was there a desperate quarrel of feudal lords to paralyze the fruitful occupations of industry in town and country. The king needed no powerful army and military caste to defend his fields and cities; these agencies atrophied, and as they decayed, the monarch who commanded them and the church that blessed them shared in their decline. To borrow Ruskin's images, the mighty were pulled down from their frowning crags; the bourgeois could sit safely on their money bags; and laborers, in their tattered rags, could search for employment far away.

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When the feudal nobility was definitely broken as a ruling class, the councils of the king and the ranks of the aristocracy were steadily recruited from the lower orders. All English society moved in the direction of shops and warehouses. Henry VIII's ruthless secular adviser, Thomas Cromwell, was the son of a blacksmith; Cardinal Wolsey, who lamented that he had served his King more faithfully than his God, was the son of a tradesman. After the doughty Henry had quarreled with the Pope over Anne Boleyn, he confiscated the lands of the monasteries, and distributed a large part of it among favorites of lower origins, thus sinking the ancient baronage deeper in a welter of newcomers.

Hard beset for money during his disputes with an obstinate Commons, James I further diluted the military caste by selling honors and titles over the counter at a fixed price to merchants and minor gentry who could command the lucre. By the end of the seventeenth century, therefore, only a handful of noble families could trace their lineage back to proud lords and knights who gathered around the standards of Norman kings. The civil war which raged from 1642 to 1649, with its deaths on the field of battle and sequestrations of estates, almost completed the ruin of the baronage. Henceforward, at least, no iron gates shut the aspiring bourgeois from the fair realm of the titled aristocracy or the councils of state.

This flow of forces which brought disaster to barons of war and lords of church and gave titles to rich merchants was accompanied by prosperity and activity in commerce. The insistent note that runs through the writings of continental travelers who visited England in the sixteenth century is that of surprise at the wealth, comfort, and welfare of the middle classes and artisans of English towns. "The riches of England are greater than those of any other country in Europe!" exclaimed the author of the Italian Relations who knew the land ruled by Elizabeth. Explaining this wonder, he added that the wealth in Lon-

don "is not due to the inhabitants being noblemen and gentlemen; on the contrary, they are persons of low degree and artificers who have congregated there from all parts of the Island, from Flanders, and from every other place. . . . Still the citizens of London are esteemed quite as highly there as the Venetian gentlemen are in Venice." Artisans became merchants; merchants bought country estates; new landed gentlemen took on the style of old families.

To speak summarily, a passion for bourgeois comfort spread everywhere. The whole domestic life of the mercantile classes was altered: stories were added to their houses; the number of rooms was increased; the use of the entrance hall as a sleeping place was abandoned; servants were more sharply separated from the family; beds took the place of pallets; plate and furniture accumulated; contentment with primitive simplicity in living gave way to the quest for material goods.

Now the comfort so prized by the rising middle class was bought with money and, after the looting of feudal wars was stopped, money was most easily acquired by commerce, especially beyond the seas. It was not uncommon for promoters of trading expeditions to gather in profits running from one hundred to four hundred per cent; indeed some of the early voyages to India netted twelve hundred per cent. In a single year, 1622, a consignment of goods bought in India for £386,000 sold in England for £1,915,000. The gains of peaceful trade were augmented from the spoils gathered by sea dogs, such as Drake and Hawkins, who raided the Spanish towns in America, overhauled galleons laden with gold and silver from Mexico and Peru, and seized Spanish merchant vessels from the East Indies bearing a king's ransom in spices and precious stuffs. From the New World gold and silver poured into Europe in an ever increasing stream, rising, according to Humboldt's estimates, from £52,000 annually at the opening of the sixteenth century to £280,000 annually at its close; and

of this influx the manufacturers and merchants of England through various operations gathered in their full share. A frenzy for traffic animated all classes in England; the love of money and the trading spirit "permeated all departments of life and influenced almost every sentiment."

No wonder; as the possession of land gave dignity and power to the older aristocracy, so the possession of houses, factories, and shops gave strength and independence to the new middle class. For the men it opened the way to a position of influence in the affairs of state; to their wives and daughters it gave security, an easier life, an enlarged opportunity to acquire property and enter trade themselves. At the opening of the seventeenth century, the very air was charged with schemes for growing rich in a thousand ventures connected with the commerce and settlements of expanding England.

Living close together in the towns, the mercantile classes early acquired the habit of coöperation whenever capital beyond the reach of a single individual was required. Taking their cue perhaps from old merchant guilds, they learned how to unite their accumulations and their ingenuity in great corporations or companies chartered by the Crown to trade and plant colonies. In the reign of Elizabeth they formed the English Levant Company, which seized a share of the commerce with the East that had been monopolized by the Italians; when, in 1587, the last of the Venetian argosies, as if to celebrate the awful ruin of the Adriatic Queen, foundered in a storm off the Needles on its way to the London market, English capitalists were ready to carry forward the business on their own account. Another corporation, the Muscovy Company, pushed its traffic into Russia, reaching through the river systems of that country far southward into Persia. A third concern, the East India Company, created in 1600, sent its agents over the route opened by Vasco da Gama a hundred years before and founded, on the banks of the Ganges, the trading posts that expanded into the British dominion.

So when the time came to plant permanent settlements in America, the lure of gain had spread throughout English society, capital had been amassed, and the practice of forming corporations for profit had been well established. It was not necessary to beg a pittance from the royal treasury to launch epoch-making expeditions. The middle classes were themselves prepared to furnish both leadership and money. In the London Company, incorporated to develop Virginia, were, besides earls, bishops, knights, and gentlemen, plain commoners, merchant tailors, stationers, shoemakers, haberdashers, grocers, ironmongers, cutlers, leather sellers, saddlers, cordwainers, weavers, carpenters, representatives of all the other important trades, and two women—Katherine West and Millicent Ramsdent, a widow. The great Company that planted the first successful colony represented in fact the dominant elements in English commercial life. Its stock was advertised in the pulpit as well as in the market places and subscriptions were made in the interests of religion, patriotism, and profit.

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For the agricultural work of colonization, England had two landed classes from which capable leaders could be drawn—country gentlemen and yeomen. The first of these groups consisted of substantial landed proprietors who lived in comfortable manor houses on broad acres, served as local justices of the peace by royal appointment, sat in the House of Commons by election of their neighbors, and thus combined the management of their estates with the functions of a governing class. From this order came the Cromwells, Hampdens, and Pyms, who challenged the rule of the Stuarts and brought Charles I to the scaffold in old England; and the Winthrops, Endicotts, and Eatons, who made the beginnings of a self-governing commonwealth, Massachusetts, in New England.

In the second of these important groups, the yeomanry,

were free and proud owners of small farms, noted for their industry and independence of spirit. They had energy, initiative, character, and property. They knew how to till the soil, rotate and care for crops, manage laborers, and conserve their interests. They, more than the gentry, furnished economic managers to direct the development of colonies in America.

To planting corporations, the very process that transformed England from a feudal into a mercantile state also furnished a mass of laborers detached from the soil and prepared to face the primitive conditions of life and work on the American frontier. It is a fact of deep significance in the history of migration that serfdom practically disappeared in England more than two hundred years before its last legal traces were removed from the Continent. The essential economic characteristic of serfdom was bondage to the soil. A serf was not a chattel; he was not bought and sold in the market place; he was attached to the land, going with the estate whenever it was transferred. As land without his labor was worthless, it was the interest of the lord to hold him fast to it, thus making him virtually a part of real property and depriving him of all initiative for migration.

Against serfdom the drift of economic life in England began to run heavily by the middle of the fifteenth century, but the institution was not abolished by one drastic action, such as Alexander made in Russia in 1861 or Lincoln started in the United States two years later. On the contrary it was by gradual stages extending over two centuries that English serfs commuted their fixed service of labor and produce into the form of a cash payment; it was by becoming renters that they finally broke the tie which bound them to the soil and won their liberty. But that liberty had its disadvantages; for, if the renter could voluntarily leave the soil which nourished him, he could also be driven from it when his lord found more lucrative uses for the land.

As things turned out, the whole rural economy of England was altered with the disappearance of serfdom. Greedy lords now seized the common lands of villages under acts of Parliament, made by their agents, authorizing them to enclose great areas and extinguish the ancient rights of the peasants. When, in the sixteenth century, the woolen industry rose to high prosperity and sheep-raising became more profitable than cropping, thousands of landlords drove off their tenants and turned their fields into pastures, changing prosperous hamlets into deserted villages. At the same time the vast estates of the monasteries, also tilled by peasants, passed into the hands of secular masters bent on profits and the walls of grand old abbeys sank down to ruin to receive their ivy crown. By various procedures, therefore, strong and active peasants, enamored of the soil that nurtured them, were transformed into wageworkers or sturdy beggars; the public poor relief that superseded monastic alms was heavily burdened; city streets were filled with paupers; and political economists were led to cry out: "What shall we do with the surplus population?"

Of all European countries, England alone had an abundance of men and women accustomed to hard labor in the fields and yet cut loose from bondage to the soil. It was a dubious freedom which they enjoyed—so dubious that it prepared them for migration to the New World in spite of all the hazards.

§

Absolutely imperative to the successful development of European civilization in America was the participation of women in every sphere of life and labor. Soldiers could conquer and rule native populations, but colonies could not be founded and maintained without women. And England of the seventeenth century had women of talent and experience, skilled in industrial arts, accustomed to the management of property and employees. On every hand English

women took a lively interest in industrial, political, and religious activities. Of this fact indisputable evidence appears in the records of the period—old books on agriculture and the handicrafts, orders and papers of the justices of the peace who tried offenders against the law and who fixed the wages of laborers, documents and entries of craft guilds, archives of the great departments of government, and private memoirs of the day.

Even the women of the landed families were not idly rich. Rather were they responsible managers of large households in which numerous industries, now established in factories, were conducted under their watchful eyes. Nor were their energies confined to domestic pursuits. A granddaughter of Oliver Cromwell was director of a salt works. It is said of her that "she would sometimes, after a day of drudgery, go to the assembly at Yarmouth and appear one of the most brilliant there." Muriel Lyttelton, wife of a condemned Papist, begged her husband's forfeited estate from King James and "with the utmost prudence and economy" retrieved the fortune, educated the children, and discharged the duties of the head of the family. The memoirs of Mrs. Hutchinson, wife of the famous Puritan Colonel, show her maintaining a keen interest in the political controversies of her age and once at least in the lobby of the House of Commons during the absence of her husband, working against the passage of an objectionable measure. Women of her class often acted as executors of estates; they mingled in the throngs at court petitioning for grants of wardships, monopolies, patents, and other royal favors.

In an age when fortunes were relatively small, women of the trading class had not yet joined the leisure order devoted to gaiety and trifles. On the contrary, they were often partners in their husbands' enterprises or, as widows and daughters of merchants, were in business on their own account. In the records of the time they appear with striking frequency as pawnbrokers, money-lenders, stationers,

booksellers, shopkeepers of many sorts, shipowners, and clothing contractors for the army and navy. For example, we find Susanna Angell, a widow, and her daughter petitioning the king in 1636 for the right to land a cargo of gunpowder and sell it in the kingdom or transport it to Holland. Court records tell of Ellenor Woodward, an ironmonger, up on a charge of selling short weight. Joan Dant, a Quakeress, widow of a poor weaver, embarked in trade as a pedlar and amassed a fortune of £9,000 in merchandizing, which she devoted to charity. "I got it by the rich," she quaintly said, "and I mean to leave it to the poor."

In industry, no less than in trade, women were active, often combining production with selling. They were bakers and sometimes members of the bakers' companies; the court records of old Manchester tell us of one Martha Wrigley in durance vile for giving her customers short weights. Occasionally they were butchers; of the twenty-three meat dealers in Chester, three were women. They managed flour mills and sold flour. They were in earlier days brewers and innkeepers—brewster being only the feminine of brewer—but when the state made the trade a monopoly their enterprise was confined to the domestic vat. In many of the staple crafts the labor of women was a factor of importance, especially after the guild system commenced to disintegrate. For instance, toward the close of the seventeenth century, when woolen goods formed in value one-third the total export trade of England, there were eight women to every man in the woolen industry, according to one estimate, and on the most conservative reckoning at least three to one.

To a large extent the silk industry, once, almost, if not entirely a feminine monopoly, was still in the hands of women—though it had sunk to the status of a sweated trade in the reign of James I. While men tried their best to control the lucrative broadcloth manufacture for their own benefit, women, especially widows, engaged in it in

defiance of local ordinances. In those days the term spinster was not reserved for maidens of uncertain age, but was merely the feminine of spinner—just as webster was the feminine of webber. In fact the textile trade became so attractive to women that they crowded into it from the fields and kitchens, leading Defoe to complain at the opening of the eighteenth century that "wenches wont go to service at 12 pence a week when they can get 7 shillings or 8 shillings a week at spinning," revealing in his lament the existence even then of a servant problem for the English middle classes.

Especially important for colonization were the skill and strength of women in agriculture. Old treatises on farming and schedules of wages fixed by justices of the peace tell impressive stories of their toiling in the fields, raking hay, driving wagons, stowing hay away in mows, guarding flocks in pastures, receiving meager wages—less than the men in those distant days before the demand for equal pay for equal work. For shearing sheep and pulling peas, women earned sixpence a day, against eight for their male competitors. Special wages were paid to women servants "that taketh charge of brewing, baking, ketching, milk house, or malting." Those that helped to thatch roofs were not so favored: "She that draweth thatch hath 3d. a day; and she that serveth the thatcher 4d. a day because she also is to temper the morter and carry it to the top of the house"—runs the entry in one of the books on rural economy. With good reason could a traveler in old England write that "the men and the women themselves toiled like their horses." When, therefore, the various companies and proprietors engaged in colonizing America offered to married men double the quantity of land tendered to single men and made grants to maids as well as bachelors, they knew how valuable were the labors of English women in every branch of husbandry. No doubt the migration of families was determined by domestic council, for the most part, and after the momentous step was taken, the women

assumed their share of the hardships and their full burden of responsibilities.

§

The dissolution of the feudal order which was marked by the rise of the middle and laboring classes produced collaterally profound religious and political changes that stimulated colonial expansion. As the rigidity of mediæval economic life was associated with dogmatism and authority in religion and politics, so the break-up of that order was attended by controversy in theology and revolution in government.

On one side the Protestant revolt against the Catholic system was strongly economic in character—a struggle of princes and middle classes to free themselves from the tithes, fees, laws, and jurisdiction of the clergy and at the same time to get possession of the immense estates of the church. Henry VIII's quarrel with the Pope and separation from Rome merely accelerated the inevitable. As far as Henry was concerned, the uprising was to be attended by no vital modifications in religious dogma. During his reign, the church in England was simply made subservient to the Crown; bishops and archbishops became royal appointees and a large part of the confiscated ecclesiastical property was turned over to the king and his favorites—the remainder being dedicated to religious uses under state control.

But having once breached the dike, Henry could not stop the flood of "perverse opinion"; and violent oscillations soon occurred in religious affairs. Under his son, Edward VI, Protestant dogma, tinged with leveling evangelicalism, was made the law of the land; under Mary the country was swung back to Catholicism; under Elizabeth a well-ordered Protestant Church with creed and prayer book was established by act of Parliament.

Each of these changes in the legal religion of the land helped to unsettle the opinions of the people in spite of

all official efforts to force conformity upon them. The printing press, the revival of pagan literature, the multiplication of books on travel, commerce, and economy, the translation of the Bible into English so that the multitude could read it and dispute over matters of interpretation, and the corroding insinuations of business and natural science produced a luxuriant variety of religious sectarianism.

On the right were partisans of the Established Church who clung to the lawful order and, more extreme than they, the Catholics who hoped for a return to the vanished past; on the left were Independents, or Separatists, who proposed to abandon the Establishment or to abolish it altogether. In the center were Puritans who merely wished to "purify" the Anglican system by minor changes in creed and ceremony. Scattered along the line at different points stood Baptists, Quakers, Presbyterians, and other sects, each proclaiming its own gospel and its particular path to heaven.

Bewildered at first by the welter of dogmas, the king, the Anglican clergy, and their adherents tried to stem the rushing tides, bringing various engines of oppression to bear upon the dissident elements. In pursuing this policy, they unwittingly aided the work of colonization. It was then that the members of the congregation at Scrooby who afterward found their way to Plymouth "were hunted and persecuted on every side. . . . Some were taken and clapped up in prison; others had their houses beset and watched night and day . . . and the most were fain to fly and leave their houses and habitations."

In the end the advocates of uniformity and suppression failed. Out of the clash of sects, the ferment of opinion, the growth of doubt, and the direction of intellectual energies to practical considerations, finally came a degree of religious toleration which counted more heavily in successful colonization than religious oppression. If the English kings and their advisers hated the heretics, they did not follow the example of the Bourbon monarchs in France by excluding them from the territories lying far away.

Instead of banishing merchants and artisans to enrich other countries, English statesmen opened the gates of their American colonies to every kind of religious faith that the stirring life of the Old World could furnish—to Catholics, Separatists, Puritans, Quakers, Presbyterians, and Baptists from the British Isles; to Lutherans, Dunkards, Moravians, Mennonites, Huguenots, and Salzburgers from the Continent. They looked with favor upon the German Lutherans who crowded into Pennsylvania, subdued the wilderness, and produced wheat, corn, bacon, and lumber to exchange for English manufactures. They even winked at news of Jews settling here and there in the colonies, especially after Oliver Cromwell's example in toleration at home. When the plantations were once started and their significance to trade and empire disclosed, it was impossible to bring them into any scheme of religious uniformity. On the contrary clerical authority waned with the growth of business enterprise.

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In the operations that unhorsed the feudal lords and disintegrated the power of the clergy, the merchants and landed gentry of England attained a high degree of self-government and civil liberty. Unlike France and Spain, England had never discarded the institution of representative government which had sprung up in the middle ages. Serving as voters and members of the House of Commons and as justices of the peace in the counties, towns, and parishes, the gentry and merchants had long taken part in the administration of public affairs. And in the seventeenth century they definitely attained supremacy in the state by the establishment of parliamentary sovereignty. As in France long afterward, this revolution was accompanied by violence, the execution of the king, social disorder, the seizure of property, extreme measures, dictatorship, reaction, and the ultimate triumph of the essential ideas advanced by the leaders in the uprising.

In the age of Elizabeth there were mutterings of discontent; in the reign of her successor, James I, the House of Commons, speaking for the smaller landed gentry and the merchants, set forth the rights of its constituents in language which even a Stuart could understand; Charles I, learning nothing and forgetting nothing, tried a decade of personal government which ended in civil war and his death upon the scaffold in 1649. Then followed experiments in democracy two hundred years ahead of the times, which merely culminated in the Cromwellian dictatorship and, after the death of the stern Oliver, in the restoration of the monarchy. Reaction came as night succeeds the day, but the swelling currents of English commerce steadily recruited the ranks of the middle classes. Accordingly, when James II tried to turn back the tide in 1688, he was overthrown and the supremacy of Parliament was fixed for all time—a House of Lords crowded with newcomers and a House of Commons, both dominated in colonial and foreign affairs by mercantile considerations.

History has attached to this revolution the title "Puritan" as if it were essentially religious in character, but the title is primarily due to the "intellectual climate" of the age. The thought of the times was still deeply tinged with theology and the defense mechanism of men who were engaged in resisting taxes and other exactions was naturally drawn from the literature with which they were most familiar—the Old and the New Testament. "When the monarchy was to be subverted," wrote a shrewd observer of the age, "we knew what was necessary to justify the fact." All that was reasonable enough but the historian need not tarry long with the logical devices of men in action.

In reality, the English Revolution of the seventeenth century was a social transformation almost identical in its essentials with the French Revolution of the next century: a civilian laity emancipated itself from the mastery of Crown, aristocracy, and clergy. The process was long and painful and during its course many preferred the uncertain-

ties of distant colonization to the perils of domestic war.

It was under a government occupied with conflict at home that all the colonies in America, except Georgia, were founded; it was under a Parliament increasingly mercantile in character that they grew into powerful economic and political societies; and it was in the doctrines of John Locke, philosopher of the "Glorious Revolution" of 1688, that they found secular authority for their Declaration of Independence in 1776. Thus the social transformation of England facilitated colonization, gave a practical economic turn to imperial administration, and finally afforded the linguistics of colonial revolution.

In all these things lay the secret of England's expanding power. She had a monarchy, strong but limited—dominated at last by the middle classes rather than by courtiers such as those who disported themselves at Versailles. While Spain and France discarded their representative institutions, England retained her Lords and Commons and made them potent agencies for commercial and industrial promotion. Her Church, shattered by the endless multiplication of sects, was early compelled to grant a certain degree of toleration as the price of peace. The state, racked by two revolutions and subjected to the fire of constant criticism, was forced to give up the censorship of the press and fling wide the floodgates for intellectual interests of a secular cast.

In her social development, as in church and state, England was rapidly moving toward the modern age. She had a large and growing estate of merchants, a body of yeomen ready for adventure, and a supply of free agricultural laborers, men and women, loose from the feudal ties that bound them to the soil. In short, England in the seventeenth and eighteenth centuries was a nation engrossed in applying ever-increasing energies to business enterprise—of which colonization in the New World was one branch for the employment of capital and administrative genius.

## CHAPTER II

## *Laying the Structural Base of the American Colonies*

EMPIRE building and colonization, each according to its requirements, call for appropriate leadership. At the forefront of imperial enterprise we see the soldier of courage and martial design: a Genghis Khan sweeping with his hordes over Mongolia and China; an Akbar overcoming India's millions; a Cortez cheering his soldiers to the fray amid the flames of Montezuma's capital. In the vanguard of colonization, essentially a civilian undertaking, we find the administrator with a vision and a mind for business affairs: a Baltimore and a Penn raising capital, calling for tenants, and attempting to build states by the sheer strength of individual resources; a Gates, a Wingfield, and a Winthrop associating themselves with mercantile corporations to accomplish purposes beyond the power of any single promoter; a Carver and a Bradford giving direction and inspiration to a little band of Pilgrims breaking the stubborn soil of Plymouth.

In the nature of things, daring leaders fearing no risk of

fortune had to break the way before judicious merchants would invest their capital in dubious speculations beyond the unknown sea. If among the forerunners who first caught glimpses of England's unique mission and feared not the hazards of adventure, one must be taken by way of illustration, the choice may very well fall upon Walter Raleigh, son of a country gentleman, knighted for service by Queen Elizabeth.

For the great undertaking in colonization, Raleigh's temper and early experience fitted him in a peculiar fashion. Alive to all the important interests of his age, he was fascinated by the multiplying tales of exploration and discovery. Humble geographers were among his friends. The sea dogs, Drake, Hawkins, and Frobisher, had respect for him; he was of their kind. In red scenes of battle, he had showed his daring, helping the Dutch to defy the rule of Spain and England's gallant sailors to send the *Armada* to the bottom of the ocean. Given to brooding upon high enterprise, he pondered upon the destinies of nations, sketching in fact during his later years a grand plan for a philosophic history of the world. Such was the first architect of English colonial fortune who saw in his dreams the American wilderness subdued by the people of his native land.

Unshaken by the fate of his brave half-brother, Sir Humphrey Gilbert, who, returning from one of his voyages of exploration, had perished in a storm, exclaiming as tradition has it, "we are as near to heaven by sea as by land," Sir Walter Raleigh determined to plant under mild skies on southern shores the beginning of a second England. Cautious at first, he sent out at his own expense a scouting expedition under Amadas and Barlowe who brought back reports of a paradise along the Carolina coast. Then Sir Walter sought the help of his sovereign and secured from Elizabeth a wine monopoly yielding him revenues for experimentation, supplementing a grant of land in America that promised to make him a feudal lord over a princely

realm. Twice from his own purse thus recruited and once with the help of merchant capitalists, he attempted to establish a permanent agricultural settlement in America, not overlooking the possibility of finding precious metals.

Misfortune of every kind dogged the steps of his adventure, however, and at last, broken in estate, Raleigh was compelled to accept the verdict of failure. The empire of which he dreamed was to be built by other hands in other ways. The treasuries of gold which his captains sought were not to be found until, in the sweat of their brow, American colonists had cut and tramped their way across three thousand miles of forest, plain, desert, and mountain to the far end of the continent. Instead of precious metals Raleigh's men discovered a more secure foundation for a state had they but known it—the lowly tobacco leaf and the humble potato. The pungent weed was to furnish a currency no less certain than gold and afford the staple crop for baronial estates where wealth and leisure nourished a governing class capable of waging to a victorious end a dramatic contest with the descendants of the Raleighs, Leicesters, and Burleighs of the Elizabethan age. The plain prose of economy in the long run is stranger than the romance of fiction.

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Though Raleigh failed, his experiments taught valuable lessons and his spirit fired contemporaries with emulative desire. If nothing more, he had proved that successful colonization was, in the beginning at least, beyond the strength and resources of any individual. The amount of capital and the diversity of talent demanded made it of necessity a coöperative undertaking, at all events until the first difficulties were resolved and the path was blazed. Thus it came about that the earliest permanent settlements were made by commercial corporations.

Four American colonies owed their inception to trading

companies—two of English origin, a third under Dutch-Walloon patronage, and a fourth under Swedish direction. It was the London Company chartered in 1606 that led the way by founding Virginia; it was the Massachusetts Bay Company incorporated in 1629 that saved the little Plymouth fellowship from destruction and started New England on its course. In a fierce quest for trade, the Dutch West India Company, established in 1621, laid in New Netherland the basis of a colony upon which the English forty-three years later erected the province of New York. Not to be outdone by Holland and England, the king of Sweden called into being a West India Company of his own and commissioned it to break ground for a Swedish state on the banks of the Delaware.

In a certain sense Georgia may also be included among the "Company" colonies. If the avowed purpose of its principal promoter, James Oglethorpe, was philanthropic—the establishment of an asylum for poor debtors—the legal instrument for the realization of that design was a charter granted by George II in 1732, uniting the sponsors of the enterprise in "one body politic and corporate," known as the "Trustees for establishing the colony of Georgia in America." In form of government and in methods of financing, the Georgia concern did not differ materially from the trading Company. So it may be said that the corporation of capitalists—the instrument employed in commercial undertakings—was the agency which planted the first successful colonies and molded their early polity in church and state and economy.

Now the commercial corporation for colonization, whether it sprang from the sole motive of profit-making or from mixed incentives, such as the prosecution of trade and the spread of religious propaganda, was in reality a kind of autonomous state. Like the state, it could endure indefinitely—as long as its charter lasted; its members might die but, by the continuous election of successors, the corporation went on. Like the state, it had a constitution, a

charter issued by the Crown, which formed a superior law binding constituents and officers.

Like the state, it had a territorial basis—a grant of land often greater in area than a score of European principalities. It was a little democracy in itself, for its stockholders admitted new members to the suffrage, elected their own officers, and made by-laws. It exercised many functions of a sovereign government: it could make assessments, coin money, regulate trade, dispose of corporate property, collect taxes, manage a treasury, and provide for defense. Thus every essential element long afterward found in the government of the American state appeared in the chartered corporation that started English civilization in America.

Moreover, that other great arm of the English state, the Church, usually formed an integral part of these corporate enterprises. As a matter of zeal in some instances and of form in others, colonial companies were generally charged with the duty of "propagating the Christian religion to such people as yet live in darkness and miserable ignorance of the true knowledge and worship of God"—to use the language of the first Virginia charter. Either in fact or in theory to conciliate high powers in England, this meant the faith of the Anglican Church established by law. In the Virginia colony, there was no doubt about the injunction: the Company made the creed of that Church the strict rule of the plantation. The first legislature assembled on the soil of America, the Virginia House of Burgesses, enacted that "all persons whatsoever upon the Sabbath days shall frequent divine service and sermons, both forenoon and afternoon."

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Such was the nature of the agency created by James I in 1606 when he issued the first charter to the London Company commissioning it to establish the colony of Virginia. Among the men whose enthusiasm called the corporation

into life were old and seasoned navigators, such as John Smith and Ferdinando Gorges, who had seen America with their own eyes, and industrious students of maritime enterprise, such as Richard Hakluyt, who had been affiliated with Raleigh in his ill-starred experiments. Associated with them were merchants, traders, landed gentlemen, and other persons who knew little or nothing about America and regarded the undertaking as primarily a profit-making venture.

Though the investors insisted on works of piety among the Indians, they wanted a quick return on their capital; their colony was hardly a year old when they demanded a piece of gold and threatened to forsake the settlers as "banished men" if cargoes of goods worth two thousand pounds were not immediately forthcoming. Neither the stockholders nor the majority of the first emigrants had any very definite idea of the labor, land, and administrative systems required for successful colonization.

As a matter of fact the air of England was still charged with vain imaginings awakened by Spanish luck. "Why, man," ran the lines of a play written in 1605 to laud the glories of America, "all their dripping pans are pure golde, and all the chaines with which they chaine up their streets are massive gold; all the prisoners they take are fettered in golde; and for rubies and diamonds, they goes forth in holy dayes and gather 'hem by the sea-shore, to hang on their children's coates and stick in their children's caps, as commonly as our children wear saffron-gilt brooches and groates with holes in 'hem."

With such wild tales afloat to stir the cupidity of the avaricious, it was naturally the soldier of fortune who first grasped at the opportunity of migrating to Virginia. The directors of the Company tried to secure industrious and God-fearing settlers, but, in the first group of one hundred and five emigrants, there were only a few mechanics and twelve laborers; about one-half were set down as "gentlemen" and four as carpenters—bound to a houseless wilder-

ness! The second expedition transported more gentlemen and several goldsmiths, who filled the settlement with clamor about riches until, as John Smith, who was on the spot exclaimed, "there was now no talk, no hope, no work, but dig gold, wash gold, refine gold, load gold." The third and fourth voyages brought more gentlemen, tradesmen, soldiers, and fortune hunters. Finally the exasperated Captain Smith blurted out the bitter truth to the Company: "When you send again, I entreat you rather send but thirty carpenters, husbandmen, gardeners, fishermen, blacksmiths, masons, and diggers up of trees' roots, well provided, than a thousand such as we have."

Indeed, among the early bands of emigrants only one member, this Captain Smith, seems to have grasped the true nature of colonial economy. Though most of his charming tales, including the story of his rescue by Pocahontas, an Indian maid, are now discredited, and though he is set down among the great romancers like Casanova and Sancho Panza, Smith was keenly alive to the realities of the struggle in Virginia. "Nothing," he wrote, "is to be expected thence, but by labor."

Standing on that principle, Smith kept up a constant demand for emigrants not afraid of soiling their hands, and saved the day more than once by enforcing the rule that those who would not work should not eat. Boastful and unpopular as he was, Smith was personally brave in warfare and fertile in practical plans for defending the settlement and producing the means of livelihood. He led in exploring and developing Virginia; when an explosion of gunpowder severely wounded him and sent him back to England for surgical attention, disease and famine almost wiped out the colony. Nothing but the arrival of outside relief saved the survivors from utter ruin. The Company demanded gold of Smith; he gave it something more valuable, a map of the region, a sketch of its resources, and sound advice as to the kind of emigrants suitable for colonization.

In fashioning its land policy, the Virginia Company was

forced to shape its scheme of tenure to the varied character of its emigrants. Having in mind the matter of quick profits, the condition of the free laborers available for transport, and the requirements of independent capitalists desirous of engaging in agriculture on their own account, the Company provided a combination of corporate and individual ownership. In the first place, the directors decided that a part of the land should be held permanently by the Company and tilled by servants sent out at its expense. Under this arrangement, the corporation was to furnish the implements and initial supplies; each able-bodied servant was to work at the task assigned to him; the proceeds were to go into a common store from which allotments were to be made to the laborers according to their needs and profits to the Company according to its investment.

In the second place, a large portion of the land was devoted to individual exploitation, known as "the adventure of the purse." Every contributor who paid a fixed sum of £12.10s. into the corporate treasury was entitled to a warrant for one hundred acres of land and an equal amount in addition as soon as the first lot was under cultivation. To encourage the migration of settlers capable of paying their passage and launching themselves, the Company offered a hundred acres to every adventurer who would risk the hazards of Virginia in person. Any capitalist who transported one laborer to the colony at his own expense was granted one hundred acres and an equal area for each additional laborer so transported—an allowance later reduced to fifty acres—always subject to an annual quit-rent of two shillings per hundred acres payable to the corporation.

Finally great sections of land were set aside to afford incomes for the Company's officers in Virginia with a view to supporting them in a certain degree of style; and huge grants were made from time to time to individuals for "meritorious services," an elastic phrase that covered a multitude of sins. In the main the Company desired to

create a colony of estates moderate in size; but, when the enterprising spirits who crossed the sea discovered how easy it was to stake out princely dominions, they managed by one means or another to engross within a short time all the lands on the seaboard and transform them into large plantations, thus forcing the small freeholders up into the piedmont.

Of these several schemes, that of tillage by servants sent out at the Company's expense proved to be the most evident failure. Supervision was difficult, for the colony was far away. There was little incentive to the laborer to put forth his best efforts because the results of his toil flowed into the corporation's warehouse and he gained little for himself beyond a bare subsistence.

Wretched idleness was the fruit of this program. Some improvement was made in 1611 when Governor Dale set apart three acres of land for each company laborer, gave him one month of free time in which to cultivate his own plot, and allowed him a small stock of corn from the common store. But even this change could not save the system of Company tillage. It was too repellent to attract settlers; it lacked the element of direct and personal supervision; and at the end of ten years there was only a handful of laborers, men, women, and children, operating under the plan. By that time, the experiment had made it clear that no corporation with its seat in London could successfully carry on planting in America by ill-requited workers sent out at its expense and managed by its agents three thousand miles away. So within a short time the development of planting in the lowlands of Virginia inevitably fell into the hands of individual landowners who secured estates by investment, purchase, or grant, as indicated above, and obtained by one process or another laborers—freemen, bond servants, or slaves—to cultivate their acres.

In the sphere of government, as well as economy, the experience of the Virginia Company was full of profit for the generations to come. Until near the end of its troubled

life, it suffered from the delusion that Englishmen who had enjoyed some share in the politics of their native land could be permanently and happily ruled by governors chosen in London and sent over with a retinue of servants. None of the three charters granted to the corporation, 1606, 1609, and 1612, contemplated any degree of autonomy in the colony itself. In the contest with the Crown, the rights of the Company and its stockholders were enlarged, but to the end the settlers in Virginia remained legally subjected in all important things to the will of the distant corporation.

Governor after governor was dispatched to manage the settlement in the name of the Company: Delaware with the pomp of an Oriental potentate; Dale, harsh, brutal, and "efficient"; Argall, a petty tyrant who robbed the settlers and cheated the corporation; Yeardley, a liberal gentleman who "applied himself for the most part in planting tobacco"; and Wyatt, during whose five years of service the colony passed from the Company to the Crown. Some of these governors displayed conspicuous merits, but they all owed their appointments to politics and intrigues, not to demonstrated competence in administration.

With quaint irony Captain Smith told the story: "The multiplicity of Governors is a great damage to any state; but the uncertain daily changes are burdensome, because their entertainments are chargeable, and many will make hay whilst the sun doth shine, however it shall fare with the generality." Not until the Company became engaged in a violent quarrel with the Crown did it, with a gesture of magnanimity, seek an alliance with the colonists and by the establishment of the House of Burgesses in 1619 grant them a voice in local government.

While the London Company was feeling its way to policies that promised success, the colonists in Virginia were learning their own lessons in days full of trouble. The first summer for them at Jamestown in 1607 was one long, drawn-out agony, unbearable heat, unwholesome water, and spoiling food striking them down with disease and

death. One brief extract from the record of Master George Percy, who looked upon the tragic scene with his own eyes, tells the gruesome story: "The fifteenth day, their died Edward Browne and Stephen Galthorpe. The sixteenth day, their died Thomas Gower Gentleman. The seventeenth day, their died Thomas Mounslie. The eighteenth day their died Robert Pennington, and John Martine, Gentlemen." So the little lives of men were ticked off; when autumn came half the brave and tempestuous band were in their graves.

Those who lived through the awful days quarreled and plotted conspiracies. Governor Dale introduced martial law, hanged, shot, and broke men on the wheel; he chained one malefactor to a tree with a bodkin through his tongue and kept him there till he died; but with all his cruelty the governor was hardly able to suppress disorder. To pestilence and turbulence were added occasional famines. In the "starving time" of 1609 a colony of nearly five hundred persons was reduced in the course of six months to sixty wretched survivors, desperately preparing to leave the scene of their sufferings forever, when relief ships arrived from England. Collisions with the Indians—individual brushes and general conflicts such as the awful massacre of 1622 which swept off three hundred men, women, and children at one dreadful stroke—thinned the ranks of the settlers and held the tiny colony always under the shadow of fear. It is estimated that all in all the Company sent over 5,649 emigrants during its existence from 1606 to 1624, and that of these only 1,095 were in the colony at the end of the period. Some had fled back to England disillusioned; most of them had perished in Virginia.

And yet during these two decades, in spite of every obstacle, the foundations of a prosperous colony were laid as homes were built, the labor supply enlarged, and a profitable crop developed. Early in these years, the fundamental element—European domestic life—which so distinguished the English colonies, was introduced; for two white women

came with the second supply ship in 1608, "Mistresse Forest and Anne Buras, her maide."

Recognizing the importance of permanent ties binding the colonists to America, the Company itself undertook to encourage the migration of women; in 1619 it sent at its own risk ninety maidens, "agreeable persons, young and incorrupt," and "sold them with their own consent to settlers as wives at the cost of their transportation." Since this venture yielded a fair profit to the Company besides wielding a moderating influence on the turbulence of the men, other consignments of women were sent from year to year—sometimes with great difficulty, because it was no easy thing to induce comely English maidens "of virtuous education, young, handsome, and well-recommended" to tempt fortune by searching for a good husband among the hustling planters who pressed around the landing stage and offered the purchase money in tobacco. Though the process was rough and ready, it helped to fill Virginia with homes and, as Lord Delaware, the governor, once remarked, with "honest laborers burdened with children." When in the course of time life in the province became reasonably secure, emigrants of every kind took wives and children with them; and so, at the end of thirty years, there rose in Virginia a generation born on the soil, who could not say with their progenitors, "Lord, bless England, our sweet native country."

The second element essential to the prosperity of the landowners, an abundant supply of workers willing to till plantations under the hot sun of Virginia, was even harder to get, but before the close of the Company's career a solution of that problem was found. At the very outset the corporation adopted a practice of sending over on its own account "indentured servants" bound to labor for a term of years, thereby setting an example which was quickly followed by adventurers of the purse and other colonists who bought land from the Company. Some of these laborers, men and women, boys and girls alike, were lured on shipboard by

kidnapping "spirits" and borne to sea before they knew their destination and their fate. Others were convicts deported because English judges wanted to get rid of them. Thousands were simply knocked down on the streets of English cities and dragged away by brutal bands which made a regular business of that nefarious traffic. To these bond servants were soon added Negro slaves, the first of whom were brought to Virginia by a Dutch vessel in 1619, but this new class did not become very numerous until the lapse of half a century. For fifty years, indentured white servants from England furnished most of the labor for the fields.

A special impetus was given to the economic life of Virginia by the discovery of a single staple that could be grown easily in large quantities and exchanged readily for cash and goods, namely, "the obnoxious weed," tobacco. Very early the settlers learned that little money was to be made by raising corn or making iron and glass; therefore, they turned almost as one man to the cultivation of tobacco, planting it even in the streets of Jamestown. Great fortunes, equivalent in a few instances to $75,000 a year in present currency, were taken from tobacco crops and the head of every adventurer seems to have been turned by the prospect of sudden riches. One who was on the ground in the early days exclaimed that "tobacco onely was the business and for ought that I could hear every man madded upon that and little thought or looked for anything else."

In addition to bringing quick prosperity, tobacco gave a decided bent to the course of social development in the South; it determined that the land, especially on the seaboard, should be tilled primarily, not by small freeholders such as settled in New England, but rather by servile labor directed by the lords of great estates, with all the implications, legal, moral, and intellectual, thereunto appertaining. So the tobacco plant unfolding its broad leaves in the moist air and hot sun of Virginia gave a direction to economy that was big with fate.

The growing prosperity of Virginia, instead of yielding wealth and security to the Company, only added to its troubles. As the population increased in size difficulties of administration multiplied and these in turn aggravated the dissensions that constantly raged in London. Every part of the social order in England was now being shaken by a conflict between the Crown and the titled aristocracy on one side and merchants and minor gentry on the other, a conflict that was in a few years to break out into civil war and revolution. Each party to this controversy had its spokesmen in the Virginia Company rending its transactions with angry disputes. The mercantile element, prominent both in the corporation and in the House of Commons, steadily opposed all high notions of royal prerogative and all arbitrary schemes of taxation.

Unable to abolish Parliament, the king, James I, resentfully turned his wrath against the Company. Judicial proceedings were instituted calling for the forfeiture of its charter; the case was heard by judges appointed by the king to serve his interests; the conclusion was foregone. In 1624, the charter was annulled and the colony became a royal province administered directly under the king's authority. After sinking £150,000 in an unprofitable speculation but making experiments that pointed the way to successful colonization, the Company thus came to an ignominious end. Yet for the moment no radical changes were made in the economic and political life of Virginia. The last executive sent over by the corporation was continued in office as a royal appointee; the affairs of Virginia were managed by a royal governor aided by a small council designated by the Crown and the House of Burgesses elected by the planters.

Such were the beginnings of the colony which historians are accustomed to contrast with Puritan New England as if it were a secular enterprise carried out by freethinkers. As a matter of fact, if records are to be taken at face value, "neither the desire for treasure nor even the wish to pro-

mote the power of England" was the chief object of the Virginia Company; its heart was set on the glory of God and the propagation of the Christian faith among them that sat in darkness. In their advertisements for colonists the officers of the Company were at pains to indicate that they wished only settlers of correct religious life. "They also made careful provision for the maintenance of the religious habits they prized so highly; churches were built with such elaboration as their means allowed, and the practice of attending the daily services there was carefully enforced. The whole work of colonization was treated as an enterprise in which it was a work of piety to engage and collections were made in parish churches for the college that was planned for the English and the Indians at the Henrico settlement."

Moreover, the House of Burgesses elected by the freeholders of Virginia was in complete accord with the religious professions of the Company and the Crown. It required the church wardens to report for trial "all who led profane and ungodly lives, common swearers and drunkards, adulterers, fornicators, slanderers, tale-bearers; all such as 'do not behave themselves orderly and soberly during divine services,' and all masters and mistresses delinquent in catechising children and 'ignorant persons' placed under their charge."

It is true that the records of Virginia are not sown with Biblical quotations and with references to the wonder-working providence of God, but if statutes, orders, and decrees meant anything at all, then Virginia was as pious as Massachusetts and as devout as Plymouth. Indeed, it must not be forgotten that the Pilgrims originally arranged with the Virginia Company to settle on its soil and that the prospect of securing the accession of this new group of recruits was welcomed by leading members of the corporation. The Pilgrims, in spite of their "perversity" in religious faith, were just the kind of sturdy and sober laborers so eagerly sought by the Company and it was merely an

accident in navigation that carried them to land outside the borders of Virginia.

§

Tangible circumstances, rather than a difference in the motives of the London merchants who advanced capital for colonial enterprises, accounted for the contrast between Virginia and Plymouth. The climate and soil of the northern coast, besides being unfit for plantations, afforded no single staple upon which a fortune could be swiftly built; and the bulk of the emigrants for the New England colony was drawn from sources other than those exploited by the Virginia Company. Most of the Pilgrims who settled Plymouth were petty farmers, laborers, and artisans, rather than gentlemen, yeomen, and merchants with pounds to risk in importing servants and slaves.

Even those who came by way of Holland to Cape Cod had seen toilsome days and nights in their alien home. When, as Separatists, they collided with the Church of England and fled across the North Sea, they were forced to learn various trades in their new abode by which to eke out a living. Hence with their sobriety and profound religious faith, the Pilgrims combined a knowledge of agriculture and handicrafts. Moreover, they were accustomed to the severest hardships. As the Dutch craft guilds excluded them from the most remunerative trades, they were able to earn a living while in Holland only by the heaviest manual labor for twelve or fifteen hours a day. Bradford, historian of the little band, recorded that no "newfangledness or other such like giddie humor" inclined them to move to some other land.

In enumerating the "sundrie weightie and solid reasons" for migration, he declared that the Pilgrims found by experience "the hardnes of the place and countrie to be such as few in comparison would come to them and fewer still would bide it out and continew with them. For many that came to them and many more that desired to be with them

could not endure that great labor and hard fare with other inconveniences which they underwent and were contented with." Additional reasons for migration given by the chronicler were the oppression of their children who, under heavy duties, became decrepit in early life, and the danger of falling into ungodly ways through contact with those of other faith or no faith at all. Men, women, and youths accustomed to toil long hours at humble crafts in Holland had the will and the strength required to cope with the hardships of colonization in a new country.

But as the Separatists were without sufficient capital to take the great step, it became necessary for them to enter into negotiation with a group of London merchants in order to secure land, ships, supplies, and temporary maintenance. From the London Company they got permission to settle within the boundaries of Virginia and, after much haggling, they came to terms with certain merchant adventurers willing to invest money in their enterprise. A loose stock company was formed in which emigrants and capitalists were united. Every person over sixteen who went out on the expedition automatically became a stockholder and received one share valued at £10; two children between ten and sixteen were regarded as equivalent to the value of one share. The emigrants themselves were also allowed to buy additional stock with money or goods. The remainder of the capital was furnished by regular investors, chiefly Londoners. As a guarantee to the capitalists the whole body of emigrants bound themselves under the terms of an agreement to work for a period of seven years, to put their produce into a common warehouse, and to receive their subsistence out of the common store—all on the understanding that at the end of the period there should be a settlement and a discharge of the obligations.

Having accepted the harsh conditions of their bondage, a little band of Pilgrims set sail in the *Speedwell* from Delftshaven in the summer of 1620, and joined by another party in the *Mayflower* at Southampton, they put to sea.

Finding their first ship unfit for the journey, they soon returned to port, where a few discontented emigrants gave up the voyage, while the others crowded into the *Mayflower*. At last, "all being compacte toegeather in one shipe," free and bond, they dropped out of Plymouth harbor in September.

After weathering many cross winds and fierce storms that shook every timber of their little bark and after witnessing "many specialle workes of God's providence," they found themselves on November 6 in sight of land far to the north, out of the limits of the Virginia territory where they had permission to settle. For many days they eagerly searched the coast and finally, on December 21, they made their formal landing at Plymouth harbor.

Before leaving the ship, forty-one adult males in the company—the Pilgrim "fathers," most of whom were under forty—by a solemn compact bound themselves into a body politic, agreeing to enact and abide by laws and ordinances for the general good. Having chosen John Carver, "a man godly and well approved amongst them," governor for a year, they were ready to confront "the grimme and grislie face of povertie." Soon the cold gray New England winter closed down upon them and before summer came again, out of "100 and odd persons, scarce 50 remained." Yet all through those trying days in the shadow of death they cut trees and built log houses; and when the planting season arrived, they put out twenty acres of corn under the direction of friendly Indians who had visited them during the winter of their adversity, and taught them the arts of forest and field and stream.

From time to time small additions of immigrants were made to the little settlement at Plymouth but it was not destined to grow into a great state like Virginia. It was limited in capital; the number of radical Separatists upon which it could draw for labor was small; and there was no local staple such as tobacco which could be poured into London markets in large quantities. At the end of seven

decades, when Plymouth was absorbed into Massachusetts under the charter of 1691, it had only seven thousand inhabitants.

In reality, therefore, the record at Plymouth filled no great page in the history of commonwealths. Like the annals of the poor, it was short and simple. Farming was supplemented by fur trading, fishing, and lumbering, which furnished cargoes for the return voyages. On the lapse of the third year, the system of common tillage which rewarded idleness and penalized industry was given up; and each family was allotted a certain amount of land for cultivation. After chafing three years more under bondage to the London merchants, the old contract was set aside and the colonists bought outright all the claims of the original investors.

Although they thus adopted the idea of individual property in land, the Plymouth settlers maintained a high degree of collective control in the name of the common good. The most minute affairs of private life were subject to the searching scrutiny of the elders; prying, spying, and informing were raised to the height of prime diversions; swift and stern punishment was visited upon all who were guilty of blasphemy, drunkenness, sloth, or irregular conduct. Still the regimen was not without relief. Smoking was permitted; good beer was brewed; "strong waters" were consumed in liberal quantities; and after a while excellent wines were imported from abroad. Within a few years all the Pilgrims had better houses and a more liberal stock of worldly goods than they had been accustomed to in their native land. Beautiful villages rose amid spreading elms and prosperous merchants plumed themselves on lucky voyages. In fact, some of the more fortunate put on airs and set themselves down in the records as "gentlemen," over against the simplemen who had no titles or honors. This was, of course, without prescriptive warrant for few, if any, of them belonged to the gentry in the technical sense, but it gratified an innate passion for "qualitie," and gave

a certain artificial diversity to an otherwise plain social order.

§

The tiny religious brotherhood of Plymouth was only ten years old when settlements began to appear in the region to the north under the auspices of a great mercantile corporation chartered in 1629 as the Massachusetts Bay Company. What a strange contrast the two enterprises presented! The humble farmers, laborers, and artisans who, with their families, composed the bulk of the settlers on Cape Cod belonged to an outlawed religious band. In the eyes of the bishop of London, such sectaries were contemptible trouble-makers, "instructed by guides fit for them, cobblers, tailors, feltmakers, and such-like trash."

On the other hand, the emigrants who founded the Bay Colony belonged to the middle strata of English society. They were not radicals in religion; they wanted moderate reforms in the Church of England but no revolution. They were not dependent for capital upon the good graces of London investors; they were people of substance themselves. A few of them possessed large landed estates in England; some were wealthy merchants; others came from the professional classes; many were scholars of light and learning from the universities; the majority were at first drawn from the yeomanry and renters of farms in the eastern counties of England. On the roll of this Company were the names of Sir Henry Roswell, Sir John Young, Sir Richard Saltonstall, John Endicott, John Winthrop, and other representatives of the landed gentry and commercial classes—the virile and sturdy stock that, as we have said, gave England its Cromwells, Hampdens, and Pyms.

Unlike the Plymouth band, the Massachusetts Company had a formal charter of incorporation from the king. Its members in the manner of such commercial corporations were authorized to enlarge their number, elect a governor and his assistants, make laws, dispose of the immense

domain of land granted to them, and engage in almost every kind of local economic enterprise. In short, it was a corporation knit together by ties of religious sympathy, endowed with abundant capital, and supplied with capable leadership in things economic, legal, and spiritual.

Though it had the general form of the recently extinguished Virginia Company, it differed from that concern in one vital particular; the seat of the corporation, the majority of the stockholders, and the charter of legality were all transferred to America. Instead of trying to plant and govern a colony beyond the sea, the Massachusetts Company came over itself to the scene of action, directed the labors of the planters, and participated immediately in every phase of the enterprise. It was in truth, therefore, an actual self-governing state set up in the New World.

In the spring of 1630, John Winthrop, at the head of a great band of Puritan gentlemen and yeomen, with their families and a goodly body of indentured white servants, sailed with a fleet of ships for the New World, thus beginning a general exodus that lasted for about two decades —the period of turmoil and revolution in England. During the year in which he granted the charter to the new corporation, Charles I began to rule his subjects without Parliament; and for eleven years he laid taxes, imprisoned objectors, and collected forced loans on his own authority. England seemed headed for a despotism.

Deprived of their voice in the House of Commons, the landed gentry of the middle rank, the yeomen, the merchants, and the artisans on whom the burden of the royal exactions fell, were now roused to revolutionary fervor. Those who belonged to the fighting school of the Cromwells and the Hampdens raised the standard of revolt, waged seven years of war, and finally brought the king to the scaffold at Whitehall. Others, despairing of freedom and victory at home, decided to migrate in search of liberty to the New World. They sold their estates, wound up their

affairs, assembled their servants and laborers, and transferred their capital and their energy to another sphere—the new settlements springing up at Boston, Charlestown, Salem, and in the neighboring regions.

These Bay colonists carried with them livestock, tools, great stores of supplies, and goods for trading with the Indians, the capital for large economic enterprise. Beyond question, their leaders desired to reproduce in America the stratified society that they had known in England, excepting the titled aristocracy which stood above them in rank and in the affections of the king. If they had not encountered obstacles, they would have made Massachusetts a land of estates tilled by renters and laborers, with yeomen freeholders interspersed and the home of an Established Church directed by a learned clergy according to English forms, though "purified" to suit the taste and temper of the emigrants. "We will not say," exclaimed a Puritan leader in the first great expedition, "as the Separatists were wont to say at their leaving of England, Farewell Babylon, farewell Rome! but we will say, farewell, dear England! farewell the Church of God in England and all the Christian friends there!" Rich in this world's goods, rich in the religious learning of the schools, imbued with a firm belief in the proper subordination of the lower ranks, and endowed with a charter of self-government, the directors of the Massachusetts Company embarked on their great experiment.

As the Massachusetts Bay Colony grew in numbers and prospered, the drift of affairs in the open air of the New World indicated a decided bent in its religious and economic life. Now far removed from the discipline of Anglican bishops and the ambitions of the Anglican clergy, the Puritans floated off into independency, each of the little churches becoming a sovereign congregation before many years had elapsed. Varying likewise from original designs, the course of rural economy ran somewhat contrary to the expectations of those wealthy managers who hoped to see

the establishment of large estates tilled by tenants, laborers, or bondmen.

Here, too, circumstances rather than theory proved to be the decisive element: the climate and soil of New England, coupled with an abundance of land and scarcity of labor, made anything like feudalism impossible. It was not because the Puritans had objections to servitude or slavery that they turned from this type of agriculture; they held indentured white servants, tried to enslave the Indians, and used Negro bondmen wherever profitable. It was because they found that in a land of long winters, stony fields, and diversified crops, chattel bondage on a large scale was economically impossible. Controlled by factors beyond their mastery, the Puritans therefore spread over New England under the leadership of freehold farmers; and those who could not endure that arduous career or had no love for a toilsome life among hills and rocks, found an outlet for their capital and energies upon the high seas. From fisheries, the sacred cod and the bulky whale, and from trafficking in ports far and near, the economic directors of New England, whose descendants were to try their mettle with the descendants of Virginia planters in forum and field, accumulated fortunes rivaling in size the riches wrung from the spreading tobacco leaves of the Old Dominion.

These economic factors in turn had a profound effect upon the spirit and procedure of government. Broadly speaking, the political experience of the gentlemen, yeomen, and merchants who came to New England had been no different from that of the dominant classes in Virginia, but their settlement in communities rather than on plantations made the small, compact town, not the county, the unit of political life. As all but church members were for sixty years excluded from the suffrage in Massachusetts, the village church and state became identical—the democratic tendencies of the free congregation accustomed to prayer and exhortation aiding the process of government by discussion.

After the towns had multiplied and meetings of the entire Massachusetts Bay Company at one place became troublesome, a representative system based upon the division into communities was introduced in 1634. Henceforward each town in open meeting, usually with much debate, elected one or two members to speak for it in the general court of the commonwealth. Soon every village had its statesmen prepared to discuss on a moment's notice any question of theology and politics, giving to the whole body corporate the tone of the community and congregation.

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The niggardly soil, the severe life, and the religious rigor of Massachusetts forced migration, which in time founded the colonies of Rhode Island, Connecticut, and New Hampshire. From religious controversies led by two intransigent radicals, Roger Williams and Anne Hutchinson, sprang the first of these offshoots. Williams, a scholar from Cambridge who came to America in 1631 as a refugee from the autocratic rule of Archbishop Laud, ecclesiastical servant of Charles I, brought with him a theory of life and conduct disturbing to the system of Massachusetts as it had been to old England. He was a pioneer among the bold thinkers of the world in proclaiming religious toleration on principle rather than on expediency.

In Williams' creed were four cardinal points. First was the doctrine that "persecution for cause of conscience is most evidently and lamentably contrary to the doctrine of Christ Jesus." From this simple declaration it followed that "no one should be bound to worship or to maintain a worship against his own consent." Williams' third principle was that church and state should be separated, that to limit the choice of civil magistrates to church members was like choosing pilots and physicians according to their schemes of salvation rather than skill in their professions. Finally, the civil magistrate was not to interfere

at all in matters of conscience; "his power extends only to the bodies and goods of men." Thus the ferment which produced Puritanism produced also the inquiring mind that denied the essential doctrine of all dogmatic faiths—universal conformity.

"Like Roger Williams or worse," as the perplexed Winthrop exclaimed, was Anne Hutchinson, who landed three years after the young Cambridge scholar. Mrs. Hutchinson was a woman of high courage, fine character, good family, and undoubted ability—"of ready wit and bold spirit," complained the governor whose supremacy she rejected. According to the faithful she brought over with her "two dangerous errors". She espoused the doctrine of justification by faith and declared that the Holy Ghost dwells in every believer. She also cut at the roots of established Puritanism, for she maintained the sovereignty of private judgment in matters religious against the fulminations of the clergy and the penalties of the civil magistrates. Such sentiments, intolerable enough to the authorities of Massachusetts when avowed by a man, were doubly outrageous in their eyes when disclosed by a woman of "feminist" temperament. It soon became evident that there was no room in Massachusetts for people like Williams and Hutchinson, no more than there would have been under the Established Church of Virginia or under the Holy Inquisition of Spain. So they were both banished from the land of the last word and the final good.

Williams, after spending a terrible winter of privation in the forests, gathered five companions around him and founded in 1636 the settlement of Providence at the head of Narragansett Bay. Two years later, Mrs. Hutchinson, fleeing from the same wrath, planted a colony at Portsmouth. In the path of the pioneers came many sectaries, most of them humble farmers and laborers who chafed under the strict rule of the Massachusetts gentry and clergy as the Puritans had chafed under the dominion of Charles I, Archbishop Laud, and the aristocracy.

Out of this movement, away from the Bay, sprang the colony of Rhode Island—a union of many towns which was granted a royal charter by Charles II in 1663. Soon discontented with the restrictions imposed by forests and rocky hills, enterprising pioneers of the new settlement took to the sea in ships built by their own hands, and many of them waxed rich distilling West Indian molasses into rum and exchanging rum for slaves to be carried to the Southern plantations. "Distillery is the main hinge upon which the trade of the colony turns," averred the Governor and Company on the eve of the American Revolution.

In the settlement of Connecticut, the second offshoot of Massachusetts, religious controversy also formed an element, but it was not the chief factor. As soon as the land around Massachusetts Bay was all taken up, adventurers began searching for better soil, and it was not long before they heard of the wonderful Connecticut River country far to the west. So they went forth to see and to possess. In the winter of 1635-36 an advance guard, driving cattle and carrying their household goods, journeyed overland through the forests to the new Canaan, where, in the coveted valley, they planted the three towns of Hartford, Windsor, and Wethersfield. Under the spiritual guidance of "the son of Thunder," Thomas Hooker, they reproduced in the main the religious policy of the mother colony; and under the indomitable John Mason they fell upon the neighboring Pequods, exterminating them by sword and fire. Inspired by their inherited or acquired talent for communal management, they drew up in 1639 their Fundamental Orders, characterized as "the first written constitution known to history that created a government."

About the same time other Puritans under the leadership of a rich London merchant, Theophilus Eaton, and a famous divine, John Davenport, planted tiny settlements at New Haven and other points along the Sound—self-governing towns which in due course were federated under a written constitution, known as the Fundamental Articles—

a system based on the faith that the Scriptures held forth a perfect rule for the government of all men in church and state and family. In 1662 the two little commonwealths were fused into one colony under a royal charter constituting the "company and society of our colony of Connecticut in America . . . one body corporate and politic in fact and name by the name of Governor and Company of the English Colony of Connecticut in New England, in America."

Other settlements flung off from Massachusetts beyond the Merrimac River grew into a thriving colony which in 1679 was cut away from the parent stem and erected into the royal province of New Hampshire.

§

Among the men of affairs who watched the colonizing experiments in America was a discreet and shrewd Catholic gentleman from Yorkshire, Sir George Calvert, who had risen high in the service of the Crown by the display of talents and complaisance. He was an investor in the stocks of the Virginia Company and when he was driven from the court by the intrigue of another favorite, he consoled himself with elevation to the peerage, as Lord Baltimore, a large sum of money, and adventures in the New World. After some futile tests in Newfoundland, he visited Virginia; and pleased by the milder climate of that region, he obtained from Charles I an immense grant of land in the neighborhood, which he named Maryland in honor of the king's French wife, Henrietta Maria.

By the terms of the charter, Lord Baltimore and his heirs and assigns were made "the true and absolute lords and proprietaries" of the land granted, on the condition of yielding annually to the Crown two Indian arrowheads and one-fifth of the gold and silver ore found in the colony. By the same terms, the proprietor became captain-general of the armed forces, head of the Church, and disposer of all offices, civil and clerical. Besides being authorized to

create freehold estates, he was given the express right to establish a mediæval system in the New World by granting manors to vassal lords subject to feudal obligations. These high and extensive powers were, however, tempered by the provision that laws should be made with the consent of the freemen or their representatives. Before this significant document could be signed by the king, the first Lord Baltimore died and the parchment duly sealed passed to his heir, Cecilius Calvert, in June, 1632.

From first to last the Maryland colony was viewed by the Baltimores largely as an economic venture; they invested heavily in it and in time derived an enormous annual revenue from it. At the outset the second Lord Baltimore made provision for various types of immigrants qualified to develop his immense domain on a profitable basis. Heading his program was the allotment of one thousand acres to every gentleman who would transport five able men with supplies and an additional thousand acres for every additional group of five men brought overseas—each such estate to be erected into a manor "with all such royalties and privileges as are usually belonging to manors in England." In the second place, units of fifty and one hundred acres were offered to men and women who came at their own expense, with extra allowances for wives, children, and servants. All lands so granted were to pay a perpetual annual quitrent to the proprietary. With a view to bringing the soil quickly into cultivation, a special form of indenture was drafted for bond servants, and in a short time Negro slavery was introduced. Thus Maryland became a semi-feudal dominion, composed in part of manors owned by great landlords and tilled by white bond servants, tenants, and slaves, and in part of small freeholds cultivated by farmers of the middling order.

In planning his colony, Lord Baltimore adopted the broad principle of religious toleration. Holding a charter from a Protestant king, jealously watched by a nation in which the tide of Puritanism was rising high, he could not

possibly hope to erect a purely Catholic community in Maryland. Indeed, his charter, strictly interpreted, contemplated the migration of no Catholics at all, for even their existence in England was without the sanction of law. Yet, being loyal to Rome, Lord Baltimore could hardly close his dominions to his own brethren; on the contrary, his first appeal for emigrants among the gentry seems to have been made mainly to persons of his own creed.

Nevertheless, discretion appears to have been the rule for all the Baltimores; only by the exercise of ingenuity could they expect to hold their property in the midst of the religious disputes that rent the English nation at home and filled with turmoil the colonies in the New World. In the original charter, drawn by the hand of the first Lord Baltimore, it was expressly provided that churches built in the colony were to be consecrated "according to the ecclesiastical laws of England"; thus, in form at least, the Protestant religion of the Established Church was to be the lawful religion of Maryland.

The successors of the original Lord Baltimore were equally circumspect. The son and heir in his instructions to the first governor and commissioners warned them that on the expedition over the sea they should suffer no offense or scandal to be given to any of the Protestants. By way of precaution, he ordered them to "cause all acts of Roman Catholic Religion to be done as privately as may be," and to "instruct all the Roman Catholics to be silent upon all occasions of discourse concerning matters of religion." Sensing troubles ahead, he told them that, in opening their ticklish dealings with Anglican Virginia, they should choose as their messenger "one as is conformable to the Church of England."

When, in 1642, the arbitrary personal government of Charles I had come to an end and England had launched upon the course of revolution, Lord Baltimore was quick to discover a storm blowing in his direction; so he wrote to his governor in Maryland, "that no ecclesiastic in the

province ought to expect, nor is Lord Baltimore, nor any of his officers, although they are Roman Catholics, obliged in conscience to allow such ecclesiastics any more, or other, privileges, exemptions, or immunities for their persons, lands, or goods, than is allowed by His Majesty or other officers to like persons in England"—that is, lawfully, none at all. When the second revolution drove the Catholic James II from the throne of England in 1688, the Baltimore family lost its lucrative colony of Maryland. After a lapse of twenty years, Benedict Leonard Calvert, finding recovery on the old terms impossible, abandoned the religious faith of his ancestors and, by this act of apostacy, won back for his heirs and assigns their fruitful heritage.

While thus moving with great discernment amid the factional quarrels of the Protestants, the Baltimores gave careful thought to peopling their estate with planters and laborers. In the first advertisement to prospective emigrants, great stress was laid on the climate and soil of the colony and the possibility of making more than a hundred per cent profit out of each indentured servant transported; but as far as the record runs, the religious creeds of the emigrants were apparently matters of indifference to the proprietor. At all events, there were both Catholics and Protestants on the first expedition, though the exact proportion is a matter of controversy. According to a Jesuit who was on the ground early, the colony was "largely" Catholic; according to the Protestant historian, Henry Cabot Lodge, "it is a fair presumption that a majority of the settlers were Protestants."

Whatever the verdict, it is certain that the Baltimores, if they rendered cautious assistance to priests of their own faith, showed a willingness to sell or rent land to farmers of the Protestant creeds, not overlooking thrifty Puritans in New England. According to an entry in the journal of Governor Winthrop of Massachusetts, "Lord Baltimore being owner of much land near Virginia . . . made tender of land to any of ours that would transport themselves

thither, with free liberty of religion and all other privileges which the place afforded, paying such annual rent as should be agreed upon." Though Winthrop added that none of his people had "any temptation that way," as a matter of fact many Puritans from Massachusetts and many Anglicans from Virginia did accept the terms offered to them and settled on the fertile lands of the Chesapeake shore. Indeed, they became so numerous in a few years that they threatened to overturn the original polity of the proprietor. Forgetting their ancient grudges, they made common cause against his mild tolerance, in their effort to get at his Catholic and Quaker subjects. If it had not been for the Toleration Act of 1649, so famous in local history, the Catholics would have been immediately subdued to Protestant dominion.

This measure of religious indulgence has been the subject of so much argument and the basis of such large claims in the name of liberty by both Catholics and Protestants that its history deserves examination in some detail. The practice of toleration, which arose from the principles entertained by Lord Baltimore, from his anomalous position under a Protestant sovereign, and from his eagerness to sell his land to emigrants, brought into Maryland, as we have noted, a decided mixture of religious sects, with the Protestant elements increasing more rapidly than the Catholic. When Charles I in 1648 was engaged in his desperate struggle with the Puritan party at home and was already within the dark shadow of the scaffold, he begged Lord Baltimore to take measures to avoid the charge that his colony was in reality a Catholic stronghold. Complying with this urgent request, Baltimore removed his Catholic governor and council, appointed Protestant substitutes, and sent out to his dominion a draft of a bill for limited religious freedom.

Shortly afterward the great Toleration Act was passed by the Maryland Assembly. At the time the governor and council were Protestants. If, as often claimed, the majority

of the lower house was composed of Catholics, the assertion has been stoutly questioned on the other side. The truth is that there are no authentic records upon which to settle the dispute; there are no journals of the legislature showing how the members voted; and in any case, there is no reason why any lover of liberty in the abstract should grow excited over the spectacle. It is exercising restraint to say that a general freedom of conscience had not been up to that time a cardinal principle proclaimed by Catholics, Anglicans, or Puritans wherever they were in a position to coerce.

The terms of the Toleration Act itself reflect the nature of the liberty cherished by the parties which placed it on the statute books. It provided that no person professing to believe in Jesus Christ should be in any way molested in the exercise of his religion; while it imposed the sentence of death, accompanied by confiscation of goods, upon any person who "shall deny our Savior Jesus Christ to be the son of God or shall deny the Holy Trinity, the Father, Son, and Holy Ghost, or the Godhead of any of the said Three Persons of the Trinity, or the Unity of the Godhead, or shall use or utter any reproachful speeches, words, or language concerning the Holy Trinity or any of the said Three Persons thereof."

Other penalties, fines and public whippings, were prescribed for those who spoke reproachfully of the Virgin Mary or any of the several sects and factions—Puritans, Presbyterians, Independents, Catholics, Jesuits, Lutherans, Calvinists, Anabaptists, Brownists, Antinomians, Barrowists, Roundheads, or Separatists. Fines and whippings were laid down for all who "prophane the Sabbath or Lords day called Sunday by frequent swearing, drunkenness, or by any uncivil or disorderly recreation or by working on that day when absolute necessity doth not require." Such are the terms of the Act. Such are the circumstances in which it was passed. Such are the facts in the celebrated case, upon which those who feel called upon to make righteous judgments may base their verdict.

One thing is sure. The respite granted by the Toleration Act was only temporary. In the upheaval that drove James II from his throne forty years later, the pledge of indulgence was grievously wounded. From that time forward Anglicans had the upper hand and, making full use of their opportunity, they established the Church of England in Maryland, authorized the collection of taxes for its support, proscribed the public exercise of Catholic worship, and forbade the admission of Catholic immigrants. Thus they exhibited the symbols of Anglican supremacy in a manner that alienated from the government of England the affections of a powerful and wealthy class. As George III learned to his sorrow, Catholics upon occasion could be as revolutionary as Separatists.

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The success of the Baltimores, in spite of their tribulations, fired the imagination of other courtiers. When the long night of the Civil War was over and Charles II was secure upon the throne of his fathers, there were many loyal, if not servile, supporters of the old monarchy to be rewarded and many creditors with claims upon the treasury and bounty of the new sovereign. Among the throng that now surged about the throne were eight men of outstanding pretensions: Clarendon, the prime minister whose devotion to the royalist cause had been above suspicion; Monk, the turncoat general of the parliamentary army who had delivered the country to Charles and was rewarded by elevation to the peerage; Lord Ashley Cooper, later the Earl of Shaftesbury, whose facility for changing his opinions in shifting currents won the favor of his ruler; Sir George Carteret, who, as governor of the island of Jersey in the English Channel, had been the last to lower the royal standard before Cromwell's victorious forces; Sir William Berkeley, high Tory governor of Virginia, and his brother, Lord Berkeley, both of whom had sustained the monarchy against

the popular party; Lord Craven and Sir John Colleton, with slighter but still considerable claims upon the grace of Charles II. Upon these favorites as proprietors, Charles bestowed a great province, known as Carolina, stretching from the Atlantic to the Pacific, an estate which they were to rule jointly as pleased their fancies, subject to the laws of England and with the consent of a local assembly.

A few years after the charter was sealed, Shaftesbury engaged John Locke, political philosopher and Whig pamphleteer, to frame a constitution for their imperial domain. This task the learned bookman discharged by drafting one of the most fantastic documents now to be found in the moldering archives of disillusionment. He proposed that the eldest proprietor should be palatine and that the others should be admiral, chamberlain, constable, chief justice, high steward, and treasurer, according to lot. The proprietors were to reserve one-fifth of the land as their personal property; another large section was to be laid out into baronies and manors to be held by an aristocracy and tilled by hereditary serfs bound to the soil; and the remainder was to be sold to freeholders.

In keeping with this economic structure, an elaborate system of government including a popular assembly was devised, thus reflecting the Whig ideal of a perfect order for the wilderness—an order composed of an aristocracy resting upon servile labor held in check by a body of yeomen —the grand purpose being, as Locke said, to avoid "a numerous democracy," and at the same time to create an administration "most agreeable to the monarchy." This amusing constitution with a high-sounding title was ratified by the proprietors and declared in force, but it could no more be realized in Carolina than in the moon. Its interest to-day lies in the fact that it reveals the type of society which the Whigs, the most liberal of the governing classes in England, would have established in America if they had not been defeated by the irrepressible and stubborn realities of life on the frontier.

Without waiting for the philosopher to complete his scheme, the proprietors raised a fund of £12,000 and fitted out in 1670 a colonizing expedition which planted a settlement called Charleston, removed to the site of the present city ten years later. They also offered inducements to adventurers who would take up land in their concession, turning a current of migration in that direction. Indeed, already in the northern portion of their province were rude settlements made by Quakers who had fled from the rigorous rule of the Established Church in Virginia and by lawless elements that preferred the freedom of the forests to the most respectable offerings of the Old Dominion.

Assured religious toleration by proprietors anxious to sell land, the hunted and discontented from many quarters now poured into the colony: Dutch angered by English supremacy in New York, Puritans weary of the clerical régime, Huguenots fleeing from the dragoons of Louis XIV, Scotch Presbyterians involved in religious and economic disputes at home or in Ireland, Germans seeking land or religious liberty or both, and Swiss who found at New Berne a milder climate and a richer soil than their mountain home afforded. Under skillful management the cultivation of rice and indigo was soon introduced, and the basis of economic prosperity quickly laid, with the aid of a labor supply drawn from Africa. To protect masters against violence, a drastic code was adopted prescribing whipping, branding, ear clipping, castration, and death for various offenses; but the consolations of the Christian faith were not withheld, for the law, while denying the right of manumission, expressly authorized baptism.

It was not long before the proprietors discovered that they had a stiff-necked generation in their miscellaneous collection of subjects attracted to Carolina from many parts of the earth. The governors, whom they sent in turn to the two sections into which the colony was divided—North and South—were always in conflict with the popular assemblies. More than one executive was driven out by the

irate people from whom he tried to collect quitrents and other revenues. Again and again, owing to the scarcity of specie, the legislature of South Carolina insisted on issuing large quantities of fiat money, thus enacting early scenes in the controversy between debtors and creditors that was to rage for more than two centuries as the star of American empire moved westward. On one occasion local merchants who protested against paper money were held in jail until they apologized; and when British merchants across the sea induced the proprietors to veto the objectionable currency law, the South Carolina assembly answered by revolution. During the contest, the governor was deposed, a local paper-money man chosen to rule in the king's name, and a protest lodged with the Crown against "the confused, negligent, and helpless government of the proprietaries."

Weary of a fruitless contest that had brought neither profit nor glory, the owners of the Carolinas sold out to the Crown in 1729, each of the territories thus becoming a royal province. With the completion of this sale, the wrath of the colonists that had once raged around the heads of governors selected by the proprietors was transferred to the officers of the king. Freeholders and planters were no more eager to pay quitrents to the royal treasury than to eight English landlords; neither were they willing to tolerate any extensive interference with their vested interests. After nearly half a century of conflict over such issues, the Carolinas were ready for the revolution that put an end to control by the agents of the Crown.

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Two of the first Carolina proprietors, Lord Berkeley and Sir George Carteret, seeing, at the time the southern project was first launched, a promise of fortune in American land speculation, determined to risk a venture on their own account; and in 1664 they managed to secure from their intimate friend, the Duke of York, a grant of territory between

the Hudson and the Delaware to be held on the terms customary in such cases. Giving to their estate the name New Jersey, in honor of Carteret's channel home, the promoters began at once to develop the property by offering small freeholds to emigrants on easy conditions. When the doors were thrown open, settlers came from all parts of the British Isles to join the Dutch who had already built several hamlets on the west bank of the Hudson. The first governor, Philip Carteret, brought with him about thirty adventurers and their servants, who established a community at Elizabeth. Puritans from Connecticut founded the town of Newark; Scotch-Irish Presbyterians poured into the eastern counties; and English Quakers sought their peace and prosperity to the west in the fertile regions of the Delaware.

Before their enterprise had advanced very far, the proprietors found themselves in hot water, even though they sought to govern mildly with the aid of a popular assembly. Some of the Puritan towns, following the custom of Massachusetts, insisted on limiting the local suffrage to church members and in this matter refused to bow before the authority of the common legislature. On one thing, however, they agreed with the Quakers, Presbyterians, and Dutch, namely, on opposition to paying into the proprietary chest quitrents for their lands. When the formal collection began in 1670, all local differences were sunk in a general resistance to the demands of that treasury. The assembly ousted the proprietary governor, installed a pretender, and called for concessions. Sick of the bargain, after haggling for four years, Berkeley sold his interests to certain Quaker adventurers; and somewhat later the Carteret portion passed into other hands too.

But the new proprietors of divided Jersey—East and West—were equally unhappy in their efforts to govern their turbulent tenants and at length, weary of "a very expensive feather," they turned the colony over to the Crown in 1702. Thus New Jersey became a royal province, for a

time united with New York, and royal governors fell heir to the troubles of the former proprietors as they also tried to combine administration with the enlargement of their private estates. Undeterred by the past record of the colony, Edward Hyde, eldest son of Lord Clarendon, driven to distraction by his English creditors, secured a place at the head of the combined provinces and in a remarkably short time restored his shattered finances. Incidentally he was aided by an astute chief justice, Roger Mompesson, who had also temporarily "stepped abroad to ease his fortune of some of his father's debts." If the residents of New Jersey were unable to defeat the designs of such adepts in administration, they were at least dexterous enough to block efforts to force upon them the doctrine and discipline of the Church of England. Even when they were later given a separate royal governor of their own, they continued to do battle with the executive over laws and taxes, and so made their way, with more or less tempest, down the stream of time to the crisis of the Revolution.

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The numerous and varied discouragements under which the Carolina and Jersey proprietors labored did not frighten a young man of large fortune and discreet address who also had a substantial claim upon the attentions of Charles II—a young Quaker, William Penn. As a student at Oxford, Penn had been drawn to the religious life and with utter devotion had cast in his lot with the despised and persecuted sect of Friends, then more frequently called Ranters or Quakers. Neither the harsh régime of the prison to which he was more than once committed nor the heavy blows of his irate father could shake his determination, and after the death of his stern parent in 1670, the young man, finding himself in possession of considerable wealth, became interested in America as a religious haven for his brethren and a place for prudent investment.

Among the parcels of the estate inherited from his father, Penn held a claim against Charles II to the amount of £16,000, then a huge sum. How to extract that debt from the Merry Monarch long perplexed the young creditor; but finally, aided by the gentle arts of the courtier, he managed to obtain in payment a large territorial grant —Pennsylvania, as the king insisted on calling it. In form the charter effecting this transfer was modeled after that of Maryland; by express terms Penn was made the true and absolute lord of his domain and given a wide range of governmental authority, subject to the advice and consent of the freemen, including the power of making war, raising troops, and vanquishing his enemies "by God's assistance."

Finding that the territory covered by this royal charter had no coast line, Penn induced the Duke of York to turn over to him the Delaware region to the south which had been wrested from the Swedes by the Dutch and from the Dutch by the English. Although these lower counties were assigned to Penn on the same terms as his original grant, they were transformed into the separate colony of Delaware in 1702 and remained in that status under the Penn family until the declaration of American independence.

As soon as Penn was in secure possession of his estate, he set to work as a practical man of affairs to develop his territory—already inhabited by about six thousand people, Swedes and Dutch on the Delaware and Quakers who had preceded him in their quest for a refuge. Committed by his faith to the mild and healing principle of toleration, he made it known that all who settled in his colony should enjoy religious liberty. Making the most of this assurance, he collected a band of followers and at their head set sail for America in 1682. On his arrival, in conformity with Quaker pacifism, he made peace with the Indians and paid them for their claims. His title once cleared to the satisfaction of his conscience, Penn created a popular assembly, put into effect a liberal Frame of Government, and laid out

Philadelphia, city of brotherly love, in a fashion calculated to obviate the terrible evils of congestion that cursed the municipalities of the Old World.

Bearing in mind no doubt the methods of Lord Baltimore, Penn offered land to the large investor in five thousand acre lots at £100 each, with fifty acres added for every indentured servant transported, and to every man who would take over and "seat" his family in the colony a five hundred acre holding, all on the basis of an annual quitrent to the proprietor. If climate, soil, and the difficulty of alluring rich settlers had not defeated the plan, Pennsylvania might have become a colony of great estates tilled by tenants and laborers but in the end circumstances made it the home of traders and farmers. Penn's ingenious advertising in England and on the Continent drew merchants, yeomen, and peasants rather than men of wealth with capital to buy estates—English Quakers, Germans of various Protestant faiths, Scotch-Irish Presbyterians, Welsh Baptists, and later some Irish of the old stock, Catholic in religion—seekers after homesteads, not potential landlords of the grand style.

Under the scheme of government established by Penn, toleration was granted to "all who confess and acknowledge one Almighty and eternal God to be the creator, upholder, and ruler of the world and that hold themselves obliged to live peaceably and justly in civil society"; while freeholders and taxpayers professing faith in Jesus Christ were given the right to vote for members of the popular assembly. In practice, however, it appears that neither Catholics nor Jews enjoyed freedom of religious worship, at least in the beginning of the enterprise. Moreover, ungodly revelers were subdued to the law and stage plays, cards, dice, May-games, masques, and excessive hilarity were forbidden. To make easy the burden of taxes on property an excise for the support of the government was imposed on spirits.

Though moderation characterized Penn's theories of state, his days were filled with "hurries and perplexities"

until the close of his career. His family's discontent with life on the raw frontier of America, and his interests in England forced him to return to his native land, leaving the administration of his colony in other hands. For some strange reason he chose governors who had little sympathy with his settlers; one, a soldier who ruled with military severity; others, riotous livers who offended his sober and God-fearing subjects.

As if to fill his cup to the brim, the colonists charged Penn with enriching himself from the sale of lands and playing the part of an exacting landlord. Grieved by these strictures, Penn replied that in truth his outlays had been greater than his receipts and that his obstreperous settlers did not pay their quitrents. In fact the dispute became so bitter that Penn was driven by sheer weariness to consider selling out to the Crown—only to be greeted by a declaration from the Pennsylvania legislature to the effect that the very proposition savored "first of fleecing and then of selling." Full of sorrows, Penn died in 1718 at the age of seventy-four.

In the natural course, the proprietorship passed to his three sons, all of whom loved pleasure and good living more than the hard work of efficient administration. So the conflict with the colony went on—quarrels over paper money issued by the legislature in spite of proprietary orders, over attempts of the assembly to tax the property owned by the Penns, over efforts to collect quitrents from recalcitrant settlers, over attempts of the belligerent Scotch-Irish on the frontier to wring from the pacific Quakers assistance in their constant troubles with the Indians.

It was only by trading and huckstering that the Penns managed to hold to their property at all and at best they were playing a losing game. Year by year the party of disaffection grew steadily. Having gained the upper hand in the assembly in 1764, it sent Benjamin Franklin to England to ask for the abolition of the proprietary system and the substitution of royal authority. To such a pass had

things come when the restrictive measures of the mother country drove the discontented elements of Pennsylvania to make common cause with the other colonies against all governments deriving their powers from sources beyond the sea.

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The religious motives that figured so largely in the founding of the English colonies were not especially emphasized by the Dutch West India Company when it raised its flag in the valleys of the Hudson and the Delaware and announced the creation of New Netherland. There was no mistake about the purposes of that corporation when it was established in 1621: its prime object was to earn dividends for its stockholders by trade. It was to carry on large mercantile operations in the Atlantic basin, prey upon Spanish commerce, conquer Brazil, carry slaves to American plantations, reap profits from traffic in furs, and establish settlements. Two years after its charter was duly drawn, the Company took steps looking toward the occupation of the Hudson Valley. Within a short time it built trading posts at Fort Orange, the present site of Albany, and on the Island of Manhattan, purchased from the Indians for sixty guilders, or about twenty-four dollars.

Having obtained two strategic military centers, the Company undertook to develop its estate into a paying property. Appreciating the importance of a freehold peasantry, it offered land in small lots to freemen who would go with their families to the new settlements. By this process it started a tiny trickle of immigrants into the colony, Walloons, or Protestants from the Spanish Netherlands, mingling with sturdy Dutch farmers in laying out homesteads or boweries at favorable points on Long Island and on both sides of the Hudson.

Finding this a slow operation, the corporation in 1629 offered to grant a huge domain to every patroon who would transport fifty persons at least fifteen years old and

establish them on the land as laborers bound by servile tenure. In this manner a number of great feudal families was created—some of them so powerful that they survived the storms of factions, wars, and revolutions until near the middle of the nineteenth century. Not yet content with the growth of local industry, the Company, as a regular part of its business, imported slaves from Africa to work in field, shop, and kitchen.

Nevertheless, in spite of these efforts, New Netherland, at the end of forty years, had only about ten thousand inhabitants, of whom approximately one-sixth dwelt in the thriving village of New Amsterdam on the southern end of Manhattan Island. The truth is that the Company found the fur trade with the Indians the most lucrative division of its enterprise; its agents and interlopers exchanged rum and firearms on favorable terms for choice peltries, thus sowing dragons' teeth while earning high dividends. Of all the sickening butcheries that accompanied the conflict of whites and Indians, there was nothing more horrible than the tragedies which occurred on the frontiers of New Netherland.

Still it must not be thought that the Dutch were entirely indifferent to spiritual affairs. On the contrary, their Reformed Church was established in the colony; and the governors sent out by the Company, though usually hard-fisted men of affairs, gave no little attention to providing the inhabitants with ministers, teachers, and "comforters of the sick." Their papers were not as full of references to divine interposition as those of English colonial executives, but the doughty old Stuyvesant, on one occasion when very angry at complaints against his rule, referred to God as well as the Dutch West India Company as a source of his authority. Nor were the Dutch entirely indifferent to the spiritual condition of the Indians. Missionaries were sent to the heathen and heroic efforts brought some of the Mohawks to the Christian faith. The harvest, however, was not great and in spite of their efforts, a Frenchman

flung at the Dutch the charge that they were lacking in the "constant and laborious zeal for the salvation of unbelievers, the most obvious and distinguishing mark of the true Church of Christ."

From the beginning, the fortunes of the Dutch colony of New Netherland were in jeopardy. The territory on which it was planted was claimed by the English on grounds of prior discovery. On its eastern frontier it was early threatened by advancing pioneers in Connecticut, who offered a direct menace to the farmers and traders of the Hudson Valley. Even the Pilgrims far away at Plymouth, while they remembered the kind treatment they received in Holland, grumbled about the trading cruises of the Dutch along the coast and the transfer of business in peltries to the market at New Amsterdam. Besides this, the English at home, already imperial rivals of the Dutch in two hemispheres, were in a mood to put a term to their competition in the New World at least.

In 1664 the blow fell. King Charles II granted to his brother, the Duke of York, the whole region between the Hudson and the Delaware and, without giving the Dutch any warning, an English fleet descended upon New Amsterdam with a thundering command to surrender. In vain did the testy old governor, Peter Stuyvesant, storm and protest. New Netherland passed under the English flag.

The Duke of York, now in possession of his goodly domain, after assigning a part of it, as we have seen, to Carteret and Berkeley for their colony of New Jersey, gave his name to the rest and ruled it as high proprietor until he ascended the throne in 1685. Fort Orange became Albany; New Amsterdam became New York; and English homesteads began to rise among the Dutch boweries. Under the genial favor of the Duke, English fortune hunters now secured huge grants, running in size from fifty thousand to a million acres, at negligible quitrents, thus adding an English aristocracy, partly absentee, to the Dutch gentry created by the West India Company and retarding

the growth of the colony by impediments in the way of freeholders. What was lost, however, in the slow development of agriculture was made up in part by an increase of trade. So in a fashion the society of England was duplicated. Sons of the landed proprietors went in for trade as well as the Church and the army; daughters of rich merchants married sons of landed families; and after New York became a royal province on the coronation of James, in 1685, a little flavor of the court gave tone to the ceremonial life of the upper classes.

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Among the colonies developed as economic undertakings and religious havens by corporations and proprietors, it is rather difficult to place Georgia, the last of the English settlements in America. It did not spring from the enterprise of a commercial company, the ambitions of a rich adventurer, or the aspirations of seekers after religious liberty. It had its origin in the dream of a philanthropist, James Oglethorpe. That gallant soldier was long oppressed in spirit by the horrible plight of poor wretches languishing in English prisons—often merely unlucky debtors, sometimes unhappy persons unable to accept the prevailing styles in religion, or again the victims of one of the sternest criminal codes to be found in the annals of man's inhumanity.

After pondering long upon the problem thus presented, Oglethorpe came to the conclusion that the solution lay in another American colony. Acting largely on his motion, George II in 1732 vested in the hands of a board of trustees a large dominion below South Carolina, charging them to administer their estate "as one body politic and corporate." At Savannah, during the next year, Oglethorpe made the first settlement in the new colony.

In this undertaking, business and philanthropy were to be combined. Lands were to be granted to emigrants in small

lots, none more than five hundred acres in size, and wine and silk were to be produced as staples. To all except Catholics the doors were to be open and the Indians were to be converted to Christianity. Slavery was forbidden because the trustees did not want to create a province "void of white inhabitants, filled with blacks, the precarious property of a few." The sale of rum was prohibited in the interest of industry and good order.

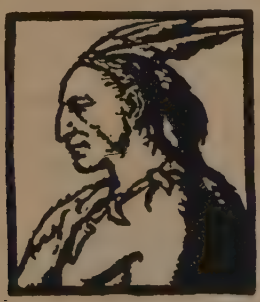
In these circumstances Georgia soon attracted a polyglot population, including Jews from many parts of Europe, Salzburgers from the valleys of the eastern Alps, Moravians led by Count Zinzendorf, Highlanders under John McLeod of Skye, as well as Englishmen of all sorts and conditions. Missionaries came to nourish the spiritual life of the colony: John and Charles Wesley for a time toiled in that curious vineyard; Whitefield and Habersham stormed the sinners with prayers and sermons.

In view of all this diversity, it is not surprising that Georgia early became the scene of domestic strife. Charles Wesley quarreled with Oglethorpe and was sent home, ostensibly as the bearer of dispatches. John Wesley, after betraying a strange indiscretion in an affair of the heart, "shook the dust of Georgia off his feet" in time to escape the consequences of a suit filed by the husband of the lady in the case. The rank and file of colonists also made trouble for the administration by demanding rum and slaves and then more liberty in disposing of their lands.

On the point of rum, the trustees finally had to yield at the end of ten years. In a short time the pressure for slavery also became irresistible. Both Whitefield and Habersham made powerful pleas in favor of the institution on the ground that it would advance the propaganda of the gospel of Jesus. "Many of the poor slaves in America," exclaimed the latter, "have already been made freemen of the heavenly Jerusalem." Seeking advice from their spiritual guides in Germany, the Salzburgers were gratified to hear that "if you take slaves in faith and with the intent

of conducting them to Christ, the action will not be sin, but may prove a benediction." Thus encouraged by ministers of the gospel, the merchants of Savannah cried out for "the one thing needful." So the harassed trustees were driven to give their consent, adding slaves to the already mixed population of Georgia.

As a result the lowlands of the colony were laid out into plantations tilled by slaves on their way to the status of freemen in "the heavenly Jerusalem," while the yeomen were driven steadily into the piedmont, giving a sectional flavor to the economics and politics of Georgia that lasted until the age of populism and beyond. When rum and slaves were introduced, the anxieties of the trustees increased rather than diminished until, exhausted by wearisome battles with the local assembly, the corporation gave up the ghost in 1752 and Georgia, like the neighboring Carolinas, became a royal province.



## CHAPTER III

## *The Growth of Economic and Political Power*

One hundred and seventy years lay between the founding of Jamestown and the Declaration of Independence—a longer period, it is instructive to remember, than the lapse of time since America took her place among the sovereign nations of the earth. To the casual reader of letters, diaries, journals, and other records of the age, those colonial years seem mainly filled with the swirling eddies of purposeless war and politics. There were countless clashes with the Indians, always brutal, often futile. There were wars with the French and Spanish, agonizing phases of the English struggle for the encirclement of the globe that incarnadined the waters of seven seas and the soil of five continents.

There were domestic events that crowded the pages of those who chronicled the passing days: exciting contests in America as the fortunes of contending parties in England flowed and ebbed through revolution, restoration, and revolution; quarrels among the colonies and proprietors over boundaries and commercial regulations; theological dis-

putes, loud and long, as Cotton Mather and Jonathan Edwards lashed sinners or parsons of the Church of England sought to extend their authority over all the king's subjects; flashes of intolerance flaming out against Catholics, Jews, and the holders of novel ideas; dramatic struggles over freedom of the press whenever royal agents laid heavy hands on the engines of public opinion; angry controversies between governors and popular assemblies ending sometimes in the expulsion of the king's officers; epidemics of smallpox sending terror through widespread communities; plagues of popular frenzy such as the execution of witches in New England and massacres of Negroes in New York; patient experiments in agricultural improvements; and the ceaseless pageant of common humanity engrossed in the routine of labor from sun to sun.

And yet from our vantage point we can now see, beneath the apparently driftless whirl of events, deep currents setting in toward independence. Crashing axes and cracking rifles on the Western frontier marked the inexorable advance of the American empire. The ceaseless coming and going of ships meant more hands to labor and more wealth for private chests. Stern old gentlemen, in ruffles and knee breeches, bending over their accounts, were swelling the patrimonies that were to give leisure and power to the Gadsdens, Pinckneys, Morrises, Washingtons, Jeffersons, and Adamses of the American Revolution. Quarrels in colonial assemblies were teaching sons of yeomen and merchants how to draw resolutions, frame declarations, manage finances, make constitutions, and carry on the warfare of the public forum.

In meeting houses, clerical studies, college classrooms, and petty editorial chambers, active minds were gathering the knowledge with which to freight their arguments and give point to their appeals directed to a somnolent, yet potential, nation unfolding into sovereignty. Campaigns against the Indians and the French showed provincials how to organize, supply, and direct that indispensable branch of

the state—military force. Colonial privateers, preying on French and Spanish commerce, were learning how to trim their sails and use their guns preparatory to the contest with English seamen. In short, America was acquiring during those colonial years the economic resources, political experience, intellectual acumen, and military arts that were to sweep half a continent into independence and summon into being a governing class capable of sustaining it.

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In the early stages of colonial development, the stream of migration to America was almost purely English—merchants, yeomen, laborers, artisans, scholars from Oxford and Cambridge, and a few, a very few, scions of noble families usually in quest of materials with which to repair damaged fortunes. This movement was strongest in the century that saw the foundation of the colonies. The Puritan exodus that carried about twenty thousand adventurers to New England was especially large during the years between 1629 and 1640 while Charles I was endeavoring to establish a personal despotism in London; then it dwindled to a thin stream.

Thus it happened that, on the eve of the Revolution, the major portion of the inhabitants in that region were the descendants of original pioneer stock. For different reasons, perhaps, but with similar results the English migration into the Southern colonies also slowed down, after the first spurt of enthusiasm, leaving the older houses in possession of the ancestral heritage.

During the eighteenth century the growth of the English population in America was due to big families among the settlers rather than to increments from the mother country. An abundance of cheap land encouraged early marriages, making a wife and children economic assets, not a drain upon the husbandman's purse. As the records of family Bibles bore witness, the ancient injunction to replenish the

earth was literally fulfilled. Maria Hazard, for example, born in Rhode Island, lived to the ripe old age of a hundred years, and "could count five hundred children, grandchildren, great-grandchildren, and great-great-grandchildren. When she died, two hundred and five of them were alive; a grand-daughter of hers had already been a grandmother near fifteen years." Through the fecundity of such families the colonies were in time dominated by generations reared on American soil, who knew not England and whose affections were fixed upon this country as their native land. With few exceptions, the leaders of the nation that waged the war of independence were of the oldest stock. The founder of the Adams family landed in Massachusetts about 1636; the first Washington came to the shores of Virginia in 1656; the original Franklin took up his humble labors on this continent in 1685.

Later additions to the colonial population were, in the main, from peoples who were either hostile to the administration at London or who at least felt no thrill of patriotism when they saw the flag of England waving above their heads. The Scotch-Irish, next in numbers to the English, had, like the Puritans, fled from the regimen of the government of Great Britain. Their ancestors, in the seventeenth century, had moved from Scotland to the north of Ireland—a fertile region vacated by the natives as they were scourged and driven before the sword and torch of Cromwell. There the Scotch kept alive their Presbyterian faith and grew prosperous on the manufacture of linen and woolen cloth until their industry and their religion brought them also into conflict with the authorities of England. On complaints arising from English competition, Parliament forbade the export of their cloth and, in the acts intended to establish the supremacy of the Anglican Church, laid their worship too under the ban. It was in despair of relief from oppression in Ireland that they then turned to America as a refuge.

About the end of the seventeenth century, a tide of

Scotch-Irish migration, augmented by individuals and whole communities direct from Scotland, set in strongly toward the New World and continued unbroken for generations. Finding the coastal region in the possession of the earlier arrivals—English, Dutch, and Swedes—the Scotch were usually forced to the frontier, where their remoteness, their conditions of life and their tense struggle for existence made still weaker the ties that bound them to the Old World. Even less than the Puritans of New England did they have reason to profess loyalty to King George and their number, embracing about one-sixth of the colonial population, made them formidable.

Like the Scotch-Irish immigrants, the Germans, except for a few scattered adventurers, appeared late upon the American scene; not until William Penn opened wide the doors of his colony in the latter part of the seventeenth century did they migrate in large numbers. Most of the Germans were also forced into the interior, where they maintained their separate language, press, religion, and schools, manifesting a serene indifference to all efforts to Anglicize them. If they felt no active hostility toward London, they had no special reason for taking the side of George III against their neighbors and they were not to be ignored for in 1776 they numbered at least two hundred thousand.

The French Huguenots were other late immigrants; the seventeenth century was drawing to a close when Louis XIV revoked their charter of toleration—the Edict of Nantes—and harried them from his land. Having followed commercial pursuits principally at home, most of the Huguenots continued in those vocations on their arrival in the New World. As merchants they were keenly alive to the competition of Englishmen in the American markets. As people of substance and education alien to English traditions, they furnished more than their share of political leadership in the movement that overthrew British dominion.

Perhaps equally numerous in America were the native

Irish, Celtic in race and Catholic in religion, who seem to have come by the hundreds, if not by the thousands, bearing the scars of an age-long conflict with the Anglo-Saxon. Though they met no very cordial reception in the land of their adoption, they flocked to the American army when its standard of revolt was raised. From many lands came the Jews fleeing as of old from economic and religious persecution; like the Huguenots, they turned to merchandising and in a similar fashion were subjected to the pressure of English competition. Thus it happened that, in the peopling of the colonies, the stream of tendency ran against the continuance of political allegiance to the Old World, its powers, governors, and potentates.

Meanwhile intercolonial migrations were breaking down the barriers of purely local circumstance. Puritans, scarcely established in Connecticut, pulled up their roots, moved into Long Island, and then made their way into New Jersey. Quakers from Plymouth, pained by conflicts with their neighbors, passed into Virginia and, meeting little friendliness there, eventually found a home in the western wilderness of North Carolina. A French Huguenot, Faneuil, tried his fortune in New York, transferred his business to Rhode Island, sent his son, Peter, to Boston. In the veins of many colonists of the second generation ran the blood of two or three nations and an English name might well cover a Dutchman, a Swede, or a Scotch covenanter. For instance, Dirck Stoffels Langesstraet sailed from the Netherlands to the New World in 1657; a descendant married a Quakeress in New Jersey; the good old Dutch name became Longstreet; restless offspring took ship for Georgia; finally James Longstreet, trained at West Point, on the river once claimed by Holland, served the Southern Confederacy from Manassas to Appomattox. Benjamin Franklin, nourished in Boston, ripened his talents in the milder atmosphere of Philadelphia, and gave his last years to the service of a continent. It is true that the cross-currents of the population movement were not

heavy but the migrations were already mixing many strains, making a new amalgam, known as American.

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When once a foothold was secured on the coast line, the American colonists with tireless activity carried their enterprise in every direction as they were beckoned by fertile valleys, gaps in somber mountains, and the broad ways of the open sea. Having few mechanical contrivances, their course was largely shaped by the geographical environment in which they found themselves. They followed the roads which nature had laid out. From the seaboard they swept westward into the interior with incredible swiftness in spite of hostile Indian tribes and the vanguard of French imperialism. Fur traders and hunters were on the outer fringe of the combers that rolled onward toward the setting sun; not far behind were men of practical interest lured by curiosity and love of adventure. Then came the land-hungry farmers. On every part of the long line the push continued day and night.

To the north, Puritan pioneers pressed steadily inland until, within less than a century after the founding of Boston, they had their outposts in the Housatonic Valley, on the very edge of Massachusetts and Connecticut. In the neighboring colony of New York the advance on the hinterland was directed mainly up the Hudson River to Albany, the old Dutch center, from which spreading farms soon radiated toward every point of the compass. New Jersey, lying between two prosperous commercial settlements, was quickly filled by migrations from both directions as well as from the Old World; the beginnings of New Brunswick were made in 1681 and of Trenton four years later. For the northward thrust into Pennsylvania the Susquehanna River opened a highway; by 1726 farms were laid out on the present site of Harrisburg; while along the southern frontier a thin line of settlements steadily crept toward

the upper waters of the Ohio, reaching the gateway to the Mississippi Valley, before the colony passed from the control of the Penn family.

In the South, the westward march was even swifter. Under the system of extensive and wasteful cultivation by slave labor, the rich coastal plain was quickly occupied, forcing small farmers in search of homes to flock into the upland regions. As soon as settlements were well started in the piedmont, they were fed by streams of migration from the German and Scotch-Irish regions of Pennsylvania. By this process of unremitting penetration, the Blue Ridge country and the Shenandoah Valley were occupied while the English flag still floated over the frontier posts. Even the higher mountain barriers were pierced; as early as 1654 a Virginia colonel was in the Kentucky country, and within forty years trafficking was begun with the Cherokees in the forests of Tennessee.

On the eve of the American Revolution, explorers were zealously searching that segment of the frontier in every nook and cranny—state builders at work. In 1751, Christopher Gist was paddling his canoe on the waters of the Kentucky River; a few years later John Finley was tramping over ground that was soon to be dark and bloody. In 1769, that fearless Nimrod, Daniel Boone, "ordained of God to settle the wilderness," led a band through the Cumberland Gap into the new promised land. Following in the trail of the forerunners went groups of pioneer farmers.

Inspired by their reports, a North Carolina promoter, Richard Henderson, dreaming of profits to be made in western land, organized a company, purchased from the Indians in 1775 an immense domain lying between the Kentucky and Cumberland Rivers, and founded the settlement of Transylvania. Thus, before Washington took command of the revolutionary army at Cambridge a fourteenth English colony was in process of formation far beyond the seaboard line. Speaking of America as a whole, a fertile domain many times the area of England was already

staked out, sparsely settled, and brought under rude but productive cultivation. Facts, as Carlyle would say, immense and indubitable!

This inland advance of colonial empire accelerated the tendency toward the predominance of the freehold farmer in the agricultural economy of America. It was the man fired by the passion for owning a plot of ground who led the vanguard of settlers all along the frontier from New Hampshire to Georgia; to him cheap land meant freedom, to his family a rude but sufficient comfort. So the English, German, and Scotch-Irish pioneers who crept out into the narrow valleys, out into the deep forests, and high into the piedmont carried with them the freehold system and the social order inevitably associated with it. They were not peasants, in the European sense of the word, surrounded by agricultural resources already exploited and encircled by ruling orders of landlords and clergy armed with engines of state and church for subduing laborers to social discipline. On the contrary, these marching pioneers were confronted by land teeming with original fertility, by forests and streams alive with game and fish, and they were, under the sun and stars, their own masters.

In these circumstances a new psychology was evoked, making a race of men and women utterly different in spirit from those who dwelt on the great manors of New York and Maryland, on the wide Southern plantations, and in the villages of the Old World. Moreover, these freehold farmers faced the New West, not Europe; their communities were more isolated, more provincial, more independent, more American than those along the Atlantic seaboard. Passing years but strengthened their fiber and their love of liberty, while the ties of memory and affection that bound them to the Old World faded into oblivion.

Inexorably the currents of their life and thought ran in new channels. They would not have been at home in the goodly gatherings of Doctor Johnson's Grub Street friends, nor could they have deported themselves correctly with

gentlemen in court dress or lawn sleeves jostling for favor, preferment, and place at a levee of George III. Nothing in their lives made them a part of the system of privilege and class rule that constituted the government of England in the eighteenth century. Nothing in their lives inclined them to look with friendly eyes upon the emissaries of that system—neither the English fur traders who resented every invasion of farmers into the haunts of game nor the English land speculators, often the favorites of royal governors, ever studying colonial maps for magnificent grants with which to enrich themselves and their families. The bonds that united the people of the interior to the English government were as light as gossamer and, when fear of the French and Spanish had been dissipated by war, they were shaken off like dew after the first crack of the rifle at Concord.

From the huge agricultural area already occupied in 1765 flowed annually an immense stream of produce. All the sections save New England raised more provisions than they could consume. The middle colonies sent to the port towns for shipment mountains of corn, flour, salt pork, flax, hemp, furs, and peas, as well as livestock, lumber, shingles, barrel staves, and houses all shaped for immediate erection. Maryland and Virginia furnished the great staple, tobacco, the mainstay of their economic life—an article for which the planters had a steady demand unhampered by competition. It was in tobacco that they paid for imported cloth, tea, coffee, furniture, silver, carpets, and tapestries, and met the bills of their sons studying in Oxford or in Cambridge. Since the crop was sure, those who produced it could easily obtain advances in goods and cash, so easily in fact that from year to year their credits mounted higher and higher until, by the eve of the Revolution, Southern gentlemen were owing English merchants thousands of pounds, the payment of which they were not unhappy to see stayed by the struggle for independence and finally discharged in large part by the government of the United

States under the benign administration of one of their brethren, Thomas Jefferson. North Carolina offered farm produce and some tobacco in the market, but paid its London bills mainly in tar, pitch, and turpentine. South Carolina and Georgia furnished rice, shingles, bacon, and salt beef to the Atlantic and Mediterranean trade, and about the middle of the eighteenth century, after persistent experiments led by Eliza Pinckney, added indigo to their profitable staples.

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On the ocean as on the land, American colonists drove their enterprise until they became no mean competitors of those hardy mariners who bore the British flag around the world and into the markets of every known port. The inhospitable soil of New England early directed the industry of the Puritans to the sea, to fishing, shipping, trading, and all the varied interests connected with such undertakings. Local forests furnished oak for timbers and boards, fir for masts, pitch for turpentine and tar; fields yielded hemp for rope; and mines iron for anchors and chains. Why should man be a serf of the soil when he could ride the bounding main? All along the northern coast, especially the New England line, were busy shipyards where, to the music of hammer and saw, rose splendid sloops and schooners—swift and beautiful—big enough to sail any sea and sturdy enough to weather any gale. By the middle of the eighteenth century, New England was launching seventy new ships every year, New York and Pennsylvania forty-five, and the states to the south forty. Already London shipbuilders beside the Thames had begun to complain that their trade was declining, their workmen migrating, their profits disappearing as a result of American competition.

It was the sea that offered the highest adventure to the youth of the colonial period. New England boys in their early years fled from the stony fields, picked up the art of navigation, saved a little money, and at the age of nineteen

or twenty commanded brigs of their own. The sea permitted them to escape from the terrible sermons of the Mathers, to make a fortune, to rise to a social position, and to wear with dignity the title of gentleman. Sea breezes carried them into distant lands where they saw strange peoples and stranger customs which slowly dissolved in skepticism the faith and usages of their fathers.

When piping times of peace were broken, as often happened, by wars between England and other imperial powers, the losses of regular trade were more than offset by privateering at the expense of the French or Dutch or Spaniards. As soon as a storm burst, the government issued licenses to private shipowners authorizing them to seize the vessels and goods of the enemy wherever found on the high seas. Daring captains, who shared the loot with their sailors, were financed by local merchant princes and let loose in shoals upon the foe. In the journals left by such freebooters, operating under the color of the law with seal and parchment in their cabins, may be read many a tale of exciting adventure. "Brave living with our people," wrote one of them, Captain Benjamin Norton, who sailed for the West Indies in 1741 to singe the Spaniard's beard. "Punch every day, which makes them dream strange things which foretells Great Success in our Cruize. They dream of nothing but mad Bulls, Spaniards and bagg of Gold."

From privateering it was easy to turn to piracy. Thus did the doughty Captain Sawkins, who, with a hardy crew, harried the Panama coasts. When a local Spanish governor asked to see their commission, the Captain replied that they brought "commissions on the muzzles of our guns, at which time he should read them as plain as the flame of gunpowder could make them." Yet Captain Sawkins was not a godless man; finding his pirate crew shaking dice on a Sunday, he threw the shining ivories overboard to express his deep indignation at such profanation of that holy day.

Others equally courageous were more consistently pagan

in their view of life. Captain Bartholomew Roberts, for instance, wearing a "rich crimson Damask Wastcoate, and Breeches, a red Feather in his Hat, and a Gold Chain ten times around his Neck," scorned the polite practices of pulpit, pew, and counting house. His mighty men vowed that they would never be captured and hanged like Captain Kidd's crew, but would rather "put fire with one of their Pistols to their Powder and go all merrily to Hell together." Perhaps they were screwed up to that high resolve by the knowledge that, when imprisoned pirates were being prepared for the gallows, "Sermons were preached in their hearing every day . . . And nothing was left that could be done for their Good."

Ships built by American labor were, of course, mainly employed in the profitable undertakings of peaceful trade. In waters within reach was an abundant supply of whales, cod, salmon, mackerel, and other kinds of fish, which afforded the material for an immense and growing business—catching, curing, and shipping. On this basis rested an important branch of American economy, next in importance, perhaps, to tobacco planting and absolutely essential to the prosperity of the colonies. The best fish were carried to England, Spain, and Italy and the proceeds principally used to pay for manufactures bought of the mother country. Inferior grades were shipped to the West Indies to serve as food for slaves and were there exchanged for sugar and molasses, which were in turn transformed into rum.

In its extent and daring the whaling industry especially aroused the admiration of the Old World. Burke, warning his colleagues in Parliament against treating the Americans as puny children, bade them "look at the manner in which the people of New England have of late carried on the whale fishery. Whilst we follow them among the tumbling mountains of ice and behold them penetrating into the deepest frozen recesses of Hudson's Bay and Davis's Straits, whilst we are looking for them beneath the Arctic circle, we hear that they have pierced the opposite region

of polar cold, that they are at the antipodes, and engaged under the frozen serpent of the South. . . . Nor is the equinoctial heat more discouraging to them than the accumulated winter of both poles. We know that whilst some of them draw the line and strike the harpoon on the coast of Africa, others run the longitude and pursue their gigantic game along the coast of Brazil. No sea but what is vexed by their fisheries. No climate that is not a witness to their toils. Neither the perseverance of Holland, nor the activity of France, nor the dexterous and firm sagacity of English enterprise ever carried this most perilous mode of hard industry to the extent to which it has been pushed by this recent people; a people who are still, as it were, but in the gristle and not yet hardened into the bone of manhood."

Out of the oil and candles yielded by this dangerous pursuit flowed a huge business with the mother country and Europe. Under the glow of oil lamps, the cottages of New England farmers were transformed at night from dingy hovels into well-lighted homes where books could be read and games played after the long day's work was done—a novel and appealing scene in the history of agriculture, the beginning of a revolution in culture.

Among the filiated industries of the sea was a formidable traffic in rum which touched many shores and sustained many thriving towns. The sugar and molasses of the West Indies were carried to New England, especially to Rhode Island, where they were transformed by distilleries into a spirit with the qualities of liquid fire. This beverage was then sold in enormous quantities to the fishermen engaged with net and harpoon in biting winds and chilling spray, to stalwart laborers in the dockyards, and to masters of sailing ships, who never failed on the appointed hour to serve grog as named in the bond.

Larger quantities of rum went into the slave trade. It was the staple article in that branch of business enterprise; it passed as currency on the West coast of Africa, where Negroes, to slake their fierce appetite, would sell their

enemies, their friends, their mothers, fathers, wives, daughters, and sons for New England's scalding potion. The unhappy victims of this traffic, huddled in the low spaces made vacant by the removal of hogsheads, were taken to the West Indies to raise more sugar or to the plantations of the Southern colonies to toil in the rice and tobacco fields.

From the profits of this exchange came the fortunes of great families and the prosperity of whole communities. When, therefore, the English government sought to favor the plantations of the English West Indies at the expense of the neighboring islands belonging to France, by taxing the sugar of the latter, the action struck deep into the interests of New England manufacturers as well as the business of carriers whose sails were spread under many skies.

Next in importance to the fisheries and the various branches of enterprise connected with them was the general carrying trade, which employed thousands of American ships. First of all, in this relation, was the coastwise traffic —in itself enormous. Since the roads uniting the colonies were few in number and well-nigh impassable for stage-coaches or wagons during a large part of the year, the sea and the rivers had to furnish a substitute. Hence, a regular freight and passenger service sprang up along the shore, permitting the merchants of Boston, Baltimore, Charleston, or New York to set sail for a distant American port almost any day in the week.

Another branch of the sea trade was the transport of the produce of farms and plantations to the West Indies and to Europe and the carriage of manufactures home on the return voyage. As an old writer remarked, the Yankees gave "unremitting attention to the most minute article which could be made to yield a profit" and "obtained for themselves the appellation of the Dutchmen of America." Did the burghers of Holland want sugar for their tea? Americans brought it swiftly from the West Indies and sold it for a bill of exchange on London. Did Spanish

grandees demand choice flour from New York or Pennsylvania? American shipmasters soon had their prows pointed toward the nearest port of Spain with such cargoes to be exchanged for precious specie or for old wine to enliven good dinners in Boston, Charleston, or Philadelphia. There was no considerable port of the great Atlantic basin or the Mediterranean that did not regularly witness the coming and going of American ship captains seeking to turn an "honest penny" by trade, sometimes with only poetic respect for the local revenue laws.

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Less romantic than the lure of the sea, but no less potent in the upbuilding of economic strength, was the development of industries in the colonies. Having at hand all the materials and natural resources for manufacturing, the Americans through necessity and enterprise supplemented their labors at the bake-oven and the plow with the handicrafts of loom and forge. From the very beginning, the women of nearly every home spun and wove and sewed, supplying serges, linsey-woolseys, and other coarse woolen fabrics for rough wear. As time went on their skill increased until they were able to make broadcloth which gentlemen of fastidious taste could wear without shame at the church or in the counting house.

Seeing the germs of a lucrative business in this domestic craft, men also gave their attention to it, building little mills here and there along the tumbling streams and placing upon machinery some of the burdens of labor. Under this double stimulus, production for the use of the family widened into production for the community, and at length for a lively export trade to the plantations of the South and the West Indies. By the opening years of the eighteenth century the traffic had become so large that the royal governor in New York grew alarmed at the menace of the competition in textiles; with great foresight he warned the

authorities in London that people who could clothe themselves handsomely without the help of England would soon begin to think of ruling themselves without her supervision. Economically not so important but artistically not a whit behind the woolen industry was the manufacture of fine linens by thrifty housewives; the samples of their work that have come down to us bear witness to their prowess at the wheel and loom.

Into other industrial fields, the enterprising colonials also ventured with signal success. At shops scattered far and wide, hats of no mean style and finish were turned out for local trade and even for export to distant settlements. Skillful weavers at Germantown supplied thread stockings by the thousand dozen at a dollar a pair. Saffron books of colonial merchants tell us of rope, starch, candles, earthenware, leather goods, shirtings, sheeting, duck, glass, refined sugar, and paper made by American labor in increasing quantities, pressing hard upon English imports in many markets and giving promise of indefinite expansion under favorable conditions.

Also in the iron industry—that very basis of modern imperial power—did American enterprise show signs of future greatness. In almost every colony beds of ore were discovered and, as soon as the first days of settlement were over, forges appeared along the rivers of New England, New Jersey, Pennsylvania, and Virginia. The ways of the ironmakers can be illustrated in the progress of Abraham Lincoln's forebears. The third son of the first Lincoln, who came to Massachusetts in 1637, built a forge on the banks of a neighboring brook and prospered; other descendants carried that industry into New Jersey; and a hundred years later Lincolns were engaged in Tubal Cain's art on the Schuylkill in Pennsylvania. With individual initiative, corporate enterprise was combined: a mining company was organized at Simsbury, Connecticut, in 1709.

Whether working for companies or on their own account, most of the masters were content to turn out bar iron for

local use, or pig iron for transport to the mills of England; but the more adventurous leaders, especially in the Northern colonies, were not so modest in their ambitions. They set up rolling and slitting mills; they manufactured nails, guns, chains, kettles, hardware, hinges, hoes, spades, and all the coarser articles that could be made of metal. The product of many a colonial foundry survives in the chimneys of Georgian houses and in the museums recently erected by reverent hands.

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Though, to the statistician of modern trade, the industry of colonial America seems trivial, yet in comparison with the enterprise of England at the time it assumed serious proportions. At all events—and this is the point—in every branch it excited the fears and jealousies of English competitors. Even with the seven seas to command there was hot rivalry in fishing, so hot that, in 1775, an English writer exclaimed: "The Northern colonies have nearly beaten us out of the Newfoundland fisheries, that great nursery of seamen; insomuch that the share of New England alone exceeds that of Britain." Shipbuilders of the Thames, as we have said, protested that the American yards carried off their business, their workmen, and their profits.

Bursting out in anger over the growth of colonial carrying enterprises, a contemporary English observer complained bitterly that "the trading part of the colonies rob this nation of the invaluable treasure of 30,000 seamen and all the profits of their employment; or in other words, the Northern colonies, who contribute nothing to our riches and our power, deprive us of more than twice the amount of all the navigation we enjoy in consequence of the sugar islands, the Southern, continental, and tobacco settlements! The freight of the staples of those sets of colonies brings us in upwards of a million sterling; that is, the navigation of 12,000 seamen: according to which proportion we lose by the rivalry of the Northern colonies in this single article

two millions and a half sterling." To emphasize his anguish, the writer put the "Two Millions And A Half" in capital letters. Colonial farmers also drew his wrath for, he declared, "American corn cannot come to an European market without doing mischief to the corn trade of England."

Trivial as it now seems in relative terms, colonial manufacturing set English capitalists by the ears. For example, in 1751, English ironmasters, proprietors of forests that supplied wood for smelting, and tanners who needed cheap bark for their leather industry, all united in protesting against American competition and induced a committee of Parliament to heed their objections. To make a tedious economic story short, in every sphere of economy, American business enterprise aroused the antagonism of rival interests in England and the latter in turn brought to bear on the government at London continuous pressure for legislation and administrative acts favorable to British merchants, shippers, and manufacturers.

Even the lucrative trade in finished commodities which English capitalists managed to hold in spite of the efforts of the colonies to supply themselves had within it the seeds of irritation. For goods bought in English markets, the colonists had no large supply of precious metals with which to pay; they were always heavily in debt for commodities purchased and capital borrowed. Efforts to secure specie, bills of exchange, and acceptable materials by means of which to discharge their obligations in London kept them at their wits' ends.

The people of Rhode Island, by way of illustration, had to find more than a hundred thousand pounds sterling a year to pay for purchases made in England and yet they produced locally only a few articles suitable for European markets, such as flaxseed, lumber, and cheese. Consequently it was necessary for them to compete with English shippers by trading in some roundabout fashion, chiefly through the West Indies, to secure the money and credit

required to meet their English debts. Hardly more fortunate in their economy were the Southern planters; although they had in tobacco a marketable staple, its price was fixed in London and they were always hard pressed to keep up with mounting obligations incurred for high living.

On every hand was heard the complaint of the critic that scarcely a penny of specie escaped the vortex which drew money in a torrent to the creditors of the metropolis. In the best of circumstances the exigencies of the colonists in this respect were very pressing; the irritation that arose from them was severe and continuous; from this source came the clamor for "easy money" that led local legislatures to issue paper currency until Parliament by peremptory act put a stop to such measures of relief. Turn and twist as they might, the colonists continually labored under the disabilities of chronic debtors.

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In connection with a network of trade covering half the world, sprang up along the coast several thriving towns which on the eve of the Revolution compared favorably in wealth and population with such English cities as Liverpool and Bristol. Five stood at the head of the list—Philadelphia, Boston, New York, Charleston, and Newport—the first with about twenty-five thousand inhabitants, counting the suburbs, and the last with seven thousand. Baltimore, Norfolk, Lancaster, and Albany, if not so populous, nevertheless took pride in their growing power.

These urban centers were the homes of three classes destined to play significant rôles in the launching of the Revolution, namely, merchants, artisans, and lawyers. In every city were a few families that led the rest: the Amorys, Hancocks, and Faneuils in Boston; the Whartons, Willings, and Morrises of Philadelphia; the Livingstons, Crugers, and Lows of New York; and the Browns of Providence—"Nicky, Josey, John, and Mosey." Rich, active, and

shrewd, they were quick to see points at which their interests clashed with those of English competitors and to file protests against adverse legislation by Parliament; still, conservative at bottom and timid in the presence of violence, they shrank from the thought of actual war.

When the storm broke and they had to choose, many went over to the Tory cause; others vacillated and enlarged their fortunes by selling supplies first to the Patriots and then to the Tories as the tide of battle flowed and ebbed; others threw themselves into the Revolution, helped to finance it, and risked their lives and fortunes in the outcome. John Hancock's name headed the list of signers on the Declaration of Independence; and it was written in letters so large and firm that George III could see it without his glasses. Robert Morris at Philadelphia flung his property into the issue and gave his talents as treasurer to the service of the Continental Congress.

Valuable, but sometimes troublesome, allies were the artisans of the towns who furnished the sinews for stoning English stamp agents, demolishing statues, sacking official residences, and heaving cargoes into harbors. While merchants resolved solemnly and petitioned gravely, artisans shouted hoarsely and rioted vigorously, shocking the timid gentry of store and warehouse who hoped that the business of resisting British measures might be conducted with the decorum of the counting room.

More cautious but especially useful in all verbal contests of economics or politics were the lawyers. Only by gradual stages had they been raised to a high status. In the early days there was no place for them; indeed, they were not viewed with favor by pioneers engaged in the rough work of clearing the wilderness. The authors of the Massachusetts Body of Liberties adopted in 1641, besides expressly permitting every litigant to plead his own cause, were careful to provide that, if unable to help himself and forced to employ an assistant, he was to give his counsel "noe fee or reward for his paines." In the founding years

of Maryland a local chronicler rendered thanks that there were no lawyers in that colony and no business to occupy such factious members of a community.

In the course of time, however, conditions changed and old prejudices disappeared. When society became more complex and legal questions more involved, the need of skilled attorneys was recognized and in every colony a class of professional practitioners came into existence, which grew rapidly in numbers and influence during the passing decades of the eighteenth century. The door once opened, lawyers managed to win a higher social position in America than their brethren had ever enjoyed in the mother country. Still true to feudal tradition, the English nobleman and fox-hunting squire looked down on the attorney as a kind of serving man, useful in drawing papers though hardly to be treated as an equal; but there was no such gulf to be bridged in America. Merchants, planters, and farmers of the colonies could erect no insurmountable barriers against the disciples of Coke and Lyttleton.

In politics, similarly—in town meetings and in assemblies—lawyers flourished more abundantly than in England. It was the fashion of English landlords and merchants to elect men of their own order to represent them in Parliament but in America, particularly in the Northern colonies, the voters for various reasons more frequently adopted the practice of choosing lawyers to speak for them in local bodies. In the first colonial conference held in New York in 1690, two of the seven members were lawyers; of the twenty-four men who attended the Albany congress of 1754, thirteen belonged to the legal profession; in the first Continental Congress that launched the Revolution, twenty-four of the forty-five delegates were lawyers; in the second Congress that declared independence, twenty-six of the fifty-six delegates were of that class; and in the convention that framed the federal Constitution, thirty-three of the fifty-five members were lawyers.

With good reason, therefore, did Edmund Burke, in

enumerating the forces that made America dangerous, assign a special place to the legal profession. While warning his parliamentary colleagues against the perils of colonial agitations, he laid particular emphasis on the proclivities of the legal occupation. He told his auditors that the study of the law was more general in America perhaps than in any other country; that the profession there was numerous and powerful; that representatives sent to the congresses were mainly lawyers; that training in law made men "acute, inquisitive, dexterous, prompt in attack, ready in defense, and full of resource." Then he submitted with a broad hint the idea that "when great honors and great emoluments do not win this knowledge to the service of the state, it is a formidable adversary of government."

In rising to social and political power the lawyers gave a peculiar twist to the rhetoric of American statecraft. Before their time, the men who followed intellectual pursuits had been chiefly preachers of the gospel—even the teachers for the grammar schools and colleges had been taken from this class; and while the theologians dominated intellectual interests, weapons for argument, secular as well as religious, were drawn from Biblical lore. The lawyers, on the other hand, consulted and enlarged a body of learning that was secular in nature. Moreover, it was their business to use their learning on any side of any case entrusted to their care, so that they became even more flexible and more adept in dispute than the Hoopers and the Mathers.

Accordingly, the lawyers were well equipped to assume the lead in every public controversy and in fact they did stand in the forefront of the conflict with the mother country. Jefferson, Patrick Henry, John Adams, Madison, Dickinson, Marshall, William Livingston, and many others of light and power in the Revolution were attorneys by training, if not engaged in the active practice of law. Such were the men who furnished most of the arguments and state papers of the struggle. Such were the men who gave to

the philosophy and pleas of that great litigation a legal and constitutional garb—one contrasting strangely with the devices of the Puritan revolution more than a hundred years before. In Cromwell's day quotations from the Bible as well as the sonorous words of Coke and Lyttleton gave reason to determination and fed the appetite for justification. In the American Revolution, however, statesmen and soldiers, led and taught by lawyers, resorted mainly to charters, laws, prescriptive rights, parchment, and seals for high sanction, thus giving a peculiar cast of thought and ornament to the linguistic devices of the fray. When these weapons broke in their hands, they turned, not to theology, but to another secular armory—nature and the imprescriptible rights written by sunbeams in the hearts of men.

§

A large part of the labor which underlay the social fabric of the American colonies was furnished by semi-servile whites imported under bond for a term of years and by Negroes sold into chattel slavery. This is one phase of American history which professional writers have usually seen fit to pass over with but a sidelong glance. Bancroft admitted that having "a handful" of data on the subject, he "opened his little finger." In fact, although exhaustive researches have not been made for all the colonies, it seems probable that at least one-half the immigrants into America before the Revolution, certainly outside New England, were either indentured servants or Negro slaves.

The white servants fell into two classes. The first embraced those who voluntarily bound themselves for a term of years to pay their passage. The second class included those who were carried here against their will—hustled on board ships, borne across the sea, and sold into bondage. This gruesome traffic was a regular business darkened by many tragedies and illuminated by few romances. The streets of London were full of kidnappers, "spirits," as they

were called; no workingman was safe; the very beggars were afraid to speak with anyone who mentioned the terrifying word "America." Parents were torn from their homes, husbands from their wives, to disappear forever as if swallowed up in death. Children were bought from worthless fathers, orphans from their guardians, dependent or undesirable relatives from families weary of supporting them.

To the great army of involuntary immigrants were added thousands of convicts who were either sent by English judges or who chose deportation in place of fines, prisons, stripes, or the gallows. No doubt many of this class were criminals and incorrigible rascals, but a large portion were the luckless victims of savage laws enacted to protect the property of the ruling classes in England—peasants caught shooting rabbits on some landlord's estate or servant girls charged with purloining a pair of stockings or a pocket handkerchief. Mingled with this motley array of victims were political offenders who had taken part in unsuccessful agitations and uprisings.

The fate of all white servants, whether they voluntarily chose to sell themselves for a term of years to get to America, or were transported against their will, was very much the same. They were bound to serve some master for a period of years ranging from five to seven. They were not tied to the soil, as were the serfs of the middle ages, nor sold like slaves into life-long servitude, but during their term of bondage they were under many disabilities. The penalties imposed upon them for offenses against the law were heavier than those laid upon freemen; if they attempted to escape or committed a crime their term of service could be increased; they could not marry, leave their place of work, or engage in any occupation, without the consent of their masters.

Absolutely at the beck and call of their owners, they could be severely punished for laziness or neglect of duty. They were, in fact, little better off than slaves while their servitude lasted; their fate depended upon the whims of their

masters; and at best it was harsh enough. When the weary years of indenture were over, the bondmen were set free to enter any occupation for which they were qualified. The more fortunate became independent artisans or went into the interior, where they found liberty as the tillers of small farms, rising out of bondage into freedom. But others, weighed down by their heritage, individual and social, sank into that hopeless body of "poor whites," the proletariat of the countryside.

Finding it difficult to secure an adequate supply of indentured servants, promoters of settlements turned in the course of time to Negro slavery. Neither the Puritans nor the Cavaliers had fixed scruples against the enslavement of their fellow men, of their own or any other color; it seems to have been necessity rather than choice that forced them to resort to Africans. Both sought to reduce Indians to bondage and to a slight extent were successful; but the haughty spirit of the red man made him a poor worker under the lash.

Nor did the Puritans of England show any invincible repugnance to driving white men and women into perpetual servitude; Cromwell thought the Irish well adapted to that career, for he sold as slaves in the Barbadoes all the garrison that was not killed in the Drogheda massacre, and his agents made a business of combing Ireland for boys and girls to be auctioned to English planters in the West Indies. Even Cromwell's own countrymen were sometimes caught in the dragnet; there is in the archives of London a piteous petition of seventy Englishmen carried off from Plymouth and sold in the West Indies "for 1,550 pound weight of sugar a piece, more or less." Nevertheless, by the latter part of the seventeenth century, public opinion in England was running against this form of domestic enterprise and in favor of seeking slaves abroad.

Though Negro slavery had been common in the Spanish provinces for more than a hundred years when Virginia was founded, and though Elizabethan seamen had leaped with

enthusiasm into the slave trade long before English colonization began, the institution spread slowly in the seaboard regions after its introduction at Jamestown in 1619. At the end of three decades there were only about three hundred Africans in the Old Dominion. But before the close of the century the traffic in slaves had grown to immense proportions. Negroes had shown themselves more docile under bondage than their Nordic brethren, and the difficulty of obtaining an adequate supply of white servants had increased. Moreover, English and American capitalists had discovered that enormous profits were to be gathered from the carrying trade, and under that stimulus made the transport of Africans to the New World one of the most lucrative branches of the shipping business. The best families, noblemen, bishops, merchant princes, and politicians invested heavily in it and the English government took good care of their interests. When, for instance, the court of Madrid was humbled in the war of the Spanish Succession, it was forced, in 1713, to grant to English slavers the exclusive right of carrying Negroes to its colonies, saving to Their Majesties, the Kings of England and Spain, each one-fourth of the profits.

Between that year and 1780, it is estimated, twenty thousand slaves were annually carried over the sea; in 1771 nearly two hundred English ships were engaged in the traffic, mainly from Liverpool, London, and Bristol. The first of these cities, in fact, owed much of its prosperity to the trade, and not without reason did a celebrated actor, when hissed by his audience in that commercial metropolis, fling back the taunt: "The stones of your houses are cemented with the blood of African slaves." The same could have been said with equal justice of some New England towns—Newport, Rhode Island, for example—because the Puritans, quick to scent the profits of the business, were not a whit behind the merchants of the mother country in reaching for the harvest.

In the bitter annals of the lowly there is no more ghastly

chapter than the story of this trade in human flesh. The poor wretches snatched from Africa were herded like cattle in the fetid air of low and windowless ship pens. If water ran short, or famine threatened, or plague broke out, whole cargoes, living and dead, were hurled overboard by merciless masters. If a single victim, tortured into frenzy, lifted a finger against his captor, he was liable to be punished by a mutilation that defies description. While Ruskin has attempted to fix the picture of this trade in his immortal etching of Turner's Slave Ship, tossing under a heaven of broken clouds upon a storm-swept sea dotted with the bodies of victims, "girded with condemnation in that fearful hue which signs the sky with horror and mixes its flaming flood with sunlight—and cast far along the sepulchral waves —incarnadines the multitudinous sea," his luminous page sinks down into a dull glow when compared with the lurid leaves in the actual records of the slaving business.

Under the pressure of profitmakers the Southern colonists, always clamoring for cheap labor, were in time abundantly supplied with African bond men and even in the North, slavery spread as widely as economic conditions would permit. After tentative beginnings, the Negro population grew by leaps and bounds; on the eve of the Revolution it was more than half a million. In five colonies, Georgia, the Carolinas, Virginia, and Maryland, it equaled or exceeded the whites in number; even in Delaware and Pennsylvania, one-fifth of the inhabitants were Negroes. In New York one person in six, and in New England one in fifty sprang from African origins.

Though the figures were ominous, not many Englishmen made strenuous protests against slavery. The Quakers, as a rule, did not like it for it offended their religious scruples, and some of them openly declared that Christians could not tolerate it; but no extensive movement for abolition got under way until after Independence. There were, however, frequent outcries against the slave trade itself. An occasional far-seeing economist realized that, owing to

the greed of the traffickers, the white population was in danger of being swamped; breeders who raised slaves for the domestic market naturally resented the competition of the importers; and masters already well supplied grew anxious as they saw the value of their property falling with the continued influx of new stock. In response to such considerations, a few of the colonies attempted to prohibit the slave trade, only to be defeated by royal vetoes. The ruling classes of England were in no mood to cut off the princely dividends received from that lucrative branch of English commerce and the volume of business seems to have increased with fair regularity until the crash of the Revolution.

While the owners of manors, plantations, and huge estates found little difficulty in obtaining labor for their fields, those who sought to develop manufacturing had no such good fortune. Various inducements, such as special privileges and bounties, were offered to skilled artisans in England to attract them to America, but with little success. Furthermore, those who did come were seldom content to work long for masters. As soon as a journeyman or apprentice became well acquainted with the trade of the country, he hurried out into a new settlement to establish himself in a small but independent business, or finding that he could buy a farm with a few years' savings, he shook the dust of the towns off his feet and went into the country in search of economic freedom. "So vast is the territory of North America," wrote Franklin, "that it will require many ages to settle it fully; and till it is fully settled, labor will never be cheap here, where no man continues long to labor for others." Accordingly, the merchant capitalist of the colonial era, who engaged a few skilled workmen to manufacture for his trade, was continually handicapped, except in times of business depression, by the lack of an abundant supply of docile labor. Still there was springing up in the chief centers, such as Boston, New York, and Philadelphia, a body of artisans numerous enough to

give no little trouble to the local governing classes when the strong hand of Great Britain was shaken off.

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As the economic structure of colonial America rose firmly on its foundations there were also erected institutions of self-government which served the ruling orders well in the management of their affairs and in the conflicts with the mother country. For centuries, the upper classes of England had shared in the levying of taxes and the making of laws and, with perfect ease, parliamentary practices were transplanted to the New World. Soon after its inception, every colony could boast of a popular assembly elected by voters who possessed the established property qualifications. Virginia was little more than a decade old when, under the auspices of the London Company, a House of Burgesses chosen by the planters was called into being. Within four years of its first expedition, the Massachusetts Bay Company substituted a representative body for the general meeting of the corporation's members. Knowing full well that they could not attract settlers to their domains if they withheld all political privileges, the proprietors, such as Lord Baltimore and William Penn, early complied with the requirements of their charters by inviting colonists to join in the government of their respective enterprises.

In each colony the representative assembly, by whatever process instituted, was elected by the property owners. The qualifications imposed on voters were often modified but in every change the power of property, in accordance with English traditions, was expressly recognized. In the South, where agriculture was the great economic interest, land was the basis of the suffrage; Virginia, for example, required the elector in town or country to be a freeholder, an owner of land—a farm or a town lot of a stated size. Where agriculture and trade divided the honors, politics reflected the fact; in Massachusetts, for instance, the suffrage was con-

ferred upon all men who owned real estate yielding forty shillings a year income, or possessed other property to the value of £40. Pennsylvania, likewise combining commerce and farming, allowed all men who held personal property worth £50, as well as freeholders, to vote for assemblymen. To the property tests were sometimes added religious provisions: Catholics and Jews were often disfranchised by law and to some extent in practice.

Although property was widely distributed in America and most of the free colonists were Protestants and Gentiles, the various limitations on the suffrage excluded from the polls a large portion of the population—just how large a percentage cannot be ascertained from any records now available. Certainly, in the country districts of Pennsylvania, half the adult males were denied the ballot; in Philadelphia the restrictions disfranchised about nine-tenths of the men, a sore point with a growing class of artisans, and an interesting side light on the concentration of property in that urban area. On the other hand, it is estimated that about four-fifths of the men in Massachusetts were eligible to vote, so numerous were the owners of small farms.

Perhaps more citizens were kept from the polls by indifference than by law. A large share of the population of the colonies, it must be remembered, came from classes in England and in Europe that had never taken part in the governing process. As a rule, English agricultural laborers and artisans had enjoyed no more political rights than French Huguenots or German peasants; and transportation to the New World could not automatically give any of them a political sense. At all events, it seems safe to say that from one-half to two-thirds of the adult males did not vote, even in Massachusetts where interest in political affairs ran unusually high.

The weight of the active property owners in colonial government was further enhanced by qualifications upon members of assemblies. In South Carolina, for illustration, an

assemblyman had to be a man of real substance, the owner of five hundred acres of land and ten slaves, or the possessor of land, houses, or other property worth a thousand pounds sterling. In New Jersey only freeholders possessed of a thousand acres of land could sit in the representative chamber. So, by one method or another, control in the popular assemblies of the American colonies was concentrated in the hands of a somewhat compact body of propertied men, freeholders, merchants, and planters, having a common interest in resisting taxation.

These little parliaments enjoyed powers which were nowhere strictly defined in laws, charters, and decrees. From small and obscure beginnings they grew in dignity until they took on some of the pomp and circumstance long associated with the House of Commons. In the course of time they claimed as their own and exercised in fact the right of laying taxes, raising troops, incurring debts, issuing currency, fixing the salaries of royal officers, and appointing agents to represent them in their dealings with the government at London; and, going beyond such functions, they covered by legislation of their own wide domains of civil and criminal law—subject always to the terms of charters, acts of Parliament, and the prerogatives of the Crown.

Endowed with such impressive authority, these assemblies naturally drew to themselves all the local interests which were struggling to realize their demands in law and ordinance. They were the laboratories in which were formulated all the grievances of the colonists against the government of England. They were training schools where lawyers could employ their talents in political declamation, in outwitting royal officers by clever legal devices. In short, in the representative assemblies were brought to a focus the designs and passions of those rising economic groups which gave strength to America and threw her into opposition to the governing classes of the mother country. Serving as the points of contact with royal officers and the English Crown, they received the first impact of battle when

laws were vetoed and instructions were handed out by the king's governors or the agents of the proprietors.

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While the local assemblies, speaking for American farmers, planters, and merchants, were advancing by a steady extension of powers to the position of sovereign legislatures, agencies were developed by the British Crown and Parliament to check and control the swelling authority of colonial democracy. Chief among these agencies was the royal or provincial governor. By a gradual process, beginning with the dissolution of the Virginia Company in 1624 and ending with the extinction of the Georgia corporation in 1752, eight of the thirteen colonies became royal provinces, that is, their executive departments were in the hands of governors appointed by the King of England. In three, Pennsylvania, Delaware, and Maryland, the old proprietary system remained in force until 1776, keeping the governors equally independent of popular assemblies. Only two, Rhode Island and Connecticut, retained the right to elect their own executives through all changes of the colonial period, and they were the objects of suspicion to the British imperialists who feared the "democratical" pretensions of America.

If the friends of "high-toned government" could have had their way, every colony would have been reduced to a single scheme—the province administered by an independent executive and judiciary sustained by permanent revenues collected under parliamentary authority. Events proved, however, that it was only necessary to have eight royal governors to set thirteen communities aflame.

Although there was a wide variety in the types of governors chosen in the course of a century or more to administer colonial affairs, they showed a general tendency toward conformity to pattern. Usually they sprang from ruling classes long accustomed to looking upon government as a

system of patronage and emoluments—classes that brought increasing pressure on the Crown and ministers for promotion, places, and pensions as England grew in wealth and population. There were only fifty-nine temporal peers in the last Parliament of Queen Elizabeth; by the opening of the eighteenth century the number had risen to one hundred and sixty-eight; between 1700 and 1760, there were created twenty-six dukes, nineteen marquises, seventy-one earls, fifty-three viscounts, and one hundred and eleven barons, besides numerous baronets, knights, and decorated persons. "Peerages, baronetcies, and other titles of honor, patronage and court favor for the rich!" exclaimed May. "Places, pensions, and bribes for the needy!"

Of such was the stuff of English politics in the eighteenth century. To the spoils of domestic office, the numerous posts in India and America merely added more jobs for dexterous suppliants. No poet had yet coined a phrase like "the white man's burden" or "public service" to give ethical tone to the operations of those who labored at the ends of the empire.

Most of the royal executives for the American provinces were selected from among English politicians, soldiers, and lawyers of an adventurous temper; a few were taken from the more pliant placemen in the colonies. Some of the governors were able administrators of comprehensive views, prepared to live on good terms with the king's subjects committed to their care. Others were martinets with the morals and manners of an English drill sergeant. A few were frankly coarse and brutal; of this tendency was Berkeley of Virginia, who rejoiced in the absence of schools and newspapers and took pleasure in drowning with blood Nathaniel Bacon's uprising. "The old fool," cried Charles II, when he heard of the wholesale executions, "has taken more lives in that naked country, than I for the murder of my father."

On one thing a very large portion of the governors were agreed, namely, the increase of their private fortunes.

William Burnet, almost ruined by the bursting of the South Sea Bubble and sorely taxed to support his large family, was given first the province of New York and then the vineyard of Massachusetts. Robert Hunter, who had fought at Blenheim and commanded the "ready art of procuring money," was allowed to labor in New York and New Jersey. John Montgomerie, after serving in the royal army and then the bedchamber division of the king's household, was sent to the same domain to enlarge his inheritance. Hutchinson, of Massachusetts, though grave and learned, concealed under his cool exterior a passion for money; his sons were deep in the Boston tea business; his private letters teem with references to prices and qualities.

In a paper presented to the board of trade as early as 1715, an observer at the center of things and in a position to know, rendered an opinion to the effect that the colonial offices were "sometimes given as a reward for services done to the crown and with the design that such persons shall thereby make their fortunes. But they are generally obtained by the favor of great men to some of their dependents or relatives and they have sometimes been given to persons who were obliged to divide the profits of them with those by whose means they were procured." To the victors belonged the spoils, and the assembly of New York had authority for declaring that the governors seldom had any regard for the welfare of the people, made it subservient to their own particular interest, and, knowing that their time in office was limited, made haste to employ all the engines calculated "to raise estates to themselves."

It is not necessary to say with Bancroft that America was "the hospital of Great Britain for its decayed members of Parliament and abandoned courtiers," but in seeking for the roots of the controversy that split the British empire we cannot ignore the strife over the profits of office and the symbols of power—a struggle as old as the politics of Rome and as new as the latest election.

In the train of the English executive came a horde of

place hunters; for the governor, except in Massachusetts, appointed his councilors and everywhere filled lucrative posts—administrative, judicial, and military. Some of these places opened the way for peculation in obtaining and confirming grants; the land office in Virginia was a sink of corruption. Others were merely clerical positions attractive to the less ambitious dependents in the governor's official family. Many were sinecures for, following the fashion in England, royal governors created offices with salaries and no duties, to smooth the path for friends in need. In South Carolina and Maryland the sale of political jobs was notorious; in New Jersey an industrious governor, after taking care of many applicants, solicited from the Crown a place for "my son Billy"; and everywhere the disposal of patronage was viewed as a branch of colonial trade. Such practices were by no means deemed reprehensible at the time; they were true to the course of use and wont in contemporary England, where party servants were openly rewarded with honors, places, and titles at the public expense.

While devoting personal attention to the luxuries of office, the more efficient of the royal governors labored hard at devising administrative policies of benefit to the ruling classes of England whose economic interests were at stake in colonial management. Sir Francis Bernard, who saw long service in Massachusetts, was one of the proconsuls given to such mental exercises.

With respect to economics, he evolved a plan that was simplicity itself. "The two great objects of Great Britain in regard to the American trade," he said, "must be to oblige her American subjects to take from Great Britain only, all the manufactures and European goods which she can supply them with: 2. To regulate the foreign trade of the Americans so that the profits thereof may finally center in Great Britain, or be applied to the improvement of her empire. Whenever these two purposes militate against each other, that which is most advantageous to

Great Britain ought to be preferred." That was clear and to the point.

In politics, Governor Bernard was no less explicit, suggesting that the council in each province should resemble as nearly as possible the House of Lords and be composed of persons of wealth enjoying some such title as baron or baronet, all bound to look to the British Crown for honors and appreciation. This happy system was to be perfected by establishing a permanent revenue with which to pay the provincial governors, councilors, judges, and other officers civil and military—a permanent revenue furnished by the colonial legislatures as ordered by act of Parliament. Under this grand design, places and jobs in the imperial government were to become parts of the general royal patronage. Perhaps not many governors saw the goal as clearly as did Bernard, but no doubt the prevailing administrative opinion supported his views. Certainly, as the hour of the American Revolution drew near, British policy was moving in the direction indicated by that indefatigable governor.

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Naturally, the salaries, emoluments, land grants, and other perquisites of colonial politics, so highly prized by royal governors, were not trifles unconsidered by members of American legislatures. Permanent residents with life estates in the country, the assemblymen could hardly fail to regard the governor from over the ocean as an interloper entitled to small esteem. It cost them no little grief to see lucrative offices filled by henchmen engaged in gainful employments at their expense, and still more anguish to see a royal governor and his train, after a season of suppressed desires in the stuffy atmosphere of the province, depart for the metropolis, laden with spoils, to enjoy a term of pleasing luxury in London.

These observant assemblymen were not, however, without resources. Holding the purse strings, they could be

negligent, if not niggardly, in making grants of money to keep up the style of the petty court at the capital; they could darken the days of the colonial governor with bickerings over concessions, appointments, and other favors as the price of money grants. "I have to steer between Scylla and Charybdis," complained Belcher of New Jersey; "to please the king's ministers at home and a touchy people here; to luff for one and bear away for another." He might have added, "and truck and huckster to get my salary from the people's representatives." Governor Dinwiddie of Virginia found his legislature "obstinate, self-opinionated; a stubborn generation." A governor of New York who asked the assembly to vote him a fixed revenue for five years was answered by a demand for the right to appoint every officer deriving emoluments from the grant. Enraged by this boldness, the governor prorogued the assembly and wrote home that the members had taken to themselves "the sole power of rewarding all services and in effect the nomination to all offices, by granting the salary annually, not to the office, but by name to the person in the office." The remedy for such an encroachment on royal authority, in the opinion of the distressed agent of the Crown, was an act of Parliament reducing New York to order. "Till then," he added, "I cannot meet the assembly without danger of exposing the king's authority and myself to contempt."

In this conflict, the fortunes of war were ultimately on the side of the American assembly. Like the English House of Commons, it held the local purse, that powerful engine by which the Crown had been subjected to Parliament. Without legislative grant, there was no money for salaries —a dilemma which could not be avoided by any political legerdemain. Moreover, many governors were as eager to find places for their dependents as to uphold any fine notions of royal prerogative; without appropriation acts, the best of jobs were worthless even to the finest of public servants. In the end, therefore, the popular branch of the colonial legislature became almost sovereign in this sphere.

On the eve of the Revolution, the royal and proprietary governors, beggars at the door of the assemblies, were powerless to enforce by civil process their instructions from England; provincial councils had lost most of their control over law-making; and judges and minor officers had to trim to the legislators to avoid putting their salaries in jeopardy. For practical purposes the colonial assemblies, in their domestic concerns, were their own masters and their strength was increasing. The revolution had actually taken place; nothing but an explosion was necessary to announce it to the world. Such at least is the judgment of those modern scholars who have worked in the dusty records of colonial times rather than in the memoirs of kings, courtiers, and politicians.

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Thus, possessing a ruling class experienced in the art of government and commanding economic resources of great magnitude, the provinces needed only two things to transform them into an independent nation—a mastery of the art of warfare and the capacity to coöperate on a continental scale. In these branches of statecraft also the eventful years of colonial development gave them some exercise. For self-defense they were compelled to maintain local forces, drilled and disciplined under officers of their own choice, prepared to take part at any moment in desperate fighting with frontier Indians and to test their endurance under fire.

In every one of the violent conflicts in the struggle between England and France over the mastery of North America, the colonists participated, furnishing soldiers and supplies. Four times, between 1689 and 1763, they were called upon to share in this world-wide contest for imperial supremacy—in King William's, Queen Anne's, King George's, and the Seven Years' War. For thirty-one years out of seventy-four they had armed men at the front battling by the side of British regulars against French and Indian warriors skilled in field and forest fighting, ruthless

with bayonet and scalping knife. Not a generation passed without a baptism of fire—without giving the colonists experience in the use of that unanswerable argument of sovereignty, military force.

War also taught the colonies, so diverse in their interests and so hostile to one another in religion and politics, the art of coöperation. It was the common deadly fear of the Indians that brought into being the New England Confederation of 1643, uniting Massachusetts, Plymouth, Connecticut, and New Haven for twenty years or more in a league of offense, defense, and mutual service. It was also the Indian menace, years afterward, that put the militiamen of Virginia and the Carolinas under arms in a mutual enterprise. It was to prepare the Americans for general defense and for the impending struggle with France that the famous colonial conference was held in Albany in 1754, attended by representatives of New Hampshire, Massachusetts, Connecticut, Rhode Island, New York, Pennsylvania, and Maryland. Although the plan of union there discussed was never adopted, Franklin, who drew it, lived to serve as a member of the convention which drafted the Constitution of the United States. The Albany conference failed, but the French and Indian war that broke out three years later drove the colonies into coöperation on a continental scale.

As events proved, that was the last phase in the mighty contest for the heart of North America. The French, who had established themselves at Quebec in 1608, one year after the founding of Jamestown, and at New Orleans in 1718, fourteen years before the settlement of Georgia, had planted post after post in the Ohio and Mississippi Valleys and had served notice that English enterprise was to be confined to the coast line. When in 1753 the soldiers of King Louis raised their flag over Fort Duquesne on the headwaters of the Ohio, they flung out a challenge which even the most pacific Quaker in Philadelphia had to heed. And the gesture was quickly answered. George Washington, a young militia officer of Virginia, was sent

to the frontier to warn the invaders that they were on territory "notoriously known to be the property of the Crown of Great Britain," and he might have added "coveted by the Ohio Land Company recently formed to open up the West."

Thus it happened that the first shot in a war that was to encircle the globe was fired in the wilds of Pennsylvania and the man who was to command the armies of the United States in the struggle for independence heard it echo through the forests. There began a conflict—the Seven Years' War—that spread to Europe, involving England and Prussia on one hand and France, Austria, Spain, and minor powers on the other; that flamed up in India deciding the fate of teeming millions on the other side of the world.

Under the imperial genius of William Pitt, who employed men and treasure without stint in his effort to smash French power on the sea and wreck French empire in three continents, all the energies of England were engaged. Prussia was kept in line under Frederick the Great by princely subsidies; America was fused by the fierce heat of the conflict at her very doors. Though Braddock was defeated in the wilds of Pennsylvania in 1755, Wolfe restored the balance four years later by capturing Quebec and ringing out the doom of French dominion in Canada. When at last peace came formally in 1763, Canada and all the territory east of the Mississippi, except New Orleans, passed under the British flag; while the rest went to Spain, whose empire was already weakening at its extremities. With no powerful neighbors now thundering at their gates, the governing classes of the thirteen American colonies were free to try their strength with the governing classes of England.

Indeed, the very war that set the bells of London ringing in acclaim to the news of victories borne on every breeze opened the way for another explosion. When Pitt fell and the end came, sober accountants had to reckon the cost: the public debt of England stood at one hundred and forty millions and new taxes had to be provided to meet the

charges. Who was to pay? In any event, the colonists, having put twenty-five thousand men into the field and sustained them by huge outlays, were in no mood to bear additional burdens. To make matters worse, the swollen war prices collapsed, forcing a liquidation such as usually follows a desperate world conflict, and bringing ruin in its train. There lay the causes of new clashes with the English governing machine.

And America was ready for a trial of strength. The war had developed a body of veterans—officers and men—who were in some measure at least prepared for the test of Revolution when it came. The war had done more. The haughty conduct of the British military officers in America had aroused in the breasts of the colonials a passionate resentment akin to their ill-will for royal governors; while experience in fighting had given confidence to militiamen. In many cases they had done badly themselves but on other occasions they had seen the pomp of British officers and the pride of British regulars pricked like bubbles. The disaster which overwhelmed Braddock, as Franklin said, "gave us Americans the first suspicion that our exalted ideas of the prowess of British regular troops had not been well founded." It was no mere accident that the young officer who had labored to save Braddock's forces from utter ruin in the wilderness of Pennsylvania was called upon twenty years later to draw his sword under the elm at Cambridge in defense of the American Revolution.

## CHAPTER IV

## *Provincial America*

THE culture of the colonial period—its social and religious life, its intellectual and æsthetic interests, its apparatus for the diffusion of knowledge and artistic appreciation—was subject to the conditions common to all provincial civilizations. In its origins it was derivative: the whole conventional heritage, from its noblest ideals to its grossest vulgarities, was European, in a strict sense, English. Like the culture of every other age, it was contingent upon the prevailing economic order, the modes of securing a livelihood, the disposition of classes, the accumulation of riches, the development of patronage and leisure, the concentration of population, and the diversification of practical experience. Of necessity also it was bent to the laws of change, affected in every sphere by transformations in the character and weight of economic classes, the growth of secular concerns, and the impact of fresh currents of opinion from abroad.

Materials for the history of colonial culture are rich beyond measure. The spirit of the age shoulders up out

of the dead past into the living present in a thousand shapes and forms. In haunting shadows the domestic life hovers around old houses, gray and gabled, crowned by cowls of arching elms and spreading oaks. Counterpanes and rugs seem even now to be taking form under nimble fingers that were moldering in village churchyards when Thomas Jefferson's pen was tracing the Declaration of Independence. Paintings that hang on walls, as they did in the days of Franklin and Washington, call back to power masters and mistresses from classes that ruled and preached and traded and planted in those far-off times. Diaries and letters lift the curtain on gay hours of weddings, fox hunts, and balls and on solemn scenes of worship, tragedy, and death. Quaint towns planned with strong communal purpose, state houses, churches, and college halls, still solid under the weight of years, survive as the visible and outward symbols of vigorous public life. Stagecoaches and models of sailing vessels reveal colonial merchants and wayfarers traveling on land and sea. Narratives and journals throw the light of contemporary opinion on the passing panorama. Books, pamphlets, almanacs, newspapers, and magazines produced on the soil of the New World reflect the depths and shallows of the American intellect; libraries, public and private, collected from the corners of Europe, mark the wide range of colonial research and understanding.

In this treasury of riches diverse minds have been at work; fragments have been selected from it to fit the patterns of many special interests. Enthusiastic makers of family traditions, moved by sentiments as deep as ancestor worship, have disclosed under the radiance of their warm desires progenitors as proud and gracious as the Burleighs and Percys of old England. Simple collectors of curios have gathered up pewter plate, glass, and Windsor chairs. Novelists have discovered plots and preachers have unearthed themes. Hurried critics, feeding the maw of the modern press, have found illustrations to bolster curious creeds and justify varied moods: a Baltimore journalist of

remote Teutonic origins has seen reflected in the records the harsh and sour visage of Puritan divines; an Illinois essayist reared in Pilgrim orthodoxy has seen shining from them a great light to guide the weary and godly through all eternity. Searchers for humane traditions have come with joy upon the philosophy of Roger Williams, the journal of John Woolman, the lively wisdom of Benjamin Franklin, the democratic doctrines of John Wise, and the grand plea of Andrew Hamilton in the Zenger battle over the freedom of the press. Trained historians have brought under observation single segments of colonial life—economic, political, social, intellectual, artistic—and have written for specialists huge tomes that never find their way into the main stream of American thought.

By none of these methods apparently can the intimate essence of American culture be grasped. In reality the heritage, economics, politics, culture, and international filiations of any civilization are so closely woven by fate into one fabric that no human eye can discern the beginnings of its warp or woof. And any economic interpretation, any political theory, any literary criticism, any æsthetic appreciation, which ignores this perplexing fact, is of necessity superficial. That a few students recognize the nature of the problem and are beginning the search for a synthesis is a striking sign of the new epoch in American intellectual development.

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The essential forms of colonial culture, as we have said, were English in their origins. Eminent advocates for the Scotch, Irish, Dutch, Swiss, Welsh, Swedes, and Jews have entered pleas against this ruling in many a portly volume and have placed upon the record facts and arguments worthy of calm review. Some have gone far in their racial claims. One stout partisan has traced the political institutions of America back to Holland through the migrating Pilgrims. Another has given the American Revolution the

appearance of a phase in the long contest between Scot and Englishman. An eager Irishman has compiled from crumbling papers and mossy tombstones a mighty roll of O'Rourkes, O'Donahues, and O'Briens that makes colonial history resemble a glorious page in the tale of Erin's sons.

Nevertheless, when the last word is said for all the diverse elements in provincial life, certain indubitable facts obtrude themselves upon the view like giant boulders on a plain. Beyond question, the overwhelming majority of the white people in the colonies were of English descent; the arrangement of classes was English; the law which held together the whole social order was English in essence, modified, of course, but primarily English; the dominant religious institutions and modes of theology were English adaptations of Christianity; the types of formal education, the amusements, furniture, fashions, art, and domestic codes were all fundamentally English too. The language of bench and bar, pulpit and press, was English. Pamphlets and books of the epoch written in Dutch and German no doubt fill a large space on the library shelf; but in truth they are remarkable, not so much for their bulk, as for their relative insignificance when measured against the huge mountain of declamations and arguments in English that have come down from that provincial age. The list of Scotch and Irish soldiers in the revolutionary army is imposing; still more so is the register of Englishmen. Presbyterians of Pennsylvania fought well under Washington; the shot that was heard round the world was fired at Concord by a Puritan. Whether for praise, blame, or merriment, colonial America was basically English; it was governed under the auspices of the English ruling classes; its chief channels of communication with Europe ran along English routes.

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The prevailing class structure by which the provincial culture of America was so largely conditioned was derived

in the main from the mother country. Although it is sometimes imagined, on the basis of schoolbook fictions, that the colonies were local democracies formed on the pure principles of a New World philosophy and founded on substantial economic equality, the facts of the case lend little color to that view. In reality, by the colonizing process, the middle orders of England—landed gentry of the minor rank, merchants, and yeomen—with their psychology and social values were reproduced in a new environment.

At home these classes had carried society forward on the long road from feudalism to the modern age; in America, freed from the immediate pressure of a titled aristocracy and clerical hierarchy, they advanced rapidly ahead of their English contemporaries in the degree of their sovereignty over matters of law, religion, intellect, and æsthetic interest. Every colony had this class heritage developed into a well-articulated scheme of social subordination. It is true that the status of the ruling element was not as plainly marked by legal signs as in the mother country and that the gates of entry were slightly more ajar but its grip upon industry and local politics was no less secure.

In seaboard New England the dominant order was composed principally of rich merchants, their dependents, and advocates—a few of them the offspring of English gentry. Though it rested a little lower in the social firmament than the official families of royal governors, distinctions in dress, houses, equipages, and manners separated it widely from the farmers, artisans, and servile elements of the population. "Most Boston merchants," wrote a scion of later days, "owned slaves as house servants and bought and sold them like other merchandise."

Of course titled persons in old England sniffed as they caught the smell of tar and salt fish on the garments of the mercantile order of the Bay but the sturdy Puritans did not worry about the snub. They even boasted of the smell. "Our ancestors came not here for religion. Their

main end was to catch fish," cried a Marblehead sailor when the preacher laid on too hard. As if in defiance, the grandest old families of Boston and Salem decorated their mansions with graven models of the sacred cod and appeared unashamed in the columns of the newspapers as dealers in rum, salt, rope, pitch, grindstones, and fishing tackle. Although bluebloods of ancient lineage might turn up their noses, although the higher strata that pressed about the royal governor might resent the intrusion of "new people," the salt-water merchants managed the politics of New England legislatures with little interference from farmers and mechanics and servants.

Below the Potomac the upper class had another economic foundation—the landed estate kept intact from generation to generation as in England by the rule of entail or primogeniture or both. Cherishing the conventional emotions associated with the soil, Southern planters arrogated to themselves all social prestige, scorning mercantile arts and persons engaged in trade, except, perhaps, in Charleston where occasionally a landed family augmented its fortune by a happy jointure with the master of a counting house.

Like lords and squires in the mother country, slave-owning barons took the lead in politics as they did in social affairs. At elections held in the open air in county towns, they easily cowed all but the bravest freeholding farmers and named their own men for public offices. If a schism among them threatened their dominion, they united again with a swiftness that took the breath of the opposition. Yeomanry from the hinterland often came to the provincial capitals to tilt and charge but all in vain; the landed gentry of the plain could not be unhorsed. Resorting to private tutors or to Oxford and Cambridge for their learning, such as the times yielded, they staved off the growth of popular education in the South and the restive democracy connected with it.

Secure in their economic and political power, the planters of Virginia soon assumed the style of the Cavalier. And

their descendants proudly carried on the tradition of Cavalier blood undisputed until a modern historian of scientific temper, T. J. Wertenbaker, made a searching inquiry into the facts of the case and published his findings. By way of preface he pointed out that the title of Cavalier, far from giving a clue to the possessor's rank or lineage, merely indicated membership in a political faction: many a tinker cheered for King Charles.

Then, after a survey of genealogical tables, Wertenbaker came to the conclusion that "a careful collection of the names of the Cavaliers who were prominent enough to find a place in the records shows that their number was insignificant." He could report only three families in all Virginia "derived from English houses of historic note" and three more that sprang from "the minor gentry." So the verdict was rendered that Virginia was settled by merchants, shipping people, yeomen, indentured servants, and slaves. But those who climbed upward into the possession of great plantations quickly assumed the cultural guise of the English aristocracy in that flexible fashion so characteristic of all mankind.

For the social order of the middle colonies a mixture of land and trade gave the economic basis. In Pennsylvania, rich merchants usually carried off the emoluments and the honors, political and cultural. In New York, patroons and mercantile families of Dutch origin retained their high place in society when the English took over their inheritance but in time new houses ruled by the conquerors rose beside Dutch establishments in town and country. Trade and land furnished the military, political, and social leaders of the province. Indeed, the dominant gentry of New York resembled the Whig lords of England who united landed property with fortunes invested in business and they were in some cases connected by ties of marriage with the English nobility. Staats Long Morris, the elder brother of Gouverneur, for example, rose to the post of major in the British army, married the Duchess of Gordon, and

remained loyal to King George to the end of his days. If the Delanceys were not equal to the Newcastles in wealth and finesse, they were at least competent to manage political spoils of no mean proportions.

Even the pocket boroughs of old England had copies on the banks of the Hudson; some of the lordly masters of New York manors were represented in the provincial legislature by delegates of their own choosing—with the assent of their tenants a matter of form. From mansions that were castles, the Johnsons ruled in the Upper Mohawk Valley with a sway that was half feudal and half barbaric, relying on numerous kinsmen, armed negro slaves, trained bands of Gaelic retainers, and savage allies from the dread Iroquois to maintain their sovereignty over forest and plain.

In all the colonies the ruling orders, in English fashion, demanded from the masses the obedience to which they considered themselves entitled by wealth, talents, and general preëminence. At Harvard and Yale, authority, houses, lands, and chattels determined the rank of students in the academic roll. In churches, Puritan and Anglican alike, congregations were seated according to age, social position, and estate. One old Virginia family displayed its regard for the commoners of the vicinity every Sunday by requiring them to wait outside the church until the superiors were duly seated in the large pew especially provided for them. A member of another proud family of the Old Dominion kept the vulgar in their place with such severity while she lived that she felt some atonement necessary in death; so she ordered her body buried under the pavement in that section of the church reserved for the poor—as an act of abasement and reparation. Even the Anglican clergy of the South were sometimes assigned to a lowly rank. When, for example, a parson of quality sought the hand of Governor Spottswood's widow, her family opposed the marriage with a painstaking argument designed to demonstrate the social inferiority of the position occupied by the

man of God. In New England, of course, no such indignity could be heaped on the head of the preacher. There he had the choice of the ladies and he could play the rôle of a pope to powerful merchants; but in Massachusetts during the later colonial decades his power so waned that he did not venture to interfere with the serious business of whaling, trafficking, and slaving.

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Next in order under the dominant families were the farmers—yeomen, as they were called in England—owners of small freeholds as distinguished from the gentry of large estates. They formed the bulk of the population in New England and the middle colonies and they peopled the back country of the Southern provinces. In the North they furnished most of the versatile Yankees, jacks of all trades, who sailed ships and carried notions to the four quarters of the world, when they were not working with their wives and children in the field, at the loom, or in the dye house. On the Southern seaboard, as we have seen, they founded many of the landed families who in later days boasted of Cavalier ancestors. Toward the frontier, especially from Virginia downward, the yeomanry was recruited to some extent from the ranks of the more fortunate indentured servants who found it possible to rise in a land of such opportunities when their term of service was over.

However diverse its origin, this large body of freeholders was composed of industrious and ambitious men and women. They were often illiterate, often housed in wretched huts, and often spurned by the upper classes but all through the colonial years they continued to fight their way upward from poverty in a determined quest for comfort, security, and influence. Aided by abundant natural resources, they rose higher and faster in the New World than in the Old, by that process preparing the way for the revolution in America.

Everywhere the men of this class, enjoying as landowners the right to vote, furnished the numerical majority of the popular party that resisted the pretensions of the English government and its American agents. If the merchants and riotous mechanics of the towns unwittingly started the war which led to independence, it was the farmers who supplied the drive that carried it through and who shed most of the blood spilled in the contest. If a Virginia gentleman of high position commanded the army, it was yeomen fresh from the plow who filled the ranks and carried the muskets. They were to be heard from in the days which followed the overthrow of British dominion in America, protesting against the rule of native merchants, financiers, and planters.

The third layer of the social order was composed of free artisans and laborers. Within the boundaries of each city was a body of independent workmen large enough, as we have seen, to give occasional alarms to timid merchants and to foreshadow troubles ahead, but the growth of this class in numbers and power was slow. Only those who managed to accumulate a little property were allowed to vote; and everywhere the brand of inferiority was stamped upon them. When the son of a Boston bricklayer was elevated to the office of justice of the peace in 1759, his right to the office was attacked on the ground of his low social origins; and his defense was not the dignity of his calling but a reply that the charge was false. "A poor man," lamented a colonial democrat of Philadelphia in the spring of 1776, "has rarely the honor of speaking to a gentleman on any terms and never with any familiarity but for a few weeks before the election. How many poor men, common men, and mechanics have been made happy within this fortnight by a shake of the hand, a pleasing smile, and little familiar chat with gentlemen who have not for these seven years past condescended to look at them. Blessed state which brings all so nearly on a level. . . . Be freemen then and you will be companions for gentlemen annually."

The hope of the laboring classes, thus buoyantly expressed, was generous, but the handicap of their economic status was not to be quickly overcome by any mere effort of the imagination. Even after the declaration of independence their position was not elevated in the eyes of ruling persons by the profession of radical doctrines. "It is of no consequence," coldly remarked John Adams in the Continental Congress in 1777, "by what name you call the people, whether by that of freemen or slaves; in some countries the laboring poor are called freemen, in others they are called slaves; but the difference as to the state is imaginary only. What matters it whether a landlord employing ten laborers on his farm gives them annually as much money as will buy them the necessaries of life or gives them those necessities at short hand? . . . The condition of the laboring poor in most countries—that of the fishermen particularly of the Northern states—is as abject as that of slavery."

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Below the level of freedom were the indentured servants employed usually in agriculture or menial work. Altogether these temporary bondmen made up a large proportion of the population, especially in the regions south of New York. It is true that, on the expiration of their terms of bondage, such servants passed into the class of freemen and that many acquired property and position in time; but their ranks were constantly recruited by newcomers from England and from the Continent and a large percentage never rose above the level of casual laborers after they served out their indenture. If no legal disability separated them from the main body of the population when their liberty was attained, the badge of their servile experience usually hung heavily around their necks. At all events, in the South, where they were despised by masters and slaves alike, they formed great settlements of "poor whites" that lay like a blight upon the land.

At the bottom of the social scale were the chattel slaves, more than half a million in number when the war for independence commenced. Though manumission was possible in some colonies, the law held most of the slaves in permanent servitude and, whether free or bond, their color marked them off from the other classes of every rank. In economic status, slaves who were fortunate in their masters often had a position superior to that of poor whites and unhappy indentured servants; but under the best of conditions they were silent members of the social order, liable to punishment for the slightest disobedience and to terrible penalties for serious crimes. They served as the foundation of the planting aristocracy in the South and labored as the servants of the mercantile class in all sections. Voiceless themselves, they found but few spokesmen in the white race. It was with extreme caution that John Woolman composed, in 1746, Part I of his pamphlet entitled Considerations on the Keeping of Negroes in which he argued that they were "of the same species with ourselves," endowed with natural rights, and held in bondage on grounds neither righteous nor holy.

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Like so many elements of the English class structure, the English family system, with its traditions reaching back to the dawn of history and its deep entanglements in property and the struggle for existence, was transported to the American colonies. According to the well-accepted principles of the common law, the husband and father was lord and master of the family establishment, although in practice his sovereignty was often nominal enough. In this arrangement, the wife and mother—the married woman—found her personality merged in that of her husband, her legal existence suspended if not quite extinguished, and numerous disabilities imposed upon her.

On the day of her wedding her lands and houses, in case

she had any such property, passed to the control of her husband unless reserved to her by a solemn pre-nuptial contract. The husband could take and use the rents and profits for his own ends; he could dispose of her interests without her consent; if he committed waste, she had no action against him; if he ran into debt, the estate could be sold for the benefit of his creditors. The woman's personal property—money, notes, bonds, jewels, and movables in general—became also her husband's to hold, use, sell, assign, or consume at his pleasure. "So great a favorite," wrote the learned and genial Blackstone, "is the female sex of the laws of England." So thoroughly were these high doctrines incorporated into American colonial law that two hundred years after the landing of the Pilgrims the American jurist and commentator, James Kent, had only to enumerate them and add a few slight variations to portray the legal rules of domestic relations then in force in the United States. Akin to the command of the husband over his wife was the authority of the father over his children, a strict control over the labor and services of his sons and daughters until they reached maturity, subject to little or no interference from the state.

Coupled with these privileges and preëminences, however, were many duties specified in the law of the family. The head of the house had to discharge his wife's debts incurred either before marriage or during wedded life for the ordinary purchase of goods. He was bound to maintain her by supplying the comforts and necessaries appropriate to his fortune and condition. He was liable for torts and frauds committed by his wife; where imprisonment was the penalty imposed he could be sent to jail for her misdeeds. Moreover, he was required to support his children until they became of age, if the state of his income admitted; and under the laws of some colonies he was ordered to give them the rudiments of education. As a matter of fact, the Massachusetts act establishing a limited compulsory education may be regarded as the entering

wedge by which the community finally broke the almost absolute authority of parents.

Another ancient family institution imported into America by the English was the custom of regulating the transmission of landed property with a view to holding wealthy houses intact. To that end, two capital principles were especially adapted: the law of entail made it impossible for the owner of land to sell or give away his estate and the rule of primogeniture provided that, in the absence of a will to the contrary, "where there are two or more males in equal degree, the eldest shall inherit; but the females altogether." The predominance of the eldest male, based upon the economy and government of a feudal society, prevailed in eight of the thirteen colonies.

In the South, from Virginia to Georgia, primogeniture was accepted as a matter of course, for it guaranteed to planting families a certain continuity in the possession of their fortunes; and the practice of entailing estates also extended throughout that region, excepting South Carolina, where the custom had been forbidden by law. With a high degree of consistency, New York and New Jersey, as royal provinces, adhered both to primogeniture and entails, and, for that matter, so did Rhode Island save for a few years in its checkered career. Although the spokesmen of the yeomanry and the merchants often railed against such institutions, they were unable to destroy these vestiges of feudalism. Even in New England, where the leveling spirit of the freeholder was strong and where legislation was enacted favoring equality among children in general, including girls with boys, provision was made for giving the eldest son a double portion of the inheritance.

In accordance with kindred traditions, parents played a large rôle in the negotiation of marriages, especially those endowed with earthly goods—always with a sharp eye to preserving the family status. Landed gentlemen of the South, as in old England, looked for happy matches that might swell their fortunes and elevate their position. Puri-

tans, emphasizing the civil character of marriage rather than religious sanctions, were equally sagacious in effecting jointures; the custom of seeking "good providers" and daughters and widows "well placed" was as firmly fixed in Massachusetts as the common law itself. Among accounts of the high and the low, amusing illustrations of the practice appeared—in Judge Sewall's diary, in advertisements, in Franklin's lampoons, for example. Whenever a lucky bargain was struck, the newspapers caught up the glad refrain. On one occasion a colonial editor announced that a happy groom had wed "a most amiable young lady with £10,000 to her fortune," filling in the details for the public.

The integrity of the family institution was generally protected by laws against carnality. Teachings of the church fathers on the wickedness of human nature, consecrated by centuries of Catholic propaganda and taken literally by Puritan and Anglican, were made, like due process, the law of the land in their new home. Fines, public confessions, brands, or lashings were usually prescribed for the incontinent and the records seem to indicate that, as a rule, it was the woman, not the man, who got the heavier punishment—a practice defended on the ground that her offenses might corrupt the family strain. Originally Connecticut and Massachusetts made adultery a capital crime, but in 1673 the former colony substituted branding for the death penalty and about twenty years afterward the latter adopted in its place a law requiring guilty persons to wear the scarlet letter—a milder rule borrowed from Plymouth. Respecting all the cardinal points of waywardness and all lapses from reputability, the canons of Virginia were as savage as those of Massachusetts.

As is generally the case, the eye of the law was everywhere quickest in discovering the shortcomings of the lowly. The lot of the indentured girl, for instance, was especially hard; if she fell from community grace and brought a child into the world out of wedlock, she was given an extra year

or more of bondage, while the father of the child, if the master, usually got off with some trivial penalty imposed by the court of his peers. Even for their frivolities the women of New England were roundly scored in sermons. "At the resurrection of the just," exclaimed a divine, "there will be no such sight to be met as Angels carrying painted ladies in their arms."

In spite of the tenacity of inherited English custom, the relative religious freedom and the economic opportunities of the New World worked radical changes in the spirit of the family institution. The Puritans of Massachusetts were in open revolt against Catholic and Anglican doctrines with respect to matrimony and, in keeping with their professions, they made marriage a civil institution, taking it out of the hands of the clergy, but in 1692 they were compelled by the Crown to accept the ecclesiastical ceremony as of equal validity. Fully aware that the law of England which controlled their charter provided that weddings should be solemnized by ministers, they effected their departure by practice long before they ventured to sanction it by statute in defiance of the mother country.

Putting aside also the Catholic bar against divorce and the Anglican modification which permitted separation only on the ground of adultery, Puritans authorized the dissolution of the matrimonial tie for various reasons, including desertion and cruel treatment. Likewise, among the Quakers marriage became a civil institution requiring for legality merely pledges of loyalty made in the presence of witnesses, while divorce was permitted on scriptural grounds. Moreover, even conduct during marriage was to some extent controlled by law in Massachusetts, where the custom of England which permitted the husband to chastise his wife was abolished and wife-beating forbidden by statute. Thus the Puritan woman was protected against a cruel husband and allowed to escape, if she wished, from his harsh régime. Only in the colonies where the Anglican party was dominant did the strict rules of the English law apply to the making

and breaking of marriage bonds—with a tendency, however, even there in the direction of equality in the validity of civil and religious celebrations of wedlock.

The economic conditions of America, as well as religious ideas, gave direction to the evolution of the family. The ease with which youths could enter new occupations, such as merchandising, tavern keeping, fishing, and shipping, tended to break the rigidity of the family's class status, permitting rapid movement up and down the scale. Reenforcing this process was the abundance of cheap land—the virgin soil of the frontier that was always beckoning sons and daughters away from the parental roof, inviting them to make homesteads of their own in distant places. Furthermore, as we have already indicated, in five of the thirteen colonies, Massachusetts, Connecticut, New Hampshire, Maryland, and Pennsylvania, where the rule of primogeniture did not obtain, inheritances were equally divided among all the children, saving generally to the eldest male a double portion. In the dissolution of estates, the first-born son was dethroned as head of the family and the ancient pillar of unity thereby destroyed.

Under the pressure of these forces and enlarged opportunities, bonds of kinship were snapped; branches of families and emancipated individuals scattered themselves among settlements all the way from New Hampshire to Georgia; and young men of ability made their way out of poverty with a speed that kept all society in ferment. By no social magic could any institution as secure as the English county family be maintained in America. Even in Virginia, where the most heroic efforts were made to uphold class barriers, pushing yeomen were ever breaking into the older and more seasoned circles; Jefferson, the son of a back-country farmer, could marry the daughter of a Randolph. In this fashion the individual in colonial times began to emerge from the family group, as children commenced to cast off the restraints of class and parents in the choice of mates, occupations, and careers.

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Among the ruling orders the manners and diversions of the colonial age, so closely affiliated with domestic institutions, were almost identical with those of the middle classes of the same type in Great Britain. Historians for the sake of convenience were wont to speak of Puritan New England, the Cavalier South, and the commercial Middle Colonies as representing distinct schemes of culture but the simplicity of the classification is responsible for many an error. If we look at the statute books, which pretend to universality, it appears that delights of the flesh and skepticism in religion, even the faintest, were condemned with equal severity in Virginia and Massachusetts. Puritan Boston gave to mankind one of the greatest freethinkers of the colonial era, Benjamin Franklin, who was in most matters, including his relations with women, unconventional enough for the gay gentlemen who toasted Prince Charlie; though he fled from Boston to Philadelphia to breathe a freer air, he was the product of Cotton Mather's province.

On the other hand, under genial Southern skies, were reared the families that brought forth in America the two outstanding pietists of the nineteenth century, Robert E. Lee, whose lips were never profaned by an oath, whiskey, or tobacco, and Stonewall Jackson, who opened every battle with a prayer. Rum as hot and wines as rich as any that graced the planter's table were found on the boards of the noblest divines and the strictest merchants of Boston.

Nevertheless, Puritanism threw a dark shadow over many of the amusements deemed harmless in Virginia. The strictness of Cromwell's generation—that excessive reaction to the lewdness and vulgarity of the Elizabethan age—was reproduced with its Biblical sanctions in New England's legal code. Sabbath was made a solemn day, meet only for preaching, praying, and Bible reading; all labor, not strictly vital, and all frivolity were forbidden by law. Theaters and Maypoles—the latter historic symbols of

passionate carnality—were frowned upon. Drunkenness, riotous living, and adultery were regarded with horror by the elect and penalized by the lawmakers partly on theological grounds and partly with an eye to industry and thrift.

And yet, far and wide as Puritanism reached, New England was not as deadly uniform as superficial writers imagine. Before Boston was three generations old, alien elements broke the severe regimen of the fathers. In spite of the hostile reception accorded to them Anglicans, Presbyterians, and Huguenots insisted on settling down among the faithful, becoming so strong in numbers and wealth that the English government wrote into the new charter of 1691 a clause making property, instead of church membership, the test for the suffrage. On the eve of the Revolution, more than one-third of the rich merchants of Boston were outside the pale of the Congregational Church, adhering to manners and customs of their own.

In Connecticut, as well as Massachusetts, there were many good Anglicans who winked at the blue laws and thought with King Charles II that God would not punish anyone for taking a few pleasures by the way. Rhode Island too was a thorn in the side of the righteous in Boston because it tolerated from the first a laxity in religious opinion and a personal liberty that violated accepted traditions. In fact, the descendants of the pioneers who followed Roger Williams and Anne Hutchinson into the wilderness were more active in the manufacture and sale of rum than in the enforcement of Sabbatarian discipline. New Hampshire likewise showed strange folkways, especially after the Scotch-Irish began to pour into the province and clear the hills of their crowns. In any event, the law was one thing and its execution another; the clergy and the politicians could get a penal measure through a legislature easier than they could carry it into operation.

Notwithstanding strict laws with respect to Sunday observance and sins of the flesh, there was in the South, above

all in Virginia, a joyous, light-hearted, and hilarious mode of life which offered a strong contrast to the more sober hues of New England. Over wide areas the tastes and manners of English landed families were reproduced. Fox hunting, horse racing, circuses, gambling, cock fighting, dancing, and drinking contests were among the frequent and reputable amusements of the time. The economy of the planting South, like its tradition, was on the side of easier and merrier ways among the upper classes. There was more leisure among masters and mistresses of slaves than among the farmers and seafaring merchants of New England who had to depend on sobriety and industry for their daily bread. There were great manor houses equipped with the luxuries that made entertainment a delight: the furnishings, plate, and good wines of the Old World.

As a rule, the planting families were widely separated on huge manors where routine weighed so heavily on their lonely hours that every opportunity for a joyful rebound from the racking tedium of rural life was eagerly seized. Guests and travelers—especially wayfarers bringing news from the outside world—were treated like princes, the revels of gay parties affording an outlet for the pent-up emotions of dull days. Moreover, in the South Sunday was Sunday, not the Sabbath of Puritan holiness; if all persons were supposed to be in their places at the parish church for the appointed services, the ban on solemnity, according to Anglican and Catholic custom, was lifted when devotions were over. The planting section was, therefore, a land of "good living," that is, for the owners of large domains, mansions, and slaves.

From the life of that rich Virginia gentleman, George Washington, abundant illustrations of this statement can be taken. Washington loved the best of clothes, superfine scarlet cloth, gold lace, ruffled shirts, and silver buckles. "Whatever goods you may send me," he wrote to his factor in London, "let them be fashionable." His taste for good wines was known far and wide; though temperate for his

day, he usually took four or five glasses of Madeira for dinner and finished off with a draught of beer and a small glass of punch.

A good horseman himself, Washington had a passion for horse races and indulged it by contributing to racing purses, entering his own steeds, attending the contests, and betting cautiously on his favorites. He heartily enjoyed games of chance; in his diary he often records "bad weather, at home all day over cards"; but his bets were never extravagant: the largest winning entered in his account is three pounds and his largest loss nine pounds and fourteen shillings—equivalent to three or four hundred dollars in modern terms. Theaters, circuses, and cock fights had an irresistible appeal for him. He was at the front at country balls in his neighborhood, in moderate drinking bouts at the tavern, and in fox-hunting parties. His own home was the scene of constant merry-making; in two months during the year 1768 he entertained at dinner or had guests for twenty-nine days and dined away from home on seven, with other diversions in the meantime. Between his social obligations and the management of his estate, Washington had little time for literature, even in the days before heavy duties of state fell to his lot. In the journal that tells how he spent his hours, he entered in his youth two notices of works he had read; after that he either found no book worthy of mention or gave up reading entirely.

Though the social life of the South was mainly rural, there were a few towns where the urbanities flourished. Charleston, for example, was a center for pleasure-loving and well-to-do people who came from all directions if only for the season. Music, art, dramatics, and lectures were there added to the customary routine of life; from 1737 to 1822 excellent concerts were given under the auspices of the St. Cecilia Society. No ban was placed upon the theater and English players as well as local talent amused or thrilled the social set—ladies no less than gentlemen. At

Southern ports English men-of-war often lay at anchor for weeks, when the officers from the vessels added color and vivacity to parties and ceremonies on shore.

Pennsylvania evolved a third type of manners and customs. Forbidden by their code to make lavish displays, loyal members of the Quaker sect upheld the ideal of simplicity. Though deeply religious like the Puritans, the Friends believed in perfection more than in sin, in guidance by the inner light rather than in restraints imposed by the authority of the clergy and magistracy. They frowned as darkly upon the joys of the flesh, upon music, drama, and dancing but they did not use as much force in stamping out such diversions among their wealthy neighbors. Their creed of the simple life, though often violated by the rich, notably by the Penns themselves, laid emphasis on equality rather than on distinction, and in that way put most of the sect outside the "society" constructed on the basis of waste and spending power. Leaning in faith toward philosophic anarchy, the Quakers were not absorbed in politics as much as the Puritans of New England or the Anglicans of Virginia. Relying for support on the teachings of Jesus rather than on sectarian dogma, their inclinations were toward tolerance rather than uniformity, inquiry rather than authority, charity rather than damnation.

All these circumstances conspired to make Philadelphia the most tolerant and secular city on the continent. A combination of wealth, philanthropy, and moderation promoted intellectual activity of a humane and realistic character. Long before the Italian Beccaria wrote his treatise on the theory of prison reform, the Quakers had begun the practice. Philadelphia could with justice claim the first circulating library, the first medical school and hospital, the first fire company in America, the earliest municipal improvements, and the first legal journal. It was the scientific center of the colonies for the study of botany, astronomy, mathematics, physics, and natural history. It was the home rightly chosen by Benjamin Franklin when he fled from

New England and selected a seat for his publishing business, the spot from which went forth his call for the foundation of the American Philosophical Society—the scene of continuous meetings of scientific and free speculators until the seizure of the city by the British during the Revolution. At dinners in fine old mansions or at lively parties in taverns, the merchants and scholars of the city assembled to discuss everything under the sun. A serious air, though not Puritan, hung over the place.

Still a fourth type of social life developed in New York, a colony that was neither Puritan like Massachusetts nor Quaker like Pennsylvania. Though its ruling order of merchants and landed gentry was mixed, being composed of English, Dutch, Scotch, and French Huguenots, its social distinctions seem to have been sharper than in New England or the lower Middle colonies. The richest families spent their winters in New York City, where amusements of various kinds from the theater to bull-baiting were furnished for their diversion, and they lived during the summers on their estates up the Hudson or on Long Island. In general, the upper classes of the province were freer from religious inhibitions on pleasure and less given to philosophic speculation than their Puritan neighbors and less scientific in their interests than the intellectuals of Philadelphia. While the Anglican church was established in the colony by law, not one-tenth of the people belonged to that communion or paid any attention to its ministrations. Dissent rather than conformity of any type was the note of the province. So there was a wide liberty of opinion for all except Catholics but it was apparently the liberty of indifference, not of reasoned toleration or skepticism.

Taking colonial America as a whole, therefore, it is evident that, in spite of certain similarities, there was a broad diversity in manners and customs. All the eighteenth century tourists from foreign countries were struck by that fact, by the "strange mingling of the uncouth, the totally wild, and the highly civilized and cultured." They were

impressed by the charm of Southern ladies, the number of excellent French books in the libraries of the planters, the elegant plate on the tables of Philadelphia and Boston merchants, the everlasting curiosity and questioning of the rural Yankee, the bustling enterprise of the ports, the forwardness of the laboring people, and the range of the intellectual interests.

If the travelers saw Jonathan Edwards shaking all New England over the roaring flames of hell in 1743, they also heard Benjamin Franklin exclaiming that "the first drudgery of settling new colonies being 'pretty well over,' Americans ought to do their part in scientific and philosophic inquiry." If they discovered any qualities which could be called distinctly American, they likewise found antagonisms of the most pronounced character. "Fire and water," wrote Burnaby, in 1760, after traveling more than a thousand miles in the colonies, "are not more heterogeneous than the different colonies in North America." The comfort of the free masses in contrast with the awful beggary of Europe and the sadness of slavery impressed every voyager. "In the course of 1200 miles," said Burnaby, "I did not see a single object that solicited charity. . . . The condition of the slaves is pitiable; their labor excessively hard, their diet poor and scanty, their treatment cruel and oppressive."

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The intellectual life of the colonies, like their hierarchy of classes, their social tastes, and their domestic institutions, sprang from the British heritage of the seventeenth century, developed under the influence of local circumstances, and was modified by the currents of new opinion from the Old World that from time to time touched their shores. Inevitably the dominant interest in the beginning was theology. From the break-up of the Roman Empire to the beginning of the colonial era, the clergy had been the leaders in thought and instruction. As a rule they were

the makers of books, the teachers in schools and universities, the compilers of laws, the guardians of all things of the spirit.

When John Smith sailed away to Virginia from England, the clergy still ruled intellectual life all over Europe. Secular learning, books on travel, reprints of the classics, and treatises on law were no doubt gaining on theological tomes but the monopoly of the clergy over formal learning was unbroken. The Protestant revolt had come; reformers of the Anglican church—Latimer, Ridley, Hooper, Jewel, and Grindal, some of them martyrs—had assailed the pope, episcopal vestments, high altars, and other symbols of Rome as the trappings of superstition, but with the zeal of the early church fathers they, too, had resorted to the logic and rhetoric of theology for their arguments and kept their minds subdued to that great branch of learning, even when they appealed to reason for support. Puritan divines had attacked the Church of Ridley and Hooper as still savoring too much of things Roman, but they also spoke the language of theology, no matter whether they discussed the salvation of souls or the affairs of the body politic. The Separatists who in turn broke from the Puritans did not depart from religious sources in their search for words and ideas to justify the faith that was in them and the conduct that pleased them. Neither did the clergy who spoke for the Presbyterians, Huguenots, Lutherans, Dutch Reformers, Moravians, and other sects that scattered their congregations from New Hampshire to Georgia. Everywhere, except among the Quakers, who had no clerical estate, preachers, with their passionate interest in dogma, in theology, and in dominion over the minds of laymen, stood at the gates of knowledge with flaming swords.

Following the tradition of sixteen hundred years in the Old World, the Puritan divines of New England took to the printed word with holy fervor, filling yards of shelves with volumes, tracts, and pamphlets. They wrote heavy treatises on The Great Christian Doctrine of Original Sin

and brochures on Eternal Damnation and the Punishment of Sinners. Even secular matters, such as wars and shipwrecks, were viewed in the light of divine purpose. In a booklet on troubles with the Indians, a learned author revealed the spirit and method of his craft by adding the subtitle: "Wherein the frequent Conspiracies of the Indians to cut off the English and the wonderful providence of God in disappointing their devices, is declared." The difficult issue of demonology was covered under an ample head: "Cases of Conscience Concerning evil Spirits Personating Men, Witchcrafts, Infallible proofs of Guilt in such as accused with that Crime. All Considered according to the Scriptures, History, Experience and the Judgment of Many Learned Men." Such were the great themes that occupied the most powerful minds of New England in the age of clericalism.

Among the towering theologians of America two stood out as veritable Titans: Cotton Mather, the scholar, and Jonathan Edwards, evangelist and thinker. The first of these, a son of Increase Mather, the thundering clergyman who tried to fasten the church on the state in Massachusetts and then to make the established clergy the masters of the church, was born and reared in Boston. By tireless labor Cotton Mather amassed a prodigious quantity of knowledge mixed with the curious delusions and amazing credulities of his time. He studied Hebrew as well as Greek and Latin, explored the mysteries of theology, dabbled in the secular learning of the ancients, and took an interest in English grammar just separating from the Latin, in missions to the Indians, and in inoculation for smallpox, which was then a burning issue. He wrote huge volumes on religious questions—roads to salvation and ways to hell. He rolled from the press innumerable pamphlets on every conceivable point of theological interest and made pretensions to authority worthy of a Tudor or a Bourbon. His style, like his manner of speaking, as a contemporary remarked, "was very emphatical."

Across the border in Connecticut, Jonathan Edwards, a son of Yale, rose high in the theological firmament just after Cotton Mather's star sank on the horizon. Mather died in 1728; Edwards was born in 1703 and reached the summit of his power as the colonial age was drawing to its close. The Connecticut divine combined a passionate evangelical temper with sober thinking on recondite questions of human destiny. Sinners he scourged with awful fury: "The God that holds you over the pit of hell, much as anyone holds a spider, or some loathsome insect, over the fire, abhors you and is dreadfully provoked; his wrath towards you burns like fire."

With such assurance and violence did Edwards preach this gospel that his labors bore fruit in weeping, wailing, and sudden repentance among his horrified auditors, culminating at length in a tumultuous frenzy, known as the "Great Awakening," that ran over New England like wildfire, spread into the other colonies, and finally expired in a spasm of exhaustion. No excesses alarmed him; no failures damped his ardor. He devoutly believed that the discovery of America was the work of Providence, that the village in which he preached was the special object of God's attention, and that he himself was called from on high to begin the renovation of the earth.

Some of Edwards' ambitions were unfulfilled but his occult writings translated into several foreign tongues excited the enthusiasm and admiration of Protestant theologians in the far corners of the earth; Holland preachers read Edwards in Dutch; in Beirut his volumes appeared in Arabic. John Wesley, the English evangelist who was destined to succeed Edwards as a theological crusader, drew inspiration from his life and sermons. Fichte, the German philosopher, called him "the most original thinker in America." Those in a position to judge tell us that his discussion of free will in his dissertation on the origin of sin is among the great classics of the pre-scientific age. "The only relief I had was to forget it," remarked the droll

Boswell, commenting on Edwardian doctrine in a conversation with Doctor Johnson.

With the spread of printing, the theories of theology, sometimes in curious shapes, ran as current coin among the masses, especially in New England, where even the thundering Mathers could not awe the pews into silence. In fact, the Puritans, men and women alike, went to church with notebook in hand, followed the argument of the preacher with the closest attention, studied it zealously during the week, and discussed it minutely at the regular open forum held for that purpose. They were not monks trying to find out how many angels could stand on the point of a needle; they were plain citizens, whole communities indeed, soberly debating solemn questions of faith and conduct: "Can there be an indwelling of the Holy Ghost in a believer without a personal union? Is it lawful to have dealings with idolators like the French? Should women wear veils?"

To lectures on fine points of personal salvation they were especially devoted. A young lady, whose hand Judge Sewall was seeking, rejected him because she was so engrossed in theological debates that she could not consider matrimony; she would not give up this favorite diversion though he presented her with gifts of books on religious questions and supplemented them with glazed almonds, meers cake, and a quire of paper. In fact, the magistrates of Massachusetts had to reduce the number of religious lectures in order to give laymen more time for business and labor.

In their feverish search for the origin of evil, their continuous output of scholastic literature, their interminable debates on obscure points of theology, and their occasional outbursts of religious frenzy, colonial Americans were merely operating on the mental plane of their European contemporaries. Even the witchcraft hysteria of Massachusetts, one phase of religious experience, was sanctioned by laws and practices already hoary with ten thousand years when the *Mayflower* dropped her anchor off Cape Cod.

The Bible in many passages lent its authority to the idea of witchcraft. "Philosophers and physicians, popes, prelates, divines, statesmen, judges, and monarchs"—the wise, the learned, the high, and the good—had from time immemorial profoundly believed in it, and approved the execution of persons charged with that enormity, often invoking the science of demonology to destroy their enemies.

The very decade that saw the founding of Jamestown also witnessed a new act of the English Parliament laying the penalty of death on persons guilty of witchcraft, sorcery, charm, enchantment, and such "infernal arts"; and nearly a hundred years after the Salem craze the sober Blackstone declared that to deny witchcraft and sorcery was to fly in the face of the Bible and experience. It was in the light of "the wisdom of the ages" that the citizens of Salem made their own adventure in demonology in 1692.

In these circumstances it is not the atrocities committed by the witch hunters but their moderation that surprises descendants of the Puritans: the fit was localized and its term was brief, the killing time lasting only about four months. The number of victims was relatively small: twenty persons were put to death by hanging, fifty who confessed were set free, one hundred and fifty lay in prison when the tempest blew over, and two hundred more were under accusation. Massachusetts judges were no doubt severe but so was Henry VIII; so was Calvin; so was the Spanish Inquisition. The age was cruel in its persecuting spirit everywhere, but it may be said for the witch hunters of New England that most of them became convinced of their error, offered expiation in the form of public mourning, and gave relief to the families of their victims—a degree of abasement and apology for folly not often found in the annals of those who hang and burn the prey of their opinions and delusions.

In reality, therefore, witchcraft in New England was merely one of the scenes in the passing of demonology from the western world. Twenty years after Salem recovered

from her spasm, England convicted a witch in solemn trial; sixty years later the Holy Inquisition at Seville ordered a woman burned for practising the black arts; and in 1793 a public execution for that offense was carried out in Germany.

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Theologians of every sect, school, and persuasion, in struggling to maintain their empire over the intellect of the modern world, were fighting a losing battle against fate. In the colonial age, between the founding of Jamestown and the Declaration of Independence, that is, between 1607 and 1776, there was taking place throughout western civilization a radical upheaval in the affairs and thought of mankind. The discovery and exploitation of the New World, with its luxuriant natural resources, multiplied the numbers and piled higher the riches of the bourgeoisie, a class which was in conduct and interest, whatever its professions of faith, primarily secular.

The same fruitful economic development, that gave thousands of starving European peasants prosperity in America and poured treasures of specie and goods into the markets of the world, opened up before the submerged masses of England and the Continent for the first time in their long history the possibility of attaining for themselves something beyond a bare pittance—some of the certainty, some of the pleasures and luxuries that had been enjoyed only by lords, merchants, and bishops. No philosophy of innate sin, of a baffled life, no promise of transports in heaven could stem the great desire of multitudes for the delights of this life enjoyed by their superiors—and all these strivings were secular in spirit and outcome.

Closely affiliated with this movement were the rise and flowering of natural science, free thought, both as an instrument of inquiry into the nature of mind and matter and as a servant of earthly utility. In 1620, the year in which the Pilgrims began to wrestle with the stubborn soil at

Plymouth, Sir Francis Bacon gave to the world his Novum Organum, the second part of his Advancement of Learning, in which he set forth—not for the first time, but with impressive eloquence—the revolutionary doctrine that man could master nature by observation and experimentation and that the conquest of nature was more important than proficiency in the speculations of the schoolmen. As he said, he cast the light of induction into the obscurity of philosophy, a light that would shine long afterward on the erection of palaces, theaters, and bridges, the construction of roads and canals, the foundation of schools for the education of youth, and the enactment of laws for the improvement of mankind. The tocsin of a new day was rung.

Bacon had hardly passed from the scene when John Milton, in majestic prose, proclaimed freedom of thought and the press as the ideal for all coming ages—emancipation of learning from the clerical censor. "To the pure all things are pure. . . . Knowledge cannot defile, nor consequently the books if the will and conscience be not defiled. . . . All opinions, yea, errors known, read and collated, are of main service and assistance toward speedy attainment of what is truest. . . . To prevent men thinking and acting for themselves, by restraints on the press, is like to the exploits of that gallant man who thought to pound up crows by shutting his park gate. . . . A forbidden writing is thought to be a certain spark of truth that flies up in the face of them that seek to tread it out. . . . Give me the liberty to know, to utter, and to argue freely according to conscience above all other liberties." Such was the novel argument uttered by a Puritan statesman nearly one hundred years before the birth of Thomas Jefferson.

In the spirit of Bacon and Milton, even though usually independent, a score of scientists in England and on the Continent enriched the seventeenth century with intellectual achievements of the first magnitude. Descartes, French iconoclastic philosopher, with amazing effects labored at

his chosen task of clearing the mind of scholastic accumulations, breaking the power of authority over reason, and widening knowledge in mathematics, physics, and psychology. Four years before the death of Descartes in 1650, there was born, in Germany, Leibnitz, one of the prime thinkers of all times, who enlarged exact knowledge in many fields, encouraged original research, and bent natural science to the service of human welfare. In medicine, startling adventures were announced by indefatigable workers: in 1628 Harvey, a Cambridge graduate and physician to the king, published his thesis on the circulation of the blood; before the end of that century a great Italian doctor, Malpighi, had laid the foundations of microscopic anatomy. Even the starry heavens were now being scanned in the interest of understanding rather than of fortune-telling. In splendid succession, da Vinci, Copernicus, Kepler, and Galileo threw their powerful rays further and further into the limitless spaces of the skies. And then in the very age when Cotton Mather was composing sermons on sin, death, and hell, Sir Isaac Newton was expounding a theory of gravitation for the planets swinging in their orbits, freeing astronomy from the long-enduring sway of sorcery and divination.

Among the throngs who witnessed the funeral of Newton in 1727 was a young Frenchman destined to be high commander in the army of sappers and miners who overthrew the monarchy and clergy of France at the close of that century. His name was Voltaire. He had been driven from his own land for an attack on the government and while in exile he wrote letters on the English, portraying the religious and political liberty of England, such as it was, against the dark background of intolerance and despotism in France. For half a century more he turned out, in a continuous stream, histories, plays, novels, letters, and articles exalting reason, praising bourgeois comfort, and ridiculing the dogmas and officials of the Catholic church. At the very end of his days, he greeted Benjamin Franklin,

minister of the American Republic to the Court of Louis XVI, a skeptic from the New World in whom the spirit of liberty likewise fiercely burned. Around Voltaire was grouped an extraordinary body of writers—Diderot, D'Alembert, Condorcet, amid the host—who worked with tireless energy exploring all corners of knowledge and waging war on scholasticism and clerical dominion. Somewhat apart but still one of the great agitators of the eighteenth century was Montesquieu, whose work on the Spirit of the Laws became a text for American political thinkers and writers.

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The advancement of these new types of secular learning which extended inquiry into the causes of phenomena—from the decay of meat to the composition of the stars—unlike the mastery of theology, could not be effected by a single mind in a monastic cell or a Protestant library. It called for coöperation among numerous workers, for telescopes, laboratories, and mathematical instruments of many kinds. Barely was the need discovered when efforts were made to meet it. In England a center for the promotion of scientific activities was created by the foundation of the Royal Society in 1660; the very next year it appointed a committee to consider "questions to be inquired of in the remotest parts of the earth"; it encouraged research, issued publications, and formed ties among men of scientific temper as far apart as Virginia and Prussia.

Under the patronage of Louis XIV's great minister, Colbert, the new republic of learning was widened by the organization of the French Academy of Sciences. Already Austria had an institution for promoting study of the curiosities of nature, and by the end of the seventeenth century a similar society, inspired by Leibnitz, made its début at Berlin, preparing the way for the Academy of Sciences and Letters later endowed by Frederick the Great. Under royal and private patronage, men of scientific interests were

given money and leisure for travel and research, books and instruments were assembled for advanced students, botanical, geological and zoölogical collections were started, and the knowledge attained by inquiry was disseminated among the intelligent and curious of all countries. With the aid of the printing press, the sifted wisdom of the world was made available even to pioneers on the edge of English civilization in America; what it lacked in speed was made up by private correspondence.

Amid this feverish activity in secular learning old branches of knowledge appeared in novel form and new branches emerged from the mass of data as generalizations were made. Mathematics, raised to a high pitch by the Greeks and Arabs, was now pushed still higher by Descartes. The various divisions of natural science known to-day—physics, chemistry, geology, and botany—began to claim the life-long devotion of specialists and before the eighteenth century had drawn to a close each of these branches had at hand a goodly array of materials, discoveries, and hypotheses. In the same movement of intellectual forces, social studies assumed a more scientific or realistic form. History, which since the decline of Rome had been restricted mainly to monastic chronicles of events, began to appear in the guise of long political disquisitions; and finally, under the leadership of the versatile Voltaire, the first of the modern social historians, students of the past commenced to survey the manners and customs of peoples as well as the doings of kings, priests, parliaments, and warriors. Works on economics and politics, usually thrown off in the heat of parliamentary disputes, naturally wore the mask of controversy; and yet in spite of their contentious origin they took on more and more the spirit of science as the eighteenth century advanced.

Echoes of this European development which made inroads upon the theological monopoly, exalted science, and gave increasing significance to secular affairs, including the practical arts, naturally spread out to all continents, beat-

ing even upon the shores of Japan through the gate kept open at Deshima by the Dutch. Inevitably the American colonies, as a part of the European system, felt the impact of the new forces, especially after the first crude days of settlement had passed and growing wealth and leisure gave opportunity and time for study and inquiry. Although they contributed no Descartes or Newton or Leibnitz to the world of learning and speculation, the colonies were from the first hospitable to the spirit of science.

Indeed, there is a tradition to the effect that the men who founded the Royal Society in England first contemplated migration to the New World. According to that story, they planned to establish their association "for promoting natural knowledge" in Connecticut, under the presidency of John Winthrop, and only desisted at the request of King Charles. At any rate they made Winthrop, who was in London helping to promote their project, "chief correspondent" of the new academy "in the West." From that time forward Americans were enrolled in the Royal Society as members and contributed specimens, papers, reports, and data for its deliberations and collections. Paul Dudley of Massachusetts prepared noteworthy pages for its philosophical transactions. Even the theologians of New England were stirred by the movement. Increase Mather formed a club of scholars in Boston to pursue studies in natural history. Jared Eliot, "a preacher, physician, naturalist, and farmer," of Connecticut, made researches in agriculture and published in 1748 a significant work on field husbandry.

South as well as North, inquirers now prosecuted scientific studies with zeal and intelligence. In Virginia, John Banister made an exhaustive study of local plant life, which was published in the second volume of a great work by John Ray, the English naturalist, and was preparing a natural history of Virginia when death, in 1693, cut off his useful life. Another Virginian, Mark Catesby, in a comprehensive study of natural objects, covered not only his

native province, but the Carolinas, Florida, and the Bahamas, spending sixteen years, between 1710 and 1726, in the self-imposed task. His successors in the field, John Clayton and John Mitchell, both Virginia physicians and botanists, were members of the Royal Society, wrote papers for its transactions, and corresponded with scientists and scientific societies in various parts of the Old World. Clayton was in communication with the great Linnæus of Sweden and sent valuable reports to London colleagues.

The milder theological climate of Pennsylvania and the stimulus of the cosmopolitan center of Philadelphia were especially favorable to the flowering of the scientific spirit. In 1743 Franklin, himself a member of the Royal Society, announced that the time had come to form an American Academy; in a pamphlet on the subject he argued that, notwithstanding the handicaps imposed by the drudgery of settling a new country, something might be done for the advancement of science in America by coöperative efforts. The next year his project—the offspring of a literary and scientific club called the Junto, founded by Franklin in 1727—was started in a modest way; later it was reorganized; and in 1769 as the American Philosophical Society it was launched upon its long and distinguished career.

The purpose of the Society was the promotion of the applied sciences and practical arts and the encouragement of "all philosophical experiments that let light into the nature of things, tend to increase the power of man over matter, and multiply the conveniences and pleasures of life." Its membership included virtually all the leading representatives of secular learning in the colonies and many eminent scientists of the Old World, for example, Buffon, Linnæus, Condorcet, Raynal, and Lavoisier. To make accessible to its members the pertinent researches of scholars, the Society developed, under Franklin's direction and on the basis of his gifts, a library composed of the latest European works of a scientific and practical character, which formed a strange contrast to the theological tomes of the

colonial colleges. It began important collections in the various branches of "natural history," held conferences at which learned papers were presented and discussed, inspired the formation of local societies and museums, and has continued its significant career, unbroken, until the present day.

Several members of Franklin's circle won more than local honors as thinkers and investigators. Dr. Benjamin Rush made himself one of the few great mathematicians of his age and wrote important works on medicine besides; in 1773 he presented to the Philosophical Society an "Inquiry into Dreams and Sleep." David Rittenhouse contributed to the development of the thermometer, the compensating pendulum, and several mathematical instruments. When the Revolution broke out and he joined the patriot cause, a Tory poet warned him to stick to his last:

> Meddle not with state affairs;
> Keep acquaintance with the stars;
> Science, David, is thy line;
> Warp not Nature's great design
> If thou to fame wouldst rise.

Of that fellowship a fourth scientist, John Bartram, achieved distinction in botany, traveling far and wide in the colonies studying plant life, founding a botanical garden at Philadelphia in 1739, and earning from Linnæus the high praise of being "the greatest natural botanist in the world."

It is no exaggeration to say that Franklin, who stood head and shoulders above his countrymen in versatility and intelligence, was one of the first men of his epoch in the world and would have been an ornament to any nation. He was an original thinker and a diligent investigator. The range of his interests was boundless. Not only did he master the English tongue by the assiduous study of the best models such as Addison and Steele; he learned to read French, German, and Italian, opening by that labor the door to continental wisdom. He was in regular correspondence with fellow students in the young department of

science in England, France, Holland, Italy, and Germany; he knew personally men like Lavoisier, the chemist, and Buffon, the naturalist, and he won by the breadth of his knowledge and his contributions to the new learning the admiration of the leading scientists of his time.

In the practical arts of municipal government, as in natural science, Franklin made many contributions of prime importance. Through his printing establishment he brought the thought of the Old World to the homes of the New; he was the inspiration of the first American scientific society, the moving spirit in the creation of the first college on modern lines, author of significant works in social economy, an inventor, an experimenter and discoverer in the field of electricity, and founder of the first hospital in Philadelphia. Universities honored themselves by giving Franklin degrees; wherever he went the forerunners of the modern age sought him out. He was made a member of all the important scientific associations of Europe and to him were sent opinions and criticisms touching the course of thought throughout the western world. No one can run through the volumes of his published works without being profoundly impressed by the scope of his interests, the shrewdness and freshness of his observations, and the catholicity of his spirit. And to all his intellectual concerns Franklin added heavy business cares, travel, and long public service. It is not too much to say that Benjamin Franklin, in the age of George II, almost divined the drift of the twentieth century.

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In the field of historical writing more than in natural science the American colonists did work fairly comparable to that of their contemporaries in Europe. By Bradford's amazing story of the Pilgrims, a bridge was built between the narrow work of the monk and the treatise of the scholar. Though Bradford saw the wonders of Providence in the events of every season, he told a tale that makes

old Plymouth stand out of the past like a scene at night under the glare of lightning. After a while, historians, becoming less certain about the intimate purpose of God, contented themselves with recording and describing, thus preparing the way for the scientific school. By the opening of the eighteenth century, the spirit of modern critical scholarship appeared in historical writing in America as in Europe. William Stith's account of early Virginia issued in 1747 was based on careful researches in the records which would do credit to a present-day doctor of philosophy; unhappily his first volume was so dull that publication had to be discontinued for want of buyers.

About the same time, Thomas Prince of Boston applied the new methods to the history of New England. "I cite my vouchers to every passage," he remarked, "and I have done my utmost, first to find out the truth and then to relate it in the clearest order." Unfortunately his style was so heavy that he was not encouraged to complete his work. Near the end of the colonial period Thomas Hutchinson brought out the first volume of a history of Massachusetts which combined talent for research with dignity in composition and a certain air of impartiality, even though his loyalty to the British empire shone through every page of his story. Thus, the study of the past with a view to understanding had begun to produce American works at least as severe and detached, if not as pretentious, as the writings of Hume and Robertson in Great Britain. By systematic inquiries into colonial development, intellectual leaders in America were evolving a consciousness of local tendencies and a sense of their own historic mission.

Equally significant was the rise of social science, if in inchoate form. As time passed, the pressing questions of the day—trade, industry, land, paper money, relations with the Indians, western expansion, agriculture, and intercolonial union—were discussed with increasing independence and ability by a host of colonial writers, with Franklin, perhaps, in the lead. All the issues of economics and politics

that vexed the provincial age can be traced in detail and generality in the yellow pages of colonial pamphlets, books, papers, and magazines. Indeed, little that was important in current affairs escaped the shrewd writers of the time.

John Woolman, Quaker tailor and itinerant preacher, for example, turned a calm and steady mind upon the very foundations of the social order, the titles of his powerful tracts revealing the catholic spirit of his inquiries: Considerations on the Keeping of Negroes . . . Considerations on Pure Wisdom and Human Policy; on Labor; on Schools; and on the Right Use of the Lord's Outward Gifts . . . Serious Considerations on Trade . . . A Plea for the Poor . . . Considerations on the True Harmony of Mankind—the substance of some conversations betwen a labouring man and a man rich in money . . . The Substance of Some Conversations between a Thrifty Landholder and a Labouring Man. Under such heads Woolman, in the spirit of Jesus and with the caution of a worldly man, condemned slavery, the misuse of wealth, the evils of great accumulations, the miseries of poverty, and the waste of war.

Besides raising some pertinent questions as to the ethics of private property in land, Woolman made a plea for short hours and decent conditions for those who toiled. "The Creator of the earth," he said, "is the owner of it." Convinced that the passion for acquisition was the source of much wickedness and oppression and war, he warned the mighty to use their estates as people holding trusts from Heaven, exciting by his direct language such alarm among the more prosperous brethren in trade that his plea for the poor, though framed in 1764, was not published for thirty years. In the writings of this simple workman born on American soil in the reign of King George II are to be found the roots of American intellectual radicalism.

To the ever-widening group of secular interests, which now embraced science, history, and social economy, was added the law. In mediæval times the clergy had furnished nearly all the lawyers and had tried in their ecclesiastical

courts a wide range of important cases. During the Protestant revolt, however, clerical courts were stripped of a large part of their secular business, royal tribunals attended by secular lawyers taking over the development of jurisprudence with its profound economic and social implications. In the late period of colonial history, as we have said, this new profession flourished like the green bay tree, occupying a huge sector in the long battle line of verbal warfare—especially in the division of politics. If the lawyers, unlike the scientists, did not move in the direction of skepticism, they did present a secular front to the claims of the clergy on the empire of mind.

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The æsthetic interests of the American colonists like those of the intellect were subject to the law of inheritance, the demands of the local environment, the process of change, and impacts from outside. Naturally the passion for beauty, which all save the meanest desire to mingle in some degree at least with their labor, first found expression in objects of utility. None were so poor that they could not command shelter, and when the early stage of log houses passed, American architecture, derivative though it was of necessity, flowered into dignity and grace in many parts of the country. The Dutch clung closely to their own familiar models that were secure in custom. "New Amsterdam," as Lewis Mumford points out, in Sticks and Stones, "was a replica of the Old World port, with its gabled brick houses, and its well banked canals and fine gardens." Masters of baronial estates, in the South, instinctively followed English country-house models, sometimes importing bricks and stones to insure correctness. Thus in Maryland, Virginia, the Carolinas, and Georgia, under semi-feudal influences, rose mansions in the grand style reflecting a classical heritage filtered through Italian and French media and twisted to serve the ends of opulent Georgian merchants in England. These houses revealed taste, precision, and strength

but, like the Dutch homes of New Amsterdam, they were copies of traditional designs forced into a new setting. After all, the requirements of the Southern scene called for no essential departures.

It was rather in New England, with its closely-knit democracy and its firm communal life, that domestic architecture betrayed the widest spirit of originality. The subtle influence of use and respect for general interests worked vigorously in the mind of the designer-carpenter-builder; there was a sense of fitness, a grave power, and an engaging serenity in the structures erected by their hands.

All over the colonies, indeed, exigent factors conspired to keep both public and private buildings near to the substance of things. The amount of wealth yet amassed did not permit many designers to expatriate themselves for long years of apprenticeship, thereby cutting themselves loose from affectionate union with the earth of their ancestors. There were riches in colonial America, but few fortunes were great enough to allow that lavish display which separates the arts from the business of living and working. For such reasons as these the noblest examples of colonial architecture revealed the power of restraint and simple beauty, commanding the admiration of succeeding generations, and attracting servile copyists long after the conditions which nourished the models had passed away forever.

Similar influences told, of course, in the manufacture and purchase of colonial furnishings, the English heritage supplying models. The motive of use, as distinguished from sale and profit, gave sincerity to every stick and every fabric in the early days of colonial poverty. Tables and chairs made at Plymouth, like those of mediæval England, were stocky and built to endure for centuries; John Alden's work stands firm after the lapse of three hundred years. In the plain lines and severe forms was reflected a concern for strength and utility, and, perhaps, a spirit of revolt against the ornate designs of clerical establishments, akin to the

religious revolt—a disdain of soft things that was not modified until the first battle against the wilderness was won, allowing a certain geniality to creep into the labors of Puritan woodworkers, especially in Connecticut.

There was beauty also in the finest fabrics that came from the looms of colonial women and beginnings of promise in the other arts in the midst of much harsh and formal crudity. Experiments in pottery and glass in New Jersey and Pennsylvania undoubtedly would have flowered into praiseworthy achievements during the eighteenth century, particularly in the German communities, if English restrictions and the influx of cheap Dutch ware had not checked the enterprise of local artisans. Only in the South did economic conditions run severely against the creative arts; rich planters, even more than wealthy merchants of Northern cities, bought their finer goods and wares from England and the Continent; while slave labor bore no fruit of consequence in craftsmanship.

Everywhere, inevitably, the taste of the colonists was affected by the changing styles imported from abroad. When the severity of the Cromwellian age was followed by the luxuriant fancy of the Restoration, weathercock fashions veered anew in the provinces. The age of Queen Anne and the age of the Georges had their counterparts in the New World, introducing more gew-gaws, frippery, and tinsel.

Colonial artists who worked with the brush were truer to English standards than were the people at large to her common law and her patterns of living. Portraiture, being the prevailing form of art in England, naturally became the dominant expression in her colonies. Faces of kings, queens, clerics, nobles, and great bourgeois looked down upon the passing generations in the mother country; so in America faces of eminent divines, prosperous merchants, and rich planters—masters, mistresses, and some of their children—were fixed in oils for posterity. At first these colonial portraits were almost as stiff and awkward as the

saints and angels painted by the early Christian artists of Italy, but in time, after wealth brought patronage and leisure and after skill increased, angles were softened and an occasional grace touched with curving line the severity of lips and jaw.

Near the end of the epoch four painters had risen to high distinction and had largely outgrown the provincial setting —Benjamin West, John Singleton Copley, Charles Wilson Peale, and Gilbert Stuart. West, of simplest Quaker parentage, was born in a little village near Philadelphia in 1738. Though self-taught in the beginning, he managed at the age of twenty-two to reach Rome, goal of all aspiring artists, and under the shadows of great traditions his mind took on the form of established modes. Settling finally in London, where a rich market had long offered enticements to the painters of the western world, West was patronized by persons of quality and money. He succeeded Sir Joshua Reynolds as president of the Royal Academy, won the favor of the king, and received royal commissions. A knighthood was conferred upon him and at the close of his prosperous life, he was buried with pomp in St. Paul's. West's painting was "grandiloquent, pompous, pretentious, posed," a strange Quaker product, but his portraits made a strong appeal to the court circles and to the rising bourgeois of his day.

Copley likewise sprang from lowly origins and likewise spent his last years in fashionable London. He was born of Irish parentage in Boston one year before Benjamin West; and, except for some guidance from his father, a painter and mezzotint maker, he too was self-taught. After marrying a rich widow, Copley made the conventional trip to Rome. On the completion of his European studies, he returned to Boston, where he was liberally patronized by the upper classes and where he might have remained had not the Revolution broken in upon his career.

Combining high notions of royal prerogative with skill in portraying ladies and gentlemen of similar political doc-

trines, Copley, on the outbreak of the War of Independence, threw in his lot with the loyalists, and in the hour of their distress was forced to flee to London. There, like West, he became popular; he exhibited at the Academy, was graciously received in elegant circles, and flourished by painting the portraits of those who could pay. If, as the modern critic, Walter Pach, says, Copley "has the true note of the primitive in the intensity with which he studies his people and must be reckoned with portraitists of almost the highest order," still in none of his work did he break with tradition. It was in the spirit of such a generation that Peale and Stuart received the training which fitted them to become artists of "the republican court" founded after the establishment of independence.

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Every variety of intellectual interest and all the new streams of tendency were as a matter of course reflected in the colonial institutions for the diffusion of knowledge—schools, libraries, bookshops, and the press. Naturally, organized education, a heritage of the Old World, continued the traditions with which it started, for a mere sea journey of four or five weeks worked no revolution in it. When the period of settlement opened, the idea of free and compulsory education supported by public taxation for the children of all classes had nowhere occupied the thought of statesmen. In Europe education began with the upper ranks of society—in schools and colleges directed by the clergy; and it stopped far short of universality.

England borrowed this education from the Continent. In the Stuart age, when the colonies were founded, her system included the two universities, Oxford and Cambridge, the famous preparatory schools of Winchester and Eton, innumerable private grammar schools in which Greek and Latin ruled the curriculum, and a bewildering variety of elementary schools, including dame schools, where the

abecedarians taught the rudiments of learning. All the institutions of the higher range owed their start to private endowments: gifts of monarchs, powerful churchmen, lords, ladies, guilds, and merchants. Some were free to certain classes of students; others combined scholarships with tuition fees. No sign of free, tax-supported education had appeared except in the poor laws which aimed at keeping pauper children off the rates by training them for apprenticeship at public expense. If hungry for learning, the mass of artisans and agricultural laborers had to rely mainly upon the limited elementary instruction supplied by dissenting religious sects to the humbler orders that furnished most of the membership.

The characteristics of this system of education were few and simple. All formal instruction, except the most elementary, was given by the clergy or persons who conformed to the orthodox standards of the Anglican Church. In no seat of learning was religious doubt or heresy stamped out with more zest than at Oxford and Cambridge, where the spirit of Henry VIII's act for abolishing diversity of opinion was deeply cherished. The primary purpose of the higher studies, with Greek and Latin at the center of things, was theological—the preparation of young men for the church; but the religious elements were being rapidly diluted by secular students who sought training in the classics as the key to legal, medical, and other lore. By the seventeenth century, it had become the proper thing for country gentry and rich merchants to send their sons to Oxford or Cambridge as a matter of decorum and reputability. Such being the aims of the higher learning, two other characteristics of the system followed inevitably: the total exclusion of women from collegiate institutions and a marked indifference to the newest learning, especially to the rising subject of natural science.

From top to bottom the English educational system served as a guide to the immigrants who founded colonies in America. It is easy, of course, to point out analogies

with Dutch practice and to list important achievements by the Germans, the Scotch, and the Huguenots; indeed, some writers have ingeniously traced the sources of colonial education to Holland; and it must be admitted that there were striking similarities among the early schools of all Protestant countries, similarities which resulted from the fusion of Catholic traditions with sectarian aspirations.

However, the outstanding facts in this phase of colonial history are written plainly in the record. Graduates of Oxford and Cambridge were the educational leaders in the early colonial settlements; nearly two hundred of them came to New England within twenty years after the founding of Plymouth, and they were among the earliest preachers and teachers in Virginia. The first college founded in the colonies was Harvard, authorized by a vote of the General Court of Massachusetts in 1636, endowed by John Harvard two years afterward, and opened under Puritan auspices. The second American college was William and Mary in Virginia, chartered by the Crown in 1693 and launched under Anglican control. The idea of an institution of higher learning had been broached in the Old Dominion as early as 1617, but the governors, as practical men, had frowned upon it. Long afterward when Dr. James Blair, an Anglican of Scotch origin, went to the attorney-general with a request for a collegiate charter and urged that the people of Virginia had souls to be cared for, he was greeted by the explosion: "Damn their souls! Let them make tobacco." But the learned doctor was persistent and the college was founded in 1693. A few years later the third college, a Puritan institution, Yale, was chartered by the legislature of Connecticut to fit youths "for publick employment both in Church and Civil State."

Of the five additional colleges organized near the middle of the eighteenth century, three may be traced mainly to English origins; and all except one arose under religious leadership. Princeton was Presbyterian in inspiration, King's College—now Columbia University—was Anglican,

Brown was Baptist, Rutgers was Dutch Reformed, and Dartmouth, though non-sectarian, was missionary in motive. These institutions, however, had members of various Protestant sects on their boards of control and, unlike Oxford and Cambridge, opened their doors to Christians of many persuasions.

The one departure from the tradition of theological ends was made in the Academy, later known as the College, of Philadelphia. This distinctive institution sprang principally from the labors of Benjamin Franklin, who, in his grip upon realities, was more than a hundred years ahead of the schoolmen of his age. Franklin himself had never been ground through the college mill; he was endowed with a lively imagination and curiosity, a love of knowledge, and an appreciation of the social benefits that might be conferred by education. Soon after his arrival in Philadelphia he gathered around him a coterie of printers, shoemakers, and carpenters who read books and thought things out for themselves—a group known as the Junto, which he called "the best school of philosophy, morality, and politics that then existed in the province." Three questions asked of new members revealed the spirit of this strange academy: "Do you sincerely declare that you love mankind in general of what profession or religion soever? Do you think any person ought to be harmed in his body, name, or goods for mere speculative opinions or his external way of worship? Do you love truth for truth's sake and will you endeavor impartially to find and receive it yourself and communicate it to others?"

With the support of the Junto, Franklin issued a plan for a college, prudently concealing some of his liberal opinions for fear he might alarm the pious. As a result of his appeal for funds, five thousand pounds was raised to start the institution. A board of control was then organized containing the spokesmen of several sects and a Scotch clergyman was chosen as provost; but some of the originality and temper of the founder, as we shall see, was dis-

closed in the scientific and secular program of instruction offered to those who did not want to concentrate on Greek and Latin.

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The course of instruction in the early colonial colleges was based essentially on the program of Oxford and Cambridge, which had risen during the Middle Ages under the auspices of the Catholic Church. Since the laws, decrees, services, and literature of the Church were in Latin, that tongue became the original language of learning for all western Europe. In the classical revival of the renaissance, however, the study of Greek began to engross the interest of progressive scholars, and by dint of hard labor champions of that tongue were able to force it into the universities against the protests of the Latinists well content with their monopoly.

The substance of the mediæval university curriculum rested on foundations as old as the academy at Athens. After groping around a long time in their search for a structure of education, the Greeks came to a general agreement upon certain subjects which they deemed appropriate for gentlemen of leisure—"liberal arts," as contrasted with the vulgar arts of trade, industry, and labor. On the basis of the Greek scheme, Catholic scholars, in the early Middle Ages, erected the program of the seven liberal arts—grammar, rhetoric, dialectic, arithmetic, geometry, astronomy, and music—which they bent to theological purposes. When the Protestant clergy of the Established Church took over the universities in England, they turned these studies to the uses of a different creed, but they continued the old tongues and the old methods, to the practical exclusion still of the English language and literature.

On this historic model, with its roots so far back in the past and its purposes so far removed from the ends of trade and agriculture, was fashioned the instruction in the older colonial colleges. In each of them the course was confined

mainly to Greek and Latin, drill in Aristotelian logic, a smattering of elementary mathematics, and thin shreds of natural science. For the benefit of the more ambitious theological students, Hebrew was sometimes added. Although the colleges that arose in the later colonial period showed a tendency to widen their program of studies, ancient languages, rhetoric, scholastic philosophy, and logic, shaped primarily for theologians, continued to hold the citadel of the higher learning. Such elements of law, medicine, and science as made their way into the universities of England and America were chiefly fragments in the ancient mosaic.

The vitalizing subjects of English literature, history, geography, and political economy naturally received little attention from the masters of such formal learning. It is true that the age of exploration and settlement produced Shakespeare, Spenser, Ben Jonson, Francis Bacon, Bunyan, Pepys, Dryden, Butler, Swift, Addison, Steele, Pope, and Defoe but in neither the English nor the American colleges did instruction in the great works of English authors receive systematic consideration. In the sight of the schoolmen Latin was more worthy than the language of the sea, the house, the field, and the shop used by the English people in general. As a matter of fact the first grammar of the vernacular tongue, which appeared in 1594, was written in Latin and when, a quarter of a century later, a grammar was issued in English, its author laid stress on the fact that it furnished a groundwork for the study of Latin. Even when the popular tongue was finally disentangled from Latin and a library of noble books had been written in it, the study of English yet found no place in collegiate work.

History and political science also remained among the subjects pursued only by curious gentlemen of leisure or those who turned to the uses of the pamphleteer. Though Oxford had a professorship of ancient history as early as 1622, a century passed before the regius professorships of modern history were founded at the English universities;

and in that respect the colonies lagged behind the mother country. The Revolution was raging when Yale created a professorship of ecclesiastical history—the first chair of history in the colonies—and the nineteenth century was well advanced when Harvard gave Jared Sparks an opportunity to teach the story of America.

With even more neglect at the hands of scholars, geography was left to take form under the direction of travelers, navigators, and collectors of books and maps; as a subject of instruction it found a favorable reception only here and there by some enthusiastic master or astronomer inclined to wander out of his allotted field. Though political economy was added by Franklin to his immense and varied interests and given at least a place in the crowded curriculum of the Philadelphia College, it had no standing as a branch of learning elsewhere. At the other institutions, no professor appears to have given the theme more than a passing glance in the wide sweep of his moral philosophy. In a word, all those grand branches of knowledge pertaining to the material universe and the science of society—branches which are the glory of research and instruction in the modern university—received little more than a fleeting recognition in the colleges of the colonial age either in England or in America. Their very structures were still in the process of formation.

So firmly fixed was the grip of tradition upon learning that Franklin, with all his twisting and turning, could not work a complete revolution in the course of study planned for the College of Philadelphia. In the interest of peace and endowment, a compromise was made. Latin, Greek, and the scholastic subjects of the age were provided for boys who wished to prepare for law, medicine, or divinity. Unto these things were added, for the benefit of those intending to follow other paths, such practical studies as mathematics, surveying, navigation, and accounting; scientific branches—mechanics, physics, chemistry, agriculture, and natural history; instruction in history, civics, ethics,

government, trade, commerce, and international law; and finally, for the worldly wise and curious, training in modern languages.

Such was the plan worked out by Franklin in coöperation with the first provost, William Smith, for the college launched in 1755. To suggest that it anticipated the most enlightened program evolved by the liberal university of the late nineteenth century is to speak with caution; in fact, it stands out like a beacon light in the long history of human intelligence. Nor is it without significance that the first liberal institution of higher learning in the western world appeared on the frontier of civilization—in colonial America where an energetic people was wrestling with the realities of an abundant nature and the problems of self-government. Though a Scotch clergyman gave academic form to the course of instruction at Philadelphia, the spirit and concept came from Benjamin Franklin, a self-educated, provincial workman whose mind had never been conquered by the scholastics.

If, on the whole, the colonial college was narrow in its intellectual range, it need not be supposed that the discipline offered was correspondingly thorough in every case or that a deadly uniformity of opinion ruled all classrooms from Cambridge to Williamsburg. Two Dutch travelers who visited Harvard in 1680 found only ten or twenty students in residence and reported somewhat adversely on their attainments: "They could hardly speak a word of Latin so that my comrade could not converse with them. They took us to the library where there was nothing particular. We looked over it a little. They presented us with a glass of wine. . . . The minister of the place goes there morning and evening to make prayer."

Half a century later that impassioned evangelist, George Whitefield, was no more favorably impressed. He thought that Harvard was "not far superior to our Universities in piety and true godliness. Tutors neglect to pray with and examine the hearts of their pupils. Discipline is at too low

an ebb. Bad books are become fashionable among them." At William and Mary the godly were also shocked by modernism rampant. William Small, the professor of mathematics and philosophy, taught from his chair doctrines which almost anticipated the nineteenth century, and so unsettled the minds of young men like Thomas Jefferson that fond parents trembled for the morals of their offspring. It was for this reason that James Madison was sent away to Princeton where "the fountain of learning was undefiled."

On the whole it would seem that the opportunities for acquiring knowledge, as distinct from learning, were about as good in America as in England, if Gibbon, the historian, is to be accepted as authority. "The Fellows or monks of my time," he lamented in speaking of Oxford at the middle of the eighteenth century, "were decent easy men, who supinely enjoyed the gifts of the founder: their days were filled by a series of uniform employments—the chapel, the hall, the coffee-house, and the common room—till they retired weary and well satisfied to a long slumber. From the toil of reading, writing, or thinking they had absolved their consciences. Their conversation stagnated in a round of college business, Tory politics, personal anecdotes, and private scandal." In any case, collegiate education of the eighteenth century, both in the mother country and the provinces, immersed the students in theories and dogmas that had little or no relation to creative intelligence or independent thinking.

In this, of course, there was nothing unnatural. The fundamental purpose in the establishment of all the colleges, except that at Philadelphia, was to train clergymen, not to foster the inquiring spirit of natural science. Among the primary motives that inspired the founders of Harvard was the fear of leaving "an illiterate ministry to the Churches when our present ministers shall lie in the dust." Five out of seven of its early graduates became preachers and, down until the end of the seventeenth century, more than one half of them turned to that calling. As late as

1753 the legislature of Connecticut, in a resolution referring to Yale, declared that "one principal end proposed in erecting the college was to supply the churches in this colony with a learned, pious, and orthodox ministry." A dearth of learned parsons was also a weighty argument in the plea that led to the foundation of William and Mary, and, indeed, all other colonial colleges save only Franklin's institution.

Still, as time flowed on, young men preparing for law and medicine flocked in increasing numbers to the colleges, even though no radical changes were made in the classical and theological curriculum to meet the requirements of their vocations. As a matter of fact, Greek and Latin, owing to the amount of secular learning locked up in those tongues, were useful to lawyers and doctors. Moreover, much of the dialectic designed to equip preachers for vanquishing sectarian foes and the devil could be turned to good account by lawyer-politicians in the battle of wits that preceded and accompanied the Revolution; for the science of argument and persuasion evolved by the Greeks, adopted by the Romans, and taken over by the theologians was so complete that it seemed hardly necessary to improve on traditional methods. But as in England, so in America, lawyers and physicians had to supplement their collegiate course with apprenticeship to practitioners to secure their professional training; it was 1765 when Philadelphia, in her grand advance all along the line, set even the laggard mother country an example by founding a medical school, the first on the continent of North America.

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Following similar traditions, the early secondary institutions of America were fashioned after the English grammar school designed to prepare boys for college. When the legislature of Massachusetts in 1647 sanctioned the erection of higher schools in the towns, it indicated that the purpose

was the instruction of youths "so farr as they may be fited for ye university." In the Middle and Southern colonies, however, where, with the exception of William and Mary, no college appeared until near the eve of the struggle for independence, the higher schools were shaped to meet the requirements of trade rather than college entrance. For example, the free school or academy of Charleston, South Carolina, established in 1712, taught "writing, arithmetic, and merchants' accounts, and also the art of navigation and surveying and other useful and practical parts of mathematics." The prospectus of a similar institution of the same period in New York advertised "all branches of the mathematics, geometry, algebra, geography, navigation, and merchants' bookkeeping." Practical aims likewise figured in the course of instruction in Franklin's academy, which grew into the College of Philadelphia.

In Virginia the sons of planters who sailed away for Oxford or Cambridge or entered William and Mary nearby were usually prepared for admission by family tutors or at the few private schools kept by clergymen. Jefferson, for instance, was put into a small English school in his neighborhood when he was five years old; at the age of nine he was sent to live as a boarding pupil in the family of a Scotch parson; and he completed his preparation for William and Mary at the private school of James Maury, a Huguenot inclined to skepticism and good living. When at the age of seventeen he set out on horseback for college, he had seen nothing of the world twenty miles beyond the circuit of his home and had never been in a town having more than one hundred inhabitants.

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The primary schools at the bottom of the system of formal education were, like the colleges, inspired by the religious motive—to which was sometimes joined the material consideration of preparing children of the poor for

apprenticeship. The idea of elementary schools supported by taxation, freed from clerical control and offering instruction to children of all classes, found no expression in colonial America. Indeed it was foreign to the experience of the Greeks, Romans, and Europeans of the Middle Ages whose psychology still dominated the West. The slaves of Athens and Rome, the serfs and artisans of the Middle Ages, were not in the mass within the scope of the educational systems of their time, even though bright boys frequently climbed from lowly origins to dizzy heights. Moreover, the Catholic concept of authority did not demand any severe mental drill for the commonalty until the Church was rudely shaken by the Protestant revolt.

It was that cataclysm which marked the beginnings of popular education. Protestant sects, especially the Dissenters in England, having asserted their right to a limited private judgment, found it necessary to resort to the schoolmaster to impose their respective creeds on their children and to defend them against other ideas deemed erroneous. Since they belonged mainly to the mercantile and laboring classes, rather than to the nobility, Dissenters also found it useful to combine with the memorizing of catechisms some additional instruction, in writing, arithmetic, and the practical arts, so useful to the shop and counting house.

Wherever, therefore, a dissenting sect arose in Europe or in Great Britain—Huguenot, Lutheran, Presbyterian, Puritan, Separatist, Baptist, or Quaker—there soon appeared primary schools supported by the contributions of the congregation or by the fees of the parents and dedicated to the instruction of the young in the rudiments of learning. By way of supplement, missionary zeal also entered the field of elementary instruction, providing charity schools for the poor liable to be led astray by the wiles of the wicked. For example, the Anglican Society for the Promotion of Christian Knowledge, founded in 1698, established in many parts of England primary institutions to give the children of the working classes the Anglican view of salvation, together

with the elements of reading and writing and the "grounds of Arithmetick to fit them for Service or Apprentices."

To the sectarian, missionary, and charity motives was added another—the relief of the taxes collected for the support of paupers by the training of children likely to become public charges. In response to this practical requirement, the great poor law of 1601, enacted at the close of Elizabeth's reign, ordered the compulsory apprenticeship of all children not provided with an independent living and placed squarely upon property owners the burden of supporting their elementary education. Such were the roots of primary education in America. They were not Dutch or English, Presbyterian or Puritan; they were Protestant and realistic.

Now, the American colonies were peopled largely by dissenting Protestants. Wherever a tiny community of Puritans, Baptists, Presbyterians, Quakers, or Lutherans was formed, some kind of an elementary school for the children of the sect was sure to follow in the course of time. But there were other sections of the populace not as easily supplied with the rudiments. The pioneer districts, with their scattered homesteads, the wide plantation system of the Southern seaboard, and the more densely settled regions with servants poor in worldly goods and often lacking in respect for the religion of their employers, presented special problems that required, as far as they were met at all, special treatment.

In response to such needs several types of educational activities unfolded in the colonies. On the very edge of the advancing frontier ardent missionaries opened log-cabin schools for the members of their sect and any others who would attend. For the children of the poor, the English charity school sprang up here and there in town and country. "Our advice is," declared the Friends of Pennsylvania and New Jersey at their yearly meeting in 1722, "that all Friends' children have so much learning as to read the holy scriptures and other English books and to write and cast

accounts . . . and for that end let the rich help the poor." In New England the duty of parents to educate their children and masters their apprentices and servants in the ways of salvation and in the practical arts was early emphasized by legislative enactment.

The laws of Massachusetts on this point have been so glossed over with uncritical comment that they have been hailed as marking the dawn of public education in the modern and secular form. In reality, seen in their historical setting, they do no such thing. The act of 1642 required the chosen men of each town to supervise the children of the community and "to take account . . . especially of their ability to read and understand the principles of religion and the capital laws of this country." It likewise required them—as the overseers of the poor were compelled to do under the legislation of Elizabeth—to put to apprenticeship the children of all parents "not able and fit to bring them up." The avowed occasion for the law was the neglect of masters and parents in training "their children in learning and labor." Five years later came the act of 1647 which ordered every town of fifty householders to appoint a teacher for "all such children as shall resort to him to read and write," and added that every town with a hundred households should establish a grammar school for the instruction of youths preparing for college.

These laws, which seem to have been honored in the breach as well as in the observance, have been greeted by a modern educator as making for the first time in the English language "a legally valid assertion of the right of the state to require of local communities that they establish and maintain schools of general learning." The unwary are liable to be misled by this contention. Unquestionably the first of these acts was conceived partly in the spirit of the English poor law; while the second flowed from a great desire to impose on all children the creed of the Puritan sect. The fact that the education was ordered by "the state" was of no special significance, for the state and church

were one in Massachusetts at the time; indeed, if the Mathers were to be believed, the church was superior to the state.

At all events no person who was not a member of a Puritan congregation could vote in Massachusetts until the English Crown broke down the barrier in the charter of 1691; and the teachers chosen under the school system established by the law were as orthodox as those selected for sectarian schools supported by the fees and contributions of the faithful or for the charity schools maintained by gifts from the devout. Certainly the New England Primer which "taught millions to read and not one to sin" was not secular in outlook or purpose. Indeed, the Massachusetts law of 1647 was avowedly framed to outwit "that old deluder Satan," by giving the youth a correct knowledge of the Scriptures. And appropriately too the New England Primer was English in origin and purpose and was widely used in the mother country as well as in the provinces.

In any case, whether or not popular education in some form was prescribed by law, as in Massachusetts—and, indeed, in Connecticut, New Hampshire, and Maryland—it was the enthusiasm of the religious denominations, rather than the enlightenment of public officials, that kept the lamp of learning burning in the colonies. No thickly settled community, no sect of any importance, was without its elementary institution at least, supporting teachers by fees and contributions including gifts from England in aid of American missionary efforts. Supplementing the sectarian schools were itinerant pedagogues who collected tuition charges from parents and "boarded around" to eke out a living.

Occasional glimpses into colonial primary schools, afforded by diaries and memoirs, reveal severity in discipline and dogmatism in instruction. Social heritage approved both. Spartans beat their children and cowed them under the rod of war. The Romans seem to have followed their example even with additions: Horace called his teacher

"the thrasher." The Middle Ages carried on the vogue; pictures of mediæval teachers represent them with rod in hand as if but seeking an excuse to strike. In this wont and use the Protestants made no change worthy of note; Martin Luther taught that appropriate beatings were good to restrain impudence and advance learning. Rules for the school of colonial Dorchester declared that "the rod of correction is an ordinance of God, sometimes to be dispensed unto children."

Moreover, the school fathers of colonial times, often beset by poverty themselves, could not always be fastidious in the selection of teachers. Sometimes they went down to the docks and bought an indentured servant who professed to know the rudiments and made him schoolmaster for the boys and girls of the community. In fact, interspersed in the columns of the newspapers with advertisements of slaves, rice, boots, lime juice, and crockery were notices of teachers for sale into terms of indenture. "To be disposed of, a likely servant man's time for 4 years who is very well qualified for a clerk or to teach a school, he reads, writes, understands arithmetick, and accompts very well, Enquire of the Printer hereof," runs a notice in the Philadelphia Mercury in 1735. A teacher who could be lawfully beaten by his own master was probably not inclined to spare the rod of authority over little children entrusted to his care.

In this colonial scheme of instruction girls met with the traditional discriminations. They were as a matter of course shut out of the colleges and the grammar schools that prepared for the colleges, for they were not to be preachers, orators, statesmen, doctors, or lawyers. In short, unless a family tutor was provided the avenues to higher learning were automatically closed to them. To the elementary schools, it seems, girls were generally admitted, at least to learn reading, the catechism, and perhaps some arithmetic. For the special use of the middle classes, day and boarding schools were opened in many regions under private patronage, to impart the rudiments deemed essential to the social

graces—reading, writing, arithmetic, sewing, music, and dancing. Nowhere, however, was the feminine mind invited by pedagogues to explore curious places. In those days, women, as Governor Winthrop declared, were expected to stick to household matters and to refrain from meddling "in such things as are proper for men whose minds are stronger."

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If schools confined their students rather closely to the classical and theological routine, shopkeepers provided young and old with the current literature of England and the Continent. From the earliest times it was the common practice for merchants to take orders for books to be imported and to bring over on their own motion stocks for their shelves. Following the custom of the trade, Robert Pringle, in 1744, called the attention of South Carolinians to the fact that he had for sale "very reasonable" a consignment of "sundry goods, particularly a very choice collection of printed Books, Pictures, Maps, and Pickles."

After the newspaper business was fairly launched, printers not only published American books on their own account, but also kept on hand imported works for their customers. Franklin was offering Bacon, Dryden, Locke, Milton, Swift, Seneca, and Ovid to his patrons in the opening days of his career in Philadelphia. So in one fashion or another, the great writings of the times, as well as the classics, were made available to the owners of private libraries, such as Colonel Byrd at Westover, and to enterprising individuals who were trying to educate themselves. Few things of first rate importance in England and France at least seem to have been overlooked. The writings of the French philosophers—Montesquieu, Voltaire, Rousseau, and the Encyclopedists—no less than the heavy theological tomes and the newest scientific books from Great Britain were put into the hands of the colonials with amazing promptness and at moderate prices.

Those who could not afford to buy books were not altogether without resources, especially in the larger towns. By the middle of the eighteenth century, Boston, Newport, New York, Philadelphia, and Charleston had small libraries open to the public. In 1653, Robert Keayne presented the citizens of Boston with a little collection of books; in 1731, Franklin started a subscription library in connection with his Junto; in 1748, seventeen young men in Charleston opened a library for "self-improvement"; in 1754, the Society Library was founded in New York.

For the rising democracy of colonial America, the most noteworthy of these experiments was the subscription library which Franklin established with the aid of a few poor tradesmen and mechanics. It was he who showed how forty or fifty persons could, by pooling meager savings, open gateways hitherto closed to all save the rich. "The institution," as he said, "soon manifested its utility, was imitated by other towns and in other provinces. The libraries were augmented by donations; reading became fashionable; and our people, having no public amusement to divert their attention from study became better acquainted with books; and in a few years were observed by strangers to be better instructed and more intelligent than people of the same rank generally are in other countries. . . . The libraries have improved the general conversation of the Americans, made the common tradesmen and farmers as intelligent as most gentlemen from other countries, and perhaps have contributed in some degree to the stand generally made throughout the colonies in defense of their privileges."

Although no census of literacy was ever taken in the colonial age, there was abundant collateral evidence to support Franklin's contention that a very large proportion of the American people could read and write. It was a fact of no small portent that a hundred thousand copies of Thomas Paine's first pamphlet calling for independence were sold while the issue was fresh from the press. The work

of the schools, tutors, libraries, printers, and booksellers was widely supplemented by that of patient fathers and mothers who pored with their children over primers and spelling books. By these routes, little rivulets of opinion were sent streaming down into the torrent that swept the thirteen English colonies into the American republic.

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That other great institution for the promotion of intellectual interests, the press, rose and flourished as if to emphasize, while distributing, knowledge of worldly affairs. Thus another body of preachers—newspaper editors—could thunder away every week or so and, unlike their brethren of the cloth, cover the whole domain of war, politics, business, current events, and scandal, that is, as long as they avoided collisions with colonial officials. Leaving out of the reckoning the early broadsides and a little sheet, Publick Occurrences, which appeared and died in 1690, the first regular newspaper in the colonies was The Boston News-Letter, a tiny four-page, two-column folder, established in 1704. Fifteen years later, The American Weekly Mercury came from the press of Andrew Bradford in Philadelphia and before long New York, Maryland, South Carolina, Rhode Island, and Virginia could also boast of local papers.

At the middle of the century came a second burst of journalistic enterprise. In 1755, The Gazette was founded in New Haven and within ten years North Carolina, New Hampshire, and Georgia had printers engaged in purveying news, essays, and gossip, domestic and foreign. When the struggle over the Stamp Act began in 1765 every colony, except Delaware and New Jersey, had one or more papers to speak for the contending parties and those two colonies were well served by the printers of New York and Philadelphia. Some of the publishers were sustained by the profits of public printing and held under the thumb of the

royal governor; others struggled along under the patronage of the popular party aided by the advertising of friendly merchants.

The political and cultural significance of this early American journalism, crude as it appears to the sophisticated of modern times, can hardly be overestimated. If narrow in its range, it was wider and freer than the pulpit and the classroom and it was an art open to any person, group, faction, or party that could buy a press and exercise enough literary skill to evade the heavy hand of colonial authorities.

By any editor of spirit the note of independence could be struck; indeed, it was sounded early in the eighteenth century by The New England Courant, established in 1721 by Benjamin Franklin's brother and supported by a body of "respectable characters" bearing the audacious title of "The Hell-Fire Club," a little fraternity that wrote rather peppery stuff to give spice to reports of governors' addresses and chronicles of official doings. Essays, done in the style of Addison and Steele—many of them by Franklin, then in his youth—poured ridicule on the great and good. As the authors undoubtedly expected, some of their diatribes got under the skins of the mighty; and on one occasion, the elder Franklin was imprisoned for reflections on the august assembly of the colony. The day foreseen by the rabid governor of Virginia had come. In 1671 he had blurted out his official opinion: "I thank God we have no free schools nor printing; and I hope we shall not have these hundred years. For learning has brought disobedience and heresy and sects into the world; and printing has divulged them and libels against the government. God keep us from both."

Long before the governor's allotted century had vanished, royal agents had come to grips with the unarmed disseminators of dangerous thoughts. In 1734 the first great contest in America over freedom of the press opened in New York with the arrest of Peter Zenger, publisher of

The Journal, for assailing the administration of the provincial governor. The trial which followed proved to be a dramatic episode as well as a defeat for the king's representative. An able attorney, Andrew Hamilton, brought up from Philadelphia to plead for the printer after local lawyers had been cowed into submission, conducted the case with a grand flourish, making the issue "the cause of liberty." Moved by his argument and imbued no doubt with popular sympathies, the jury defied the judge, and amid general rejoicing gave the imprisoned editor his liberty.

When, however, the tables were turned in New Hampshire long afterward by a local editor who attacked the majesty of the colonial legislature and the Continental Congress, the victim did not escape so easily; he was ordered to appear before the provincial assembly, sharply censured there, and solemnly warned not to print more criticisms of the popular party. Thus the twists and turns to be found in the struggle between liberty and authority, so familiar to-day, wound their way into the journalism of the eighteenth century.

Fermenting opinion stirred by mettlesome editors, in the fullness of time, took on a national character. While the circulation of each paper was mainly local, publishers exchanged sheets with one another and reprinted striking articles of continental interest, spreading them all the way from Portsmouth to Savannah. Moreover, citizens of the larger outlook subscribed to journals from distant cities, for in 1758 the colonial post office, which had long carried newspapers without charge, was compelled to fix a rate on the ground that "the News-papers of the several Colonies on this Continent, heretofore permitted to be sent by the Post free of Charge, are of late years so much increased as to become extremely burthensome to the Riders." What seems to be the first cartoon printed in the colonies—Franklin's snake cut into eight pieces, entitled "Join or Die"—an appeal to the provincials to unite against the French and

Indians in 1754, was copied far and wide and became one of the great American symbols of the age. A moving call to arms against the French issued about the same time by the Virginia Gazette was printed again and again by Northern papers in their campaign for solidarity against the common foe. Clearly the institution of the press, operating, at least in a measure, on a national scale, was prepared to serve the lawyers and politicians who were to kindle the flames of revolution.

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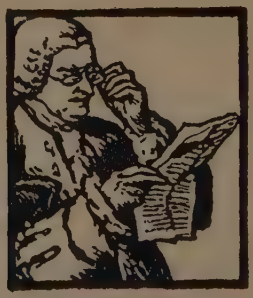
In the newspapers and pamphlets—the latter sometimes printed first in the columns of weekly journals and sometimes issued separately—began to appear the literature of the new politics, swelling in volume as the colonies grew in stature and the controversy with the British government grew in acerbity. It was largely in the form of letters and special articles that the passions of the conflict were first announced outside the halls of assemblies and taverns. Unlike France of the Old Régime, provincial America did not produce, long before the struggle commenced, great treatises such as the Encyclopedia or ringing calls for revolt such as Rousseau's Social Contract.

The reasons were not difficult to find: the colonists already had textbooks of revolution in the writings of Englishmen who defended and justified the proceedings of the seventeenth century—above all, John Locke's writings, wherein was set forth the right of citizens to overthrow governments that took their money or their property without their consent. In such documents arguments for the American Revolution were at hand in clear and authoritative English. All that editors and publicists had to do was to paraphrase, decorate, and repeat. Moreover, the American ruling classes, unlike the French bourgeoisie, had already wrested the government from the royal authorities by 1765; their uprising was designed to preserve what they had, rather than to gain something new and untried.

So when Otis, the Adamses, Dickinson, Hamilton, Jefferson, and other philosophers of revolt set to work on pamphlets, letters, resolutions, proclamations, declarations, and constitutions they found, ready made before them and intelligible to the reading public, all the theories and dogmas which their cause required. They had only to use English rhetoric and precedent in forging their own greater argument; but in actual fact they went beyond the rule of thumb, giving to their noblest writings some of the gravity of Roman orators, some of the rhythm and cadence of Latin poets.

No one can rise from a comparative study of the literature of revolution in all ages without a sense of profound admiration for the ingenuity, the learning, and the mastery of the native tongue revealed in the documents of the American revolt. Lord Chatham pronounced no hollow encomium in saying to his colleagues: "When your lordships look at the papers transmitted to us from America; when you consider their decency, firmness, and wisdom, you cannot but respect their cause and wish to make it your own. For myself, I must declare and avow, that in all my reading and observation—and it has been my favorite study—I have read Thucydides and have studied and admired the master statesmen of the world—that for solidity of reasoning, force of sagacity, and wisdom of conclusion, under such a complication of difficult circumstances, no nation or body of men can stand in preference to the general congress at Philadelphia."



## CHAPTER V

## *The Clash of Metropolis and Colony*

CONCERNING the origin of the American Revolution there are as many theories as there are writers of sa, gas. The oldest hypothesis, born of the conflict on American soil, is the consecrated story of school textbooks: the Revolution was an indignant uprising of a virtuous people, who loved orderly and progressive government, against the cruel, unnatural, and unconstitutional acts of King George III. From the same conflict arose, on the other side, the Tory interpretation: the War for Independence was a violent outcome of lawless efforts on the part of bucolic clowns, led by briefless pettifoggers and smuggling merchants, to evade wise and moderate laws broadly conceived in the interest of the English-speaking empire. Such were the authentic canons of early creeds.

With the flow of time appeared some doubts about the finality of both these verdicts. The rise of democracy in England during the nineteenth century modified the theory long current in that country. In the minds of English Liberals, who hated Tories as much as Lord North and

Dr. Johnson despised Samuel Adams and Patrick Henry, a novel pattern was finally evolved: the contest in America was only the counterpart of the heroic struggle led by Russell, Cobden, Bright, and Gladstone at home to establish the dominion of the English mill owners over Crown, clergy, and landed aristocracy.

Sustained by partisan conflict, this thesis took on the guise of sober history in the writings of May, Green, and Trevelyan and was accepted as the truth at last by the small and select circle in the United States that took pride in being intellectual. Meanwhile there grew up in America a school of so-called scientific historians who looked with hauteur upon all partisan theories—even though well bolstered by documents—and went straight to the original records, papers, memoirs, and other contemporary sources relative to the great epoch. The result of their labors was a number of special studies which somewhat chilled the glowing periods of the orators and slowly broke down under the weight of scholarship the original American articles of faith. Social amenities hastened the disintegration; many descendants of revolutionary heroes, having accumulated or inherited fortunes, found a welcome in the best English society, where they began to look with kindlier eyes upon the offspring of the "minions of George III."

Fury kindled by passions, especially after America entered the World War, fed the stream of tendency. In the fervor of the moment, over-zealous American scholars, rushing from research to propaganda, rewrote their books to show that the American Revolution was more or less of a moral and tactical error on the part of the Patriot Fathers. After all, ran the latest hypothesis, the Revolution was the result of a needless and unfortunate quarrel in which many untrue and unjust things had been said and done; so it seemed best to cover the past with the mantle of oblivion and rejoice that it was the English-speaking people who had from time immemorial led the world in the fight of democracy against autocracy.

But when the economic and ethical reunion of the sundered segments of the old British Empire seemed almost effected, the peace of Versailles broke in upon the celebration. Then the voices of the Germans and Irish were heard again in the land and those who had reveled in the sunlight of an Anglo-American alliance suddenly found themselves frosted in the blasts of renewed criticism. History once more registered shifting winds.

On taking up any work dealing with the American Revolution it is necessary, therefore, to inquire about the assumptions upon which the author is operating. Is he preparing to unite the English-speaking peoples in the next world war? Does he have in mind some Teutonic or Hibernian concept of American polity? Or is he desirous of discovering how the conflict arose without any reference to the devices of current politicians? As for this book, the purpose is simple, namely, to inquire into the pertinent facts which conditioned the struggle between the men who governed England and those who ruled the thirteen colonies —on the theory that only adolescents allow ancient grudges to affect their judgments in matters international.

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With respect to the American side, it is hoped, the essential materials assembled in the preceding pages fairly describe the economic activities, political institutions, and cultural life which distinguished the American people from those of the mother country. On the other side, the significant data can be made to stand out in equally bold relief. England in the eighteenth century was ruled by two powerful, well-knit classes: landlords and merchants, with little or no restraint from artisans and agricultural laborers. The fierce contest between the aristocracy and the middle orders that had filled the seventeenth century with revolution had died down into a relatively mild political debate.

Indeed, the ranks of the former were now largely re-

cruited from trading circles; earls did not often object to marrying their sons to the daughters of affluent merchants; dukes were as eager as greengrocers to invest in the stocks of African slavers or American commercial ventures. Both houses of Parliament were controlled by agents of these two branches of English society. The landed proprietors, besides having a permanent stronghold in the House of Lords, commanded many seats in the lower chamber; while the merchants usually found pliant spokesmen in members sent to the Commons from the towns.

By the system of representation the rule of small and active groups in the landed and commercial classes was especially favored. The suffrage was so restricted by property qualifications that not more than one hundred and sixty thousand Englishmen among eight million people enjoyed the right to vote. New cities like Liverpool, Manchester, and Leeds, which had grown up since the origin of Parliament in the middle ages, were without any representatives at all in the House of Commons; on the other hand, petty villages, with very few voters controlled by some neighboring landlord, sent one or two members to Westminster. It would be a conservative estimate to say that ten thousand landlords and merchants ruled the England of George III. Even the Crown was merely one branch of government employed in the realization of their interests. Subjected to Parliament by the Revolution of 1688, it had been further weakened during the reigns of the first Georges who, as long as their purses were filled, were more interested in their German home of Hanover than in quarreling about historic prerogatives with parliamentary leaders. In 1750, therefore, the legislative, executive, and judicial branches of the English political system were dominated by closely knit bodies of landlords and prosperous merchants—with the latter growing in wealth, numbers, and power.

Naturally the policies and acts of the English government reflected the interests and desires of these two estates. Naturally, also, both were affected by the course of economic

development in the American plantations. A part of the burden of taxation for empire fell on the landlords; they were likewise concerned about the colonial wool which came into competition with one of their leading staples and about colonial produce in general as it poured in increasing streams into English and Continental markets. Some of them with startling prescience saw that cheap wheat from virgin soil might in time ruin British agriculture. Still more numerous and direct were the points of contact formed by the merchants with colonial affairs. Besides being active in all lines of trade and shipping, they advanced large amounts of capital to promote American enterprises, thus making every branch of provincial economy an object of solicitude on their part.

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Out of the interests of English landlords and merchants, illuminated no doubt by high visions of empire not foreign to their advantage, flowed acts of Parliament controlling the economic undertakings of American colonists and measures of administration directed to the same end. These laws and decisions were not suddenly sprung upon the world at the accession of George III in 1760. On the contrary, they were spread over more than a century, beginning with the rise of the mercantile party under Cromwell; they crowded the pages of the statute books and the records of the British colonial offices from the coronation of Charles II in 1660 to the outbreak of the American Revolution. Far from being accidents of politics, conceived in the heat of controversy, they were the matured fruits of a mercantile theory of state which regarded colonial trade as the property of the metropolis, to be monopolized by its citizens and made subservient in all things to their interests—a theory which, with modifications here and there, still thrives under the guise of milder phrases and loftier sentiments.

The laws of the British Parliament giving effect to this policy fell into certain broad classes. First were the navi-

gation acts, opening with the famous statute of 1651, which limited the carrying trade to and from the colonies to English-built ships, manned mainly by English sailors. Here was one source of the sea power that defended the empire. American colonists enjoyed the protection of this power, profiting, as Englishmen, by the restriction which excluded alien ships from lucrative business.

A second group of statutes, known as the trade laws, regulated the exports and imports of the dominions and plantations. Under the terms of these measures, colonists had to ship their tobacco, pitch, tar, turpentine, masts, and other enumerated articles to England; with these exceptions they could sell their products wherever they could find buyers. Their importing business was likewise restrained; commodities of European growth and manufacture, as a rule, they could buy only through English factors—the idea being to add to the prosperity of English merchants. A third sheaf of acts put restrictions on colonial manufacturing; for example, woolen goods and hats could not be made for the general trade; mills for slitting and rolling iron and furnaces for making steel were forbidden.

By a fourth group of laws the interests of English creditors were tenderly guarded. With a view to maintaining a sound medium of exchange and preventing the debt-burdened colonials from inflating the currency, Parliament enacted in 1751 a measure prohibiting the issue of paper money in New England—a proscription later extended to other colonies. Equally important for the English creditor was the act of 1752, making the lands, tenements, and slaves of American debtors subject to levy for the obligations of their owners, and placing the affidavit of a resident in England on the same footing with the testimony of a provincial in open court in the colonies. The contest between the bond holder and the debtor had begun in earnest.

The origins of this legislation, or at least the most salient pieces of it, are more or less clearly revealed in the records. Certainly, the restriction on American woolen

manufactures flowed from the protests of a competing industry—English landlords and wool-growers, as well as merchants and manufacturers, uniting in the protection of a business which furnished about one-third of England's total export trade when the restrictive act was passed in 1699. Parliamentary legislation against colonial hat and iron industries was likewise the result of specific protests made by interested parties.

Such also was the origin of the prohibition on colonial paper money. According to Franklin's testimony, that irksome ban was devised at the request of a handful of creditors. "On the slight complaint of a few Virginia merchants," he lamented, "nine colonies had been restrained from making paper money, become absolutely necessary to their internal commerce, from the constant remittance of their gold and silver to Britain." Applying the same argument to other statutes, he added: "The hatters of England have prevailed to obtain an act in their own favor restraining that manufacture in America. . . . In the same manner have a few nail makers and a still smaller body of steelmakers (perhaps there are not half a dozen of these in England) prevailed totally to forbid by an act of Parliament the erecting of slitting mills or steel furnaces in America; that Americans may be obliged to take all their nails for their buildings and steel for their tools from these artificers." The measures laying duties on foreign sugar and molasses were passed on the insistence of British planters in the West Indies, of whom, it was alleged at the time, seventy-four were actually sitting in Parliament when the bills were enacted.

There was accordingly some foundation for the complaint published in the Boston Gazette of April 29, 1765: "A colonist cannot make a button, a horseshoe, nor a hobnail, but some sooty ironmonger or respectable buttonmaker of Britain shall bawl and squall that his honor's worship is most egregiously maltreated, injured, cheated, and robbed by the rascally American republicans."

Admitting that British imperial legislation was conceived in the interest of the metropolis, modern mathematicians of colonial politics make a point of the contention that the mother country, while restraining colonial enterprise in some directions, also fostered and stimulated it in others. The facts are indubitable. From the navigation acts, Americans derived distinct advantages; producing lumber and naval stores in huge quantities, they reaped under the cover of the law the rich benefits of a sweeping monopoly. Moreover, many of their products were given preferential treatment in English markets. For instance, the raising of tobacco in England was absolutely forbidden on very practical grounds; the climate and soil were not favorable, the import tax on it was a great source of revenue to the relief of lands and houses, and Southern planters relied largely upon it in discharging their debts to English merchants. Finally, bounties were paid on several colonial articles—hemp, masts, and certain naval stores—materials useful to the sea power by which all British commerce was protected. Though, in the main, the colonial products paid in English ports the same duties levied on identical goods from foreign countries, Adam Smith was right when he said that the imperial policy of Great Britain, broadly considered, had been "less illiberal and oppressive than that of any other European nation."

Magnifying this plea, modern calculators have gone to some pains to show that on the whole American colonists derived benefits from English policy which greatly outweighed their losses from the restraints laid upon them. For the sake of argument the case may be conceded; it is simply irrelevant to the uses of history. The origins of the legislation are clear; and the fact that it restricted American economic enterprise in many respects is indisputable. As usually happens in violent economic collisions, the balance was not turned in 1776 by precise calculations relative to profits and losses appearing in ledgers and registers, but by tempers and theories born of antagonism. The mind of

the merchant, or, for that matter, of the most puissant statesman, is seldom able to forecast in pounds, shillings, and pence the exact outcome, near or distant, of any great measure of law or any significant administrative decision. At any rate, whatever may be the verdict of accountancy, there can be no doubt that the landlords and merchants of England, who spread the laws relative to colonial trade upon the statute books, expected benefit, not injury, from them, with the reservation that in some close cases the concerns of one class may have been occasionally bent to serve the advantage of the other.

§

Whatever their source and purpose, these measures did not execute themselves. It was necessary to create or adapt agencies to enforce British law on the one hand and restrain colonial legislatures on the other. Chief among these institutions was a central board of administrative control known by different names at different times. The idea came from two merchants who had large investments in the colonies and in overseas trade. It took definite form in 1660 in the establishment of a committee of the king's council, charged with the duty of meeting twice a week to consider petitions, memorials, and addresses respecting the colonies. Thirty-six years later a regular body, known as the Board of Trade and Plantations, was organized for the purpose of drawing under one high authority every branch of colonial economy and every transaction of consequence effected by His Majesty's governments beyond the sea.

Until the eve of the Revolution, this Board kept all American affairs drawn tightly within its dragnet, holding five meetings a week during most of its career, and, in periods of relaxation, eight or ten sessions a month. If an English merchant or manufacturer had a complaint or suggestion to make about the acts of any colonial assembly, about the doings of any colonial authority, or about meth-

ods of controlling American industry, he could find a sympathetic hearing before the Board of Trade. If any person thought his property rights in America jeopardized by local legislation, he could seek relief at the hands of the Board. In fact all acts of the colonial assemblies, with few exceptions, went before it for consideration, and on its recommendation were referred to the Crown for veto or disallowance. If, on the other hand, a colony had a grievance to air, it could instruct its agents in London to appear before the Board to present the case.

Thousands of letters preserved in the English archives bear witness to the range, precision, multiplicity, and minuteness of the Board's grasping activities. From its inception to the accession of George II, it held a tight rein, scrutinizing colonial economy with an eagle eye and recommending with firm insistence the annulment of objectionable bills passed by colonial legislatures. While, under the genial sway of Robert Walpole, whose motto for domestic and foreign statecraft was "let sleeping dogs alone," there was a period of mild administration, it meant no abandonment of established policy. At all events, there opened after the downfall of Walpole an epoch of thoroughness which continued until the stormy prelude of the Revolution was announced. Day after day, year in and year out, this engine of control kept pounding away on colonial affairs. Only to the eye of the superficial observer were the guardians of English imperialism asleep.

If the Board of Trade sometimes let an important matter escape its net, there remained other agencies in England to which aggrieved suitors could appeal. Any person in England or in America could carry to London, under appropriate regulations, cases involving acts of colonial legislatures and decisions of colonial courts. Serving in the capacity of an appellate tribunal, the king in council could, and often did, declare measures passed by local assemblies null and void as violating colonial charters or the laws of England. If the Board of Trade and the appellate courts

failed to render satisfaction to complainants, there was always open one more recourse, namely, appeal to the secretary of state in charge of colonial affairs, under different titles from time to time. In this way issues could be carried into politics and, if necessary, made the subject of action in Parliament, where, from time to time, select committees were created to make inquiries or to listen to the demands of English merchants and manufacturers for more stringent restraints on colonial competition. Besides these authorities, treasury and admiralty boards, the attorney-general, the solicitor-general, and the bishop of London exercised supervision over provincial matters.

How far in fact was the British system of restriction and control actually enforced by the agencies used for the purpose? A real answer to that question would call for an exact record of the proportion of exports, imports, and manufactures effected in violation of law. Obviously, no such measurement is possible. How much whisky was consumed in the United States during the year following the adoption of prohibition? In the absence of statistical materials, historians of necessity fall back upon relevant fragments found in colonial papers. On the basis of such evidence one school of writers concludes that breaches of the revenue laws in the colonies were no more numerous or notorious than cases of smuggling in England in the same age. Another picture represents British colonial policy utterly defeated by American intrigue and defiance. Certainly the reports of governors were filled with complaints about violations of law. Even the colonials confessed to many a dereliction. John Adams admitted in 1774 that neither the iron act nor the hat act was obeyed in Massachusetts. By general agreement, the Molasses Act of 1733 was openly flouted.

A cloud of witnesses testified to the flagrant conduct of the Americans in trading with the enemy during the Seven Years' War while England and the colonial governments were engaged in a death grapple with France. When that

struggle was at its height, Thomas Penn informed William Pitt that the river at Philadelphia was crowded with "shallops unloading these illegal cargoes, brought at their return and cheating the King of his duties, besides carrying provisions and ready money to the Enemy." Harping upon the same string, Penn's governor on the spot reported that "a very great part of the principal merchants" in Philadelphia were openly trading with the French in the West Indies, making profits while war was raging.

In Rhode Island the traffic with the enemy was even more defiant; exasperated by the conduct of Providence merchants and shipmasters, Governor Bernard, of Massachusetts, wrote home to the Board of Trade: "These practices will never be put an end to till Rhode Island is reduced to the subjection of the British empire, of which it is at present no more a part than the Bahama Islands were when they were inhabited by Buccaneers." Nor did New York appear in any better light. The governor of the province complained that the merchants of the city "consider but their private profit," and made special efforts to uproot their illegal commerce. In fact there is evidence that ships from nearly every American port were trafficking with the enemy. In vain did Pitt cry aloud against "this dangerous and ignominious trade"; in vain did officers of the army and navy inveigh against smugglers, calling them "traitors to their country."

If such was the conduct of the American colonists in time of war when their own safety like that of England was at stake, large inferences can be made with respect to their activities in time of peace. Certainly, the English government had every reason for desiring to tighten its instruments of restraint when George III came to the throne in 1760; and by attempting to enforce the law, it was bound to increase the friction already menacing enough in the ordinary course of events.

§

In the thousands of complaints, appeals, petitions, memorials, rulings, vetoes, decisions, and instructions recorded in the papers of the Crown agencies for controlling American trade and industry are disclosed the continuous conflict of English and American forces which hammered and welded thirteen jealous colonies into a society ready for revolution. The subjects of controversy were definite and mainly economic in character. Colonial laws enacted in the interest of local business enterprises but contrary to English regulations were often set aside by royal disallowance; sometimes blanket orders were issued to colonial governors instructing them not to permit the enactment of any legislation adverse to English commercial undertakings. Colonial populism was struck down by vetoes, warnings, and finally parliamentary action against paper money. To these great sources of economic antagonism was added incessant wrangling between assemblies and governors over salaries and allotments to royal officers, over land titles and land grants, over quitrents due to the Crown or to proprietors, over bankruptcy acts designed to ease the burdens of American debtors at the expense of English creditors, and over efforts of the colonists to promote trade at the cost of their neighbors or of England.

American business and agricultural enterprise was growing, swelling, beating against the frontiers of English imperial control at every point. Colonial assemblies and English royal officials were serving as the political knights errant in a great economic struggle that was to shake a continent.

Considered in the light of the English and provincial statutes spread over more than a hundred years, in the light of the authentic records which tell of the interminable clashes between province and metropolis, the concept of the American Revolution as a quarrel caused by a stubborn king and obsequious ministers shrinks into a trifling joke. Long before George III came to his throne, long before Grenville took direction of affairs, thousands of Americans

had come into collision with British economic imperialism, and by the middle of the eighteenth century, far-seeing men, like Franklin, had discovered the essence of the conflict.

In a letter written in 1754, six years before the accession of George III, the philosopher of Poor Richard set forth the case in terms that admitted of no misinterpretation. With reference to matters of politics, he declared that royal governors often came to the New World merely to make their fortunes; that royal officers in the provinces were frequently men of small estate subservient to the governors who fed them; and that the Americans in reality bore a large share of English taxes in the form of enhanced prices for English goods thrust upon them by monopolistic laws. Turning to questions of commercial economy, Franklin insisted that the acts of Parliament forbidding Americans to make certain commodities forced them to purchase such goods in England, thus pouring more tribute into the English chest; that statutes restraining their trade with foreign countries compelled them to buy dearer commodities in England, adding that golden stream to the same treasury; that, since the Americans were not allowed to stop the importation and consumption of English "superfluities," their "whole wealth centers finally among the Merchants and Inhabitants of Britain." In short, in enumerating grievances that had flourished for many a decade, Franklin gave a clue to the friction which was soon to burst into an agrarian war.

In a larger sense the American Revolution was merely one battle in the long political campaign that has been waged for more than two centuries on this continent. The institutions of metropolis and colony and the issues of their dispute were analogous to the institutions and issues that have figured in every great national crisis from that day to this. On the side of the mother country, a Crown and Parliament sought to govern all America somewhat after the fashion of the President and Congress under the fed-

eral Constitution of 1787. The central British government regulated the interstate and foreign commerce of the thirteen colonies in the interest of the manufacturing and commercial classes of England; it directed the disposal of western lands; it struck down paper money and controlled the currency; it provided for a common defense and conducted the diplomacy of the continent. With a view to protecting practical interests, the British Crown and judiciary nullified acts of local legislatures similar in character to those declared void long afterward by Chief Justice Marshall.

On the American side of the colonial conflict, the agent of local power was the popular assembly which aspired to sovereignty and independence, placing all rights of person and property at the disposal of passing majorities. It authorized the issue of paper money; passed bankruptcy acts in the interest of debtors; stayed the collection of overdue obligations; sought to control the sale of western lands, and assumed the power of regulating local trade and industry. The British government brought heavy pressure upon it; an explosion resulted. For a decade the state legislature was sovereign, and it worked its will in matters of finance, currency, debts, trade, and property. Then followed the inevitable reaction in which were restored, under the ægis of the Constitution and under American leadership, agencies of control and economic policies akin to those formerly employed by Great Britain. In a word, the American Revolution was merely one phase of a social process that began long before the founding of Jamestown and is not yet finished.

§

At the close of the French and Indian War in 1763, England found herself in a peculiar state of affairs and under the direction of new men. George II, with his lumbering gait, his German accent, and his passion for Teutonic comfort, had passed away and the Crown had fallen to

his young grandson, who gloried in "the name of Briton," spoke English like a native, cherished his mother's motto, "George, be king!" and was prepared for moderate adventures on his own account. No English sovereign for more than a hundred years had been in such a favorable position to uphold royal prerogatives. Unlike the petulant Stuarts, George III was engaged in no quarrel with the Commons; unlike William III, he was not primarily interested in Continental politics; unlike his Hanoverian predecessors, he did not pine for the quiet retreats of his paternal estates. The last of the Jacobite uprisings in favor of the Stuarts had been crushed in blood and Prince Charlie was wasting his life in riotous living on the Continent. The most intransigent of the old opposition had been overcome; Tories, as Macaulay said, always eager to prostrate themselves, paid homage to George III and were favorably received. After the two revolutions, there was no further likelihood of attempts to lay taxes without the consent of Parliament; and the few thousand landlords and merchant capitalists who governed England were fairly content with the best of possible constitutions in the best of possible worlds. There were lingering remembrances of ancient differences among them which classified them as Whigs and Tories, but by the accession of George III, the prime sources of contention were the spoils of office. Though most of the landed gentry, except the newly-made mercantile peers, were in the Tory ranks and the Whigs found their strength mainly in the towns and among the middling orders, no great economic issue now sharply divided them as in the days of the Stuarts.

For nearly half a century the Whigs had held the offices, drawn the pensions, made the bishops, and monopolized the revenues of politics. They had dictated to their sovereigns and treated their opponents with lofty contempt, spitefully proscribing all who would not bow the knee. But the long way had its turning. A host of enemies—some sincere patriots and others disappointed spoilsmen—was raised up,

and as soon as George III was safely installed, the Whigs were ousted from power.

Thus a new king and an old party came upon the scene at a critical juncture when a foreign war and its economic effects were in progress. Taking note of these facts, one school of historians has represented the American Revolution which ensued as the bitter fruit of novel measures devised by George III and his Tory supporters. The king himself is put forward to bear most of the blame: "The shame of the darkest hour of England's history," exclaims Green, "lies wholly at his door." But the modern student, on his guard against summary judgments, does well to remember that the chief authors of this creed were themselves either Whigs or Liberals, naturally prone to defend the conduct of their historic party and to shift the blame for the disaster to the shoulders of the king and his Tory adherents.

Their hypothesis does not square with the cardinal facts in the case. No principles essentially new, except that of the stamp tax, were applied to the colonies on the accession of King George, and the stamp tax was quickly abolished with his approval. No new agencies of control were devised to subdue colonial legislatures. Old laws approved by both Whigs and Tories were now enforced with more vigor and old engines of government were worked with more efficiency to carry into effect established rules. Indeed, it was the effort to recover lost ground quite as much as to take new salients that brought on the armed collision.

On none of these things were the Whigs and Tories divided in principle. No fundamental differences with respect to colonial policies separated the one from the other. The domestic fortunes of neither of them—places, patronage, power, honors, and spoils—depended upon the fate of measures for ruling the colonies. If Whig merchants derived benefits from restraints on American trade, Tory landlords found equal advantage in restrictions on American woolen manufactures. To both, imposts on American

tobacco brought a pleasing relief in the form of lighter taxes on their houses and lands, and projects for shifting some of the recently acquired war burden to the colonies were greeted by hearty applause from opposing benches.

There was not a single measure designed to tax and control the trade of the American colonies that was not supported by Whigs of some school, including leaders high in that faction. George Grenville, chief author of the forward policy, had long been associated with the Whigs in office; whatever his views, he was hardly a Tory of the old persuasion. Charles Townshend, who helped to complete the ruin, was a Whig—a "Weathercock Whig"—but still a friend of that sect. Lord Rockingham, who, as head of the government, insisted that Parliament in repealing the Stamp Act should proclaim its right to make laws binding the colonies in all matters whatsoever, was a Whig, an outstanding figure in that group, a patron of Edmund Burke. Chatham, who often lifted his voice against coercive measures, was prime minister when Townshend devised and pushed through acts taxing the colonists and making provision for the drastic enforcement of the laws against smuggling. His friends say that at the time the noble lord was distracted with illness; so charity draws the curtain. Yet of all the obsequious men who fawned on George III, none outdid in abasement the Earl of Chatham; according to Burke, a mere glimpse into the royal closet intoxicated him. If he thundered against drastic measures that produced rebellion, he opposed the independence of America to his dying gasp. Of all the great Whigs, Burke alone understood America and pursued a consistent course with respect to American affairs.

It was not the obstinacy of the Tory party, nor the willfulness of George III, that brought on the American war for independence. Grenville, who initiated the specific measures which set fire to the tinder accumulated in America, was no servile tool of the king. On the contrary, George III cordially hated that minister, summing up his

opinion in the exclamation: "I would rather see the devil in my closet than Mr. Grenville." Nor was the minister a mere party agent rising to power by the use of spoils and bribery; as Burke truly said, Grenville won his place not through the "pimping politics" of the court, but through conscientious public services, especially in colonial administration.

A methodical and parsimonious bureaucrat—a lawyer who took the parchment view of official duties—Grenville thought more could be accomplished for trade by law than by liberty; and he had the small man's passion for carrying theories to a logical conclusion. Seeing the trade acts violated by American smugglers, he decided to enforce them. Finding the English treasury loaded with a heavy war debt, incurred partly in defending the colonies against the French, he thought it reasonable to transfer to the beneficiaries a share of the burden. But this philosopher of precision was not the sole ruler of England; neither was George III in spite of his pretensions and his bribery of members of Parliament. The Stamp Act passed both houses "with less opposition than a turnpike bill."

§

Under the direction of the laborious and systematic Grenville, aided by Townshend, measures of crucial importance, though by no means wholly novel in principle, emerged from the councils of the British government. On behalf of English creditors, one act of Parliament made the prohibition of paper money binding upon the legislatures of all the colonies. For the benefit of English fur traders and land speculators, a royal proclamation reserved to the Crown the ownership and disposal of all lands in the territory recently wrested from the French and also forbade fur trading without royal license—a stinging blow to squatter settlers and libertine hunters—even if calculated to prevent their bloody clashes with the Indians.

To relieve English taxpayers, elaborate plans for raising money were incorporated in the Sugar Act of 1764, the title of the bill expressly declaring that the object was to obtain revenues in the colonies to be applied toward the expenses of "protecting and securing them" and preventing smuggling. By its terms the old prohibitive rate on molasses was reduced with a view to yielding returns to the treasury; specific duties were levied on a number of imports; the list of enumerated articles which could be sold only in England was enlarged.

Without respect for the feelings of the colonists, every conceivable engine was now brought into play to suppress smuggling. Revenue collectors, officers of the army and navy, and royal governors were brusquely ordered to do their full duty. Naval men, none too enamored of judicial methods at best, were set to work patrolling the coast and overhauling vessels suspected of neglecting legal precautions; shipowners and masters were placed under closer scrutiny; rewards were offered to spies and informers; those who helped to catch smugglers shared in the spoils of the game. Suddenly and almost without warning, the colonists found their easy-going ways proscribed and the minions of the law on their ships, in their warehouses, and even in their homes, armed with general search warrants.

On top of the Sugar Act, and framed with the same reference to English taxpayers, came the Stamp Act, subjecting the colonists to burdens similar to those borne by Englishmen at home. This, too, was a law raising revenues to be devoted toward the expenses of "defending, protecting, and securing" the colonies. It was a long measure of more than sixty sections, dragging within its wide-flung net almost every kind of legal, commercial, and social operation that could be discovered by the skillful draftsmen who drew the bill. Taxes were to be paid on the papers used in legal transactions, such as deeds, mortgages, and inventories, on licenses to practice law or sell liquor, on college diplomas, playing cards, dice, pamphlets, newspapers, calendars, and

advertisements. The stamp duties were heavy; penalties were imposed for violations of the law; and governors were ordered to be circumspect in enforcing the Act.

Three features of this Act gave it a revolutionary drive. Unlike most laws relative to trade and shipping, it affected every section and nearly every class in America. The tax on sugar and molasses hit the New England shipper and rum distiller; the impost on tobacco irked the Virginia planter; but the Stamp Act struck at every order in society, making grievances universal. For the first time the thirteen colonies were stung into action by one and the same levy on their purses. In addition to being universal in its application, the Stamp Act was an innovation. "External taxes," that is, customs duties, levied at the ports under parliamentary orders were not new; but laws taking money so directly out of provincial pockets had never been passed before in London. The colonists might well ask whether, if they acquiesced in this beginning, there would ever be an end. Last, but not least, the tax fell heavily upon two classes skilled in controversy, loquacious in expressing themselves, and accustomed to fish in troubled waters—lawyers and editors.

If Grenville and Townshend, laboring under an oil lamp, had searched a lifetime for a plan better calculated to stir rebellion in America, they could not have found it. Yet their colleagues in Parliament were equally innocent; resolutions sanctioning the stamp taxes were carried without a dissenting voice; the bill itself went through the House of Commons without causing a ripple of excitement by a vote of 205 to 49, while in the Lords it was not even necessary to go through the formality of a count. King George, also innocent, was temporarily insane at the time; and the bill was approved by a regency. With similar insouciance Grenville's program was fortified by the Mutiny Act of 1765, which provided for dispatching to America all the troops required to enforce the laws, and by a special Quartering Act, laying down the terms on which the colonists

were to house, feed, and supply the army sent overseas to "protect, defend, and secure."

In the eyes of its sponsors, this program seemed nothing more than a reasoned system for maintaining the strength and integrity of the British empire: the American colonies enjoyed the protection of the British army and navy, and it seemed entirely fair to the ministry in London that they should help pay the expenses of that service. It was in the main the logical development of a policy that had been sanctioned by a century of practice. It was not the outcome of Tory principles, for Whigs conceived and voted for it.

Indeed, it was so cleverly designed that Tory landlords and Whig merchants alike rejoiced in the prospects which it opened. The former were delighted at the thought of some reduction in taxes. "I well remember," exclaimed Edmund Burke years afterward, "that Mr. Townshend, in a brilliant harangue on this subject, did dazzle them by playing before their eyes the image of a large revenue to be raised in America." Besides promising a monetary return in relief of taxation, the Sugar Act offered direct gains to the West India planters, of whom there were said to be three score and more in Parliament. On the other side of the economic line, British manufacturers and merchants, whose interests were already well safeguarded in the laws restricting colonial commerce and industry, naturally approved the strict enforcement which the contrivances of Grenville seemed to offer.

If very many people in England, of high or low estate, entertained strong objections on principle to the new schemes, they failed to make their views sufficiently vocal to influence the councils of the government. So the myth that George III conceived this monumental collection of restrictive measures and drove it through Parliament must be dismissed as puerile; the laws were drafted by or for English landlords and merchant capitalists who as a rule looked upon the colonies as provinces to be exploited for the advantage of the metropolis. No doubt, King

George favored these high-toned schemes and was grieved when the American populace broke out in defiance of law and order, but he was not the author and finisher of the policy that shattered the British empire in America.

§

The peculiar state of American affairs made the reception of Grenville's program especially furious. A widespread business depression had just set in. During the seven years of the French and Indian War, American merchants, planters, and farmers had been unusually prosperous; produce of every kind had brought high prices and the specie disbursed by the quartermasters had stimulated economic activity in every field. The estates acquired by war profiteers were numerous and large; many merchants had suddenly risen, complained the lieutenant governor of New York, "from the lowest rank of the people to considerable fortunes and chiefly by illicit trade in the last war." But in the swift reaction that followed inflated prices collapsed, business languished, workmen in the towns were thrown out of employment, farmers and planters, burdened by falling prices, found the difficulties of securing specie steadily growing.

By the new imperial program the evils of depression were aggravated. It struck a blow at the West India trade, that fruitful source of business and specie. It put a stop to colonial paper money, thus sharply contracting the currency. It required the payment of the new taxes in coin into the British treasury, putting another drain on the depleted resources of the colonists. It harassed American merchants by irritating searches and seizures, filling them with uncertainty and dismay, and adding to the confusion of business. Moreover, all the colonies, not merely the commercial North, were now thrown into distress; all classes, too, disfranchised and unemployed workmen of the towns as well as farmers, planters, and merchants. This is sig-

nificant; it was the workmen of the commercial centers who furnished the muscle and the courage necessary to carry the protests of the merchants into the open violence that astounded the friends of law and order in England and America and threatened to kindle the flames of war.

In fact, the greeting accorded to the Grenville program in America astounded the governing classes on both sides of the water. Before the Sugar Act was passed, Boston merchants, hearing rumors of the impending legislation, had organized a committee, presented a memorial to the legislature, and entered into correspondence with merchants in other colonies. Likewise in New York, commercial men had begun to draw together in anticipation of trouble. When the drastic terms of the Sugar Law and the sweeping provisions of the Stamp Act became known, the wrath of the people knew no bounds. Merchants, lawyers, and publishers held conferences and passed resolutions condemning British measures and policies. Patriotic women flocked to associations, pledged themselves not to drink tea, and, besides refusing to purchase British goods, set to work spinning and weaving with greater energy than ever "from sunrise to dark." The maidens of Providence bound themselves to favor no suitors who approved the Stamp Act.

Artisans and laborers, hundreds of them rendered idle by the business depression, formed themselves into societies known as "Sons of Liberty." Feeling their way toward that political power which was to come in the early nineteenth century, they leaped over the boundaries of polite ceremony. They broke out in rioting in Boston, New York, Philadelphia, and Charleston; they pillaged and razed the offices of stamp agents; they burned stamps in the streets; they assailed the houses of royal officers; in Boston the residence of the lieutenant governor was pried open, his chambers sacked, and his property pitched out into the streets. In fact, the agitation, contrary to the intent of the merchants and lawyers, got quite beyond the bounds of law and order. As Gouverneur Morris remarked, "the

heads of the mobility grow dangerous to the gentry, and how to keep them down is the question." Indeed, the conduct of the mechanics and laborers was so lawless that it is difficult to paint a picture of the scene in tones subdued enough for modern Sons and Daughters of the Revolution.

In the colonial assemblies, of course, protests against British policies took on the form of legal arguments and dignified resolutions. The Virginia House of Burgesses declared that attempts to tax the people of the Old Dominion, except through the local legislature, were "illegal, unconstitutional, and unjust"—a declaration supported by a moving speech of Patrick Henry in which he warned George III about the fate of Cæsar and Charles I, silencing dissent by the exclamation, "If this be treason, make the most of it!" Not content with formal protests, the Massachusetts assembly appealed for concerted action, inviting the other legislatures to send delegates to a congress in New York to consult about the circumstances of America and to consider a general plan for obtaining relief.

With surprising alacrity, nine colonies responded to the summons, and in the autumn of 1765 the Stamp Act Congress was duly called to order in New York. After the usual preliminaries, the Congress agreed to a definite profession of faith embodied in a set of solemn resolutions: Englishmen cannot be taxed without their consent; the colonists from the nature of things cannot be represented in Parliament; they can only be taxed by their local legislatures; the Stamp Act tends to subvert their rights and liberties; and other acts imposing duties on the colonists and regulating their trade are grievous and burdensome. This creed was then supplemented by an appeal made to the king and Parliament, begging for the abolition of several objectionable measures. Going beyond "humble supplication," the insurgents gave an effective drive to their demands by a well-timed economic stroke—a general boycott of English goods, which had a deadly effect, within a few months driving the imports rapidly to the lowest point reached in

thirty years. With a cry of anguish English merchants set upon Parliament demanding a repeal of the Stamp Act, which yielded no revenue and ruined their business.

§

While stirring events were shaking the colonies from New Hampshire to Georgia, a domestic quarrel arose between George III and his ministry. Far from being a master in his own house, the king was really a servant. He had not formulated and forced through the policy of coercion in America; as far as he understood it, he approved it; but the policy itself came from his ministers. As Macaulay justly says, "the triumph of the ministers was complete. The King was almost as much a prisoner as Charles the First had been when in the Isle of Wight." Angered at length by the haughty insolence of Grenville, George turned to the Whigs for relief, and sanctioned the creation of a ministry under Lord Rockingham.

On the day of his installation the new premier had to face rebellion in America and a political insurrection at home. As Burke said, "the whole trading interest of this empire crowded into your lobbies." The Stamp Act, coupled with the boycott, had ruined its business, and sweeping the statute from the books was the only remedy. Some apostles of high prerogative blustered; but the king, after expressing his personal dislike for the backward step, let it be known that men who opposed the repeal did not speak for him, that he preferred a retreat to the use of force. So the repeal passed amid the cheers of the lobbyists.

And yet, though a victory for the Americans, it was accompanied, on the insistence of Lord Rockingham, by a Declaratory Act which expressly rejected as unfounded the claims of the colonists to the exclusive right of taxing themselves, repudiated as utterly null and void their resolutions, votes, orders, and proceedings denying the authority of

Parliament in such matters, and proclaimed in language that admitted of no double interpretation the power of Parliament to make laws binding the colonies and people of America "in all cases whatsoever."

After repealing the Stamp Act, Parliament proceeded to revise the troublesome molasses and sugar laws. It swept away the wholesale discrimination against the French product and established a uniform moderate duty of one penny a gallon on all molasses, British and foreign, imported into the colonies. While retaining the high rates on foreign sugar, it lowered the cost of British West India sugar by striking off the export tax at the local ports. Thus, in addition to repudiating expressly every claim made by the colonists under the slogan, "no taxation without representation," Parliament actually passed a bill taxing them without their consent: the new Molasses Act, laying a duty on British and foreign molasses, was a tariff project designed to raise revenue.

In reality, therefore, save for the repeal of the Stamp Act, the Americans won a Pyrrhic victory, but the colonial merchants, alarmed by the menace to law and order which their recent protests had let loose, accepted the measures of Parliament with signs of gratitude. Bells were rung, cannon fired, banquets held, toasts to the king drunk from huge bumpers, and professions of profound loyalty made on every hand. Almost in the same breath, however, the merchants in the commercial colonies began to draw up petitions to the House of Commons setting forth the grievances still unheeded. They protested against the duty on molasses; it reduced the profits of New England distillers. They objected to the administrative regulations against smuggling; they were irksome to shippers. They declaimed against the high duty on foreign sugar; it encouraged illicit trading and it was bad for business. They mourned over the prohibition laid on colonial currencies; it brought about deflation and a great scarcity of money. The Stamp Act, that had united all colonists and set the lawyers and pub-

lishers in a ferment, had been blotted from the statute books, some concessions had been made to commerce, but in the main the forward policy of the Grenville-Townshend school had not been abandoned.

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On the contrary, the very next year saw an extension of that policy. In one of the ever-recurring contests among English politicians over power, patronage, and royal favors, the repeal ministry was soon driven from office. After dragging down the Rockingham Whigs by refusing his support, William Pitt, delighted as a child by the flattery of George III, and now elevated to the peerage as the Earl of Chatham, raised himself again to the head of the government, choosing for the position of chancellor of the exchequer Charles Townshend who, as everybody knew, was directly opposed to the old colonial policy of caution and moderation.

It is true, as already remarked, that Chatham was ill during this ministry; Whig historians, his ardent apologists, have always emphasized that indisposition. Still the fact remains that he assumed responsibility for the direction of affairs, thereby preventing the formation of an all-Whig administration, and he put Charles Townshend, "who belonged to every party and cared for none," in a post where he could give effect to the colonial policies which he was known to cherish.

The first problem that confronted Townshend on taking office was a deficiency in revenue, for as a concession to the clamor of the landlords the domestic land tax had been materially reduced. On all sides it was conceded that some kind of revenue from the colonies offered the easiest relief to harassed country gentlemen in England, and Townshend believed in making the most of the opportunity. Having learned from experience that "internal taxes," such as the stamp duties, were out of the question, the eager minister

cast thoughtfully about for a form of impost acceptable to the colonists.

One clear way seemed to open, at last. For a long time, under acts of Parliament, duties had been collected at American ports on certain goods; and the recent Sugar Act, which laid a tariff for revenue, had awakened no revolutionary temper in the provinces. Colonial philosophers had not yet proclaimed such "external taxes" to be flat violations of their constitutional and natural rights; neither had they placed these taxes within the mystic category of imposts banned under the principle of "no taxation without representation." Fully aware of this situation, Townshend came to the conclusion that he was taking due account of the sentiments of Americans when, in a revenue law of 1767, he laid duties on lead, glass, tea, and a few other American imports, and dedicated the proceeds to the support of government in the colonies. The taxes were not especially heavy, certainly no more burdensome than the molasses duties which had followed the repeal of the Stamp Act. It was true, colonial merchants had protested against the molasses duties, but their protests had been couched in respectful tones, showing no threat of rebellion. So Townshend and Parliament thought that finally a correct procedure had been found.

If it was constitutional and proper to lay customs duties on goods imported into the American colonies, it appeared to be constitutional and proper to make provision for the collection of the said revenues. So it seemed at least to the English Parliament. Therefore the Townshend program embraced special measures for enforcement. One of these placed the collection of colonial imposts in the hands of British commissioners, appointed by the Crown, resident in the colonies, paid from the British treasury, and independent of local control.

That was ominous enough, but going still further, the new revenue law added "teeth" to the former measures of execution. It expressly legalized writs of assistance, by

authorizing the superior courts of the colonies to issue orders empowering customs officers to enter any house, warehouse, shop, cellar, or other place in the British colonies or plantations in America to search for and seize prohibited or smuggled goods. This promise of vigor was accompanied by another monitory gesture. As the assembly of New York had refused to make provision for the king's soldiers sent over to aid in law enforcement, Parliament suspended that legislature until it promised to comply with the obligations laid upon it.

Such was the body of legislation by which Townshend and his colleagues in Parliament hoped to raise a respectable revenue in America and carry into effect the various restrictions on colonial trade and industry prescribed by nearly a hundred statutes spread over the books all the way back to the age of Cromwell. If the chancellor had any inkling of the havoc that his laws would play he gave no sign; he was not fated to live to see the mischief that flowed from his actions.

Among the measures of Townshend's program, none was more odious than the express sanction given to writs of assistance. There was nothing novel, of course, about the summary process of search and seizure, for it had long been used in England, but it made trouble in America, especially in Massachusetts. In fact, the employment of the famous judicial order there in 1755 in connection with illicit trade had raised a strong opposition; and it became a subject of a fierce controversy six years later when an application was made to a Massachusetts court for the writ "as usual."

On that occasion James Otis opposed the project in an impassioned speech of five hours duration. He denounced the practice as an exercise of that arbitrary power which had cost one king his head and another his throne; and condemned it as a tyrant's device which placed the liberty and property of every person in the hands of a petty officer moved by malice as much as zeal for the law. Though Otis

had no objection to special writs to search particular places, issued on oath, his wrath against the general writ knew no bounds. "What a scene," he exclaimed, "does this open! Every man, prompted by revenge, ill-humor, or wantonness to inspect the inside of his neighbor's house, may get a writ of assistance. Others will ask it from self-defense; one arbitrary exertion will provoke another until society is involved in tumult and blood." No careful hand made a verbatim record of this eloquent address, but the fragments that survive explain why every man who heard it went away ready to take up arms against writs of assistance. Such was the American attitude toward the hated legal document which Townshend proposed to put in the hands of royal customs officers engaged in executing the provisions of British colonial policy.

Whatever the colonists may have thought of Townshend's program, it was in fact, like the policy of Grenville, a perfect mirror of the mind of the English governing classes. For this we have the high authority of Edmund Burke. In his speech on American taxation, the Irish orator later reviewed the scene to which he had been a witness. He told his auditors that to please universally was the object of Townshend's life. "To render the tax palatable to the partisans of American revenue, he had a preamble stating the necessity of such a revenue. To close with the American distinction, this revenue was *external,* or port duty; but again to soften it to the other party it was a duty of *supply.* To gratify the *colonists,* it was laid on British manufactures; to satisfy the *merchants of Britain* the duty was trivial and (except that on tea which touched only the devoted East India Company) on none of the grand objects of commerce. To counterwork the American contraband, the duty on tea was reduced from a shilling to three pence. But to secure the favor of those who would tax America, the scene of collection was changed and with the rest it was levied in the colonies. . . . The original plan of the duties and the mode of executing that

plan, both arose singly and solely from a love of our applause. He was truly the child of the House. He never thought, did, or said anything but with a view to you. He every day adapted himself to your disposition; and adjusted himself before it as at a looking-glass."

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As soon as the Townshend program took the form of reality in America in the shape of an army of customs officers supported by British regulars and a fleet of revenue cutters, the war of American independence opened, not, of course, with all the panoply of the state, but in the guise of unashamed, flagrant, and determined resistance to law. In a few months a long roll of riotous deeds was registered. An informer who told on Boston smugglers was tarred, feathered, and dragged through the streets of the city; three informers were furnished a dose of the same medicine in New York; the tide waiter at Providence was beaten and given a coat of tar and feathers; a revenue sloop was boarded, smashed, and burnt by a Newport mob because it brought into port two vessels accused of smuggling; when the royal officers in Philadelphia seized fifty pipes of Madeira wine on which duties had not been paid, a mob assaulted them and stole the sequestered goods.

Every few days Boston was filled with alarm over the landing of goods in defiance of law, over forcible seizures by revenue authorities, and over forcible recaptures accompanied by assaults on officers. In June, 1768, when John Hancock's sloop *Liberty* reached Boston with a cargo of wine, temper was high. The collector who went on board to enforce the law was pitched into the cabin of the ship and most of the wine was taken off in spite of his cries. When the customs board ordered the seizure of the vessel, a mob replied by attacking the revenue officers and stoning their houses. When regulars were brought into the city to restore order, the remedy proved to be worse than the

disease. Even school children now emulated their elders by jeering soldiers and officers; indeed, one of the first Americans killed in the conflict was a school boy shot by an informer who resented childish ridicule.

This affair was shortly followed by the "Boston Massacre" of March, 1770, starting in comedy as some youths threw snowballs and stones at a small body of British regulars and ending in tragedy with the killing and wounding of several citizens. "The Boston people are run mad," lamented the governor. "The frenzy was not higher when they banished my pious great-grandmother, when they hanged the Quakers, when they afterwards hanged the poor innocent witches." In other colonies the storm also raged. Two years after the "Massacre," John Brown, of Providence, the richest merchant in the town, at the head of an armed mob, boarded the revenue cutter, *Gaspee,* which had run ashore while chasing a smuggler; after seizing the crew, the rioters set the ship on fire.

During these operations in defiance of the law, merchants were organizing non-importation associations and bringing a stringent boycott to bear on the English government. Once more women came to the rescue by denying themselves English goods and by working hard with their wheels and looms to supply the deficiency. "The female spinners kept on spinning six days of the week," caustically remarked a high Tory, "and on the seventh the Parsons took their turns and spun out their prayers and sermons to the long thread of politics." Townshend had aroused passions that were soon to challenge British supremacy on the field of battle.

While radicals were agitating, merchants drawing up resolutions, and women spinning, colonial assemblies were learning the lessons of coöperation. In 1768 the lower house in Massachusetts, under the shrewd direction of Sam Adams, addressed an appeal for union, in the form of a circular letter, to the legislatures of the other colonies. This letter, cautiously phrased, described the state of affairs in

Massachusetts, condemned the British program, expressed the opinion that Parliament could not lay any duties in America for the sole purpose of raising revenue, and declared that the colonies from the nature of things could not be represented in Parliament.

In the rhetoric of humble propriety, the letter submitted to consideration the question whether any people could be free so long as they were subjected to governors and judges appointed by the Crown. Finally notice was taken of the hardships occasioned by the enforcement of the Quartering Act and the conduct of the commissioners of the customs. Displaying a restraint far beyond the wont of Adams, the concluding paragraph expressed a "firm confidence in the King, our common head and father," and confessed the belief that "united and dutiful supplications" would meet with his favor and acceptance. Though the letter was moderate to the point of servility, the governor of Massachusetts ordered the house to rescind it, and on meeting refusal he dissolved the General Court. The appeal had gone forth. The assemblies of Maryland, Georgia, and South Carolina endorsed the sentiments of the circular, and were promptly dissolved for their defiance.

In the same spirit of determination, the Virginia House of Burgesses, aroused by a resolution of Parliament demanding that persons guilty of disorder in the colonies be transported to England for trial, filed its declaration of principles in May, 1769. It announced that the sole right of levying taxes was vested in the legislature of the province and protested against subjecting Americans to English tribunals across the sea. The tone of the resolutions was firm, but the king was assured of "our inviolable attachment to his sacred person and government."

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While, in the light of later events, the protesting and rioting in America were full of warning, the British gov-

ernment was apparently not alarmed at the time. All through the tempest political maneuvering continued in London on conventional lines; officers were made and unmade with little reference to colonial affairs, and out of the customary intriguing, Lord North, after serving in the treasury and later in the exchequer, rose to the post of prime minister, opening in 1770 a term that was to last for twelve years. Under his leadership, the English ruling classes went on the even tenor of their way unconscious of impending calamity. Although in April, 1770, Parliament repealed all the Townshend duties except the tax on tea, it took this step, if the ministry must be believed, not as a concession to Americans, but because taxes on British manufactures were "preposterous." As a matter of fact, good crops in England and war on the Continent filled the sails of English trade with the winds of prosperity so that the boycott in America, unlike the revolt in the days of the Stamp Act, brought no one to his knees. While admitting that certain vocal grievances existed in the provinces, Lord North went blandly on his course without losing any sleep over the news from the royal governors or the protests of provincial agents in London.

Indeed, North was so little troubled by events in the colonies that he sponsored a law bound to make still more mischief than the Townshend duties or the Stamp Act. At this juncture in commercial affairs, the East India Company had fallen into financial difficulties; famines had decimated its business and rapacious directors had impoverished its treasury by declaring high dividends. In 1772 it was marching swiftly in the direction of bankruptcy, driving a horde of politicians and capitalists to the brink of ruin. On an appeal from the Company, Parliament came to its aid, making it a huge loan at a low rate of interest and transferring many of its high prerogatives in India to the British Crown. During the course of the settlement, the government cast about for a way of unloading a surplus of seventeen million pounds of tea which the corporation

had on hand, and naturally America was remembered in this relation.

The result was the Tea Act of 1773. Under this measure the Company was given a refund of the duties paid on any tea imported into England and afterwards transshipped to the colonies. Then an additional favor was conferred on the Company. Hitherto it had sold tea at public auction in England to merchants who exported it to America for sale. Contrary to that practice, the new Tea Act authorized the corporation to go into business on its own account —to export tea in its own ships and to sell tea directly through its own agencies in the colonies. To merchants in America this was a stunning blow—a blow furnishing a precedent to the American Standard Oil Company which, a century later, flung out its branches in every direction to the ruin of independent producers and retailers.

By the Tea Act a path was cut directly from the producer to the consumer. Before it was passed, English tea merchants had purchased their stocks from the Company in England; American importers had bought from the English jobbers; and colonial retailers had been supplied by the local importers, thus compelling the consumers in the provinces to pay four profits. Under the new Tea Act they were to have the privilege of buying tea directly from the Company without the intervention of middlemen. So in spite of small duties levied under the remaining shreds of the Townshend revenue act, tea could now be sold in America lawfully by the Company at a price far below that charged by American merchants who bought their stocks legally in London or even smuggled them through from Holland.

Naturally the news of this Tea Act spread consternation among American business men; for the profits of a lucrative trade were about to be swept away by the stroke of a pen and the agents of a powerful monopoly authorized to operate directly on American soil. The immediate menace was great; if this practice was extended, American enterprise

could be utterly destroyed in the interest of British concerns. "Would not the opening of an East-India House in America encourage all the great Companies in Great Britain to do the same?" exclaimed a New York protestant. "If so, have we a single chance of being anything but *Hewers of Wood and Drawers of Water* to them?"

There was the whole colonial case in a nutshell: tea stocks on hand were struck down in value below cost; profits were wiped out; and the prospect was opened of making America a mere tributary to the capitalist system of Great Britain. For a young and energetic people, full of spirit, with the wide sea before them and immense natural resources at their command, such a position of provincial subordination, diverting riches and power to London, was unbearable, impossible.

Swift was the answer of the American merchants in the port towns to the Tea Act. As soon as the first cargoes arrived in the harbor of Boston, a mass meeting, held in the Old South Meeting House, unanimously resolved that the tea should be sent back without being honored by the payment of duty. Hearing of this action, the royal governor ordered the assembly of objectors to disperse, only to have his order greeted with loud and prolonged hissing. For several days negotiations were carried on between the spokesmen of the popular conference and the agents of the government.

At last, on the evening of the twentieth day, the patience of the crowd was at an end; as night fell upon the town, Sam Adams rose in the church and said: "This meeting can do nothing more to save the country." Whether or not this was the signal for direct action remains a mystery, but certainly in a few minutes a huge mob in the disguise of Indians swept down to the docks, boarded the tea ships, and dumped £18,000 worth of property into the water. Words had borne fruit in deeds. Who composed this lawless tea party is not yet settled, but the assiduous searches of A. M. Schlesinger reveal merchants toiling "side by side

with carpenters, masons, farmers, blacksmiths, and barbers."

In other cities the tempest over the Tea Act broke out in startling tones. Rioters paraded the streets of Portsmouth, New York, Philadelphia, and Charleston, refraining from open violence only because the customs officers and consignees of tea thought discretion the better part of valor; and Annapolis "out Bostoned Boston." When the *Peggy Stewart* arrived with a cargo of tea, a local mass meeting was held and it was solemnly resolved that the goods should not be landed.

Harder and harder blew the storm until radical elements got possession of the assembly, demanding that ship and cargo be burnt. By a show of force, the owners of the brig, James Dick and his son-in-law, Anthony Stewart, importers already in bad odor with local patriots, were now compelled to consent to the sacrifice of their property—as the price of escaping worse damage, including the destruction of Stewart's home, which was worth more than the ship. So, in the presence of a great throng, the *Peggy Stewart* and the tea were sent up in one grand sky-roaring flame. Evidently affairs in America had passed beyond the realm of parlor patriotism.

As soon as the report of the Boston tea party reached London, the British government resolved upon enforcing respect for law in Massachusetts, where the property of a great trading company had been destroyed. Until that time it had endured with considerable patience the course of disorder. To give a poor customs officer a coat of tar and feathers was one thing; to destroy £18,000 worth of tea belonging to the most powerful corporation operating in the British Empire and in British politics was something quite different. So, at least, it was regarded by the ministry of Lord North.

Accordingly, Parliament by sweeping majorities passed five "intolerable acts" aimed at curing unrest in America. The port of Boston was absolutely sealed to all outside

commerce; the old charter granted in 1691 was revoked and town meetings were prohibited except when authorized by the governor; persons accused of murder in connection with law enforcement were to be transferred to England for trial; the quartering of troops in Massachusetts towns was legalized. The fifth measure, which especially incensed the Puritans—the Quebec Act—extended the boundaries of that province to the Ohio River in spite of the claims of Massachusetts, Connecticut, and Virginia, and granted toleration to Catholics in Canada.

Administrative measures supplemented the laws. General Gage, head of the armed forces in the provinces, was appointed governor of Massachusetts; reinforcements were hurried to the point of disaffection; and the majesty of the law was to be vindicated by strong medicine administered to "the rebels," as George III now called his subjects in America. On the part of the British cabinet the task was undertaken with a light heart, for it had been informed by Hutchinson, born and bred in Massachusetts, that a few soldiers would awe the populace into submission and by General Gage that four regiments would be "sufficient to prevent any disturbance." With their usual prescience military authorities and technical experts spoke of the colonials in terms of contempt and prepared to rush into the fray with their customary levity. Since General Wolfe, the hero of Quebec, had declared that "the Americans are in general the dirtiest, most contemptible, cowardly dogs that you can conceive," it seemed reasonable to English officers to suppose that a little cold steel would quickly reduce such persons to order.

## CHAPTER VI

## *Independence and Civil Conflict*

On Monday, May 30, 1774, Nicholas Cresswell, the Tory diarist then traveling in Virginia, entered in his journal: "Dined at Colonel Harrison's. Nothing talked of but the blockade of Boston Harbour. The people seem much exasperated at the proceedings of the Ministry and talk as if they were determined to dispute the matter with the sword." The news of the Intolerable Acts had arrived. It had been made evident that there was to be no repetition of the Stamp Act episode: protest, boycott, and resistance followed by a surrender on the part of Parliament; that the government of Great Britain would meet insurgency with coercion, riots with a demonstration of military force. Up to this point the recent American agitation had been local and fitful, carried on by town and county committees and provincial conventions. Now it took on a national character.

On June 17, the Massachusetts assembly, inspired by Samuel Adams, invited all the other colonies to send delegates to a grand continental convention. The response was

impressive. In a hurried and irregular fashion representatives were chosen by colonial assemblies or at mass meetings dominated by fearless leaders, every colony, except Georgia, where the royal governor blocked the selection of delegates, replying promptly to the call from Boston. "The New Englanders," lamented the choleric Cresswell, "by their canting, whining, insinuating tricks have persuaded the rest of the colonies that the Government is going to make absolute slaves of them. This I believe never was intended, but the Presbyterian rascals have had address sufficient to make the other colonies come into their scheme." Such was the Tory's view of things.

When the first Continental Congress assembled in Carpenter's Hall in Philadelphia, it was found that many of the ablest men of America had been sent to speak for the discontented groups in the colonies. Some were bold: Gadsden of South Carolina was for an immediate attack on General Gage in Boston. Others were cautious: Dickinson of Pennsylvania thought that a respectful petition to the king would restore harmony; Washington, like Cromwell long before him, apparently awaited the decree of Providence. "One third Whig; another Tory; the rest mongrel," wrote John Adams. Nevertheless, the delegates agreed upon a declaration of American rights setting forth the grievances and principles of the colonists in clear yet dignified language. This manifesto they supplemented by an address to the king and another to the people of England, disclaiming the idea of independence while vigorously criticizing the policies pursued by the British government.

Advancing beyond the language of declaration and petition, the Congress then approved the action of Massachusetts in resisting British measures and promised the united support of the sister colonies—an ominous gesture but platonic rather than a stroke of power. Aware that something more than rhetoric was required by the occasion, the radicals in the Congress who voted for this resolution demanded coercive measures of action competent to bring the

British ministry to a surrender. After a heated debate, the Congress decided to paralyze British commerce until its demands were conceded; it resolved to stop the importation of British goods into America and to compel obedience to its decree by the establishment of committees of "safety and inspection" elected at the polls.

This was an ultimatum to the wavering masses; a test of allegiance to the American cause. Men who had been silent in the midst of the popular clamor or indifferent to the outcome could no longer avoid making a choice seen of all: they were either for or against the non-importation act; they either bought British goods or they did not; they were either with the radicals or against them. They had to choose whom they would serve, and choose quickly, for no time was allowed for parleys. With breath-taking swiftness local committees were formed to enforce the non-importation agreement and stern measures were employed against those who sold or consumed British goods. Recalcitrant citizens were treated to tar and feathers while the champions of non-importation were hailed as heroes. Subscriptions were taken for the relief of the people of Boston.

Up and down the country companies of militiamen began to drill and mass meetings were held to endorse the actions of the Congress. "The King is openly cursed," recorded Cresswell, "and his authority set at defiance. In short, everything is ripe for rebellion." Having raised the standard of revolt, the Congress took precautions for the future. Before adjourning it provided that a second Congress should meet the following May, if necessary.

If the colonists were firm, the British ministry was firmer. Petitions and declarations by the Congress encountered stony hearts in Westminster. In vain did Chatham and Burke urge the repeal of the laws that had roused the ire of Americans. In vain was a motion pressed and sustained by the eloquence of Chatham in favor of removing the king's troops from Boston. "Every motive of jus-

tice and of policy, of dignity and of prudence," warned the orator in his plea before the House of Lords, "urges you to allay the ferment in America, by a removal of your troops from Boston, by a repeal of your acts of Parliament, and by a display of amicable disposition towards your colonies. On the other hand, every danger and every hazard impend to deter you from perseverance in your present ruinous course. Foreign war hanging over your heads by a slight and brittle thread: France and Spain watching your conduct and waiting for the maturity of your errors; with a vigilant eye to America and the temper of your colonies."

But all such advice left Lord North perfectly cold; he would not yield to the demands. The best that he would offer was a set of conciliatory resolutions promising to relieve from parliamentary taxation any colony that would assume its share of imperial defense and make provision for the support of local officers of the Crown. Even this "Olive Branch" he supplemented by a resolution that assured the king of coöperation in suppressing the rebellion and by the Restraining Act of March 30, 1775, which was intended in effect to destroy the entire sea-borne trade of New England.

Tension between the metropolis and the colonies had now reached the danger point. Only a little act of violence was necessary to set the continent on fire; and the way for that fateful event was prepared by General Gage, in command of the British regulars in Boston. His superiors, the British ministers, chafing because the presence of soldiers had not awed the colonists into submission, were inclined to censure him for his inertia. At all events, for some reason, not very clear, Gage resolved upon a show of authority.

Hearing that the colonists had collected military stores at Concord, on April 19, 1775, he dispatched a small force to seize their supplies. News of the movement of troops, carried by Paul Revere and Rufus Dawes, spread like wildfire through the countryside, bringing swarms of minute

men to the scene of action. At Lexington, on the road to Concord, the British encountered a small band of militiamen drawn up on the green, and an order to disperse was followed by firing. Whose hand kindled the flame is to this hour one of the mysteries of military romance. The Americans placed the responsibility upon Major Pitcairn commanding the regulars; the British laid the act at the door of the militiamen. The testimony is conflicting and historians still debate the question of the "war guilt." But the fact, stark and fateful, stands out against the fair spring morning at Lexington; the contest was then and there transferred from the forum to the battlefield.

Lord North's ministry now openly accepted the challenge. King George issued a proclamation against the rebels. He declared that the colonists, "misled by dangerous and ill-designing men," were in a state of rebellion; he ordered the civil and military authorities to bring "the traitors" to justice; and he threatened with "condign punishment the authors, perpetrators, and abettors of such traitorous designs." Later in the year, Parliament passed a sweeping act cutting off trade and intercourse with America. Hope of conciliation was not yet dead but it was rapidly fading in the minds of American leaders.

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The second Continental Congress, which met at Philadelphia in May, 1775, soon took the path that led to revolution. It rejected Lord North's offer of peace on the ground that the right of Parliament to tax was not renounced or offending acts repealed. While it petitioned the king again for a redress of grievances, it turned resolutely to the defense of American claims with all the weapons at hand. Fate decreed that this remarkable assembly should direct the storm for many years, and that all the colonies should afford high talent for its councils. In the long course of its sessions it had among its members

nearly every outstanding leader of the Revolution: such as Washington, Jefferson, Wythe, Harrison, the Lees from Virginia; Samuel and John Adams, Gerry and Hancock of Massachusetts; Franklin and Morris of Pennsylvania; Read and Rodney of Delaware; Roger Sherman and Oliver Wolcott of Connecticut.

Its delegates were nearly all citizens of substance and affairs. Of the fifty-six that signed the Declaration of Independence, eight were merchants, six were physicians, five were farmers, and twenty-five were lawyers—members of that learned and contentious profession against which Burke had warned his countrymen. Most of them were tutored in the arts of local politics; many had served in colonial legislatures; a majority had taken an active part in agitations against British policy; nearly all were plain civilians with natural talents for political management. Among them there was no restless son of an ancient family, like Julius Cæsar, eager for adventure in unsettled times; no zealot like Oliver Cromwell, waiting to direct the storm in field and forum; no professional soldier, like Bonaparte, watching for a chance to ride into power; no demagogue, like Danton, marshaling the proletariat against his colleagues.

From beginning to end, the spirit of the Congress was civic rather than martial. Every debate was haunted by a dread of military power, the delegates seeming to fear a triumphant American army almost as much as they did the soldiers of George III. At no time did a dictator attempt to seize the helm of the government. Washington might have made himself master of the scene with ease, but the operation was foreign to the spirit of that Virginia gentleman. When, upon occasion, sovereign powers were conferred upon him by the Congress, he always returned them in due time unsullied by personal ambitions. Even in the most crucial hour there arose in the Congress no tyrannical committee of public safety such as ruled France in the darkest days of her revolution.

Nor were the proceedings of the Congress especially dramatic. Usually there were not more than twenty or thirty members in attendance; and in such an assembly the stormy eloquence of a Marat would have been comic. Although the lawyers present consumed weeks and months in displaying their logical capacities, the Congress was, on the whole, more like a village debating society than the Convention which carried France through the Reign of Terror. Moreover, it met in the little town of Philadelphia, with its twenty thousand inhabitants dominated by Quakers, not in a Paris crowded by half a million people—soldiers, priests, noblemen, merchants, artisans, raging Amazons, and passionate radicals. When, in the sultry days of 1776, it discussed the Declaration of Independence, no throngs pressed into the galleries to intimidate the wavering, no tumultuous mob stormed the doors clamoring for a decision. As a rule its transactions had the air of timidity and negotiation instead of resolution and mastery, disputes, vacillation, and delays marking its operations from session to session.

Its incompetence was not all due, however, as its critics have alleged, to mere perversity of human nature. The members of the Congress labored under the gravest of difficulties. Unlike the party of Cromwell or the national assembly of France, they could not take over an administrative machine that was already organized and working. Exactly the opposite was true; they had to create everything national out of a void—a government, a treasury, an army, even a bookkeeping system, and agencies for buying supplies.

Unlike the English and French revolutionists, they had no centuries of national tradition behind them—no nation-wide class informed by a historic solidarity of interests to which they could appeal for support with assurance. Instead, they were largely dependent from the first day to the last upon the good graces of state assemblies and governors for troops, money, supplies, and the enforcement of

their resolutions. And in the best of times the states were in arrears on everything; almost on the eve of Yorktown, Washington recorded that hardly one had put one-eighth of its quota of men at the service of the Revolution.

To make matters worse, the Congress itself was beset by the sectional jealousies which divided the states. Everything had to be viewed with an eye to its effect on the commercial or the planting interests. Among the members was no dominant majority invincibly united for a specific end, no single person moved to grasp large powers and enforce by sheer strength of will the acts of the Congress. All business had to be done by committees and on every important committee each state usually had at least one member.

Administration as well as legislation was controlled by commissions: foreign affairs, finance, supplies, and other matters of prime significance were entrusted to boards. Even the treasury was supervised by a committee until near the end of the struggle, when dire necessity forced the appointment of Robert Morris as superintendent of finance. Yet this is the body that gave voice to the national revolutionary movement, directed war, conducted foreign relations, made treaties, won independence, created a government, and nourished the germs of American nationality.

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In view of the dogged jealousy which plagued the Congress, it was surprising that the members were able to agree upon entrusting the armed forces to the command of a single general. Here, perhaps, the divine winds of fortune favored them. Necessity hurried them into a decision and by one of the strangest ironies of history sectional discords then contributed to unity. When the second Congress met, on May 10, 1775, blows had already been struck at Lexington and Concord and thousands of militiamen had poured into the region around Boston—soldiers without

supplies or organization. Confronted by the task of feeding and paying them, the Massachusetts assembly turned to the Congress for help. According to John Adams, every post brought letters from friends "urging in pathetic terms the impossibility of keeping their men together without the assistance of Congress."

But when he asked for help, Adams encountered jealousy at the very outset. More than that, there were some people unkind enough to hint that Massachusetts, having started the war, was trying to share the expenses with her neighbors. At all events, the price of united action was the choice of a Virginia soldier, George Washington, as the Commander-in-chief. Thus the hero of the Revolution, a man beyond question nobly qualified for the task of leadership, owed his selection partly to a political trade. With a certain dry humor, Washington, who was in Congress when the transaction took place, noted that his appointment was due to "the partiality of Congress joined to a political motive."

It was only by exercising the same fine arts of negotiation that the advocates of independence were able to overcome local jealousies and conservative fears and at length bring a majority of the delegates into line for the momentous decision of July 2, 1776. In fact, the idea of breaking definitely with the mother country was slow in taking form and slow in winning its way among the people. Washington and Franklin vowed that before the battle of Lexington no one had thought of revolutionary action. Even Sam Adams, though charged by the Tories with secretly harboring that motive from the beginning, was careful to conceal his opinion if he had the goal of separation always before him.

Months after the first blood was shed, strong men continued to express their affection for England and to hope for a peaceful way out of the prolonged deadlock. "Never let us lose out of sight that our interest lies in a perpetual connection with our mother country," urged a preacher of

Swiss origin in his sermon before the Georgia provincial congress. "Look ye!" roared John Dickinson at John Adams, "if you don't concur with us in our pacific system, I and a number of us will break off from you in New England and will carry on the opposition by ourselves in our own way."

Against this spirit of conciliation, however, opinions and facts made a steady headway in the direction of ultimate independence. The idea was advanced by discussions in newspapers and broadsides, broached in sermons, argued in taverns, covertly mentioned by the extremists in the provincial assemblies. "When one form of government is found by the majority," hinted the President of Harvard in a sermon before the local assembly of Massachusetts, on May 31, 1775, "not to answer the grand purpose in any tolerable degree, they may by common consent put an end to it and set up another." In the highways and byways, this familiar sentiment gathered from the writings of John Locke gradually became the chief topic of conversation and debate. From the thought it was but a step to action, and events were daily, hourly, hastening the movement. War was at hand. Royal governors and their retinues were fleeing from their capitals. Revolutionary committees were taking the places of the old agencies of authority in all the colonies—office holders, who had lived by the British Empire, showing a strange unwillingness to die by it at their posts.

The air was vibrant in the opening days of 1776 when Thomas Paine sent forth from the press the first of his powerful pamphlets, Common sense, calling for absolute independence without fear and without apologies. Casting off the language of loyalty and humility in which the Americans had framed their petitions to the throne, brushing aside the lawyers' pleas for the chartered rights of Englishmen, Paine boldly challenged the king, the British constitution, and the policies of the British government.

In serried array he presented political and economic ar-

guments for separation: the rights of human nature are broad enough and firm enough to support the American cause; the blood of the slain calls for separation; it is not the affair of a city, a county, a province, or a kingdom, but a continent; it is not a concern of the day but of all posterity to the end of time. "O! ye that love mankind! Ye that dare to oppose not only the tyranny but the tyrant, stand forth!" So ran the plea. "Sound doctrine and unanswerable reasons!" exclaimed Washington when he read it. Soon a hundred thousand copies were circulating to the uttermost parts of the colonies, everywhere giving heart to the timid and quickening the intrepid to action.

In the provincial assemblies the cause was also making headway. Early in that year Massachusetts informed her agents at Philadelphia that independence would be welcome. On April 13, North Carolina—the "first," says Allan Nevins, "to give explicit approval"—told her delegates that they might concur with their colleagues in separating from Great Britain. About a month later, Virginia clearly instructed her representatives in the Congress to propose independence and give their assent to that daring act. Although New York had resolved that the people were not ready for revolution, although Maryland still hoped for a happy reunion with Britain, the cords of loyalty were snapping fast. Several colonies had already cast off British authority in fact by setting up new governments of their own; General Gage had been compelled to evacuate Boston; and Washington was moving on New York. The more impatient members of the Congress openly declared that the hour had come for separation. "Is not America already independent? Why not then declare it?" asked Samuel Adams.

On June 7, Richard Henry Lee, in the name of the Virginia delegation, moved that "these united colonies are and of right ought to be free and independent states." In response a committee was chosen to draft the state paper proclaiming the Revolution and stating the reasons for that

momentous stroke. Thomas Jefferson, whose facility of expression was known to his colleagues, was made chairman and assigned the delicate task of framing the document. For eighteen days he worked at it, cutting, polishing, and balancing.

When at last the great oration was finished, several suggestions by Benjamin Franklin and John Adams were incorporated and the instrument was laid before the Congress, where a caustic debate followed. While Jefferson twisted and winced, some lines were struck out, others were amended, and a few added. On July 2, the Congress went on record in favor of independence. On July 4, the final draft of Jefferson's paper was formally adopted, merely confirming the fateful step already taken. Contrary to tradition, no drama marked the roll call, no independence bell rang out the news in joyous peals, no far-seeing prophet, looking down the centuries, beheld countless generations celebrating that event with solemn reverence—and firecrackers. Three or four days later the Declaration was read in a public plaza, later known as Independence Square. Copies were spread broadcast and published in city, town, and village from New Hampshire to Georgia. In New York the king's statue was pulled down; in Rhode Island it was provided that anyone guilty of praying for George III, so respectfully addressed a few months before, should be liable to a fine of a thousand pounds.

The Declaration of Independence itself falls into two principal parts. The first, containing the moral ground upon which the Revolutionists rested their cause, takes the form of "self-evident truths": all men are created equal and are endowed by their Creator with certain unalienable rights including life, liberty, and the pursuit of happiness; the purpose of government is to make such rights secure; for these reasons governments are instituted, deriving their just power from the consent of the governed; whenever any form of government becomes destructive of these ends, the people have a right to alter or abolish it and institute a

new government in a form most likely to effect their safety and happiness.

These high doctrines, later called "glittering generalities" by a critical orator, were not, as sometimes fancied, French in their origin. As a matter of fact, they were essentially English, being derived, as we have hinted, from the writings of John Locke, the philosopher who supplied the rhetorical defense mechanism for the Whig revolution of 1688 which ended in the expulsion of James II. In Locke's hands the catechism of politics was short indeed: the aim of government is to protect property and when any government invades the privileges of property, the people have a right to alter or abolish the government and establish a new one. The idea was almost a century old when Jefferson artfully applied it in a modified form to the exigencies of the American Revolution. Without effect did the critics assail the creed as borrowed from England and contrary to the facts of life. Jefferson easily countered by saying that he claimed no originality for it. Neither was he oblivious to the historical objections that could be urged against it, but he was appealing to the verdict of the onrushing future, not to the sanction of heavy custom.

The second part of the Declaration contained a summary of colonial grievances launched at George III, making him the scapegoat for the Parliament and ministry of Great Britain. In a long bill of particulars, the king was accused of blocking laws passed by the local legislatures, imposing on the colonies judges independent of their will, sending upon them a swarm of royal officers to eat out their substance, quartering troops upon them, cutting off their commerce, laying taxes upon them without their consent, and sending soldiers to harry their coasts, burn their towns, and murder their people. Against these acts, petitions and warnings had been vain and fruitless. Therefore, no course was open to the colonies except to declare themselves free and independent states and take their place among the sovereign nations of the earth.

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If the lawyers in the Continental Congress had been as adept in providing money, raising armies, collecting supplies, and directing the course of the Revolution as in drafting state papers, the War of Independence would have been short. But in moving from the sphere of words to the field of material goods and action, they met almost insuperable obstacles. At the beginning they had no national treasury; there had never been such an institution on the American continent. If there was no debt, there was also no national credit. All financial resources had to be raised from the void—and with great discretion.

Since one of the leading grievances against England had been taxation, the Congress itself naturally had to be careful about imposing burdens on the people. So it sought to provide the sinews of war by resorting to paper money, requisitions, and loans. Between 1775 and 1779, the Congress issued about two hundred and forty million dollars in bills to be redeemed by the states on a quota basis, a huge total almost equaled by the emissions of the local legislatures, making in the end over four hundred and fifty millions in such notes. Its paper credits the Congress supplemented by calls upon the states for financial aid, gaining by the operation about fifty-five millions in inflated currency and a small amount of specie.

The next resort was domestic and foreign loans. Certificates, similar to modern bonds, were sold in the home market through loan offices set up in the states; in all, approximately sixty-seven millions in paper was brought into the treasury by this process. To this unstable pyramid was attached a mass of certificates issued by military officers and by supply agents to pay for food, clothing, and other goods impressed for the use of the army. After the conflict was advanced a little way help was obtained from abroad. Small subsidies, in the form of gifts, were secured from France and Spain. These were followed by regular

loans: France took more than three-fourths of the total amount; Spain absorbed a portion; Holland risked the remainder in 1782 after victory had been achieved in fact.

Though many attempts have been made to draw up a balance sheet of revolutionary accounts, none is satisfactory; the large variety of bills issued and the wide fluctuations in the value of the money collected from the sale of domestic bonds make all reckonings highly speculative. According to the best estimates, the money obtained from France was nearly equal to the specie value of the paper received from the American purchasers of internal securities. It is difficult to believe that the Congress could have staggered through the Revolution if it had not procured such generous financial assistance from the government at Paris.

The confusion that reigned in the operations of this fiscal system defies description. As paper money was poured out by the Congress it fell rapidly in value: in 1779 one paper dollar was worth only two or three cents in specie. Attempts to stabilize it were futile; it slipped almost steadily downward into the abyss, until at length there was no term of contempt so expressive as "not worth a continental." The paper that flowed from the treasuries of the states suffered a similar fate, sometimes even worse. Virginia finally reached such a low estate that her notes passed at the rate of a thousand to one, most of them expiring in the hands of the holders. In vain did the Congress and the states try to prevent depreciation and fix prices; their most drastic measures produced meager results.

In the end the situation was simply ridiculous. "Barber shops were papered in jest with the bills, and sailors, on returning from their cruises, being paid off in bundles of this worthless paper money, had suits of clothes made of it and with characteristic light-heartedness turned their loss into frolic by parading through the streets in decayed finery." The only people who came out of the

orgy with profit were the gamblers who speculated in the currency as it fluctuated on its downward course with good news from the battlefields and rumors of more specie from France. Many doctors of finance, of course, proffered advice but no way was found of overcoming the disease.

In the administration of its funds, the Congress was hardly more successful. Owing to persistent jealousies it refused for six years to erect an independent treasury in charge of a competent executive. For a time it tried to work through two treasurers, both appointed by majority vote; then it created a financial committee of thirteen delegates; in 1776 it appointed a treasury board of five members. Two years later it provided that three of the five should be chosen outside congressional circles. Finally, in desperation, at the opening of 1781, it abolished the board and made Robert Morris, of Philadelphia, superintendent of finance with large powers.

For three years Morris wrestled with the chaos before him, trying to stabilize the currency, collect the arrears from the states, and place the credit of the government on a stable basis. Undoubtedly he achieved great results but his operations involved him in scandals, some of the critics going so far as to accuse him of keeping irregular accounts and speculating in public funds. Indignantly Morris denied these charges and answered each count with a bill of particulars. On weighing the evidence, his friends believed that his vindication was complete and his family biographer has sustained their verdict. However, another historian, Davis R. Dewey, finding it difficult to discover just where the financier's private affairs ended and his public business began, has raised a question as to how Morris was able to escape using in one department the knowledge that he had gained in the other.

To reduce a complicated story to a brief summary, the patriots who controlled the state and continental machinery of government either could not or would not tax their property heavily enough to support the war. In extenua-

tion they could argue that a large part of the movable wealth was in the hands of the Tories who fled from the land and that the farmers who made up the bulk of the population had little money with which to pay taxes. Still the facts stood. The major portion of the war charges, leaving aside the aid rendered by Europe, was met in paper notes, which were practically all repudiated, and by bonds, which were later funded into a national debt sustained chiefly by indirect taxes on consumers. In the process, the heaviest losers were the soldiers who received, in return for their sacrifices, reams of paper currency and paper claims to lands in the wilderness of the West.

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To win assistance in its tremendous enterprise, the Congress naturally turned to foreign countries. Aware that the colonists had for a long time carried on a lucrative trade with Holland, France, and Spain, lawfully and unlawfully, the Congress hoped to enlarge that business now that the trammels of Great Britain were cast off. Its leading members, men like Franklin, John Adams, and Jefferson, were also thoroughly familiar with the interests, prejudices, and jealousies of Europe which might be bent to good account for the revolutionary cause. Above all, they were acquainted with the prolonged rivalry of the Continental powers with Great Britain in the contest for world empire and world commerce. No acute divination was required to discern that the Congress could use these ancient grudges to serve its pressing needs.

It was well known, for example, that French statesmen were eager to see the colonial quarrel come to an issue of arms. Since the loss of their prize possessions on the American continent in the Seven Years' War, they had impatiently watched for a crisis that might offer an opportunity to repair the damage. When the excitement over the Stamp Act was at its height, Louis XV dispatched

agents to America to observe the course of events, to report on the prospects of revolution, and even to aid discreetly the party of discontent. Ten years later, when Franklin was about to leave the post of colonial agent in London, the French ambassador to the British Court paid him a visit and gave him a plain hint that America could count on French assistance. Far-sighted Englishmen, like Chatham, were at the very moment warning their countrymen to take France into the reckoning in dealing with the colonies and to expect her sword to fall into the scales if a war occurred.

Knowing all these things and more, the Congress, soon after it got under way in 1775, created a secret committee to correspond with foreign powers and direct negotiations with them. Early the next year, it sent Silas Deane of Connecticut, often styled the first American diplomat, to Paris to sound the ground. A few months later, after independence had been declared, the Congress associated Franklin and Arthur Lee with Deane as American representatives at the French court. When the Revolution was well advanced John Jay was sent to Spain, John Adams to Holland, and other agents to Vienna, Berlin, and St. Petersburg; but their labors brought scant results compared with the aid won from France. Frederick the Great, King of Prussia, though desirous of building up trade in the United States, had no colonial ambitions and shrank from a collision with the British sea power; so he cleverly declined to give any direct assistance to the American cause. The Empress of Russia, the great Catherine, less cordial, simply ignored the American agent, permitting him to spend his two years of service in humiliating obscurity at her chilly capital. The rivalry of Russia and England over the Straits and India had not yet assumed large proportions in the schemes of diplomats.

It was in Paris alone that the outlook was in any degree favorable, and, of all the men in America available for diplomacy, Franklin was best suited to manage the delicate

mission to that strategic city. His fame as a writer, a lover of science, a free thinker, and a wit had preceded him. His more serious works endeared him to the French philosophers; when he and Voltaire kissed each other at the Academy of Sciences, the crowd was in transports and the cry rang through France: "How beautiful it was to see Solon and Sophocles embrace!" Franklin's experiments with electricity were known to French scientists; indeed, with their better equipment they were testing the theories he had advanced. His homely aphorisms recorded in Poor Richard touched the French bourgeois and the thrifty peasant in a tender spot.

Moreover, France was at the moment under the spell of Rousseau's naturalism—a vigorous reaction from the artificiality of court life—and the idea of a simple old man dressed in a plain suit speaking for a republic of merchants and farmers set the kingdom agog. Even the Queen, Marie Antoinette, unwittingly played with fire by encouraging "our dear republican." Though inclined to critical judgments, John Adams, who later joined the American embassy in France, had to admit that Franklin's triumph was complete: "His name was familiar to government and people, to kings and courtiers, nobility, clergy, and philosophers, as well as plebeians, to such a degree that there was scarcely a peasant or a citizen, a valet de chambre, a coachman or a footman, a lady's chambermaid or a scullion in a kitchen, who was not familiar with it and who did not consider him as a friend to human kind." There was a ring of prophecy in Turgot's motto for Franklin: "He has torn the lightning from the sky; soon he will tear their sceptres from the kings." The French Revolution was but a few years off.

Yet Franklin's abilities, great as they were, would have availed little with hard-headed French statesmen in command of royal coffers if the drift of circumstances had not been in his favor—if some of them had not already been convinced that an hour fraught with destiny was at hand.

Indeed, before Deane arrived on the scene, Louis XVI's foreign minister, Count de Vergennes, had showed the King how France could redress her grievances against Great Britain and reduce the power of that haughty empire.

Early in the fray, a dashing Frenchman, Beaumarchais, fired by restless love of adventure and interest in the American uprising, devoted talents and wealth in aiding the revolutionists beyond the sea. In himself he was a host. Author of The Barber of Seville and The Marriage of Figaro, a courtier, musician, publisher, shipowner, manufacturer, and financier, he was widely known among the people and had access to the seats of the mighty. His lightest word in support of the American cause helped to make enthusiasm for it in the streets, at the court, and among business men. Obtaining with comparative ease the sympathy of the French ministry, Beaumarchais organized, in June, 1776, a company under his own direction and commenced at once to ship supplies to the struggling rebels. Until the French government flung off secrecy and made a formal alliance with the United States, he continued to render this service—a service for which he was never paid in full, contributing to history one of its mysteries: "Beaumarchais and the Lost Million."

Though the French were covertly willing to risk money in the American venture, they were very cautious about anything beyond. For more than a year after Franklin's arrival at Paris in November, 1776, the royal government would make him no promise of open assistance. The King naturally did not take to the idea of fomenting revolutions; his own finances were in disorder; and a war with England was not to be entered into lightly. Moreover, the progress of American arms did not give any indication of a final triumph. After Washington had ousted the British from Boston, the course of events on the whole had run against him. He was badly defeated on Long Island in the summer of that year, 1776, driven northward through Harlem to White Plains, forced across the Hud-

son into New Jersey, and harried on down into Pennsylvania. His brilliant exploit at Trenton on Christmas night and his brush with Cornwallis at Princeton had been followed by disaster at Brandywine, the loss of Philadelphia, a reverse at Germantown, and retreat to Valley Forge. Two strategic ports, New York and Philadelphia, were in British hands; two great rivers, the Hudson and the Delaware, were blocked; and a British general, Burgoyne, was cutting his way into the heart of New York, thus inserting a wedge between New England and the rest of the states.

Every post brought sad news to Franklin but he retained his courage. "Well, doctor," said an Englishman to him with a note of scorn, "Howe has taken Philadelphia." Stunned for a moment, the old wit found a reply: "I beg your pardon, sir; Philadelphia has taken Howe." Had he realized it, the quip was more than wit. The ease and gay life of the city did indeed take possession of Howe and eat into the fiber of his initiative, but the two diplomatic fencers in Paris could not have foreseen that. So, in spite of all linguistic flourishes, the outlook was dark for Franklin. Then suddenly the impossible happened: on October 16, 1777, General Burgoyne surrendered at Saratoga. Early in December a special messenger from America rushed into the courtyard of Franklin's residence at Passy with the news: "Burgoyne and his whole army are prisoners of war."

Beaumarchais, who happened to be dining with Franklin that very moment, grasping the full force of the report, dashed off to Versailles in such haste that he upset his coach and dislocated his arm. The King, also deeply impressed by the news, saw that the time had come to cast off secrecy and join the Americans in their struggle against Great Britain. Treaties of commerce and alliance were therefore framed and, after some haggling over terms, duly signed on February 6, 1778. France recognized the independence of the United States, a defensive alliance was

formed, plans for joint military action were drafted, and Louis XVI then openly declared war on England.

In vain did Lord North try to break this union by offering generous terms to the Americans and by proposing peace negotiations. It was then too late—impossible to turn back the flood. Within a few more months races that had fought each other two decades before in the wilderness of Pennsylvania and on the Plains of Abraham were united in battle array against the armies of King George. If Franklin had failed as colonial agent in London, he had been eminently successful at the French court.

Less fortunate, as we have said, were the American ministers in Spain and Holland. John Jay at the court of Madrid, in spite of persistent efforts, was not able to bring the Spanish king into an alliance with the United States. That cautious monarch, besides shrinking from the idea of a democracy on the eastern frontier of his American dominion, was in no mood to open New Orleans to the trade of the Ohio Valley.

Still, he remembered that Britain had destroyed Spain's sea power, had defied her colonial monopoly, and was dominating the Mediterranean from the stronghold of Gibraltar. After much balancing of chances, he made a treaty with France in 1779 which bound his country to enter the war against England, but for the moment he refused to recognize the independence of the United States or become an ally of a revolutionary people. Republics were not to be encouraged; ancient damages only were to be repaired.

Like the Spaniards, the Dutch were not on very good terms with Great Britain. They too had memories of a colonial empire wrecked by the might of England and they also suffered from current irritations. At the opening of the American Revolution, they had rushed to engage in a profitable trade with the rebellious colonies, dispatching cargo after cargo of munitions to their island of St. Eustatius in the West Indies for transshipment to the United States.

Though strictly in accord with the canons of international propriety, this operation was painful for Englishmen to contemplate—old rivals coining money out of American traffic, making powder and shells for Washington's army, and negotiating with the American minister at The Hague. Finding that the business could not be stopped by processes of search and seizure, the British declared war on The Netherlands, seized the island of St. Eustatius, and confiscated military property in a cavalier fashion. With relative ease Adams now won from the Dutch a favorable treaty and managed to induce Dutch bankers, gorged with war profits, to make a loan to the struggling republic in spite of its low standing in the markets of Europe.

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In military as well as financial and diplomatic affairs the Continental Congress was driven from pillar to post, plagued by its own ineptitude, and lashed by necessity. Hurried by radical pressure into a war for which no real preparation had been made, it was compelled to improvise as it went along. It was well aware that the result depended in final analysis upon the fighting men, but it shrank from the hard test of fact. Its members had read history; they knew how in other times and places armies had dominated civilians, pulled down legislatures, and set up dictators; they recalled the lessons taught by Cæsar and Cromwell; they hoped against hope that the war could be won by militiamen commanded by elected officers and sustained by faith rather than by wages and pensions.

At the outset the congressional statesmen found themselves by chance in control of the raw troops that had rushed to besiege the British in Boston. Under the stress of the hour they transformed that motley array into the Continental Army, supplementing this action later by advising the states to enroll in the militia all able-bodied men between sixteen and fifty. But the Congress was not long

in discovering that such an "army" could not be relied upon for severe and protracted campaigns. The men were enlisted for short terms; they lacked discipline; they left in shoals at times when their services were most needed.

Before the war was six months old it was made plain that the volunteer militia system had failed. Washington knew from the beginning that it was bound to fail. "To place any dependence upon militia," he said, "is assuredly resting upon a broken staff." Early in February, 1776, he urged the Congress to take steps toward the creation of a regular army. "To bring men to be well acquainted with the duties of a soldier requires time," he told the august legislature of merchants, doctors, and lawyers. "Three things prompt men to regular discharge of their duty in time of action: natural bravery, hope of reward, and fear of punishment." Accordingly he urged the formation of a national army composed of men enlisted for the war, directed by officers appointed with reference to merits rather than political geography, and guaranteed compensation worthy of the cause.

Only in a hesitant and half-hearted manner did the Congress respond to Washington's demand. In September, 1776, eight months after his emphatic call for help, it ordered the enrollment of eighty-eight battalions enlisted for the duration of the war—a term later changed to three years—and promised, in addition to a small cash bounty, a grant of land at the close of the contest. In December, in an awful fright, the Congress made Washington dictator-general for six months with full power to raise troops, collect supplies, and punish disaffected persons; and a short time after the expiration of this period it renewed the high authority, under closer limitations. Disappointed in these efforts to create an army, the Congress finally "advised" the states to fill their quotas by drafting men for a nine months period. At no time, however, did the central government, such as it was, escape from abject dependence upon the states. Whenever it decreed a new levy, it relied

from choice or necessity upon the states to raise the quotas assigned to them. Never, at any time, did it have ready and disciplined for battle more than a fragment of its paper enrollment.

Bitter fruits of this ineptitude were gathered in the bloodshed, agony, and cost of a prolonged war. When the struggle opened, there were approximately ninety thousand American soldiers under arms, against twenty thousand British. At the close the American forces had dwindled to less than one-third the original number and the British had doubled their strength. If the Congress had given Washington a permanent army when he called for it in February, 1776, he might have ended the war in six months. But it could not, or at all events did not, meet his urgent appeal and the conflict dragged on for seven weary years.

In the course of it nearly four hundred thousand Americans were enlisted for some kind of service without ever providing an invincible battle array. Moreover, while the Congress, from the beginning to the end of the contest, complained bitterly about the expenses, the country eventually had to pay heavily for its parsimony. A hundred years after the Declaration of Independence, the Federal Government had disbursed eighty million dollars in pensions to soldiers of the Revolution, and was still remunerating "war widows."

The civilian fear of the army which inspired the military policy of the Congress was even extended to the Commander-in-chief, stimulated by officers who were jealous of Washington or who honestly believed that he was lacking in decision and energy. Some of the critics—men of consequence, such, for instance, as Horatio Gates, Thomas Mifflin, Thomas Conway, and Charles Lee—using their great influence to the limit, worked up in the Congress and in the army a dangerous opposition known as the "Conway Cabal."

Obscurity hung over the early stages of this proceeding

but near the end of 1777 it became evident that there was a strong movement on foot to curtail the General's power and perhaps force him out of the field. Signs of this enterprise were unmistakable. The Congress promoted Conway in spite of Washington's objections, appointed him to the post of inspector-general of the army, created independent commands, and established the worst of all military institutions, a board of control.

Stung by criticism, Washington assured the Congress, with broad irony, that it was "a much easier and less distressing thing to draw remonstrances in a comfortable room by a good fireside than to occupy a cold, bleak hill and sleep under frost and snow, without clothes or blankets." His firmness and good sense rallied his friends and in time he had the pleasure of seeing the cabal fail; but until the victory at Yorktown, his movements were handicapped by detractors in the Congress and his plans were more than once defeated by the failure of that body to furnish the men and supplies necessary for aggressive campaigns.

§

It is hypercritical, perhaps, to magnify the shortcomings of the Continental Congress in fiscal, diplomatic, and military affairs. Certainly a balanced judgment takes into account the fact that it was little more than a glorified debating society speaking for thirteen independent states, each of which claimed to be sovereign and was deeply occupied with its own problems, civil and military. After all, the Congress was only a remote organ of a revolutionary mass movement—an instrument created by the agencies of rebellion in the states. The latter were in reality the prime factors in driving on the conflict with Great Britain. The initiative for independence, as we have seen, came from the advanced colonial assemblies rather than from the delegates at Philadelphia and the support of the war fell mainly on them. State governors, like Trumbull of Connecticut, Clin-

ton of New York, and Rutledge of South Carolina, carried heavier burdens than the president of the Continental Congress.

Among the great engines employed by the Revolutionists in overturning the government of George III were local committees of correspondence and state conventions, irregular in composition and despotic in powers. In the initial stages of the agitation the discontented colonists operated through regular agencies, the town assemblies and local legislatures; but as the contest became more heated the revolutionary leaders began to form independent bodies which finally became the germs of new American governments. Early in November, 1772, there was organized in Boston under the direction of Samuel Adams a committee of correspondence charged with the duty of holding meetings, sending emissaries into other towns, and conducting a campaign of popular education against British policy. Almost in a flash the colonies were covered with a network of local associations of this character.

To and fro among them flew the shuttle of communication, the tireless labors of Adams keeping New England alert and stirring sluggards at the ends of the country. With his trembling hand, he wrote sheaves of letters to the leaders of committees in various towns, encouraging them to stand fast in their resistance to the British Crown. In reply he received reports on the course of public opinion. From the rough scrawl of a fisherman who knew the ocean's rage, he learned about the temperature of local liberties; from a blacksmith who turned from the flaming forge to answer an inquiry, he heard that the popular cause was flourishing.

Upon town and county structures were built the higher agencies of the province. Taking the lead in this operation, the Virginia House of Burgesses, in 1773, or rather its rebellious members, appointed a special committee to enter into communication with the sister colonies and within twelve months all except one had such an extra-legal organ

of opinion and power. As the struggle advanced apace, colonial assemblies were purged of the loyalists or conventions were organized to take their place, thus providing from the community to the state capital a chain of revolutionary engines. Inspired by a sense of solidarity, informed by a constant exchange of news, the active radicals directed agitation, called periodical conferences of the faithful, seized the reins of government as they fell from the hands of royal officers, laid hold of local treasuries, waged war, and sustained the American cause.

At first the king's officers looked on the petty committee of correspondence as an absurd instrument of factional strife but they soon discovered in it the menacing force of a new state. One high Tory, Daniel Leonard, called it "the foulest, subtlest, and most venomous serpent that ever issued from the egg of sedition." Changing the figure, he continued: "I saw the small seed when it was implanted; it was a grain of mustard. I have watched the plant until it has become a great tree." By this time local committees and conventions had been crowned by state committees and conventions and the entire substructure finished off by the grand convention, the Continental Congress, with its numerous organs for action, functioning in every sphere of sovereignty—legislation, finance, war, and diplomacy. A new political organism had been called into being, feeble at first, but destined to rule a continent and islands in distant seas.

§

In ousting British authorities and their sympathizers from power, the directors of these committees and conventions received only a partial support from the populace. Just what proportion of the people actually favored the Revolution was never ascertained by a referendum and no accurate report on the strength of the patriot party was ever compiled by any official agency. From the fragmentary figures of early elections that have been preserved,

however, it seems that a very small per cent of the colonists were politically active in spite of the excitement that often characterized partisan contests.

Consider, for example, the experience of Boston. On the eve of the Revolution, that city had approximately 20,000 inhabitants, of whom about 4,000 were adult males. Roughly speaking, 1,000 of the latter were disfranchised by the existing property qualifications, leaving 3,000 potential voters. From the records of the tempestuous decade between 1765 and 1775 it has been estimated that the highest vote cast in the town during the period was 1,089, while the average vote was only 555, or about one in six of the qualified electors. In the stormy year of 1765 when Boston was shaken from center to circumference over the Stamp Act, an election was held for the colonial assembly, with Sam Adams stirring up furor as a candidate; four hundred and forty-eight votes were cast—two hundred and sixty-five for Adams, awarding victory to him. In other words, the firebrand of revolution elected on that occasion spoke for less than 10 per cent of the eligible voters of Boston. At a Connecticut general election in 1775 when the fray was growing hot, there appeared at the polls 3,477 voters out of a population of nearly 200,000, of whom 40,797 were males over twenty years of age. In the other colonies, the same apathy seems to have prevailed; nothing but an extraordinary contest drew to the polls one-third of the voters.

No doubt there were many voteless mechanics who gave their support to the revolutionary cause. They agitated, rioted, and fought in the army but they were relatively few in number. Moreover, their support was none too welcome; indeed, their demand for the right to take part in the election of committees and conventions was coldly repulsed at first by the enfranchised patriots. Even the choice of local agents to enforce the boycott against British goods, proclaimed by the Continental Congress in 1774, was entrusted only to men who possessed appropriate property or tax-

paying qualifications under colonial laws. As a matter of fact, the directors who engineered the Revolution at the top contemplated no drastic alteration in arrangements at the bottom. Taking all these things into account, therefore, it would be conservative to say that, as far as balloting was a measure of popular support, not more than one-third of the adult white males in America ever set the seal of their approval on the Revolution by voting for its committeemen and delegates.

At best the sentiment behind independence was a matter of gradual growth. After the war had been going for a year, an advocate of independence was regarded as a dangerous person, and was likely to be greeted with angry glances in the streets of Philadelphia. As late as that the Continental Congress, though composed of delegates openly opposed to British policy and chosen by groups from which all avowed Tories were excluded, was so divided in opinion that "every important step was opposed and carried by bare majorities." Such at least is the testimony of John Adams. Four months before independence was finally declared there was still in the Congress a powerful group hostile to revolution in any form—a group made up principally of delegates from Pennsylvania, New Jersey, Maryland, Delaware, New York, and South Carolina. Only by the most adroit negotiation were the advocates of independence able to carry the day, and at the bitter end New York abstained from the vote.

In a final reckoning, John Adams decided that two-thirds of the people were at last committed to the Revolution and that not more than one-third opposed it at all stages. On the Tory side, however, this estimate was not accepted. Joseph Galloway, who left official service in Pennsylvania and fled to England when he saw the storm breaking, declared in 1779 before a committee of Parliament that, at the beginning of the conflict, not one-fifth of the people had independence in view and he added that at the moment "many more than four-fifths of the people

would prefer a union with Great Britain upon constitutional principles to that of independence."

Obviously both Adams and Galloway were guessing. Doubtless opinion fluctuated with the course of the struggle that raged now in one section, now in another, now accompanied by success, now by failure and discouragement. On the whole, the English historian, Lecky, had some basis for saying that "the American Revolution, like most others, was the work of an energetic minority who succeeded in committing an undecided and fluctuating majority to courses for which they had little love and leading them step by step to a position from which it was impossible to recede." Perhaps after all a nice discussion of the question is only pertinent in an age that lays stress upon mathematical politics.

Whether they formed a majority of the populace or not the revolutionary masses assumed obligations and engineered activities of the first magnitude. Far and wide, through many agencies, they prosecuted with unremitting fervor an agitation in favor of the patriot cause. Independent state constitutions were established. The Tory opposition was suppressed or kept under strict surveillance. All the ordinary functions of government were discharged, at least in a fashion—the administration of justice, the levy of taxes, the maintenance of order, and the enactment of enlightened and humane legislation. To these obligations were joined stern duties connected with the war: raising quotas of men and money, collecting and forwarding supplies, promoting the sale of Continental bonds, and cooperating with the Congress in the restraint of speculators and profiteers. Furthermore, since the fighting spread up and down the coast, most of the states were called upon at one time or another to raise local forces and meet the enemy on their own soil.

§

Intense and wide must have been the agitation carried on by the patriots. Hundreds of pamphlets, bundles of faded letters, files of newspapers, and collections of cartoons, broadsides, and lampoons reveal an intellectual ferment comparable to that which marked the course of the Puritan revolution in England more than a hundred years before. Notices of public meetings held to cheer the leaders in the forum and the armies in the field bear witness to the tumult of opinion that marked the progress of the American cause. Entries in diaries tell of heated debates in taverns where "John Presbyter, Will Democrack, and Nathan Smuggle," to use the Tory gibe, roundly damned the king and his "minions" and put the fear of battle and sudden death into the hearts of royalists and lukewarm subjects. Letters open the doors of private houses, disclosing families and their friends at dinner or seated by fireplaces in lively debate on the fortunes of the day and the tasks ahead. In the familiar correspondence of husbands and wives, such as the letters of John and Abigail Adams or of James and Mercy Warren, are revealed the springs of faith and affection that fed the currents of action.

Ministers of religion in large numbers, especially the dissenters, seem to have turned from the gospel to revolution. Such is the testimony of friend and foe. "Does Mr. Wiberd preach against oppression?" anxiously inquired John Adams of his wife. "The clergy of every denomination, not excepting the Episcopalian, thunder and lighten every Sabbath," replied Abigail. "The few that pretend to preach," snorted the Tory Cresswell, "are mere retailers of politics, sowers of sedition and rebellion, serve to blow the cole of discord and excite the people to arms. The Presbyterian clergy are particularly active in supporting the measures of Congress from the rostrum, gaining proselytes, persecuting the unbelievers, preaching up the righteousness of their cause, and persuading the unthinking populace of the infallibility of success!"

In the sermons that the printing press has preserved, the

philosophy of John Locke is curiously blended with illustrations from the Old Testament. While the right of the people to abolish and institute governments is proclaimed, George III is reminded of the fate of Rehoboam; and states that do not furnish their quotas of men and money to the American cause are told that the people of Meroz were cursed for similar faults. Even the Reverend Oliver Hart, of Charleston, who found time in the very midst of the Revolution to preach a strong sermon on "Dancing Exploded," was so energetic in his support of independence that he did not dare to remain in the city after it was captured by the British.

Among the secular writers, Tom Paine was the most trenchant and influential. His ringing appeal for independence made in Common Sense, printed early in 1776, was followed in December by another shrill cry to the people, rallying them to the patriot side. He had been with Washington's disheartened forces as they retreated from Fort Lee down through New Jersey; he had suffered with them and knew by what frail reeds the Revolution was now supported. "These are the times that try men's souls," he opened in a resounding sentence calculated to muster the wavering. "The summer soldier and the sunshine patriot will, in this crisis, shrink from the service of his country; but he that stands it now deserves the love and thanks of men and women."

In pelting periods, Paine lashed the Tories, accusing them of self-interest, servility, and fear. In shrewd lines of encouragement he made light of the recent reverses strung all the way from Harlem to White Plains, across the Hudson and down into Pennsylvania, assuring the public that this strategic retreat was the promise of victory, not disaster. Coming to the burden of his argument, he warned the patriots that more heroic efforts were needed to save the day, that the militia was unequal to its task, a regular army must be raised, and greater perseverance shown. Drawing in conclusion pictures of victory won by fortitude

and of defeat suffered by cowardice, he called upon Americans to choose their fate.

This pamphlet Paine followed by others equally vivid until the goal was at last in sight. Whatever may be said of his shortcomings and his wayward spirit—Theodore Roosevelt, with characteristic impatience and a woeful disregard for exactness, called him "a dirty little atheist"—Paine's services to the Revolution were beyond calculation. For this we have the evidence of men as far apart in their general views as Washington and Jefferson.

§

While one type of patriot was engaged in stirring up revolutionary ardor, in dissolving the intellectual and moral bonds of the old order, and in constructing the ethics of the new day, another was devoted to political action. The rise of revolutionary committees and conventions within the colonial society soon led to the breakdown of the established governments. From royal and proprietary colonies alike, governors, judges, and other high officers usually scurried in haste. Wentworth fled from New Hampshire in the summer of 1775; Martin of North Carolina slipped away from Wilmington to Cape Fear on a dark night in April; Tryon of New York sought safety in July on board a man-of-war in the harbor, laconically announcing: "A committee has assumed the whole powers of government."

Since royal institutions were crumbling, suggestions for new political plans were in order. Anticipating the transition from colony to state, Paine sketched a project in his pamphlet on Commonsense. A short time afterward John Adams brought out Thoughts on Government, in which, with his usual gravity, he argued for a conservative order of things. Meanwhile local assemblies were at work. In January, 1776, New Hampshire drafted an emergency plan of administration, pending reconciliation with England, and in March South Carolina followed her example. This was

a gesture toward independence and was so viewed by the critics.

Seeing the drift of events, the Congress, in May, sent out a resolution advising the people of all the colonies to adopt new governments appropriate to their needs. Before the year was over, Virginia, New Jersey, Pennsylvania, Delaware, Maryland, Georgia, and New York had framed constitutions and embarked on careers of self-determination. Connecticut and Rhode Island, already accustomed to electing their own executives and legislatures, replied that in the main their old charters would meet their needs, reference to the king having been deleted. South Carolina in 1778 revised the instrument adopted before independence and two years later Massachusetts, after a heated wrangle, put into effect a constitution that was destined to endure in its broad outlines for more than a century.

Under these new plans, state governments took the place of the revolutionary assemblies that had hitherto directed the fortunes of the thirteen colonies, assuming, with a certain formality, the responsibilities of power. First among their duties, of course, was to aid the Congress in suppressing the opposition and in prosecuting the war. A clear test of allegiance having now been provided, the people of each state were called upon to declare their devotion to the new institutions, while the pressure brought to bear on loyalists by provincial assemblies and irregular combinations of patriots was redoubled. Mobs had tarred and feathered Tories, otherwise cruelly treated them, and wrecked their homes; henceforth the management of dissenters was to proceed more systematically. The most ardent of the known and active opponents of the Revolution were shut up in jail; the prison camp in Connecticut at one time held the former governor of New Jersey and the mayor of New York.

Others less belligerent, after being duly warned, were placed under surveillance. The more timid and skittish, as John Adams characterized the milder Tories, escaped

the toils of the law by refraining from irritating conduct. "I might as well be in the infernal regions," groaned Cresswell, "as in this country where my sentiments are known. Every rascal looks on me as an enemy to him and except I could tacitly submit to every insult or divest myself of the faculties of sight, speech and hearing, must be miserable." Thousands who could not endure the new order or feared harsh treatment fled to Canada, England, or some other part of the British Empire.

The property of the Tories, as well as their persons, was now subjected to official control. Early in the course of the Revolution several of the states began to confiscate the goods of the loyalists. Taking the cue from these radical commonwealths, the Congress in November, 1777, advised them all to seize the property of the men who were not entitled to "protection" and apply the proceeds to the purchase of Continental certificates. By the time the armed conflict was over, statutes of condemnation and forfeiture had been enacted everywhere.

This was, of course, delicate business. It was difficult to discover by jury trial or judicial inquiry just what degree of taint warranted the appropriation of property. Moreover, the sale of estates and the administration of funds called for probity of the loftiest order—a kind of Spartan honesty which was not always found in the turmoil of the Revolution. In fact the sequestration of estates was marked by corruption and scandals that shocked all sensitive persons. To the loyalists the revolutionary commissioners were bands of bloodthirsty robbers; to the patriots fighting desperately for independence any moderate treatment of domestic foes seemed to fall short of poetic justice.

In addition to their local labors of administration and patriotism, the state governments furnished most of the men and supplies for the war. Having no direct taxing power, the Congress relied upon them to support its credit. Though its hopes and demands were constantly defeated by weak and negligent legislatures, it managed to wring

from their treasuries nearly six million dollars, specie value —an amount almost equal to the sum obtained by Continental bond-sales through the loan offices. It also made requisitions upon them for supplies, corn, pork, beef, rum, and other goods, and all in all it succeeded in securing large quantities by that means.

When Washington's men were freezing and starving at Valley Forge and Pennsylvania farmers were selling their produce to the British in Philadelphia at good prices, Governor Henry of Virginia helped to redress the balance by sending up to the soldiers great loads of food and clothing. It was upon the states also that the Congress had to depend for men to fill the army and, if their shortcomings were conspicuous, still it could be said that heroic efforts were often made to comply with the demands. Moreover, some of the state governors were military men and took the field in person against the enemy; and in many theaters local militiamen fought side by side with troops from the Continental Army. If critics deplored their weakness, apologists could make a show of defense by reference to obvious facts.

§

In this mass movement in which preachers, pamphleteers, committees, lawyers, and state governments advanced the revolutionary cause, women in every section played their customary rôle of backing up their fighting men with all the intensity of emotion and loyalty to their kind that war had always inspired in the "gentle" sex, except among a few pacific Quakers. Lysistrata, summoning her sisters to strike against the arbitrament of arms, was a character in fiction created by the mind of man. The revolutionary records seem to indicate patriot valor on the part of women commensurate in fervor with that of men.

Nearly every male leader of the rebellion had a wife, sister, or daughter actively at work in the second line of defense. Propaganda of the pen was waged by Mercy

Warren, sister of James Otis and wife of James Warren, who wrote satires and farces in the elaborate style of the day, scoring loyalists and praising liberty—offering these as replies to the British playwrights and the actors who were delighting New York crowds with their caricatures of the patriots. Women were also to be found among the publishers and editors of newspapers, encouraging the writers of stirring pleas for independence, trying to make the pen as mighty as the sword.

In every branch of economy that kept the social order intact and the army supplied, to the degree that it was, women were industrious laborers and energetic promoters. They had long formed the majority of the workers in the textile industry and throughout the war the whirr of their wheels and the clank of their looms were heard in the land as they spun and wove for soldiers and civilians alike. Letters of the time reveal them sowing, reaping, and managing the affairs of farm as well as kitchen. They gave lead from their windows and pewter from their shelves to be melted into bullets, united in a boycott of English luxuries, combined to extend the use of domestic manufactures, canvassed from door to door when money and provisions for the army were most needed.

As non-combatants it was often women's obligation to face marauding soldiers; Catherine Schuyler, setting the torch to her own crops in her fields near Saratoga before the advancing British troops and watching with composure the roaring flames that devoured her food with theirs, proved how courageously women could fight in their way. In the wake of the British armies, South and North, they labored to restore their ruined homes and hold together the fragments of their family property for the veterans when they should return.

Stern disciplinarians they were too, in their steadfastness to the faith. They formed committees to visit profiteers and warn them against extortion. In one instance they seized a supply of tea in the hands of a stubborn merchant

and sold it over the counter at a price fixed by themselves. "Madam," said John Adams to Mrs. Huston at Falmouth, "is it lawful for a weary traveler to refresh himself with a dish of tea, provided it has been honestly smuggled or paid no duties?" The answer was decisive. "No, sir, we have renounced all tea in this place, but I'll make you coffee." There was no redress. "I must be weaned," lamented the wayfarer, "and the sooner the better." The young ladies of Amelia County, Virginia, were reported to have formed an agreement "not to permit the addresses of any person, be his circumstances or situation in life what they will, unless he has served in the American armies long enough to prove by his valor that he is deserving of their love."

§

It would be a mistake, however, in portraying this widespread movement of the people, to represent the patriot masses facing the enemy in solid array. The contrary is the truth. Everywhere the supporters of the Revolution were divided into conservative and radical wings, the former composed mainly of merchants and men of substance and the latter of mechanics and yeomen-farmers, sometimes led by men of the other group. In Massachusetts an insurgent left wing drew up a state constitution pleasing to the politicians but was not strong enough to force its adoption. By a skillful combination, the aristocracy of "wealth and talents" defeated the plan and substituted a system which safeguarded the rights and privileges of property at every bastion. Morison describes the instrument briefly: "The Constitution of 1780 was a lawyers' and merchants' constitution, directed toward something like quarterdeck efficiency in government and the protection of property against democratic pirates."

Pennsylvania was harassed by similar factions—sharply marked in their divisions and violent in their relations—which engaged in long and unseemly wrangles on every issue

of the hour. At one time the revolutionary government itself was assailed by a still more revolutionary group and blood was shed. Even after astute management had restored calm among the patriots, local conflicts continued to consume the energies of their leaders until independence was finally won. For this reason, among others, Pennsylvania, though ranking among the largest and richest states, was constantly hampered in complying with the requests of the Continental Congress.

Nor were the Southern states any more fortunate. Throughout the war a desperate struggle was waged in Virginia between planters on the seaboard and small farmers of the interior—"a struggle which involved nothing less than a revolution in the social order of the Old Dominion with its Established Church and its landed aristocracy." As a result many historic families on the coastal plain hated Thomas Jefferson and Patrick Henry far more than they did the Englishmen who served as the king's officers.

A kindred spirit flamed out in South Carolina, where slave-owners of the lowlands and merchants of the towns engaged in almost daily contests with mechanics from the shops and farmers from the back country. On one occasion, the heat of the dispute moved even Gadsden, a leader of the radicals, to inquire "whether there is not a danger amongst us far more dangerous than anything that can arise from the whole herd of Contemptible, exportable Tories." So threatening in fact was the menace—a group of "levelers" bent on overthrowing the aristocracy of "wealth and talents"—that the notables of the state had to exercise considerable skill in saving their privileges and prestige.

Across the border in Georgia the social battle between conservatives and radicals was carried to such a pitch that in a moment of bitter rivalry the patriot party could boast of two legislatures and two executives. While the British were laying waste their state, these factions dissipated their strength in fruitless bickering; on both sides, according to Allan Nevins, historian of the crisis, were many men who

preferred defeat at the hands of their common foe to the triumph of their American rivals.

§

These divisions among the patriots were embittered by continuous, vitriolic assaults from the loyalists who stuck by their guns. Before the Revolution had advanced far, Tory partisans—editors, poets, and pamphleteers—had devised a complete scheme of rhetorical offense. Moderates among them admitted that there had been evils in the policies and measures of Great Britain but insisted that, by the process of petition and argument, every wrong could be righted. They all appealed to the verdict of history: the Revolution violated the traditions, the ancient ties, and the ceremonials inherited from a distant past; it was contrary to the divine order expressed in the English régime; the doctrine of equality to which it appealed was "ill-founded and false, both in its premises and conclusions"; the leveling movement fostered by it threatened the world with "a low opinion of government" by treating the state as "a mere human ordinance," and rulers as "mere servants of the public."

The whole revolutionary program, according to this school, was indefensible in the light either of history or reason. "Of all the theories respecting the origin of government," wrote the eloquent Tory divine, Jonathan Boucher, "with which the world has been either puzzled, amused or instructed, that of the Scriptures alone is accompanied by no insuperable difficulties. It was not to be expected from an all-wise and all-merciful Creator, that, having formed creatures capable of order and rule, he should turn them loose into the world under the guidance only of their own unruly wills." No, ran the argument, God had put kings and superior persons in the world to govern it. In short, the Revolution, as the Tories saw it, flew in the face of experience, history, and divine sanction;

hoary and crusted reputability was all on the side of the provincial status.

From this theorem, Tory propagandists proceeded to the next. The Revolution had been stirred up by a few crafty men who had played upon the ignorance and passions of the mob; by a handful of conspirators was the "draught designed to cheat the crowd and fascinate mankind." And these conspirators were "an infernal, dark-designing group of men . . . obscure, pettifogging attorneys, bankrupt shopkeepers, outlawed smugglers . . . wretched banditti . . . the refuse and dregs of mankind." At least in this guise they appeared to the editor of the New York Gazette on May 23, 1778.

> Old Catiline, and Cromwell too,
> Jack Cade and his seditious crew,
> Hail brother-rebel at first view,
> And hope to meet the Congress,

ran a Tory ballad on the patriots who framed and adopted the Declaration of Independence. Individuals partook of the nature of the whole band, General John Sullivan being presented by a poet as a fair type:

> Amidst ten thousand eminently base,
> Thou, Sullivan, assume the highest place!
> Sailor, and farmer, barrister of vogue,
> Each state was thine, and thou in each a rogue.

Nor did the Tory scribes spare the great Washington: at the unconquerable soul of the Revolution Jonathan Odell flung these lines and more:

> Thou hast supported an atrocious cause
> Against thy king, thy country, and the laws;
> Committed perjury, encouraged lies,
> Forced conscience, broken the most sacred ties;
> Myriads of wives and fathers at thy hand
> Their slaughtered husbands, slaughtered sons, demand;
> That pastures hear no more the lowing kine,
> That towns are desolate, all—all is thine.

While such was the Tory view of the revolutionary leaders, outstanding figures in the American cause, the loyalist opinion of the rank and file was even less favorable. Thomas Paine was called "our hireling author . . . true son of Grub Street." The "commissioners of loans, and boards of war, marine committees, commissaries, scribes, assemblies, councils, senatorial tribes" were "wretches whose very acts the French abhor." Washington was "at the head of ragged ranks. Hunger and itch are with him . . . and all the lice of Egypt in his train. . . . Great captain of the western Goths and Huns." The soldiers were "half savages," from "the backwoods." The patriot camp was filled with "priests, tailors, and cobblers, . . . and sailors, insects vile that emerge to light . . . rats who nestle in the lion's den." Their inspiration was "treason . . . ambition . . . hypocrisy . . . fraud . . . bundles of lies . . . calumny . . . zeal . . . riot . . . cruelty . . . cunning . . . malice . . . persecution . . . and superstition."

> Here anarchy before the gaping crowd
> Proclaims the people's majesty aloud. . . .
> The blust'rer, the poltroon, the vile, the weak,
> Who fight for Congress, or in Congress speak.

Having poured the vials of their wrath upon the heads of the revolutionary party, Tory pamphleteers accused the patriots of proclaiming liberty as their goal and then wading through tar and blood and tyranny to attain it.

> For one lawful ruler, many tyrants we've got,
> Who force young and old to their wars, to be shot,

exclaimed one Tory poet.

> Tarr'd, feather'd, and carted for drinking Bohea?—
> And by force and oppression, compell'd to be free?—
> The same men maintaining that all human kind
> Are, have been, and shall be, as free as the wind,
> Yet impaling and burning their slaves for believing
> The truth of the lessons they're constantly giving?

queried another. "You find these pretended enemies of oppression the most unrelenting oppressors," lamented the rector of Trinity Church in New York, "and their little finger heavier than the king's loins. . . . There is more liberty in Turkey than in the dominions of the Congress." And all this had been done, ran the refrain, by self-constituted committees, conventions, and assemblies that had usurped authority and set themselves up as legislators and governors.

§

The weakness of the revolutionary movement, as revealed in controversy, politics, government, and administration, was of course reflected in all phases of the military operations. Improvisation and guesswork marked every stage. When the Revolution assumed the aspect of an organized conflict, there was not available a single army officer experienced in the stratagems of combat on a large scale, as distinguished from local fighting. Washington had been under fire in the French and Indian conflict, showing courage and resourcefulness in the presence of danger and death; but when he took command of the forces at Cambridge in 1775, no one knew the measure of his greatness.

A few of his officers had heard the whistle of bullets: Horatio Gates, Daniel Morgan, and Philip Schuyler had taken some part in the French War, but their knowledge of military science was limited. Most of his immediate subordinates came straight from civilian life. Benedict Arnold, who finally betrayed his countrymen, was a merchant at New Haven when the news of Lexington summoned him to arms; Nathanael Greene, a farmer and blacksmith in Rhode Island; Anthony Wayne, a farmer and surveyor in Pennsylvania; Francis Marion, a South Carolina planter whose military experience was limited to a brush with the Indians; while John Sullivan of New Hampshire was a lawyer more familiar with legal briefs than with the

sword. Israel Putnam, a farmer from Connecticut, insisted on riding at the head of his men at Boston in his shirt sleeves with an old hat on his head as if he were still in the cornfield—much to the anguish of spruce young officers from the Middle and Southern states. Though all these men had natural ability and undoubted courage, their genius had not been tried in long campaigns.

Less experienced than their officers were the armed forces usually commanded by untutored captains. The regulars in the Continental line were never very numerous; those who survived the fortunes of the early battles and endured the severity of discipline, flogging and torture, were in the course of time developed into first-rate soldiers able to give a good account of themselves with rifle and bayonet. But even that branch of the army was in constant peril of demoralization. The pay of the men was nearly always sadly in arrears and, when it came, usually in the form of depreciated paper. Their support in materials was deficient. "Our hospital, or rather our House of Carnage, beggars all description," wrote General Wayne to his superior, "and shocks all humanity to visit; there is no medicine or regimen suitable for the sick, no beds or straw to lie on, no covering to keep them warm other than their own thin wretched clothing."

Nor did things seem to improve with time. "Our men are almost naked," declared General Greene in 1782, "for want of overalls and shirts and the greater part of the army barefoot." The plight of the cavalry was no better. Seeing a Virginia regiment ride by, an eyewitness recorded: "Some had one boot, some hoseless with their feet peering out of their shoes, others in breeches that put decency to blush, some in short jackets, others in long coats—all however with dragoon caps." Of course conditions were not always as bad but in the best of circumstances they were bad enough to try the soul of the most devoted patriot. The weaker vessels succumbed, deserting in shoals; neither flogging nor threats of the gallows stayed their flight.

The militiamen, both those associated with the regulars and the independents, gave their officers trouble without end. In more than one test, they proved to be unreliable under fire. At the battle of Long Island whole brigades, as Washington reported, "on the appearance of the enemy . . . ran away in the greatest confusion without firing a shot." After the disaster, he found them "dismayed, intractable, and impatient," angry at "almost every kind of restraint and government," and demoralizing to the rest of the army. "I am obliged to confess my want of confidence in the generality of the troops," he exclaimed in his report to the Congress. When called upon, the militia frequently would not turn out at all or it rallied with such sloth and indifference as to vex the soul of the Commander-in-chief.

At the end of 1776, after more than a year's experience, he complained to Congress that his volunteers "come in, you cannot tell how; go, you cannot tell when, and act, you cannot tell where, consume your provisions, exhaust your stores, and leave you at last at a critical moment." And yet, in the final year of the serious fighting, namely in 1781, more than half the thirty thousand men under arms were outside the ranks of the regulars. There were, of course, many exceptions to the rule but Washington had good reason for his lack of confidence in raw, undisciplined soldiers, often more interested in saving their skins and getting home than in the iron game of war, particularly if the fighting occurred beyond their own locality.

When, long afterward, a United States army officer, General Emory Upton, struck the military balance sheet of the revolutionary army, he had to report a story that shocked those Americans who had supposed that embattled farmers fresh from the plow or hearth overcame the weight of the British Empire. In his laconic record the facts stood out with impressive boldness. When the struggle began a great crowd of patriotic volunteers rushed to the scene of excitement, but as soon as they got a thorough taste of

bloodshed and death, masses of them showed a remarkable affection for their homes and safety.

During the remainder of the war, it was only by the most heroic efforts that a force of thirty or forty thousand privates, out of a population of three million people, could be kept in the field. Long before the end, it became necessary to make generous grants of money and land for the purpose of enticing men into the service. One of the Southern states, for example, offered to each volunteer as a bounty "a healthy sound negro between the ages of ten and thirty years, or sixty pounds in gold and silver at the option of the soldier." Put to desperate straits in their search for men, the states enlisted free Negroes in substantial numbers and enrolled slaves who had been freed on condition that they enter the army; in 1778 it was officially estimated that there were on the average fifty-four Negroes in each of Washington's battalions.

Indeed, the states found it so hard in some cases to fill their quotas that they even employed fugitives from the British army to fight for them. "It gives me inexpressible concern," lamented Washington in a letter to Massachusetts, "to have repeated information from the best authority that the committees of the different towns and districts in your state hire deserters from General Burgoyne's army and employ them as substitutes to excuse the personal service of the inhabitants." All in all, it had to be said that the cause of American independence was won in the field by the invincible fortitude and unconquerable devotion of a relatively small body of soldiers and officers who kept the faith to the last hour. When victory crowned their long labors they were given sheaves of paper notes and turned loose upon the tender mercies of a chilly world. Nothing but the most persistent efforts of the soldiers and their friends eventually wrung from the negligent civilians in Congress a tardy recognition of the valorous services that had made a reality out of the paper Declaration of Independence.

§

Against the contentious governments which rose on the ruins of British dominion in America and against the small and badly supported forces of the American army was pitted the might of the greatest empire in the world. Unlike the Continental Congress, the British political system was powerfully organized, the Parliament at Westminster commanding the purses and allegiance of its subjects. The British navy, ruling the sea, could transport men and supplies across the ocean or along the coast with comparative ease. Moreover, King George, besides having at his disposal a substantial body of regular soldiers disciplined in the arts of war, could also summon to his aid a number of high officers who, if they were not supreme masters of strategy, had at least seen more serious fighting than Washington and his subordinates. How then was it possible for the thirteen states, weak and divided in councils, to effect their independence in the test of arms?

In the enumeration of the items that go to make up the answer, all historians agree in assigning first rank to the personality of Washington, commander of the weary and footsore Continental army that clung to the cause to the bitter end. Mythology, politics, and hero-worship did their utmost to make a solemn humbug of that amazing figure but his character finally survived the follies of his admirers and even the thrusts of his detractors made in their reaction to idolatrous adulation. Washington was a giant in stature, a tireless and methodical worker, a firm ruler yet without the ambitions of a Cæsar or a Cromwell, a soldier who faced hardships and death without flinching, a steadfast patriot, a hard-headed and practical director of affairs. Technicians have long disputed the skill of his strategy; some have ascribed the length of the war to his procrastinations; others have found him wanting in energy and decision; but all have agreed that he did the one thing essential to victory—he kept some kind of an army in the

field in adversity as well as in prosperity and rallied about it the scattered and uncertain forces of a jealous and individualistic people.

Fortunately for Washington and for the cause of independence there were elements of weakness in the armed might of Great Britain. The English landed gentry and the mercantile classes that shouted for "strong measures in America" did not rush to the standard to fight the battles for which they had called. Long protected against invasion by means of the navy, the British people had not been nourished on the martial spirit. For generations, therefore, the Crown had found it imperative to employ brusque methods in order to secure enough men to fill the ranks of its regular army.

Theoretically it relied mainly on volunteers; practically the statutes and the common law sanctioned a disorderly kind of conscription, two expedients which yielded soldiers of about the same type. The volunteers were drawn chiefly from a miserable proletariat; while the men who were dragooned into the uniform by compulsion, drink, and violence came from what the English historian, Lecky, called "the dregs of the population." The laws pertaining to conscription specifically authorized the snatching of sturdy beggars, fortune tellers, idle, unknown, and suspected fellows, incorrigible rogues, poachers, and convicts. Criminals were pardoned "on condition of their enlistment in His Majesty's army," three British regiments being composed entirely of lawbreakers released from prison.

But all these methods failed to produce enough men for the task of saving America for the landlords and merchants of England. Six months after the battle of Lexington, the British government confessed that its efforts to fill the ranks had failed. Thereupon "the King went into the open market for troops on the continent," and hired from German princes several thousand fighting men—peasants dragged from their fields, mechanics snatched by crimps, and wretches raked up from the highways and byways.

In the wake of the British army followed the usual rear-guard of wastrels. Burgoyne's forces were accompanied by approximately two thousand women, some of them the wives of officers, three hundred "on the strength of the regiments," the remainder "fed and maintained by the soldiers themselves." Although there were good fighting men in the British ranks, although some of the criminal regiments distinguished themselves for valor, the most friendly historian of the British army had to admit that it was not inspired by an intense desire to overwhelm the American rebels at any cost of life and limb.

The British officers, of course, were drawn from a different class but for one reason or another those placed in command in America were lacking in skill or energy or both. Sir William Howe, on whom a large part of the burden fell, though a general of experience and distinction, suffered from many disabilities. He had strenuously opposed the coercive measures which brought on the war and he had publicly declared that he would not fight the Americans if called upon to take up arms. And yet, after making such professions, he had yielded to the appeal of his sovereign and accepted the command. Just why he was chosen for the important post in view of his attitude has never been made clear but it was hinted at the time that he owed the honor to his "grandmother's frailty," that is, to the fact that he was the grandson of George I through an illegitimate connection.

However that may be, Howe was a gay man of the world, loving ease, wine, gambling, and the society of ladies. "In Boston," as the Americans were fond of saying, "this British Anthony found his Cleopatra." Competent critics ascribed his final discomfiture to the "baneful influence" of "this illustrious courtesan." Enamored of indolence, drink, and high living, eager to effect peace by conciliation, Howe shrank from ruthless, swift, persistent, punitive measures. He proceeded on the theory that, by the continued possession of New York and Philadelphia and by the blockade

of the coast, he could wear out the patriots. If the French had not intervened with their navy, he might have succeeded in his plan and been hailed as one of the far-seeing statesmen and warriors of his age. But events sank his fortunes beyond recovery. Sir Henry Clinton, who succeeded Howe as Commander-in-chief in 1778, if more active in war, was not much happier in the display of military talents; and of Lord Cornwallis, the less said the better.

Among the other factors favorable to the American cause were advantages due to the geographical situation. The British had to cross three thousand miles of water and then fight on a field that stretched almost a thousand miles north and south merging in the west into a wilderness. With the aid of the navy they could readily seize the ports and strike at the seaboard commerce; although they were definitely forced out of Boston in 1776—in spite of their costly victory at Bunker Hill—they occupied, in the course of the war, New York, Philadelphia, Charleston, and Savannah. All these places, except Philadelphia, they continued to hold until Cornwallis' surrender at Yorktown and their grip on that city was only broken by the menace of the French fleet.

When, however, they ventured far into the interior they met reverses or achieved only temporary victories. Burgoyne was compelled to surrender at Saratoga because he was surrounded, harassed, and cut off from his base of supplies. The British captured Charleston in 1780 and, after beating Gates at Camden, overran most of the state, but whenever they pushed far from their sea support, they were assailed and worried by militiamen. Cornwallis could ravage the coasts of North Carolina and Virginia almost at will; he could even strike far into the interior and give Greene a drubbing at Guilford, but he could not hold the hinterland over which he had raised his flag. As soon as his troops were withdrawn, revolutionary forces took possession of the abandoned territory. In short, the conquest of the American continent by arms called for continuous occupation and for regular government by military process

—a gigantic task to which the British forces dispatched to America were not equal.

In reckoning the elements that brought victory to the United States, the aid afforded by France must be given great weight. Money received from the treasury of Louis XVI paid for supplies that were desperately needed and buoyed up the sinking credit of the young republic. After the fashion of adventurous military men, French officers with the Marquis de la Fayette and Baron de Kalb in the lead joined Baron Steuben of Prussia, Count Pulaski, and Thaddeus Kosciusko of Poland, in helping to furnish inspiration and discipline for the raw recruits from American farms and shops. French regulars dispatched to American camps and fields, besides giving heart to the discouraged forces under Washington's command, rendered a good account of themselves in the business of warfare. At Yorktown, the last scene in the grand enterprise, the French soldiers, almost equal to the Americans in number, stood like a rock against the attempts of Cornwallis to break the cordon of besieging armies. On the sea, as on the land, the power of France, in spite of England's superior strength, counted heavily on the side of victory for America. French captains united with American naval commanders headed by Paul Jones and John Barry in preying upon British commerce, in cutting off ships bearing fresh troops and supplies to Yorktown, and in blockading Cornwallis on the side of the sea. Thus when the final blow was delivered—the blow which brought the British cabinet to terms—the honors were shared by the French and American arms. Once more the balance of power had been utilized, this time in ushering a young republic into the family of nations.

§

In trying to explain the outcome of the war for independence many writers, old and new, have laid great stress on the argument that the English nation showed little zeal

for the fighting throughout the long contest. Some have gone so far as to represent the efforts to coerce the colonies by arms as the labors of an arrogant king and subservient ministers who enjoyed little support among the English people at large. Indeed, the Whig historians in England and their copyists in America have laid the main responsibility for the conduct of the war, as well as the measures that led to it, upon George III himself. Sir Thomas Erskine May, a Whig of the Whigs, in his Constitutional History of England issued in 1871, represented the King as managing Parliament during all the contest, distributing patronage, dictating domestic and foreign policies, directing debates, conferring titles and honors, and settling the fate of ministers, in the grand and arbitrary fashion of Louis the Great. "It is not without reason," he concluded, "that this deplorable contest was called the king's war." John Richard Green, describing the North administration in his Short History of the English People, published in 1874, declared that "George was in fact the minister through the twelve years of its existence, from 1770 till the close of the American war."

Many years later another English Liberal, Sir George Trevelyan, a nephew of the great Whig apologist, Macaulay, made a special effort to collect proofs that "the war itself was disliked by the nation." From the evidence assembled he showed that the members of the Commons from London were opposed to the war, that several officers in the British army and navy refused to take part in it, that an open opponent was almost elected to Parliament in Newcastle at a by-election held in 1779 while the conflict was raging, that British consols fell in price, and that there was a great deal of outspoken criticism of the government which would hardly have been tolerated if armed coercion of America had been popular.

Without attempting to traverse that general argument, it is appropriate to recall certain facts equally significant which point to a contrary conclusion. It is true that George

III displayed a lively interest in the proceedings of Parliament, that he indulged in high-flown language about his prerogatives, that he used his power to penalize men who opposed measures on which his heart was set, that he appointed his friends to high offices, and that on one occasion with a somewhat childish gesture he pointed to his sword and threatened to use it if a dissolution of Parliament was forced upon him. But the Whig historians who have raked over every word of the king's correspondence have found no passage showing that George III used his authority to force the enactment of a single coercive law directed against the American colonies.

In reality no such course on his part was necessary for, as the judicious Lecky shows, "all the measures of American coercion that preceded the Declaration of Independence were carried by enormous majorities in Parliament." And he might have added that all the war measures passed after that event were likewise carried by enormous majorities. As a matter of fact the one conspicuous use of royal power over Parliament during the conflict was in the case of Lord North's conciliatory resolution offering "the olive branch" to America in 1775: the proposal was so hotly resisted in the Commons that the king's influence was invoked to push it through. No doubt George III was outspoken in vindicating the course of his government. He once declared that he would accept no minister who favored stopping the war or granting American independence; but a year before he uttered these emphatic words he had actually offered to accept a ministry of peace and independence. So it would seem that the verdict of the Whig historians needs revising; the responsibility for the war, as far as England was concerned, rested mainly on the governing classes, not upon George III alone.

How far the English "nation" approved the prosecution of the war was never determined by anything like a referendum. The general election of 1774, held while the controversy with the colonies was raging, sustained the

ministry of Lord North and gave him a thumping majority. Normally, in the course of the conflict, he could muster in the House of Commons about two hundred and sixty votes against the ninety arrayed on the side of the opposition. Beyond all question the landed gentry were solidly entrenched in support of the government and, if Edmund Burke is to be taken as an authority, the industrial and mercantile groups were almost equally stanch in their loyalty. "The mercantile interest," he lamented in January, 1775, "which ought to have supported with efficacy and power the opposition to the fatal cause of all this mischief, was pleaded against us, and we were obliged to stoop under the accumulated weight of all the interests of this kingdom."

Later in the same year Burke made again the same complaint: "The merchants are gone from us and from themselves. . . . The leading men among them are kept full fed with contracts and remittances and jobs of all descriptions and are indefatigable in their endeavours to keep the others quiet. . . . They all, or the greatest number of them, begin to sniff the cadaverous *haut goût* of lucrative war." Burke also found "the generality of the people of England" aligned with the ministers in the prosecution of the war—deluded no doubt by "the misrepresentations and arts of the ministry, the Court, and its abettors," but still loyal to the government in its hour of battle. Long after Burke, Lecky, on reviewing a huge mass of testimony, rendered a similar judgment: "It appears to me evident that in 1775 and 1776 the preponderating opinion, or at least the opinion of the most powerful and most intelligent classes in the community, on the American question was with the King and his ministers."

Certainly the bishops of the Established Church sustained the government and the Universities proclaimed their unquestioning fealty, while the lawyers as a class found historic and constitutional grounds for supporting the proceedings of the ministry. To give verbal expression

to official policy, a large group of editors, clergymen, economists, historians, and men of letters devoted their talents, either through conviction or for a consideration, to fanning the temper of those determined to bring the revolutionists to the ground at all costs. Dr. Samuel Johnson, a royal pensioner, hurled against the Americans a weighty diatribe, Taxation no Tyranny; according to the faithful Boswell, "his inflammable corruption" burst into horrid fire whenever the Americans were mentioned; he breathed out threatenings and slaughter, calling them rascals, robbers, pirates, and exclaiming that he would burn and destroy them—this safely in a tavern corner in front of a roast and a pot of ale.

John Wesley, whose varied and dubious career in America had taught him the nature of American emotions, joined the ministerial hosts in condemning the Revolution and attributing colonial resistance to the writings of wicked Englishmen, such as Burke, who were encouraging rebellion and striving to overturn the perfect English constitution. With serene assurance, Wesley informed the Americans that they had no case at all, waving aside the issues of taxation and representation with a short fling: "You are the descendants of men who either had not votes or resigned them by migration. You have therefore exactly what your ancestors left you; not a vote in making laws nor in choosing legislators but the happiness of being protected by laws and the duty of obeying them." The great Edward Gibbon, then at work on his history of the Roman tragedy, though inclined at first to criticize Lord North's policy, after gazing a while upon the contemporary game with a stately amusement, went over to the support of the government, receiving in the going a sinecure of a thousand pounds a year, which helped to eke out his slender income and enabled him to enjoy fine wine while finishing off his immortal pages. Yet he was good natured about the business and, as he said, laughed and blushed at his own inconsequence when he heard himself lashed by Burke

for drawing public money in return for nothing but mischief.

On the other side of the controversy in England there was, no doubt, a troublesome opposition that continued to bait the government until the close of the War for Independence. Among the leaders in this group Edmund Burke stood first in discernment, combining an accurate knowledge of American economy and American temper with a profound faith in the healing power of toleration and generosity—a faith that strangely contrasted with the scurrilous dogmatism manifest in his thunderous pamphlets on the French Revolution a little later. Unlike Chatham, who, as his sister often said, "knew nothing accurately except Spenser's Faery Queen," Burke had the statistics of American trade and the history of American progress always on the tip of his tongue. Repeatedly he pointed out in the House of Commons the magnitude of American commerce, the growth of population, the fierce spirit of liberty in the colonies, "the dissidence of dissent" in matters religious, the rise of lawyers "acute, inquisitive, dexterous, prompt in attack, ready in defense, full of resources," the growth of popular government through local assemblies, the feebleness of the Established Church, and the high proud spirit of Southern slaveholders. Having described the power of America, he told his countrymen that coercion would bring nothing but resistance and revolt.

The burden of Burke's grand argument flowed from reason and moderation. The relations of nations, he urged, must be considered in the same fashion as personal relations with respect to sensibilities; generosity will call forth generosity; human affairs cannot be twisted to fit any dogmatic scheme of black and white; great good can come out of liberty unbidden by tyrannical rule and systematic policy; the "unsuspecting confidence of the colonists" is the best hope of prosperous connections; refined, hair-splitting policy is always the parent of confusion; government must be based on barter and compromise; plain, good

intention is a great force in the management of mankind; wise governments take into account the nature and circumstance of those who are governed; prudent negotiation is better than force; if force you must have, let it be for some defined object worthy of the sword, not the outcome of foolish arrogance; reverence for black letter learning, for precise constitutional rights, is reverence for a Serbonian bog where whole armies have sunk; "it is not what a lawyer tells me I may do; but what humanity, reason, and justice tell me I ought to do." In such noble words was expressed the serene, friendly, tolerant spirit in which Burke begged the British government to turn back upon its course to the old ways that were followed before Grenville and Townshend started their "systematic imperial policy."

Outside Parliament, Burke had some literary support. David Hume, philosopher and historian, objected to "mauling the poor unfortunate Americans in the other hemisphere." At the beginning of the conflict, Catherine Macaulay, sister of the mayor of London and a historical writer, then the vogue in England and the subject of "flattering attentions" in Paris, lauded the American cause and sent a letter to Washington encouraging him in the course he had chosen. In another quarter, the celebrated Dr. Richard Price, nonconformist clergyman, whose sermon on constitutional reform later called forth Burke's Reflections on the French Revolution, defended the Americans in a powerful tract that quickly passed through eight editions and made a profound impression on the British public, especially on the dissenting elements.

In the houses of Parliament, Burke's attacks on ministerial policies were applauded by a small but distinguished body of Whigs. Whether their contrariety of opinion flowed principally from resentment at exclusion from office or from a confirmed belief in the injustice of war on America, it was impossible to determine. Indeed, there was no unanimity of doctrine among them. Chatham, for example, declared that Parliament had no constitutional

right to impose internal taxes on the colonies and favored the repeal of the coercive measures; but he was dead set against granting independence after the armed conflict had begun. Rockingham, on the other hand, upheld with decided vigor the right of Parliament to tax, assailing the measures of Lord North on grounds of expediency.

Great as it was intrinsically, the confusion of the Whigs was increased by the demands of the colonists. Committed by a long tradition to the creed that the power of the Crown should be reduced and the authority of the legislature exalted, the Whigs found themselves invited by American agitators to condemn acts of Parliament in the name of royal prerogative. Not only that, they were called upon by Benjamin Franklin to treat parliamentary interference with America as sheer usurpation—an invasion of the king's undoubted sphere of power—and then they were asked by the authors of the Declaration of Independence to lay the blame for the disaster on George III.

Although a few Whigs made a clean cut through this legal verbiage by discarding the niceties of logic and advocating peace with America on terms of independence, the majority employed it chiefly with reference to the tactics of defeating the ministry and restoring their party to its old control over government and patronage. Of this, there was indisputable proof. In 1778, in the midst of the war, George III was ready to give up; in his name the Whigs were offered "the majority in a new cabinet under Lord Weymouth, on the basis of a withdrawal of the troops from America and a vigorous prosecution of the war with France."

Then and there the Whigs could have ended the armed conflict with America. Fox begged them to do it but they refused, thus taking on their own heads responsibility for the war which they denounced, allowing it to go on to the conclusion so bitter for England. On no simple theory of devotion to American principles, therefore, could the course of Whig politics during the American Revolution be ex-

plained, and yet the generous peace of 1783 was in the main their work. In the end it was they who drove Lord North from office, urged George III to yield to necessity, and closed the unhappy quarrel by accepting the United States as one of the free nations of the earth.

§

The negotiation of the treaty of peace, when the moment came, was a delicate task for Franklin and his colleagues at Paris, as well as for the British government. Under instructions from the Congress and the terms of the French alliance, the American agents were bound to consult Louis XVI's ministers at every stage of the transaction. Had nothing intervened, Franklin, easy-going and fond of the French, might have obeyed to the letter the canons of strict propriety, but John Jay, fresh from the intrigues of Madrid, and John Adams, who had learned new tactics at The Hague, were too canny for the diplomacy of Versailles. They knew that France and Spain had not shed blood and spent treasure merely to erect a powerful republic in the western hemisphere. It was no dark mystery that France, still cherishing imperial dreams, hoped to recover the Mississippi Valley and enlarge her fishing rights in western waters. It was no secret that Spain also had irons in the fire. In any event, both powers agreed that the Americans should be satisfied with the seaboard and were prepared to block American designs upon the hinterland.

Called upon to favor the United States, on the one hand, or the French and Spanish, on the other, the British ministry chose to patronize the rebellious provinces. Moreover, the new colonial secretary in London sincerely desired "reconciliation with America on the noblest terms and by the noblest means." Quick to grasp the realities of the problem thus presented, the American commissioners artfully disregarded the decorum of the occasion. Besides

holding secret conversations with the British agent, they actually agreed upon the general terms of peace before they told the French foreign minister about their operations. For this furtive conduct, Louis XVI's minister, Vergennes, on hearing the news at last, reproached Franklin, only to receive from the aged gentleman the suave reply that, although the Americans had been guilty of bad manners, they hoped that the great work would not be ruined by "a single indiscretion." Doubtless the French were angry; perhaps, technically, they had a right to be; but those who practiced the arts of diplomacy in those days were usually prepared to accept the rules of the game and the hazards of the combat.

In the end, the shrewd maneuvers of the American commissioners and the liberality of the English cabinet made the general settlement at Paris in 1783 a triumph for the United States. Independence was specifically recognized by the mother country; and the coveted territory west to the Mississippi, north to Canada, and south to the Floridas was acknowledged as the rightful heritage of the young republic. Spain won Minorca and the Floridas but not Gibraltar. For her sacrifices in blood and treasure, France gained practically nothing in territory and commerce, but had the satisfaction of seeing the British Empire dismembered and the balance of power readjusted. In spite of her defeat in America, England retained Canada, Newfoundland, and her islands in the West Indies, made gains in India, and held her supremacy on the sea.

Clear as it was in bold outline, the grand adjustment at Paris left many issues clouded. Not unnaturally, the Tories demanded a return of their sequestered estates and English merchants insisted on the payment of debts owed by American citizens. These were sore points with the patriots and nothing but a compromise was possible. In its final form, the treaty provided that the Congress should advise the states to restore the property they had confiscated and stipulated that no lawful impediment should be

placed in the way of collecting just debts—smooth promises difficult to fulfill. In a counter-claim, the Americans demanded a restoration of all goods and slaves seized by the English army during the war, and in the terms of the treaty their exactions were conceded. Here, too, was a pledge easier to make than to discharge; for some of the English were horrified at the idea of sending human beings back to bondage and the recovery of the other property claimed by the patriots proved to be impossible in practice. For good measure, the question of fishing rights off the coast offered irritating problems; issues which vexed the two countries for more than a hundred years.

Many a patriot grumbled when he heard that the treaty promised a return of Tory property and a payment of debts but all such laments were lost in the universal rejoicing that greeted the close of the war. Nothing dampened the ardor of the demonstration. Orators exhausted their forensic powers in portraying the benefits of independence and in framing taunts to the despotisms of the Old World. One preacher, climbing an Alpine peak, summoned his countrymen to look upon the fair opportunity now presented "for converting this immense northern continent into a seat of knowledge and freedom, of agriculture and commerce, of useful arts and manufactures, of Christian piety and virtue; and thus making it an inviting and comfortable abode for many millions of the human species; an asylum for the injured and oppressed in all parts of the globe; the delight of God and good men; the joy and pride of the whole earth; soaring on the wings of literature, wealth, population, religion, virtue, and everything that is excellent and happy to a greater height of perfection and glory than the world has ever yet seen!"

§

The fair prophecy of the preacher, to be fulfilled in a surprising measure in the long reach of time, seemed at

the moment to rest on a slender basis. The "America" to which the orator paid tribute was only in the process of making. Politically, it consisted of thirteen independent states, each jealous of its rights, fiercely claiming the loyalty of its citizens, and dominated by ambitious men. The union that bound them together, such as it was, had no guarantee of permanence in the affections of the people. It was new. It had been a product of necessity, long debate, and grudging consent. The idea of an enduring association, raised in the Continental Congress many months before the Declaration of Independence, was not given a concrete form in the Articles of Confederation until more than a year after that event. The autumn of 1777 was far advanced when the Congress, after tedious argument, finally agreed on the document and sent it to the states for ratification. Though all the local legislatures were aware that their common fate seemed to hang upon prompt and united action, a long time passed before the last of them signed and sealed the instrument of federation. The year that saw the surrender of Cornwallis at Yorktown had opened when Maryland, the remaining laggard, gave her approval. It was March 1, 1781, that thundering guns from ships of war in the Delaware announced that the Union "begun by necessity" had been "indissolubly cemented."

The Articles of Confederation, wrung from reluctant delegates in the Congress and from still more reluctant states, in fact made little difference in the system which had been established for revolutionary purposes. It did not materially alter the structure or powers of the continental government created provisionally in 1774. Management of the general interests of the United States was still vested, under the Articles, as before, in a Congress composed of delegates from each state, appointed as the legislature might direct, subject to recall at any time, and paid from the local treasury.

If this system seemed strangely inadequate to the re-

quirements of a potential nation, it corresponded with marked fidelity to the ideas of the radicals who had engineered the Revolution. In their several colonies, they had revolted against the financial, commercial, and political control exercised by the government of Great Britain; by war they had destroyed deliberately that dominion; and they wanted no strong and effective substitute in the form of a central government—even one controlled by Americans. In this sense a fundamental transformation had been wrought in the higher ranges of continental politics.

Within each state, no less than in external relations, the Revolution started a dislocation of authority—a phase of the eventful years which the historians, too long concentrating on spectacular episodes, have just begun to appreciate. The shifts and cracks in the social structure produced by the cataclysm were not all immediately evident; half a century passed before the leveling democracy proclaimed in Jefferson's Declaration of Independence came flooding into power. But still the states of the confederation differed as much from the colonial provinces of Governor Shirley's time as the France of Louis Philippe, hero of the green umbrella, did from the régime of Louis XV. Just as the French Revolution sent émigrés fleeing into Germany and England, so the American Revolution drove out about one hundred thousand high Tories of the old school. By breaking the grip of English economic and political adventurers on the spoils of America, it brought into power new men with new principles and standards of conduct.

It is true that, in the severe and sometimes savage contests between the conservative and radical supporters of the Revolution, the former were generally the victors for the moment and were able to write large their views of economic rights in the first state constitutions. Broadly speaking, only taxpayers or property owners were given the ballot as in colonial times and only men of substantial wealth were made eligible to public office. But in many

cases the qualifications were lowered and the structure of the old social system seriously undermined.

Above all, the spirit of domestic politics, especially in the royal provinces, was distinctly altered by the sudden removal of the British ruling class—a class accustomed to a barbarous criminal code, a narrow and intolerant university system, a government conceived as a huge aggregation of jobs and privileges, a contempt for men and women who toiled in field and shop, a denial of education to the masses, an Established religion forced alike on Dissenters and Catholics, a dominion of squire and parson in counties and villages, callous brutality in army and navy, a scheme of primogeniture buttressing the rule of the landed gentry, a swarm of hungry placemen offering sycophancy to the king in exchange for offices, sinecures, and pensions, and a constitution of church and state so ordered as to fasten upon the masses this immense pile of pride and plunder. From the weight of this mountain the American revolutionists delivered the colonial subjects of the British Crown. Within a decade or two after that emancipation they accomplished reforms in law and policy which required a hundred years or more of persistent agitation to effect in the mother country—reforms which gave to the statesmen who led in the agitation their title to immortality in English history.

Naturally the American Revolution, a movement carried to its bitter end by the bayonets of fighting farmers, even though it was started by protesting merchants and rioting mechanics, wrought a far-reaching transformation in the land system that had been developed under British inspiration and control. With engaging conciseness, these changes have been summarized in J. Franklin Jameson's admirable little book on The American Revolution Considered as a Social Movement. First of all, royal limitations on the seizure and enjoyment of vacant lands—notably the prohibition upon the free settlement of regions beyond the Alleghenies contained in the proclamation of 1763—were

swept away; and at the same time the "vast domains of the Crown" were vested in the hands of the state legislatures to be dedicated to the uses of their constituents.

Secondly, the quitrents paid to the king and to proprietary families, the Penns and the Baltimores, by farmers and planters according to their acreage were simply abolished, relieving Americans of an annual charge approximating a hundred thousand dollars a year. Thirdly, the rule and the practice of reserving for the royal navy white pine trees suitable for masts were abrogated without ceremony, releasing landowners from an irksome restriction. In the fourth place, there was a smashing confiscation of Tory estates, including Sir William Pepperell's Maine holdings extending thirty miles along the coast, the Phillipse heritage in New York embracing about three hundred square miles, the property of the Penn family worth in round numbers five million dollars, and the Fairfax estate in Virginia stretching out like a province. All in all, the Tories reckoned their losses at no less than forty million dollars and the British Parliament, after scaling their demands to the minimum, granted the claimants fifteen million dollars by way of compensation.

In harmony with their principles, the Revolutionists who made this huge sequestration of property distributed the land by sales in small lots on generous terms to enterprising farmers. The principality of Roger Morris in New York, for example, was divided into no less than two hundred and fifty parcels, while a still larger number of farms was created out of the confiscated holdings of James De Lancey.

Finally, among the effects of the Revolution on agricultural economy, must be reckoned the abolition of the system of entails and primogeniture. Whereas it took a century of debate and then the corroding taxes of a World War to drive a wedge into the concentrated land monopoly of England, the American Revolutionists brought many an ancient structure to earth by swift and telling blows. Three months after he penned the Declaration of Independence, Jefferson

opened a war on the entailed estates of the Old Dominion, to the horror of the best people; and before the lapse of a year he pushed through the legislature an act which accomplished his radical design, releasing from entail "at least half, and possibly three-quarters of the entire 'seated' area of Virginia." Within ten years "every state had abolished entails excepting two, and those were two in which entails were rare. In fifteen years every state, without exception, abolished primogeniture"—all save four placing daughters on an equality with sons in the distribution of landed inheritances.

Considered relatively, therefore, the destruction of landed privilege in America by the forces unchained in the War for Independence was perhaps as great and as significant as the change wrought in the economic status of the clergy and nobility during the holocaust of the French Revolution. As in France country lawyers and newly rich merchants swarmed over the seats of the once proud aristocracy, so in the United States during and after the cataclysm a host of groundlings fresh from the plow and counting house surged over the domains of the Jessups, De Lanceys, and Morrises. When members of the best families of France turned to tutoring and translating in London for a livelihood or to teaching dancing and manners in America, in the days of Danton, Marat, and Robespierre, they found ladies and gentlemen who sighed for good old colonial days ready to join them in cursing the rights of man.

The clergy as well as the landed gentry felt the shocks of the American Revolution. When the crisis opened, nine of the thirteen colonies had established churches. In New Hampshire, Massachusetts, and Connecticut it was the Congregationalists that enjoyed this legal privilege, while in Virginia, Maryland, New York, the Carolinas, and Georgia it was the Episcopalians who claimed a monopoly on religion supported by taxes. Before the echoes of Lexington and Concord had died away, an attack on ecclesiastical establishments was launched, and in five of the states

where the Anglican clergy possessed privileges and immunities under the law the dissenters, outnumbering their opponents, were quickly victorious. In Virginia, however, where the Anglican party was strong, and in New England, where the Congregationalists enjoyed a supremacy, every clerical redoubt was stubbornly defended.

It took a struggle of more than half a century in the mother country to win political equality for Catholics and Dissenters, and to sweep away tithes for the support of an official religion. The twentieth century opened before France, going beyond England in her evolution, could put asunder Church and State. Only ten years sufficed to carry through the legislature Jefferson's "Statute of Virginia for Religious Freedom," and before the nineteenth century had far advanced, the Congregationalists were finally disestablished—in New Hampshire in 1817, in Connecticut the following year, and in Massachusetts in 1833. So before Jefferson's death Episcopalians could enjoy in Connecticut liberties they had once withheld in Virginia.

In law as in religion the light of reason was being turned on ancient customs. During this stirring period of intellectual and spiritual awakening, the British government was making its penal code more and more savage; when George III came to the throne in 1760 there were about one hundred and sixty offenses for which men, women, and children were put to death; before the end of his reign nearly one hundred new offenses were added to this appalling list.

Although the American colonists had never been so sweeping in their vengeful passions as English lawmakers, they too had adopted penal codes of shocking brutality—codes that loomed black and ominous against the new faith in the common run of mankind. Deeply moved by this incongruity, the impetuous Jefferson, to whom at least his Declaration was no mere mass of glittering generalities, hastened away from Philadelphia soon after independence to start the revolution in the legal system of Virginia. On

his arrival he announced that the law must be reformed root and branch "with a single eye to reason and the good of those for whose government it was framed," so alarming the bench and bar by his rashness that it took him twenty years to gain his principal points. In the other states a similar campaign was waged against the barbarities of the statute books, now swiftly, now tardily casting into oblivion great fragments of the cruel heritage. Even at the worst the emancipated colonists were in most matters respecting criminal legislation half a century ahead of the mother country.

Indeed, in nearly every branch of enlightened activity, in every sphere of liberal thought, the American Revolution marked the opening of a new humane epoch. Slavery, of course, afforded a glaring contrast to the grand doctrines of the Revolution, but still it must be noted that Jefferson and his friends were painfully aware of the anachronism; that Virginia prohibited the slave trade in 1778—a measure which the British Crown had vetoed twenty years before; that a movement for the abolition of slavery appeared among the new social forces of the age; and that it was the lofty doctrines of the Revolution which were invoked by Lincoln when in the fullness of time chattel bondage was to be finally broken. If a balance sheet is struck and the rhetoric of the Fourth of July celebrations is discounted, if the externals of the conflict are given a proper perspective in the background, then it is seen that the American Revolution was more than a war on England. It was in truth an economic, social, and intellectual transformation of prime significance—the first of those modern world-shaking reconstructions in which mankind has sought to cut and fashion the tough and stubborn web of fact to fit the pattern of its dreams.

## CHAPTER VII

## *Populism and Reaction*

NEARLY nine years after the battle of Lexington, to be exact, on December 4, 1783, General Washington bade farewell to his officers in the great room of Fraunces' Tavern in New York City. When the simple but moving ceremony was over, the Commander marched down the streets through files of soldiers and throngs of civilians to the barge at Whitehall Ferry that was to bear him across the Hudson on his way home to Mount Vernon. Cannon boomed, bells in the church steeples clashed, crowds cheered as the tall Virginia gentleman stood in the boat, bared his gray head, and bowed his final acknowledgments.

When his familiar form faded away on the Jersey shore, the multitudes in the city turned to celebrating the triumph of the Revolution. The last of the British soldiers had disappeared down the bay a few days before and the last symbols of British dominion, except in the distant frontier forts, had passed as in a dream. America was now an independent republic. Those who had assumed leadership in this stirring drama found themselves in a course far

beyond all the headlands they had seen in the fateful hours when the quarrel with the mother country was impending. Undoubtedly a few bold thinkers had early envisaged independence as the outcome of revolt but their little designs had not encompassed its full import. Thus do the achievements of people outrun their conscious purposes.

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In the march of events, profound social and political changes had come to pass. Seven years of war, waged by an improvised Continental Congress without traditions, authority or strength, had thrown all economic functions into confusion and disorganized society in every direction. In colonial times the prosperity of the people depended largely upon the exchange of raw materials for manufactured products in British markets, a traffic that supplied American farmers and artisans with most of the implements and tools used in agriculture and industry, enriched American merchants, brought a steady stream of British capital to these shores, and furnished nearly all the refinements for the homes of the upper classes. This commerce the outbreak of the Revolution ruined—except for the smuggling and trading with the enemy that went on in spite of the war—and the British blockade prevented the opening of new channels sufficient to take its place.

Moreover, the armed struggle itself disrupted over wide areas the ordinary processes of agriculture and industry upon which the people relied for their living, put an intolerable drain upon the slender resources of the backwoods civilization, destroyed by fire and pillage properties of immense value, afforded the occasion for a serious confiscation and transfer of estates, tore cities and communities asunder, introduced varied and fluctuating currencies which made the orderly transaction of business impossible, and delayed the payments of debts while depreciating the medium for discharging them. At the same time it proscribed and

drove from the country a large part of the governing class —British executives, judges, merchants, capitalists, and owners of property in general who remained loyal to the Crown.

In many, if not all, respects, the immediate outcome of the Revolution, radical as it was, displayed the deeper purposes of the intransigent leaders who engineered it, especially the dynamic personalities of the second social rank nearest the fighting populace; for they wanted to rid themselves entirely of British political, economic, and judicial interference. When the conflict opened, the thirteen colonies were mere provinces of the British empire under whose dominion they had been forbidden to emit bills of credit, to make paper money legal tender in the payment of debts, and to restrain foreign and intercolonial commerce. Under British authority their industry and trade had been regulated in the interest of British merchants and manufacturers, subduing American agriculture to the rules prescribed by the capitalist process in London. Under the same authority, control over the western lands had been wrested from the grip of American pioneers and politicians and vested in Crown officials. To make secure the economic sovereignty, a highly centralized scheme of judicial and administrative supremacy held the legislatures of the colonies strictly within the bounds of business propriety. In short, while the colonists had been gaining strength in local government, their powers had been limited and the higher functions of diplomacy, defence, and ultimate social control had rested in British hands.

This was the system which the Revolutionists overthrew, pulling down the elaborate superstructure and making the local legislatures, in which farmers had the majorities, supreme over all things. No Crown, no royal governor, no board of trade in London, no superior judge could now defeat the desires of agrarians. They had demanded autonomy; they achieved independence.

Having rid themselves of a great, centralized political

and economic machine, the radical leaders realized their ideal in a loose association of sovereign states; in the Articles of Confederation, their grand ideals were fairly mirrored. The sole organ of government set up by that instrument was a Congress composed of delegates from each state, elected by the legislatures, and paid from the state treasury, if paid at all. Enjoying no independent and inherent powers drawn directly from the people, this government was the creature of the states and the victim of the factional disputes that filled the local theaters of politics. It was in effect little more than a council of diplomatic agents engaged in promoting thirteen separate interests, without authority to interfere with the economic concerns of any. In determining all vital questions, the states were equal: each had one vote; Delaware was as powerful as Virginia, Rhode Island, the peer of Massachusetts.

As if to emphasize the repudiation of the British Crown, no provision was made for a President to symbolize national unity, to concentrate interest and affection, indeed to enforce the laws. It is true the Congress could select an executive to represent the Confederation when it was not in session but that executive was a committee of thirteen—one member from each state—and when an attempt was made to function through this agency, the result was not far from the ludicrous.

In remembrance perhaps of British judicial control, now broken by revolution, the framers of the Articles erected no system of national courts to which the citizens could appeal for the protection of their rights. The structure of the federal government, shaped as it was, managed by committees of the Congress functioning through independent departments, worked for the diffusion of authority among many men jealous of one another, subject to the orders and recall of contending states, restrained by no leadership, and endowed with no power to override the will of state legislatures, governors, and courts after the fashion of British administration in provincial days.

The functions essential to any government of substance—the powers which the colonists had resisted when exercised by the British Crown and Parliament—were, naturally enough, withheld from the Congress which the revolutionists created under the Articles of Confederation. As a matter of course, the solemn duty of defending the country was laid upon it: it could declare war, raise an army, and provide a navy; but it could not draft a single soldier or sailor; it could only ask the states to supply quotas of men according to a system of apportionment. Even if the Congress could have raised the men by this process, it could never have been sure of the materials necessary to support them.

It had power, no doubt, to appropriate money but no authority to levy upon the strong box or economic resources of any citizen. For every penny that went into the common treasury, it had to ask the local legislatures. When it determined the amount of money needed for any fiscal period or for any specific purpose, it apportioned the total among the thirteen states on the basis of the value of the lands and improvements in each, leaving the legislatures free to decide how the quotas assigned were to be met—or not met at all, according to the mood of the party in control at the time. In fact, therefore, the Congress had to assume the rôle of a beggar, hat in hand, at the capitals of the several commonwealths. In practice it experienced what beggars usually do: more rebuffs than pleasant receptions.

If such was the weakness of the Confederation with respect to those prime considerations, military power and money, it is not strange to find the same incompetence in other spheres. Conforming to colonial agrarian traditions, the Congress was given no control over currency and banking, such as the government of Great Britain had exercised in America before independence; on the contrary, these vital economic functions were left to the discretion of the individual states. Nor could the Congress regulate trade

among the states or with other countries; England had done too much of that.

Although it could make treaties with foreign countries affecting commercial matters, the Congress had no power to enforce its agreements against the will of recalcitrant states—in fact, no control over the latter in any imporant respect. Almost entirely dependent upon them for the enforcement of its laws and orders, it could not exact obedience from them, punish them by pecuniary penalties, suspend their privileges, or use military force against them. Neither could it intervene in the domestic affairs of a state even if a civil war threatened the overthrow of local government and the dissolution of economic bonds.

To put the case concisely, the states were, for domestic purposes, sovereign, while the Congress presented the "extraordinary spectacle of a government destitute of even a shadow of a constitutional power to enforce the execution of its own laws." The radical leaders of the Revolution had not thrown off British agencies of economic coercion for the mere purpose of substituting another centralized system of legislative, executive, and judicial control.

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To the eight years of government under these Articles of Confederation, the term "critical period" has been applied and it has become the fashion to draw a doleful picture of the age, to portray the country sweeping toward an abyss from which it was rescued in the nick of time by the heroic framers of the Constitution. Yet an analysis of the data upon which that view is built raises the specter of skepticism. The chief sources of information bearing on this thesis are the assertions and lamentations of but one faction in the great dispute and they must, therefore, be approached with the same spirit of prudence as Whig editorials on Andrew Jackson or Republican essays on Woodrow Wilson.

Undoubtedly the period that followed the close of the Revolutionary War was one of dissolution and reconstruction; that is the story of every great social dislocation. Still there is much evidence to show that the country was in many respects steadily recovering order and prosperity even under the despised Articles of Confederation. If seven of the thirteen states made hazardous experiments with paper money, six clung to more practical methods and two or three of those that had embarked on unlimited inflation showed signs of turning back on their course. While a few states displayed a heartless negligence in paying their revolutionary debts, others gave serious attention to the matter. Though the efforts of the Congress to secure larger powers over taxation and commerce were defeated, an agreement on some control over foreign trade was almost in sight when the constitutional convention was summoned by men impatient with delay. The very fact that the convention could be assembled was in itself evidence of a changing spirit in the country.

On the whole, the economic condition of the country seemed to be improving. No doubt shipping in New England and manufacturing in general suffered from the conflicting tariff policies, domestic and foreign, which followed the war, but, at the opening of 1787, Benjamin Franklin declared that the prosperity of the nation was so great as to call for thanksgiving. According to his judgment, the market reports then showed that the farmers were never better paid for their produce, that farm lands were continually rising in value, and that in no part of Europe were the laboring poor in such a fortunate state. Admitting that there were economic grievances in some quarters, Franklin expressed a conviction that the country at large was in a sound condition.

Nearly a hundred years after Franklin's time a learned, if controversial, historian, Henry B. Dawson, on the basis of minute researches, made out a very good argument to the effect that the "chaos" of the "critical period" was

largely a figment of political imagination. Whatever the verdict on this point may be, the difficulty with which the Constitution was "wrung" from a reluctant people and the existence of a large body of voters aggressively opposed to the change will put the prudent inquirer on his guard against the easy assumption that the entire country was seized with a poignant sense of impending calamity.

Nevertheless, when the best possible case is made for the critical period, there remain standing in the record of those years certain impressive facts that cannot be denied or explained away. Beyond all question the financiers had grounds for complaint. Though the principal of the continental debt was slightly reduced under the confederation, the arrears of interest increased nearly fourfold and the unpaid interest on the foreign obligations piled steadily higher. In an equally chaotic condition were the current finances. The Congress in due course made requisitions on the states to pay its bills, but it was fortunate if it received in any year one-fourth of the amount demanded, and during the last fourteen months of its life less than half a million in paper money was paid into the treasury—not enough to meet the interest on the foreign debt alone.

Hence all who held claims against the confederacy had sufficient cause for discontent. Holders of government bonds, both original subscribers who had made sacrifices and speculators who had bought up depreciated paper by the ream, had good reasons for desiring a change in the existing form of government. To them were added the soldiers of the late revolutionary army, especially the officers whose bonus of full pay for five years still remained in the form of paper promises.

Industry and commerce as well as government finances were in a state of depression. When peace came and the pent-up flood of British goods burst in upon the local market, greatly to the joy of the farmers and planters, American manufacturers, who had built up enterprises of no

little importance during the suspension of British trade, found their monopoly of domestic business rudely broken. Nothing but a protective tariff, they thought, could save them from ruin. In the same category of the distressed were American shipowners and factors engaged in foreign trade, especially the ubiquitous Yankees who now suffered from discriminations as aliens in the ports of the British empire. In spite of heroic efforts they could not effect a return to prosperity; nor was there any sign of relief in sight as long as the Congress under the Articles of Confederation possessed no power to enact retaliatory measures calculated to bring foreign countries to terms.

In an equally unhappy position were the domestic merchants. They had at hand no national currency uniform in value through the length and breadth of the land—nothing but a curious collection of coins uncertain in weight, shaven by clippers, debased by counterfeiters, and paper notes fluctuating as new issues streamed from the press. Worse than the monetary system were the impediments in the way of interstate commerce. Under local influences legislatures put tariffs on goods coming in from neighboring states just as on foreign imports, waged commercial wars of retaliation on one another, raised and lowered rates as factional disputes oscillated, reaching such a point in New York that duties were levied on firewood from Connecticut and cabbages from New Jersey.

If a merchant surmounted the obstacles placed in his way by anarchy in the currency and confusion in tariff schedules and succeeded in building up an interstate business, he never could be sure of collections, for he was always at the mercy of local courts and juries—agencies that were seldom tender in dealing with the claims and rights of distant creditors as against the clamors of their immediate neighbors. While the Articles of Confederation lasted there was no hope of breaching such invincible barriers to the smooth and easy transaction of interstate business.

Other economic groups likewise had powerful motives for desiring a change in the form of government. Money lenders who held outstanding notes and mortgages objected to receiving in payment paper bills emitted by the treasuries of the agrarian states and demanded a limitation on their right to issue such legal tenders. In a plight no less distressing were the British creditors and Americans to whom British claims had been transferred. Checked by the hostility of state legislatures and local courts, they were usually unable to collect debts solemnly recognized by the treaty of peace and they could hope for no adequate settlement, especially in the South, while the confederation endured. Loyalists who had lost property during the Revolution suffered similar handicaps in the presence of local judges and jurors. Finally, the officers and soldiers, who held land warrants issued to them in return for their war services, and capitalists engaged in western land speculation could count on no realization of their claims until there was a national army strong enough to suppress the hostile Indians on the frontier.

In short, the financial, creditor, commercial, and speculating classes in the new confederate republic were harassed during the critical period just as such classes had been harassed by rebellious patriots on the eve of the Revolution. From every point of view, as they saw the matter, they had valid reasons for wanting to establish under their own auspices on American soil a system of centralized political, judicial, and economic control similar in character to that formerly exercised by Great Britain. They wanted debts paid, a sound currency established, commerce regulated, paper money struck down, and western lands properly distributed; they desired these things quite as much as the governing classes of England had desired them in colonial times. No more than the stoutest Tory of London or Boston did they relish agrarian politics; commerce simply could not thrive in that economic atmosphere. Those who sponsored business enterprise accordingly de-

manded new central organs of power and control and fresh restraints on the leveling tendencies of local legislatures generally dominated by farmers.

If they objected to the national system of government, they could with equal sanction protest against the administration of the respective states. Indeed, Massachusetts gave them a shock which presaged a swing to the extreme revolutionary left. In that commonwealth a conservative party of merchants, shippers, and money lenders had managed by a hard won battle to secure in 1780 a local constitution which gave their property special defenses in the suffrage, in the composition of the Senate, and in the qualifications of office holders administering the law. Heavy taxes were then levied to pay the revolutionary debt of the state, a large part of which had passed into the hands of speculators. And just when this burden fell on the people, private creditors in their haste to collect outstanding accounts deluged the local courts with lawsuits and foreclosures of farm mortgages.

The answer to this economic pressure was a populist movement led by a former soldier of the Revolution, Daniel Shays. Inflamed by new revolutionary appeals, resurgent agrarians now proposed to scale down the state debt, strike from the constitution the special privileges enjoyed by property, issue paper money, and generally ease the position of debtors and the laboring poor in town and country. Indeed, there were dark hints that the soldiers who had fought for independence would insist that property owners must sacrifice their goods for the cause. In various guises the agitation continued until in 1786 it culminated in an armed uprising known as Shays' Rebellion.

Although the insurrection was crushed, it sent alarms throughout the higher social orders of America. If Jefferson was unmoved because he thought that a little bloodshed was occasionally necessary to keep alive the spirit of agrarian liberty, Washington was thoroughly frightened. On hearing the news, he redoubled his efforts to obtain a

stronger constitution—one that would afford national aid in suppressing such local disturbances. There was even talk of a counter-revolution, a military dictatorship supported by funds from merchants.

In foreign relations there were perils as menacing as the difficulties of domestic administration. With respect to Great Britain, many perplexing questions arising out of the treaty of peace remained unsolved and new adjustments of commercial relations had to be made. And not unnaturally the mother country was somewhat ungracious to her wayward child in all such matters. When John Adams, as minister of the United States, appeared at the Court of the King he met a frosty reception, made several degrees chillier by constant reminders that the government he represented was really impotent. If he hinted that British soldiers should be withdrawn from the western part of the United States or that the ports of the British West Indies should be opened once more to American ships on favorable terms, he was reminded that his fellow countrymen had not paid the debts due British merchants and he was shown acts of Parliament which, not without reason, treated Americans as aliens.

Nearer home, foreign relations presented questions calling for more judgment and power in solution than the Congress of the United States showed any inclination to provide. Though nominally isolated in the New World, the confederacy was bounded on the landward side by immense territories belonging to England and Spain, both countries that had been contending for mastery in America for two hundred years. At any moment a new storm might break, involving the weak republic at the very threshold of its career. Even the most case-hardened agrarians could not avoid seeing the possibility of renewed strife among the European powers—which came in 1793—the dangers of foreign intervention in domestic politics, and the perils of disruptive rivalry among the states. If they were indifferent to the demands of public creditors, financiers, and

merchants clamoring for relief, they could not ignore the menaces from foreign quarters.

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Such were the circumstances in which rose and flourished a movement for a drastic revision of the Articles of Confederation. In recognition of the gathering forces, the Congress appealed again and again to the states, asking them to approve an amendment giving it the power to lay and collect certain import duties for the purpose of meeting public obligations. But all such appeals were futile: the approval of every state was necessary to the slightest change and there was always at least one of them unwilling to surrender that "precious jewel of sovereignty," control over its purse.

Finding the efforts of the Congress without avail, leading citizens then called for an economic and political revolution. Indeed, in 1780—even before the adoption of the Articles of Confederation—Alexander Hamilton, impressed by shortcomings of the document, had proposed that a constitutional convention be assembled and a better charter of government framed. Three years afterward, Washington, in his famous Circular Letter to the governors of the states, laid stress upon the need for a supreme central power to regulate the general concerns of the confederation. Already disturbed by the rumblings soon to break out in Shays' Rebellion, the governor of Massachusetts had suggested and the legislature had resolved, in 1785, that the Articles of Confederation be reformed, especially by increasing the powers of the Congress.

The early response to this agitation for a constitutional revision was not impressive. When Virginia turned from rhetoric to action by inviting the states to send delegates to a convention at Annapolis in 1786, only five of the thirteen complied. Had it not been for the consummate skill of Hamilton, the conference would have closed in gloom;

determined never to confess defeat, he induced the Annapolis assembly to pass a resolution advising the states to choose delegates to a second convention to be held in Philadelphia the following year. Taking into full account the well-known opposition to any such project, Hamilton worded his resolution with utmost caution. In form he merely recommended a "revision" of the Articles in order to render them "adequate to the exigencies of the union," and he allayed the suspicions of the local legislatures by adding that any amendments made at Philadelphia should be submitted to the states for their ratification as provided in the Articles.

In due course the proposal of the Annapolis conference was sent both to the state legislatures and to the Congress and in February, 1787, the latter issued a call for the Philadelphia assembly. Exercising Hamiltonian circumspection, it phrased its resolution carefully: the convention was to be held for the sole and express purpose of revising the Articles; proposed amendments were to be submitted to the Congress and to the states for approval; the letter and the spirit of the Articles were to be observed. With an alacrity that must have amazed the leaders in the revisionist movement, all the states, except Rhode Island, acting through their legislatures, now chose delegates as requested—some even anticipating the call. Most of them, however, taking Hamilton's moderation at face value, expressly limited their delegates to a revision of the Articles, saving in all respects the prescribed formalities of the existing constitution.

Among the many historic assemblies which have wrought revolutions in the affairs of mankind, it seems safe to say that there has never been one that commanded more political talent, practical experience, and sound substance than the Philadelphia convention of 1787. In all, sixty-two delegates were formally appointed by the states; fifty-five attended the sessions with more or less regularity; and thirty-nine signed the final draft of the new Constitution. On the

list were men trained in war and diplomacy, skilled in legislation and administration, versed in finance and commerce, and learned in the political philosophy of their own and earlier times. Seven had been governors of states and at least twenty-eight had served in the Congress of the union either during the Revolution or under the Articles of Confederation. Eight had been signers of the Declaration of Independence. At the head stood Washington, who, with one voice, was chosen president of the convention. Among those who sat under him were such men as the two Morrises, the two Pinckneys, Madison, Hamilton, Franklin, Rutledge, Gerry, Ellsworth, Wilson, Randolph, Wythe, Dickinson, and Sherman, nearly all of whom represented the conservative wing of the old revolutionary party.

At all events none of the fiery radicals of 1774 was present. Jefferson, then serving as the American minister in Paris, was out of the country; Patrick Henry was elected but refused to attend because, he said, he "smellt a rat"; Samuel Adams was not chosen; Thomas Paine left for Europe that very year to exhibit an iron bridge which he had designed and to wage war on tyranny across the sea. So the Philadelphia assembly, instead of being composed of left-wing theorists, was made up of practical men of affairs—holders of state and continental bonds, money lenders, merchants, lawyers, and speculators in the public land—who could speak with knowledge and feeling about the disabilities they had suffered under the Articles of Confederation. More than half the delegates in attendance were either investors or speculators in the public securities which were to be buoyed up by the new Constitution. All knew by experience the relation of property to government.

When the convention assembled late in May, 1787, there arose at once the question whether the proceedings should be thrown open to the general public or be held behind closed doors. The body was small, oratory was evidently out of place, and none of the members was especially eager to appeal to the gallery. As realistic statesmen,

they knew that negotiation and accommodation would be more effective in the attainment of their ends than Ciceronian eloquence and tattered passion. It was well understood that the dissensions bound to arise in the convention would be magnified if irresponsible partisans on the outside learned about them and continually prodded the delegates with popular agitations. It was also known how sharply the country at large was divided over the problems to be solved and how easily timid members might be frightened into voting against their own judgment by the demands of excited constituents.

So, without much argument, the members resolved that the proceedings of the convention should be secret and no one permitted to give out in any form any information respecting its deliberations. In harmony with this decision they likewise agreed that no official record of the debates should be kept, that nothing should be set down in black and white save a bare minute of the propositions before the house and the votes cast for and against them. In their anxiety for security the delegates took every precaution against publicity; they even had a discreet colleague accompany the aged Franklin to his convivial dinners with a view to checking that amiable gentleman whenever, in unguarded moments, he threatened to divulge secrets of state.

If a few members, particularly James Madison, had not made notes of the speeches delivered in the convention, posterity would never have discovered the real spirit that animated the discussions. And it was not until more than half a century later—after Madison, the last surviving member, had died and his private papers were published—that Americans got a clear insight into the proceedings of the great assembly that had drafted their revered Constitution.

Having settled the question of secret sessions, the members of the convention came face to face with a fundamental issue: should they adhere to the letter of their instructions

by merely amending the Articles of Confederation or should they make a revolution in the whole political régime by drafting a new constitution founded on entirely different principles? The point was a nice one. The Congress which had called them together and the states that had selected them had simply authorized them to propose amendments to the existing constitutional instrument. Nevertheless such amendments, according to the same instructions, were to make the existing Articles "adequate to the exigencies of government and the preservation of the union."

With good reason an agile mind could take either horn of the dilemma. Paterson of New Jersey, speaking for the small states in danger of losing their equal and swollen authority, argued that "if the confederacy is radically wrong, let us return to our states and obtain larger powers, not assume them ourselves." Randolph of Virginia retorted that he was not "scrupulous on the point of power." Hamilton agreed; to propose any plan not adequate to the exigencies of union because it was not clearly within their instructions, he thought, would be to sacrifice the end to the means.

Having come to accomplish results rather than to chop logic, the majority of the members accepted the liberal view of the matter and refused to be bound by the letter of the existing law. They did not amend the Articles of Confederation; they cast that instrument aside and drafted a fresh plan of government. Nor did they merely send the new document to the Congress and then to the state legislatures for approval; on the contrary they appealed over the heads of these authorities to the voters of the states for a ratification of their revolutionary work. Finally, declining to obey the clause of the Articles which required unanimous approval for every amendment, they frankly proposed that the new system of government should go into effect when sanctioned by nine of the thirteen states, leaving the others out in the cold under the

wreck of the existing legal order, in case they refused to ratify.

§

For more than a hundred years it was the custom of historians, in speaking of the work of the delegates, to emphasize their differences of opinion, their impassioned controversies, and their compromises, whereas as a matter of fact they exhibited a striking unanimity of opinion on the great economic objects which they had assembled to attain. For this we have the testimony of a competent modern scholar, R. L. Schuyler, who has put the whole story of the making of the Constitution in a new perspective by showing, on the basis of authentic researches, that the essential agreements of the Philadelphia convention were more significant than its disputes.

In the light of his inquiries, it appears that a safe majority of the members was early mustered on nearly all the fundamental issues before them. If they warmly debated many matters pertaining to means and instrumentalities, they agreed with relative ease that a national government must be erected and endowed with ample power to defend the country on land and sea, to pay the national debt, to protect private property against agrarian legislatures, to secure the return of fugitive servants, and to uphold the public order against domestic insurrection. This basic fact should not be obscured in any consideration of the long and tempestuous arguments that arose over the form of the new government and the representation of the states in it.

On the creation of a great national agency endowed with political power equal to specific tasks of the highest order there was so much solidarity of opinion that the objections of the insurgent few merely emphasized the general concord. A few days after they had formally organized, namely, on May 30, the delegates solemnly adopted in the committee of the whole a momentous resolution "that a

national government ought to be established consisting of a supreme legislative, executive, and judiciary." It is true that the vote on this proposition was only six states in favor to one against and one divided and that the alarming word "national" was later struck out, but the debates that accompanied and followed this action clearly indicated the temper of the convention. In commenting on the distinction between a confederacy and a national supreme government, Gouverneur Morris made it evident that the former was "a mere compact resting on the good faith of the parties," while the latter had a complete and compulsive operation. Other members spoke in the same vein; so there could be no doubt as to what was in the minds of the majority; they were determined to establish an efficient national government. One of the protestants, Luther Martin, of Maryland, who later withdrew from the convention in anger, blurted out the plain truth when he said that it was the purpose of the Philadelphia assembly to set up "a national, not a federal government." If somewhat vehement, Martin was remarkably accurate in his judgment.

With reference to other issues of paramount significance there was even more unanimity. It required no heroic measures to bring about an agreement that Congress should have the power to lay and collect taxes, regulate foreign and interstate commerce, and do all things necessary and proper to carry into effect its enumerated functions. No member was in favor of repudiating or sharply scaling down the national debt; the clause sustaining the validity of all outstanding obligations and contracts was carried with but one discordant voice.

Equally general was the conviction that the states should not be allowed to issue bills of credit or impair the obligations of contracts. Almost unanimous was the opinion that democracy was a dangerous thing, to be restrained, not encouraged, by the Constitution, to be given as little voice as possible in the new system, to be hampered by checks and balances. Gerry declared that the evils the country had

experienced flowed from "the excess of democracy." Randolph traced the troubles of the past few years to "the turbulence and follies of democracy." Arguing in favor of a life term for Senators, Hamilton exclaimed that "all communities divide themselves into the few and the many. The first are rich and well-born and the other the mass of the people who seldom judge or determine right." Morris wanted a Senate composed of an aristocracy of wealth to "keep down the turbulence of democracy." Madison, discoursing on the perils of majority rule, stated that their object was "to secure the public good and private rights against the danger of such a faction and at the same time preserve the spirit and form of popular government."

§

It was with reference to the form of government capable of attaining their grand objects and the respective weight to be assigned to the leading interests of the country in the balanced machine that the most acute diversity of opinion developed. In that relation the records disclose a strange story. They do not portray a group of inspired individuals convinced in advance that only one project of government could accomplish the general purposes they had clearly in mind. Instead of a disciplined crew under a stern and bright-eyed captain steering the ship of state by the north star, we see a wrangling body of thoughtful, experienced, and capable men, but harassed men, torn by interests, prejudices, and passions, drifting one day in one direction and the next in another, deciding long debated issues, opening them again, altering their previous views, and adopting novel solutions.

It is certainly a startling lesson in the fallibility of statesmen to compare the authentic plans laid before the convention in the opening days with the finished Constitution published at the close. For example, the Virginia scheme presented by Randolph provided for a congress of two houses

composed of members apportioned among the states on the basis of wealth or free white population; this congress was to elect the executive—either a single person or a group of men; and to exercise general legislative powers, including that of annulling state laws contrary to the Constitution. The curious cannot help but wonder what would have been the fate of the American union if that plan had been adopted. But such speculation is idle. Randolph's plan had hardly been read when it was condemned by Paterson of New Jersey in the name of the small states calling for a legislature of a single house in which commonwealths, not people, were to be represented and all states given an equal vote. Neither plan was adopted.

In its final form the Constitution, so far as the structure of the government was concerned, was "a bundle of compromises." It was more. It was a mosaic of second choices accepted in the interest of union and the substantial benefits to flow from union.

One of the compromises, fundamental in character, occupies a high place in treatises on the Constitution; that was the adjustment between large and small states. The former, weary of domination by minorities, demanded, as we have just indicated, a congress based on populations instead of political entities. The latter, tenacious in the defense of their interests, insisted with the same emphasis on equality among the commonwealths in the national legislature. And through many exciting sessions the debate over this issue ran on fiercely.

More than once dissolution seemed imminent, the delegates being held together, as one of them remarked, only "by the strength of a hair." Frightened by the spectacle, Franklin, in despair of human devices, proposed that the convention be opened daily with prayer, invoking divine guidance to save it from ruin. Even on this motion, agreement was impossible. The hard-headed Hamilton, according to tradition, thought that they were not in need of "foreign aid," and his colleagues objected on other

grounds, fearing that news of a change in procedure might leak out and give the impression that the convention had come to the end of its earthly resources. Eventually, by the use of extreme tact, they managed to weather the storm without resorting to prayer and to avert the crisis through negotiations and a happy compromise. In the end they agreed upon a national legislature of two houses: in the Senate, with greater powers and dignity, the aspirations of the states were to be satisfied by equal representation; while in the House of Representatives, the interests of the larger states were to be conserved by the apportionment of members among them on the basis of population, counting three-fifths of the slaves.

No less fundamental than the dispute over the political power to be enjoyed by the large and small states was one which deeply involved the economic interests of sections. Indeed, after listening carefully to the debates for several weeks, Madison noted that the real division in the convention was between the planting interests of the South founded on slave labor and the commercial and industrial interests of the North—startling foresight discerning "the irrepressible conflict" which filled half a century with political controversy and tested the Constitution in the flames of a social revolution.

In all there were only six planting states, counting little Delaware, and they had neither wealth nor population comparable to the resources of the seven commercial states. Climate, soil, tradition, and labor supply seemed destined to make them producers of foodstuffs and raw materials to be exchanged in favorable markets for manufactured goods. Therefore, it was their prime concern to ship at the lowest possible freight rates in vessels sailing under any flag and to buy and sell on the most advantageous terms anywhere on earth. Weaker in number, they feared that the proposed Congress, dominated by a mere numerical majority, might lay an undue burden of customs duties and taxes upon them—the shifting of taxes being one of the

grand devices of politics for the transfer of wealth from one class to another. They were also afraid that Congress, under capitalistic influences, would enact tariff legislation and navigation laws injurious to their enterprise.

On the other hand, the trading and industrial interests of the North, languishing under free trade, under financial disorders, and under English discriminations, saw their only hope for prosperity in protective tariffs and favorable commercial legislation. The issue was definite and familiar. It had been made clear in the contest with Great Britain when Parliament sought to restrain colonial legislatures and colonial trade with reference to the profits of British merchants, shippers, and manufacturers. It was to cut athwart the history of centuries to come.

Disputes arising from this inherent conflict of interests ran throughout the proceedings of the convention even when questions apparently remote from the main issue were on the carpet. Especially were they animated on matters of representation and taxation, those sore points in the revolutionary struggle. Anxious to secure a strategic position in the new government through the largest possible strength in the lower house, Southern planters proposed to count slaves as people in distributing Representatives on the population basis. At the same time, aware that their states had fewer inhabitants than the commercial commonwealths of the North, the planters urged that direct taxes be apportioned only on the basis of the free white population. For equally obvious reasons most of the Northern delegates wanted just the opposite of these two propositions. So on this issue a compromise was the last resort. Adopting a well-known expedient the convention agreed on treating three-fifths of the slaves as people for both reckonings, representation and direct taxation.

In framing the provisions relative to the regulation of commerce, the same clash of opinion appeared. If the new government was to have the power to control trade and make treaties with foreign nations, it might prohibit the

importation of slaves and enter into commercial agreements detrimental to the planting interest. Here also an accommodation was evidently imperative and it took the form of two provisions: the importation of slaves was not to be forbidden before the lapse of twenty years and a two-thirds vote in the Senate was to be required for the ratification of treaties. An additional concession was made to the South in the clause providing for the return of fugitives bound to servitude—all the more readily because this was highly useful in the North where the restoration of runaway servants was also acceptable to masters.

During the arguments that sprang from the clash of economic interests, the ethics of slavery itself was broached though at no time did it rise to the position of a leading issue. Taking advantage of the occasion several members of the convention denounced chattel bondage in uncompromising language. Gouverneur Morris, of Pennsylvania, condemned it as a nefarious institution and a curse to the states in which it prevailed. Mason, of Virginia, a slaveholder himself, seeing nothing but evil in it, declared that it discouraged the arts and industry, led the poor to despise honest labor, and checked the immigration of whites whose work gave strength and riches to the land.

The voice of defense, raised in reply, came from the Far South. Spokesmen from South Carolina insisted that the whole economic life of their state rested on slavery and that, owing to the appalling death rate in the rice swamps, continuous importation was necessary. With cold optimism Oliver Ellsworth, of Connecticut, advised moderation. "The morality or wisdom of slavery," he said, "are considerations belonging to the states. What enriches a part enriches the whole. . . . As population increases, poor laborers will be so plenty as to render slaves useless."

Technically, Ellsworth was right, for slavery as an institution was not before the convention but some decision had to be made with respect to the importation of Negroes. On this point, too, conciliation was found expedient. Virginia

and North Carolina, already overstocked, were prepared to end the traffic in African slaves but South Carolina was adamant. She must have new supplies by importation or she would not federate; hence the clause postponing action at least until 1808. These were the great compromises of the Constitution.

§

By reason of their infinite capacity for practical adjustments and their deep determination to accomplish their fundamental purposes, the members of the convention finally managed to agree upon a great political project. In its form the government which they thus created gave promise of strength and stability. The completed Constitution provided for a single executive chosen indirectly—by electors in their turn selected as the state legislatures might decide—a President of the United States serving for four years (subject to impeachment) and endowed with regal powers in the enforcement of laws and the use of armed might. The possibility of dictatorship in times of stress was foreseen and the issue squarely met. As Hamilton afterward reminded his fellow citizens, often in Roman history it had been necessary to resort to absolute power against social disturbances at home and invasions from abroad. When Lincoln, half a century later, crushed secession by military force, he did but fulfill the prophecy of the Fathers.

Yet in contemplating this outcome, it is interesting to recall that the presidential system was the product of no little guesswork in the convention. The Virginia plan proposed an executive department chosen by a congress but did not specify whether it should be composed of one or many persons. The New Jersey plan, which likewise suggested congressional election, called for a council instead of a single head.

On the various points involved, the convention voted first one way and then another, arriving at the final result

as much by accident as by intent. If either the Virginia or the New Jersey scheme had been adopted, parliamentary government would have developed in America and modern publicists would have displayed their enthusiasm and talents in demonstrating the merits of that particular system. Would the history of American politics have been essentially different?

The same consideration for stability and strength marked the adoption of the clauses relative to the legislature. Instead of a single council of ambassadors—for such in effect had been the Congress under the Articles of Confederation—paid by the states and subject to their decisions, the Constitution created an independent bicameral system. If there was a reminder of the old order in the clauses which gave each state two members in the Senate, to be elected by its legislature, the position accorded to the Senators was essentially original. They could vote as individuals, they could not be recalled or bound by instructions, they enjoyed a fixed term of six years, they were to look to the national treasury for compensation.

At the side of the Senate was placed an entirely new body, the House of Representatives, apportioned among the states mainly on the basis of population, elected by popular vote and, like the Senators, paid from the national treasury. In this way, it was believed, the power of any faction or party that dominated a state could be divided at its source and thereby the force of majority rule broken. As Madison pointed out, the mechanism was based on the idea that in actual politics men have to deal with effective powers, not with a mythical entity known as "indivisible sovereignty."

With the idea of creating a central control analogous to that formerly exercised by British courts, a judicial as well as an executive department was added to the government by the Constitution. Under the Articles of Confederation, the state courts had been practically independent of all supervision from above and the Congress had

been almost wholly dependent on those frail reeds for any enforcement of laws or treaties which called for judicial process.

A product of the Revolution, that arrangement was no accident, for one of the prime objects of many participants in the uprising had been to break the grip of British agencies on agrarian legislatures and tribunals. Now that the struggle was over, citizens who did not want to pay their debts to British merchants or restore Tory property had additional reasons for clinging to emancipation. But men of affairs, national in their business vision, in their investments, and in their commercial undertakings, took a different view of local judges and jurors.

From any angle, the question was vexatious and had to be handled adroitly by the convention. It was, as Gouverneur Morris said, only by the exercise of extreme caution that the committee in charge of the matter was able to draw up an acceptable clause and reach an agreement on the creation of the Supreme Court and "such other courts" as Congress might authorize, high tribunals endowed with jurisdiction over all cases in law and equity arising under the Constitution, federal laws, and treaties.

In these circumstances much was left to the future, to Providence, as Lamartine once remarked on a similar occasion. It was not expressly stated, for instance, that the federal courts should enjoy the power of declaring acts of Congress null and void on constitutional grounds but the idea that the federal judiciary would use this high prerogative was fully appreciated by adepts in jurisprudence at the time. Measures passed by colonial legislatures had been repeatedly nullified by British courts and a few precedents had been set by American judges during the critical period. Of course, in popular circles the theory and the practice were fiercely attacked but, on the other hand, they were vigorously defended in the Philadelphia convention and outside it by lawyers accustomed to the business of high judicature. Beyond all question veterans admitted to the

more esoteric groups of the legal guild understood the issue even if some farmers along the Allegheny ridge failed to grasp its import.

§

The functions of the new government, no less than its structure, presented striking innovations. Authority was conferred upon the President sufficient, as noted, to clothe with legality, should occasion arise, even the exercise of Cæsar's prerogatives. The supremacy of the judiciary, implicit if not expressed, only needed the magic of John Marshall to make it a part of a sacred tradition illuminating the written word. With regard to legislative duties, Congress in its turn received express and general powers adequate to the economic requirements of the classes adversely affected under the Articles.

First of all—recalling the old attempts of Parliament to levy taxes without the consent of provincial assemblies—the necessity of depending upon the state legislatures for federal revenues was entirely eliminated. Congress was authorized to collect taxes, duties, imposts, and excises directly from the people as individuals—by a broad and sweeping clause under which wonders could be worked in the protection, as well as the taxation, of business enterprise. While the prospect of abundant revenues collected with discrimination gave cheer to possessors of depreciated government securities and held out hope to languishing industries, another clause promised succor to those engaged in the arts of trade.

Having clearly in mind foreign discriminations and the commercial anarchy that existed among the states, the framers of the Constitution provided that Congress should have power to regulate foreign and interstate commerce, thus wiping out state tariff lines and creating a national market area behind a federal wall. Moreover, the American estate was to be guarded by effective military defense: Congress was to depend no longer on the good graces

of the states for soldiers and sailors; it was given unlimited authority to raise and maintain armed forces for land and sea, besides the privilege of utilizing the state militia in emergencies. Finally the enumerated powers were crowned by a blanket provision in which Congress was given a general mandate to make all laws necessary and proper for carrying into effect the authority expressly conferred. Under the light shed by the expansive imagination of Chief Justice Marshall that clause became a Pandora's box of wonders.

§

While agreeing that these large powers had to be given to the new government, the framers of the Constitution shrank from the very giant they had created. Madison foresaw a time, not far distant, when the great mass of the people would be without landed or any other kind of property, when in spite of all precautions a triumphant majority might get possession of the political machine and make it an engine of their purposes to the detriment of the public good, that is, in the main to the detriment of private property.

Frightened by this specter of democracy, some of the members of the convention proposed to restrain the masses by putting property qualifications on the suffrage and on high federal officers. Though the suggestion was warmly received a number of capital obstacles were pointed out in the course of the debate. If each voter or officer was required to possess a large amount of personal property, such as stocks and bonds, then the existing voters, two-thirds of whom were farmers, would not ratify an instrument that disfranchised them. A landed qualification was, therefore, the only alternative but bitter experience had showed that it was the farmers who sent radicals to the state legislatures and waged the war on money lenders, merchants, and other holders of personal property. After tossing about restlessly for several days, the delegates gave

up the idea of entrenching property in the Constitution by specific restrictions on voting and office holding.

Finding that course barred, the delegates chose another way of dissolving the energy of the democratic majority. They broke its strength at the source by providing diverse methods for electing the agencies of the new government and threw special barriers in its path by setting those agencies, with their several ambitions, prerogatives, and insignia, at cross purposes. In short, the Fathers created a system of "checks and balances," dividing the power of government among legislative, executive, and judicial branches with confused and uncertain boundaries. All the world has marveled at their dexterity.

The legislature as they devised it was of intricate structure. Members of the House of Representatives were to be distributed among the states roughly on the basis of population and they were to be elected biennially by those voters authorized by the respective states to take part in the choice of members for the lower house of the local legislature. That, as Hamilton remarked, gave the poorer orders of men a hearing in the government. But the chamber so directly affiliated with the commonalty was by no means to have a clear track in the making of laws. A strong Senate was thrown across its way. Senators were to be chosen by the state legislatures, one degree removed from the multitude; they were to serve for six years instead of two; and only one-third of them were to go out at any time, so that after each fresh election, no matter how tempestuous, a safe majority of the old members were to remain undisturbed in their places. The conservative effect of age was brought into play: Senators were to be at least thirty years old, five years above the minimum set for the lower house.

Opposite the legislature thus divided against itself was set the President elected by yet another process—by a special body of electors chosen as the state legislatures might determine—perhaps two or three degrees removed

from the passions of the populace. Thus firmly planted on his own base, the President was to enjoy, in addition to his executive functions, the power of vetoing acts of Congress. To increase the friction of the machine, his term was fixed at four years, not two or six, and it was provided that he could be removed only by a difficult method of impeachment.

Over against the executive and the legislature was placed the Supreme Court composed of judges appointed, not for two, four, or six years, but for life—judges chosen by the President and the Senate, the two federal agencies removed from direct contact with the populace—and in fact, as time proved, endowed with the power of declaring acts of the other departments null and void. As Hamilton explained, the friends of good government thought that "every institution calculated to restrain the excess of law making and to keep things in the same state in which they happen to be at any given period was more likely to do good than harm."

If this doctrine seemed strange to some who had just raised and carried through a revolution, it fell with a grateful sound upon the ears of those to whom it was directed. The problem of accomplishing what they thought good for the public interest and preventing the federal government from doing things evil in their eyes was a perplexing one to the Fathers; but their ingenuity was equal to the occasion.

The recognition of the need for restraining the state governments was also conspicuously present in their deliberations. Under the influence of debt-burdened farmers, as they well knew, several local legislatures had issued paper money and so enabled debtors to discharge their obligations more easily in depreciated currency. Such assaults on vested rights the convention tried to terminate by declaring in the Constitution that no state should emit bills of credit or make anything but gold or silver coin legal tender in the payment of debts. States had been negligent in paying their

public debts; they had enacted laws permitting private debtors to pay in land or kind and be rid of their creditors; they had passed laws delaying the collection of matured debts and placing other obstacles in the way of such procedures; one of them had repealed the charter of an incorporated college; and they had done other things injurious to the holders of personal property—as the Fathers reasoned, injurious to the public good. Accordingly the convention, in recognition of private rights, wrote into the Constitution a clause forbidding any state to impair the obligation of contracts.

Nor was it satisfied with that. Dangerous radicals in Massachusetts had raised the standard of revolt against law and order; such a thing might occur again and the flames even spread. Therefore the Fathers provided that the President could, on call from state authorities, send troops to suppress domestic insurrection. In this way, the convention sought to tame the spirits of local statesmen who had run wild after the heavy yoke of the British government had been thrown off. In this way was reëstablished in effect the old British system of politics, economics, and judicial control—this time grounded on American authority created by an American constitution.

§

Fully aware that their plan would be bitter medicine to a large part of the public, the delegates were puzzled about the best method of getting their instrument ratified. The lawful constitution, the Articles of Confederation, and the call under which the convention had been elected decreed that their project should be laid before the existing Congress for approval, transmitted to the states for ratification, and go into effect only after receiving unanimous consent. Now, the state legislatures, the Fathers knew by bitter experience, had been the chief assailants of public credit and private rights; they had repeatedly refused to

indorse restraints on their own powers and their unanimous consent was hardly to be expected.

Having regard for realities rather than theories, the Fathers departed from the letter of the existing law in the interest of higher considerations. They did, indeed, provide that the new Constitution should be sent to the old Congress as a matter of form but they advised the Congress merely to pass the instrument along to the states with a recommendation that special conventions be called to decide the issue of ratification. Many citizens of the right sort, they reasoned, who would not take the trouble to serve in a local legislature, would be willing to participate in a ratifying convention; if once the barrier of the populistic state legislatures could be forced, they saw hope of victory.

Still the specter of unanimous ratification remained. After much debate on the point, the convention laid that ghost by an audacious proposal, namely, that the Constitution should go into effect, as between the states concerned, as soon as two-thirds had given their consent. This program, the learned commentator, John W. Burgess, makes plain, was a project for a revolution, a break with the prevailing legal order, a coup d'état, an appeal over the heads of established agencies to the voters, or at least to that part of the electorate prepared to overthrow the Articles of Confederation.

On September 17, after nearly four months of arduous debate, the convention brought its labors to a close. The Constitution was finished and the scheme for ratification formulated. Aggrieved by the decisions of their colleagues, some members had gone home in anger and some who stayed on refused to sign the document, denouncing it openly and opposing its adoption by the people. On the other hand, thirty-nine of the fifty-five members who had attended one or more sessions put their names on the parchment and sent it forth with their benediction, even though they differed widely among themselves in the degree of their enthusiasm for the common handiwork.

Hamilton thought the new government would not be powerful enough and entertained grave doubts about its success. While admitting that they were merely "making experiments in politics," and while expressing his disapproval of many provisions in the document, Franklin declared his faith in divine guidance in the matter. Standing then within the shadow of death, he wrote of the convention's achievement: "I can hardly conceive a transaction of such momentous importance to the welfare of millions now existing and to exist in the posterity of a great nation should be suffered to pass without being in some degree influenced, guided, and governed by that omnipotent, omnipresent, and beneficent Ruler, in whom all inferior spirits live and move and have their being."

With his customary practical view of things, Washington doubtless voiced the general sentiment of his fellow signers when he said: "The Constitution that is submitted is not free from imperfections. But there are as few radical defects in it as could well be expected, considering the heterogeneous mass of which the Convention was composed and the diversity of interests that are to be attended to. As a Constitutional door is opened for future amendments and alterations, I think it would be wise in the people to accept what is offered to them."

On receiving at Paris reports of the proceedings at Philadelphia, Jefferson was at first much troubled. He thought that the proposed House of Representatives would be incompetent to great tasks, that the President, aided by the army, might become a dictator, and that the convention should have been content to add a few sections to the Articles of Confederation, "the good, old and venerable fabric which should have been preserved even as a religious relique." Later, however, he changed his mind and on considering the possibilities of amendment came to the conclusion that the Fathers had done about as well as human circumstances permitted. In the end he came to view the whole operation as a noble triumph for humanity. "The

example," he said, "of changing a constitution by assembling the wise men of the state, instead of assembling armies, will be worth as much to the world as the former examples we have given them."

§

Acting on the recommendations of the convention, the Congress submitted the Constitution to the states for their approval or rejection and in turn the local legislatures called upon the voters to choose conventions to pass upon the new project of government. In a trice the country was divided into hostile camps as all the engines of propaganda and political maneuvering were brought into play either to carry or to defeat the plan for a new government. With a bitterness that recalled the factional dispute in the revolutionary party a few years before, both sides resorted to strenuous tactics.

When, for example, certain opponents of the Constitution in the Pennsylvania legislature sought to win time for deliberation by leaving their seats and breaking the quorum, a federalist mob invaded their lodgings, dragged them through the streets, and pushed them back into the assembly room. Applauded by the victors, the vote was then taken and the election of delegates to the state ratifying convention was fixed at a date only five weeks ahead, reducing to the minimum the period allowed for taking "the solemn judgment of the people." Doubtless some gentlemen of the old school entertained regrets that the new law had been ushered in with disorder but the emergency was great.

Again when the New Hampshire convention met and a majority opposed to the Constitution was discovered, the assembly adjourned to prevent an adverse vote and give the friends of the new instrument a chance to work on the objectors. In one case haste, in the other delay, favored ratification.

As the winter of 1787-88 advanced into spring, the con-

flict was waged at close quarters, with steady gains among the supporters of the new form of government. Promptly and with little tumult, four states, Connecticut, New Jersey, Delaware, and Georgia—among the smallest and least powerful members of the confederation—ratified the Constitution. With similar promptness Pennsylvania added its approval following the events narrated above. Equally emphatic, Maryland and South Carolina, having given the voters ample time for deliberation, decided with a generous gesture in favor of ratification. In Virginia, where the popular verdict was doubtful, the weight of great names, such as Washington, Marshall, Randolph, and Wythe, finally carried the day. In New Hampshire, New York, and Massachusetts, where the election returned avowed majorities opposed to the Constitution, a great deal of clever engineering induced several delegates to depart from their apparent instructions and cast their ballots for ratification. But to the very end, two states, North Carolina and Rhode Island, refused to give their consent, allowing the new government to be erected without their aid and remaining isolated until the pressure of powerful economic forces brought them under the roof.

Intense as it was, the excitement that marked the struggle did not bring out an avalanche of voters to express their opinions at the polls. From the fragmentary figures that are available, it appears that no more than one-fourth of the adult white males in the country voted one way or the other in the elections at which delegates to the state ratifying conventions were chosen. According to a cautious reckoning, probably one-sixth of them—namely, one hundred thousand—favored the ratification of the new form of government. In any case, it is employing a juristic concept, not summarizing statistical returns, to say that "the whole people put restraints on themselves by adopting the Constitution."

Broadly speaking, the division of the voters over the document ran along economic lines. The merchants, manu-

facturers, private creditors, and holders of public securities loomed large among the advocates of the new system, while the opposition came chiefly from the small farmers behind the seaboard, especially from the men who, in earlier years, had demanded paper money and other apparatus for easing the strain of their debts. In favor of the Constitution, wrote General Knox to Washington from Massachusetts on January 12, 1788, was "the commercial part of the state to which are added all the men of considerable property, the clergy, the lawyers—including all the judges of all the courts, and all the officers of the late army, and also the neighborhood of all great towns. . . . This party are for vigorous government, perhaps many of them would have been still more pleased with the new Constitution had it been more analogous to the British Constitution." In the opposition, General Knox massed the "Insurgents or their favorers, the great majority of whom are for the annihilation of debts public and private."

During the battle over ratification, advocates on both sides produced a large and, in the main, illuminating literature on the science of human government, a literature reminiscent of the grand style of the Revolution. Though time has sunk most of it into oblivion, especially the arguments of the defeated party, the noblest pieces of defense, namely, the letters to the press written by Hamilton, Madison, and Jay in support of the Constitution, were rescued from the dust and given immortality under the name of The Federalist.

In the tenth number of this great series, Madison, who has been justly called the "father of the Constitution" and certainly may be regarded as a spokesman of the men who signed it, made a cogent appeal for ratification on practical grounds: "The first object of government" is the protection of "the diversity in the faculties of men, from which the rights of property originate." After enumerating the chief classes of property holders which spring up inevitably under such protection in modern society, Madison pro-

ceeded to show that "the regulation of these various and interfering interests forms the principal task of modern legislation and involves the spirit of party and faction in the ordinary operations of the government."

Then Madison explained how political strife involved economic concerns at every turn: "The most common and durable source of factions has been the various and unequal distribution of property. Those who hold and those who are without property have ever formed distinct interests in society. Those who are creditors and those who are debtors fall under a like discrimination. A landed interest, a manufacturing interest, a mercantile interest, a moneyed interest, with many lesser interests, grow up of necessity in civilized nations and divide them into different classes actuated by different sentiments and views. . . . From the protection of different and unequal faculties of acquiring property, the possession of different degrees and kinds of property immediately results; and from the influence of these on the sentiments and views of the respective proprietors, ensues a division of society into different interests and parties."

Of necessity, according to Madison's logic, legislatures reflect these interests. "What," he asks, "are the different classes of legislators but advocates and parties to the causes which they determine?" For this there is no help. "The causes of factions cannot be removed," and "we know from experience that neither moral nor religious motives can be relied upon as an adequate control." Since that is true, there arises a grave danger, namely, the danger that certain groups, particularly the propertyless masses, may fuse into an overbearing majority and sacrifice to its will the interests of the minority. Given this peril, it followed that a fundamental problem before the Philadelphia convention had been to "secure the public good and private rights against the danger of such a faction and at the same time preserve the spirit and form of popular government." And the solution offered was in the check and balance system

which refined and enlarged public views "by passing them through the medium of a chosen body of citizens." This, in the language of a leading Father, was the spirit of the new Constitution—the substance of a powerful appeal to all practical men of affairs.

By argument, by negotiation, and by the weight of personality the friends of the proposed revolution triumphed in the end. On June 21, 1788, the ninth state, New Hampshire, ratified the Constitution and the new system could then go into effect as between the parties that had sealed the contract. Within a few weeks, Virginia and New York, aware that the die had already been cast, gave their reluctant consent. With victory thus doubly assured, the federalists could ignore the smoldering anger of the opposition that had proposed many amendments and could laugh at the solemn resolve of New York calling for another national assembly to modify the Constitution. Leaving North Carolina and Rhode Island still outside the fold unconvinced of its advantages, the old Congress made ready to disband by calling elections for the choice of men to constitute the personnel of the new government.

## CHAPTER VIII

## *The Rise of National Parties*

THE controversy over the ratification of the federal Constitution had not died away when the country was summoned to take part in a contest over the election of men to direct the new government. In this struggle the disputants appealed to the passions that had been invoked in the previous battle, but they now encountered among the people an astonishing indifference. Senators and presidential electors were chosen by the state legislators without arousing any popular uproar. There were, it is true, lively skirmishes in a few congressional districts, but, as a rule, Representatives were returned by a handful of voters. In Maryland and Massachusetts, for example, not more than one-sixth of the adult males took part in the balloting for members of the lower house. As many times before in history, an informed and active minority managed the play.

When the results of the poll were all in and the new government was organized, it was patent to everyone that the men who had made the recent constitutional revolution

were carrying on the work they had begun in 1787. Washington, the chairman of the constitutional convention, was unanimously chosen President of the United States. Of the twenty-four Senators in the first Congress under the Constitution, eleven had helped to draft "the new charter of liberty." In the House of Representatives was a strong contingent from the body of framers and ratifiers, with the "father of the Constitution," James Madison, in the foreground. The Ark of the Covenant was evidently in the house of its friends; or, to put the matter in another way, the machinery of economic and political power was mainly directed by the men who had conceived and established it. And very soon the executive and judicial departments were filled with leaders who had taken part in framing or ratifying the Constitution.

For the most important post in his administration, namely, that of the Treasury, Washington chose Robert Morris, a member of the convention; when that gentleman declined, he turned to another colleague, Alexander Hamilton, a giant of Federalism. For the office of Attorney General, the President selected the spokesman of the Virginia delegation at the Philadelphia assembly, Edmund Randolph. As Secretary of War, he appointed another ardent advocate of the Constitution, General Knox, of Massachusetts. Only one high administrative command went to a statesman whose views on the new government were, to say the least, uncertain; Thomas Jefferson, who had been in Paris during the formation and adoption of the Constitution, was made Secretary of State in charge of foreign affairs. In the judicial department, there was not a single exception: all the federal judgeships created under the Judiciary Act of 1789, high and low, were given to men who had helped to draft the Constitution or had supported it in state conventions or in the ratifying campaigns. In his appointments to minor places in the government Washington was equally discreet; after attempting to conciliate a few opponents by offering them positions, he flatly

declared that he would not give an office to any man who attacked the principles of his administration.

The first government was thus in no sense a coalition. When the paper document of Philadelphia became a reality, it lived on in the reason and will of the men who had constructed and adopted it. It was they who enacted the laws, enforced the decrees, raised the army, and collected the taxes, and so made the new Constitution an instrument of power in the direction of national economy and in the distribution of wealth. In their hands mere words on parchment were transformed into an engine of sovereign compulsion that could not be denied anywhere throughout the length and breadth of the land.

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Shortly after noon on April 30, 1789, George Washington, escorted by a small guard of cavalry, a committee of Congress, and a cheering throng of citizens, rode from his residence in New York to the new Federal Hall in Wall Street, where, on the balcony of the building facing Broad Street, he took the oath of office as first President of the United States. Immediately afterward, Chancellor Livingston, who had administered the pledge, turned to the crowd below and cried out: "Long live George Washington, President of the United States!" The cry was repeated in the streets and the rest of the day given over to celebrating the great event. Since both houses of Congress were now in session, the new government of America was ready for the heavy tasks ahead—the formulation of laws and policies contemplated by the Constitution.

For guidance these directors of affairs had before them, of course, the customs established under the Articles of Confederation but at best such practices formed a poor sailing chart for a government differently constructed and endowed with more extensive powers, in particular for the executive department. Accordingly Washington had to

make precedents of his own, with the advice of his friends. His message to Congress he read with grave dignity before the two houses in joint assembly—giving a touch of the regal manner to American legislative procedure. The practice of calling the chief officers of the administration together in conference was early adopted, marking the origin of the Cabinet, a modified form of that English institution. As far as he deemed it compatible with public interest, Washington rewarded with civil appointments his companions in the war of the Revolution whose sacrifices and financial condition made them "worthy objects of public recognition." In his dealings with the Senate, he sought to establish the custom of consulting that body formally, and in person, about treaties in process of negotiation; but the Senators, feeling constrained by his presence, gave him such stiff and frigid receptions that he finally forsook his plan.

In the sphere of administration it was also necessary to break new ground and after making arrangements for temporary revenue, Congress turned to the pressing task of completing the machinery of government. The management of foreign affairs, finance, and defense on land and sea was committed to appropriate departments: State, Treasury, and War respectively. Anticipating a growth in the legal requirements of the government, Congress instituted the office of Attorney General. Since the post-office was already in operation, it continued the system without much alteration.

The judicial branch of the government was established by the Judiciary Act of 1789, one of the most remarkable pieces of legislation in the history of this continent. With elaborate detail the law provided for a Supreme Court composed of a Chief Justice and five associates and a federal district court for each state with its own attorney, marshal, and appropriate number of deputies. Such were the agencies of power created to make the will of the national government a living force in every community from New Hampshire to Georgia, from the seaboard to the frontier.

In keeping with the spirit of the new order, precautions were taken to bring state courts and state legislatures under federal control. After contriving an ingenious system of appeals for carrying cases up to the federal Supreme Court, the framers of the Judiciary Act devised a process by which the measures of the local governments could be nullified whenever they came into conflict with the federal Constitution. The terms of the law were explicit. If a state court, having final jurisdiction over any matter, declared an act of Congress void, or if it upheld as valid an act of a state legislature, an appeal could be taken to the high tribunal at the national capital, just as to London in colonial times. Every citizen whose personal liberty or property rights under the Constitution were put in jeopardy by neighboring political authorities now had an agency of relief at hand—an agency independent of local authorities, drawing its financial, moral, and physical force from the center. In a word, something like the old British imperial control over provincial legislatures was reëstablished, under judicial bodies chosen indirectly and for life, within the borders of the United States.

While creating the offices of the new government in detail and endowing them with the powers required to give effect to its decisions, Congress was well aware that it was necessary to soften some of the opposition to the new régime with measures of conciliation. The directors of federal affairs knew by what narrow margin the approval of the Constitution had been wrung from a reluctant people. They saw North Carolina and Rhode Island still outside the Union and unrepentant. They had before them a large number of amendments proposed by several of the state conventions and they were assured by any number of the critics that promises to carry some of the demands into immediate effect had been made in winning the votes necessary to ratification. All these amendments, as Congress could not fail to see, showed a fear of the federal government and suggested restraints on its authority. Although

some were harmless enough, others betrayed the spirit of Daniel Shays, who, if vanquished, was by no means dead.

To allay, if not remove, the temper expressed in several of the propositions, Madison, therefore, presented in the House of Representatives, and the first Congress adopted, a series of amendments to the Constitution, ten of which were soon ratified and in 1791 became a part of the law of the land. Among other things, these amendments stipulated that Congress should make no law respecting the establishment of religion, abridging freedom of speech or press, or the right of the people to assemble peaceably and petition the government for a redress of grievances. Indictment by grand jury and trial by jury were guaranteed to all persons charged by federal officers with serious crimes. Finally, to soften the wrath of provincial politicians, it was announced in the Tenth Amendment that all powers not delegated to the United States by the Constitution or withheld by it from the states were reserved to the states respectively or to the people.

This overt declaration of the obvious was supplemented seven years later by the Eleventh Amendment, written in the same spirit, forbidding the federal judiciary to hear any case in which a state was sued by a citizen. Assured by the friendly professions of the national government and constrained by economic necessity, North Carolina joined the Union in November, 1789, and Rhode Island in May of the following year.

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With the machinery of administration in operation and professions respecting natural rights duly made, the directors of the federal government were free to devote themselves to prime questions of financial, commercial, and industrial legislation. In fact, while the philosophers were discussing the constitutional amendments, Hamilton, Secretary of the Treasury, was formulating the great system and the collateral reports forever associated with his name.

First upon his program was the funding of the entire national debt, domestic and foreign, principal and interest, at face value, approximating altogether $50,000,000; in other words, old bonds and certificates were to be called in and new securities issued. A part of this enormous sum was to bear interest at six per cent and a part at three per cent, while the interest on the remainder was to be deferred for ten years.

In the second place, Hamilton proposed that the national government assume at face value the revolutionary obligations of the states, amounting to about $20,000,000, and add them to the debt carried by the general treasury. In this fashion he intended to make secure the financial standing of the United States and force all the public creditors to look to the federal government rather than the states for the payment of the sums due them. To provide a capstone for his financial structure, Hamilton advocated the creation of a national bank in which the government and private investors were to be represented. Three-fourths of the capital stock of this institution was to consist of new six per cent federal bonds and the rest of specie. With a view to assisting the government and the security holders in buoying up the public credit, that is, the prices of federal bonds, provision was to be made for a sinking fund from which the Treasury could buy its securities in the market from time to time.

To sustain this magnificent paper edifice erected on the taxing power of the federal government, duties were to be laid on imports in such a manner as to encourage and protect American industry and commerce. Finally, the public lands in the West, which the Crown of Britain had once sought to wrest from colonial politicians, were to be sold and the securities of the federal government were to be accepted in payment.

It required no very profound economic insight to grasp the import of the Hamiltonian program: holders of the old debt—continental and state—were simply to exchange

their depreciated paper at face value for new bonds bearing interest and guaranteed by a government that possessed ample taxing power. Prime public securities, such as were now to be issued, would readily pass as money from hand to hand, augmenting the fluid capital of the country and stimulating commerce, manufacturing, and agriculture. If the government bonds failed to realize all expectations in the line of capital expansion, notes issued by the United States bank were to supply the deficiency. At last American business enterprise, which had suffered from the want of currency and credit, was to be abundantly furnished with both and at the same time protected against foreign competition by favorable commercial legislation. Naturally those who expected to reap the benefits from Hamilton's system were delighted with the prospects. On the other hand, since the whole financial structure rested on taxation, mere owners of land and consumers of goods, on whom most of the burden was to fall, got it into their heads that they were to pay the bills of the new adventure.

As the issues raised by Hamilton's projects came before the people one by one, the tide of political passion rose higher and higher. It was well known that a large part, perhaps the major portion, of the old bonds, state and continental, had passed from the hands of the original purchasers into the coffers of shrewd and enterprising speculators. After the adoption of the Constitution became certain, far-sighted financiers sent agents all over the country, especially into the southern states, with bags of precious specie, bought enormous quantities of depreciated paper at a low figure—sometimes ten or fifteen cents on the dollar —and effected a great concentration of public securities in Philadelphia, New York, and Boston. Inevitably the cupidity of those who had risked their money in this speculation and the anguish of those who had sold their original certificates at merely nominal prices furnished the fuel for an explosion when Hamilton's fiscal plans appeared on the political carpet.

One group in Congress, not very large, immediately proposed to scale down the old debts by buying the obligations at market, instead of face, value. By members of this faction it was contended that very little of the outstanding paper represented specie paid into the continental treasury, that to a marked degree the debt represented goods bought at inflated prices and depreciated notes accepted by the revolutionary government when loans were floated. Although there was much truth in this argument, it was unpalatable to the party bent on funding at face value; and those who advanced it could make no headway against the current of opinion in Congress, where a number of security-holding members united with the friends of public credit in strenuously resisting every proposal that savored of repudiation.

A second congressional group, just as eager as Hamilton to restore public credit, was especially solicitous for the welfare of veterans of the Revolution, original purchasers of bonds, and men who had sold supplies to the revolutionary government. To this party Madison adhered. In a long and careful speech, he analyzed the merits of the controversy. Everyone admitted, he said, that a sacred duty was laid upon the government to pay for value received with lawful interest but it was entirely proper to debate one point, namely, to whom payment should be made. By common concession at the head of the list of creditors were the original investors who still retained their securities; no one could deny their right to have a full discharge of their claims.

Next in order were the original purchasers who had sold their holdings at a low price and the speculators who had purchased paper in the market. The former could rightfully appeal to public faith because they had furnished values and services to the government and, after being treated with neglect and contempt, had been compelled to sell their certificates on ruinous terms. On the other hand, those who had bought securities on speculation had some

claims: they had incurred risks, they held the paper bearing a definite promise to pay, they could with reason point to the maxim that the literal fulfillment of obligations is the best foundation of public credit. Yet to pay both the speculative purchasers and the original holders was obviously impossible.

Therefore, urged Madison, let a composition be made; let the former have the highest price that has prevailed on the market and the latter the difference between the face value and the market price. This project, he confessed, would not do perfect justice but would more nearly meet the requirements of honor than any other plan yet proposed. Powerful as was his plea, he could not carry the House of Representatives with him; his proposal was defeated by a vote of thirty-six to thirteen, on February 22, 1790. Having rejected all compromise measures, Congress resolved that the continental debt should be funded at face value.

After carrying the first redoubt, the champions of Hamilton's system turned with confidence to the assumption of state debts. In a way, they reasoned, those debts were likewise national—incurred in a common cause—but they also emphasized the argument that the funding of such floating obligations would increase the fluid capital of the country, attach men by their self-interests to the national government, and stimulate the circulation of money. Whatever weight was in this plea, opponents of assumption, especially from the South, were not impressed thereby. A large part of the state securities, as we have said, was now in the hands of northern speculators and taxes to support the national debt would fall mainly on consumers of taxable imports. Accordingly, in the eyes of the critics, assumption appeared to be a scheme to enrich manipulators principally at the expense of the planters and farmers who imported manufactures and paid taxes. At all events, the argument in this vein was temporarily effective; the faction that accepted it was large and determined; and on April 12, 1790,

assumption was defeated in the popular branch of Congress —the House of Representatives.

To the statesmen from the planting South, this result seemed to mark a triumph over the commercial North. In any event, an observant politician, after witnessing the defeat of assumption, immediately wrote to a friend in Virginia, in a vein of good humor: "Last Monday Mr. Sedgwick (of Massachusetts) delivered a funeral oration on the death of Miss Assumption. . . . Her death was much lamented by her parents who were from New England. Mr. Sedgwick being the most celebrated preacher was requested to deliver her funeral eulogium. It was done with puritanic gravity. . . . Sixty-one of the political fathers of the nation were present and a crowded audience of weapers and rejoicers. Mrs. Speculator was the chief mourner and acted her part to admiration; she being the mother of Miss Assumption who was the hope of her family. . . . Mrs. Excise may have cause to rejoice because she will be screened from much drudgery—as she must have been the principal support of Miss Assumption as well as of her mother and all her relations. Mrs. Direct Tax may rest more easy in Virginia as she will not be called into foreign service." Unfortunately for the writer, however, his pæan of rejoicing proved to be premature, for a motion to reconsider was immediately made and, as Senator Maclay, of Pennsylvania, wrote in his diary, "Speculation wiped a tear from either eye."

Given a new hope by this action, Hamilton and his supporters now worked furiously for weeks to convert enough opponents to carry assumption through the House. In the midst of their operations, Jefferson returned from Paris to take up his labors as head of the Department of State, and Hamilton in desperation begged the new Secretary to bring his influence to bear on southern members. For half an hour, he walked Jefferson up and down before President Washington's residence explaining to him that the fate of the Constitution depended upon the passage of

the assumption bill, that the creditor states were ready to secede if the project could not be realized.

Impressed by the pathetic anxiety of Hamilton and eager to save the Union, Jefferson arranged a dinner party to be attended by certain interested politicians. The moment the company assembled, he discovered that assumption was indeed a bitter pill to southern congressmen and that something would have to be done to sweeten it. It was only after much argument that a compromise was reached in which it was agreed on the one side that two members should change their minds and vote for assumption while Robert Morris of Pennsylvania should manage certain other Representatives; and on the other side, in exchange, that the national capital should be finally located on the banks of the Potomac after a ten year period in Philadelphia.

"And so," Jefferson wrote long afterward, "the assumption was passed and twenty millions of stock divided among the favored states and thrown in as pabulum to the stock-jobbing herd." On August 4, 1790, the grand bill for funding the national and state debts became a law. Incidentally Congress provided that the bills of credit issued during the Revolution by the Continental Congress should be redeemed at one cent on the dollar, a low figure, practically amounting to the repudiation of two or three hundred millions of paper—which caused deep sorrow among the speculators who had also hoped to reap a rich harvest in that field of business enterprise. In fact the tender was so trivial that only a small part of the currency was ever brought in for redemption; most of it simply perished in the hands of the holders.

After a short recess, Congress took up the third of Hamilton's proposals, the establishment of a United States Bank. On December 14, the Secretary's report dealing with the subject was made public; and five weeks later the Senate passed a bill in conformity with his recommendations. Thereupon an animated debate occurred in the

House, where the passions of the people at large were more accurately reflected. Indeed, the discussion became so acrimonious and Jefferson supported the opposition with such vehemence that Washington became alarmed.

For his own guidance in the storm, he asked the members of his Cabinet for written opinions on the constitutionality of the measure, receiving in response two important state papers: one by Hamilton defending the bill and the other by Jefferson and Randolph opposing it—two great expositions of the Constitution giving the liberal and the strict constructions of that instrument of government.

On reading these opinions, Washington was convinced that the Bank was sound in law and in economy and as soon as the House concurred with the Senate by passing the bill, he signed it, on February 25, 1791. According to its provisions, the charter of the Bank was to run for twenty years; one-fifth of the $10,000,000 stock was to be subscribed by the government; the headquarters of the institution were to be at Philadelphia and branches were to be established in other cities at the discretion of the directors. Besides being empowered to engage in a general banking business, it could issue notes under certain restrictions; and its notes, redeemable in coin, were made legal tenders for all payments due the United States.

Having successfully weathered three great political gales, Hamilton took up the question of protection for American industries. On December 5, 1791, he presented in a voluminous Report on Manufactures a powerful argument for the promotion of business enterprise under the shelter of tariffs and bounties. The benefits of such a system, he said, included a more extensive use of machinery, the employment of classes not otherwise profitably employed—such as women and children "of a tender age"—the encouragement of immigration, the opening of more ample and varied opportunities for talent and skill, and the creation of a steady demand for the surplus produce of the soil. Hamilton then went into detail, specifying the desirable ob-

jects of protection, such as iron, copper, lead, coal, wood, skins, grain, hemp, wool, silk, glass, paper, and sugar.

In his proposals there was nothing altogether strange. The first revenue act of 1789, though designed primarily for revenue, had declared in favor of protection as a principle; and Washington had already committed himself to the doctrine that Congress should promote American industries and render the country "independent of others for essential, particularly for military, supplies." But Hamilton raised the tariff to the level of an economic philosophy and forced the country to consider it as an American economic system. In the revenue act of 1792, Congress carried out with modifications the suggestions made by the Secretary of the Treasury, giving particular attention to duties that would afford assistance to American industry.

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During the prolix and hot-tempered debates that marked the passage of Hamilton's measures through Congress, the country gradually divided into two parties, which grew steadily in coherence of organization and in definiteness of program. To speak more concretely, the antagonism between agriculture and business enterprise that had been so marked in colonial times and had found tense expression during the contest over the Constitution now bore fruit in regular political parties, each with a complete paraphernalia of leaders, caucuses, conventions, names, symbols, and rhetorical defense mechanisms. Candidates were nominated, policies proclaimed, newspapers edited, and spoils distributed with reference to the fortunes of one group or the other. All the passions that go with war were enlisted in contests that eventuated in a counting of heads.

As these two party factions in one form or another have continued to divide the nation, statesmen and theorists have felt called upon to expound the causes of such political antagonisms. Some agree with Macaulay in tracing the origins

of party to instinctive differences among people. In every country, that celebrated Whig once declared, there is a party of order and a party of progress; the former, conservative in temper, clings to established things, while the latter, adventurous in spirit, is eager to make experiments. Long afterward a literary critic, Brander Matthews, applied the Macaulay doctrine of innate ideas to American politics; "intuitive Hamiltonians," he said, believe in government by the well-born, while "intuitive Jeffersonians" love and trust the common people. Still another explanation of American parties, one more commonly accepted by Fourth of July orators, is that formulated by James Bryce in The American Commonwealth: our parties originally sprang from differences of opinion concerning the nature and functions of the Union; one exalts federal authority, the other cherishes the rights of the states.

In reality, however, none of these simple explanations does more than skim the surface of politics. None throws any light on the origins of the innate tendencies, for example. With reference to that point all are as cryptic as the statement that God made Federalists and Republicans. Why did one group of politicians take a liberal view of the Constitution and another a narrow view? Whence came the intuitions that divide men? Have they existed since the dawn of history? Why did some trust the people and others fear them? Was it an accident that a New York lawyer stood at the head of the party which despised the masses and a Virginia slave owner led the party which professed democratic faith in the multitude?

The answers to these questions, as far as they are forthcoming at all, lie in the professions of politicians, reported in congressional debates, newspapers, letters, and partisan pamphlets of the Hamiltonian epoch, and if such evidence is to be accepted in court, the causes of the party division were more substantial than matters of temperament or juristic theory. By the time the partisan battle began to rage in full fury, the Federalists had a positive record of

achievement to which they could point with pride and assurance. They had restored the public credit by funding the continental and state obligations at face value, incidentally enriching thousands of good Federalists in the process. They had protected American industry and shipping by appropriate economic discriminations against foreign enterprise.

In establishing a national bank and a mint for the coinage of metals, they had provided a uniform national currency for the transaction of business. They had devised a scheme of taxation easily yielding adequate revenues to sustain the huge national debt and all the capitalistic undertakings which rested upon that solid foundation. They had erected a system of national courts in which citizens of one state could effectively collect claims against citizens of other states and they had made it impossible for debtors to outwit their creditors through the medium of paper money and similar methods of impairing the obligation of contracts. They had begun to build an army and a navy, making the American nation so respected abroad that foreign powers no longer dared to treat its ministers with contempt, and giving the flag such substantial significance that the Yankee skipper felt proud and secure under it no matter whether he rode into the waters of European ports, traded rum for Negroes along the African coast, or exchanged notions in Canton for tea and silks. That was an accomplishment measurable in terms of national honor and pride as clearly as in the outward and visible signs of economic prosperity.

Opponents of this general program, taking at first the negative title of Anti-Federalists and later the more euphonious name of Republicans, by no means attacked the idea of exalting American credit and improving the standing of the country among the nations of the earth. In detail, however, they dissented, with varying emphasis, from the propositions contained in the Federalist economic program. They wished to discharge the national debt but not in such

a fashion as to enrich speculators or impose a heavy burden of taxation on the masses. Especially were they tender of the people engaged in agriculture. A permanent funded debt and a national bank founded on it, they complained, would tax the farmers and planters to sustain an army of bond holders and stock jobbers.

Speaking on this theme for southern citizens, one Anti-Federalist warned the House of Representatives that his constituents "will feel that continued drain of specie which must take place to satisfy the appetites of basking speculators at the seat of Government. . . . Connecticut manufactures a great deal. Georgia manufactures nothing and imports everything. Therefore, Georgia, although her population is not near so large, contributes more to the public treasury by impost." When the proposal to establish a national bank was before Congress, the same agrarian orator lamented in a similar strain that "this plan of a National Bank is calculated to benefit a small part of the United States, the mercantile interest only; the farmers, the yeomanry, will derive no advantage from it." When the unwrought-steel schedule of the tariff bill was under consideration, Lee, of Virginia, declared that "it would operate as an oppressive though indirect tax upon agriculture, and any tax, whether direct or indirect, upon this interest at this juncture would be unwise and impolitic."

In Five Letters Addressed to the Yeomanry of the United States, a vehement pamphleteer of Philadelphia declared, in 1792, that the laws of the Union were "stained with mercantile regulations impolitic in themselves and highly injurious to the agricultural interests of our country; with funding systems by which the property and rights of poor but meritorious citizens are sacrificed to wealthy gamesters and speculators; with the establishment of Banks authorizing a few men to create fictitious money by which they may acquire rapid fortunes without industry."

Other pamphleteers and partisan editors, writing with a kind of philosophic completeness, denounced the Hamil-

tonian system root and branch, in the name of the Anti-Federalist faction. Boiled down, their heated arguments amounted to this: the financial interests associated with the funding of the debt, the management of the sinking fund, the control of the Bank, and the protection of industry and commerce by favorable laws have taken possession of the federal government; they operate through the Treasury Department and through the "stock-jobbing" members of Congress; every fiscal and commercial measure adopted at the national capital imposes a burden on agriculture and labor for the benefit of these dominant interests. In a word, the Anti-Federalist leaders saw in Hamilton's policies schemes for exploiting farmers, planters, and laborers for the benefit of capitalists, shipowners, and manufacturers.

Far from being the mere froth of excited politicians, this view represented the matured convictions of leaders given to deliberation and analysis. In several letters addressed confidentially to Washington, Jefferson expounded the economic grievances of his faction. He argued that the national debt had been unnecessarily increased; that the United States Bank had been created as a permanent engine of the moneyed interest for influencing the course of government; and that "the ten or twelve per cent annual profits paid to the lenders of this paper medium are taken out of the pockets of the people who would have had without interest the coin it is banishing; that all capital employed in paper speculation is barren and useless, producing like that on a gaming-table no accession to itself and is withdrawn from commerce and agriculture where it would have produced addition to the common mass; that it nourishes our citizens in habits of vice and idleness instead of industry and morality; that it has furnished effectual means of corrupting such a portion of the Legislature as turns the balance between the honest voters whichever way it is directed." Of all the mischiefs which Jefferson saw in the Federalist system, "none is so afflicting and fatal to every

honest hope as the corruption of the legislature." Of course, Jefferson expressed his alarm over the liberal way in which the Constitution had been construed by the men who formulated and enacted Federalist policies into law, but the gravamen of his complaint was that Hamilton's economic measures exploited one section of society for the benefit of another.

Of the numerous counts in the indictment brought against the Federalists by their opponents, none stung and blistered as much as the charge that members of Congress were enriching themselves by speculating in federal bonds and bank stock. Without any reservations, Jefferson emphatically declared that the grand outlines of Hamilton's system had been carried "by the votes of the very persons who, having swallowed his bait, were laying themselves out to profit by his plans"; and he added that "had these persons withdrawn, as those interested in a question ever should, the vote of the disinterested majority was clearly the reverse of what they had made it."

In two bitter pamphlets, John Taylor, of Virginia, lambasted the "stock-jobbing interest in Congress," even daring to print in thin disguise the names of Senators and Representatives who, according to rumor, held government securities and were interested in the Bank. To this indictment Federalist editors and politicians replied in terse language. Indignantly denouncing Taylor's statements as slanderous and mendacious, they called for demonstrations and insisted that, until substantiated, the allegation "must be regarded as an impotent piece of malice, contemptible alike for its falsehood and its cowardice."

It was, of course, impossible for the Anti-Federalists to prove their charges, for the simple reason that they could not get access to the records of the Treasury Department while the Federalists were in control. When finally, in 1801, the Jeffersonians in their turn were about to take possession of the government, a fire occurred in the Treasury destroying many of the books and papers containing

the evidence in the case. By that date the issue had become academic.

More than a hundred years later, however—after the records of the federal loan offices in the several states had been collected in Washington—an examination confirmed the Anti-Federalist indictment. It showed that at least twenty-nine members of the first Congress held federal securities, that some members were extensive operators in public funds during their term of service, and that the list of names given out by John Taylor was astonishingly accurate. Jefferson, therefore, spoke truly when he said that the assumption of state debts could never have been carried if the men who profited by the operation had abstained from voting, on the ground that they were personally interested in it.

Yet it is difficult to see why holders of government bonds were to be denounced for voting in favor of measures affecting their concerns while slave owners were to be pardoned for voting down the Quaker memorials against slavery presented to Congress on March 23, 1790. In fact, Jefferson himself frankly stated that he wanted "the agricultural interest" to govern the country and presumably to pursue policies advantageous to that social group. At bottom, accordingly, the dispute between parties was over economic measures rather than over questions of political propriety.

And the constitutional doctrines and political theories that sprang from this controversy bore a very precise relation to the position taken by the respective parties. The accomplishment of Hamilton's purposes called for a liberal, even an extensive use of the powers conferred upon Congress, and for the imposition of heavy taxes on the masses to sustain the fiscal structure. Wanting above all to gain certain economic ends, the Federalist party naturally came to the conclusion that the Constitution was to be construed freely enough to permit a straight march to the goal. Moreover, since it was the farmers and mechanics

rather than the rich and well-born who stood out against Hamilton's system, it was equally natural that its sponsors should fear the triumph of the populace at the polls. On the other side, opponents of that system, forming as they did the party of negation, seized upon every weapon at hand that would help to block the measures they heartily disliked and, by a strict interpretation of the Constitution, discovered legal prohibitions on Federalist proposals.

This was all natural enough in a country so largely dominated by lawyers trained in dialectics, but the intelligent men who made use of such juristic implements were under no delusions about the sources of their thinking. "The judgment is so much influenced by the wishes, the affections, and the general theories of those by whom any political proposition is decided," laconically wrote John Marshall with respect to the Bank, "that a contrariety of opinion on this great constitutional question ought to excite no surprise." On both sides the logicians were equally able and equally sincere; hence it seems reasonable to conclude that neither interpretation of the Constitution, liberal or strict, flowed with the force of exigent mathematics from the language of the instrument itself.

Nevertheless, the politicians and statesmen of the period made much of their appeals to correct views of the Constitution. Leaders of the Federalist party had been largely responsible for the framing and adoption of that document; they understood it; and they demonstrated with a great show of learning that it authorized whatever they wanted it to sanction. The opposition employed the same appeal—for contrary ends. "It is unconstitutional," was the cry that rose daily from the Anti-Federalist ranks as they sought to dethrone Hamiltonism. "Let us return to the Constitution!" exclaimed John Taylor when closing a vitriolic indictment of the Secretary's program and policies. "I scarce know a point," groaned Fisher Ames, "which has not produced this cry, not excepting a motion for adjournment. . . . The fishery bill was unconstitutional; it was uncon-

stitutional to receive plans of finance from the Secretary; to give bounties; to make the militia worth having; order is unconstitutional; credit is ten fold worse." If some of the minor politicians thought their linguistic pattern flowered inexorably from unanswerable premises, there is no doubt that the first thinkers who sat at the loom weaving the texture of American constitutional theory, knew what and how they were designing. It remained for smaller men to treat federal jurisprudence as one of America's Eleusinian mysteries.

In its stark passion the substance of the controversy was brought home to the participants in 1794 when one of Hamilton's measures evoked an explosion. To aid in meeting the increased charges caused by the assumption of state debts, Congress in 1791 after a savage debate passed an excise law laying, among other things, a tax on spirits distilled from grain—an act especially irritating to farmers in the interior already marshaling under opposition banners. Largely owing to the bad roads, which made it hard for them to carry bulky crops to markets, they had adopted the practice of turning their corn and rye into whiskey—a concentrated product that could be taken to town on horseback over the worst trails and through the deepest mud. So extensive was the practice in the western regions of Pennsylvania, Virginia, and North Carolina, that nearly every farmer was manufacturing liquor on a small scale; the first of these states alone according to the reckoning had five thousand distilleries. The excise law, therefore, provided in effect that government officers should enter private homes, measure the produce of the stills, and take taxes for it directly from the pockets of the farmers.

As soon as the news of this excise bill reached the interior, an uprising followed—an outbreak of such proportions that Congress, frightened by the extent of popular dissatisfaction, removed the tax from the smallest stills and quieted the farmers of Virginia and North Carolina. In Pennsylvania, however, the resistance stiffened. Some of

the distillers in that state positively refused to pay the tax; while rioters sacked and burned the houses of the collectors just as Revolutionists thirty years earlier had vented their wrath upon King George's agents for trying to sell stamps. When at length a United States marshal attempted to arrest certain offenders in the summer of 1794, a revolt known as the Whiskey Rebellion flared up, resulting in wounds and death.

Stirred by reports of these incidents from the field, Hamilton advised Washington that severe measures were imperative to teach the masses respect for law and order. Though the Secretary's opponents replied that his allegations were unfair, inaccurate, and deliberately planned to strengthen the party in power by a demonstration of authority, the President resolved upon military action. Calling out a strong body of armed men and accompanied by Hamilton, he himself started for the scene of disorder. Before this display of power, the insurgents dispersed and the myth of the rebellion exploded. A few men were arrested and tried; two were convicted only to be pardoned by the President; and an inquiry showed that the gravity of their offense had been exaggerated. Instead of raising the prestige of the administration, the episode added to the strength and pertinacity of the opposition. Jefferson, whose long quarrel with Hamilton had culminated in his resignation from the Department of State, took advantage of the occasion to rally recruits around his agrarian banner.

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By this time the passions aroused by domestic issues were raised to white heat by dramatic events in the sphere of foreign affairs. A terrible political storm—the French Revolution and the wars let loose by it—was in progress in Europe, leveling kings, princes, aristocracies, and clerical orders, remaking the map of the Old World, and shaking the foundations of all its social systems.

The curtain rose on this scene in the spring of 1789, only a few days after Washington's inauguration, when Louis XVI, the French monarch, on the verge of bankruptcy as a result of royal extravagance and expensive wars, including the costly aid given to the Americans during their struggle for independence, was compelled, after trying many schemes to raise money, to appeal to the people for help. In the hardest of circumstances, he summoned the national parliament, or Estates General, to meet him at Versailles, an action that had not been taken for more than a hundred and fifty years; and amid great excitement, the nobility, clergy, and commoners of France assembled to hear what their king had to say and to say things to him in reply—to ventilate their long-accumulating grievances. Stirred by the thundering eloquence of Mirabeau in the assembly hall, the representatives of the "third estate," the bourgeoisie, brushed aside the nobility and clergy, resolved themselves into a national assembly, and started to exercise sovereign powers in reforming abuses. The ancient dikes once broken, popular floods carried everything before them.

So startling events followed in swift succession. On July 14, the Bastille, a royal prison and symbol of absolutism in Paris, was stormed and destroyed and its prisoners freed. On the night of August 4, the feudal privileges of the nobility, already dissolving in the lurid flames of burning châteaux, were formally surrendered in the national assembly amid tumultuous applause. A few days later the assembly announced the sovereignty of the people, proclaiming the privileges of citizens in a Declaration of the Rights of Man, which immediately took its place beside Jefferson's great charter as one of the imperishable documents in the history of human liberty.

For two long years, one decree after another flowed from the assembly hall, culminating in an elaborate constitution for the kingdom of France which vested the legislative power in a single chamber elected by popular vote. In the

autumn of 1791 Louis XVI, frightened by mobs and discovering no avenue of escape, accepted this crowning instrument of revolution. As far as mortal man could see, France had established, largely by peaceable means, a government based on the consent of the governed. The republic of the United States seemed justified in the eyes of the democrats of the Old World.

Nearly all American patriots rejoiced in what seemed to be a fortunate application of the doctrines they had so recently espoused. Thomas Paine indulged in no mere verbal flourish when he declared that "the principles of America opened the Bastille." Certainly the French liberals who had long criticized the evils of their old régime had been encouraged by the American example to undertake this thoroughgoing renovation. French officers and soldiers, after serving in Washington's army, had borne home with them stories of the American experiment that awakened a spirit of emulation. Young philosophers in red-heeled shoes, fresh from the United States, had danced at Louis' court balls and chattered, half in jest and half in earnest, about the superiority of republics over monarchies. The queen, Marie Antoinette, had laughed with them over the foibles of kings and courtiers and, by patronizing Franklin, had given a certain vogue to dangerous republican doctrines.

It was not without reason, therefore, that the citizens of the United States viewed with pride the first stage of the French Revolution as reflecting in some measure their own political wisdom and progressive ideas. "In no part of the globe," wrote John Marshall, "was this revolution hailed with more joy than in America." Those who had misgivings concealed them. "Liberty," exclaimed an overwrought Boston editor, in 1789, "will have another feather in her cap. . . . The ensuing winter will be the commencement of a Golden Age." Washington, to whom La Fayette sent the key of the ruined Bastille, accepted it as a "token of the victory gained by liberty."

Almost at that very moment, however, rumors began to reach the United States that the revolution, so auspiciously opened, was turning into an ominous civil strife. Enraged at the loss of their privileges and at the restraints imposed by the new order, feudal lords and priests fled into Germany, where they plotted to restore the old régime by an invasion of France with German aid. Seeking help in throwing off the shackles imposed on him by the national assembly, Louis XVI, who had sanctioned the recent reforms with vacillating reluctance, now opened negotiations with his brother monarchs across the Rhine. In fact, even before he approved the constitution which it drafted, he attempted to escape from France and was foiled only because some lynx-eyed subject discovered him at Varennes on his way to the border.

While the monarchists were thus preparing a counter-revolution, Paris workmen, denied the ballot by the assembly which had declared the rights of man, held a monster demonstration on the Champs de Mars in the interest of more sweeping reforms, including manhood suffrage. Ordered to disperse, they refused to obey, until they were sent fleeing in every direction by armed forces under La Fayette—a liberal advocate of constitutional government who had no sympathy for leveling democracy. Thus in bloodshed a bitter contest opened between the bourgeois, who had up to this point directed the course of the revolution, and the populace of Paris bent on more radical achievements.

Thereupon life flowed more swiftly and desperately in France, violence rushing to the front of law and argument as the legislative assembly, elected under the constitution recently accepted by Louis XVI, managed by new men, hurried from one action to another in breathless haste. Charging the Austrian Emperor with conspiracy against the reformed régime in France, it declared war on him, adding a foreign conflict to civil discord, mingling the tramp of marching men with the clamor of agitators. As in

every electric crisis, dynamic leaders now forged forward to the direction of affairs, while a revolutionary party, known as Jacobins because it held its first session in a monastery of that order, wrested the helm from the feeble hands of the moderates. In June, 1792, the palace of the king was entered by a mob; in July, war was declared on Prussia; in August Louis was deposed; in September, occurred the first of the awful massacres in which counter-revolutionists, innocent and guilty, were put to death. In January, of the following year, Louis XVI was borne to the scaffold. In February, the circle of war was extended to include England and then Spain. Proclaimed first as a bold stroke of defense waged against monarchs determined to destroy democracy, the armed struggle soon developed into a campaign of aggression and conquest that raged for almost twenty-two years with Bonaparte riding the whirlwind to a dictatorship under imperial symbols, meeting at last his nemesis at Waterloo in 1815.

Before this fierce strife was far advanced, a grand national convention was elected and the government of France passed into the hands of a small group of determined radicals, known as the Committee of Public Safety. In every branch, civil and military, extremists took possession of the trappings of power. Resolved to stamp out monarchists, they precipitated a reign of terror in Paris and a civil war in the provinces. Violence answered violence, moving from atrocity to atrocity with the merciless precision of nature. And as the tide of domestic and foreign conflict flowed and ebbed, one factional leader succeeded another in power—Marat, Danton, Robespierre—with increasing passion until the limit of human endurance was reached. Then Bonaparte in 1795 blew away the makers of revolution in "a whiff of grape shot" and gave France twenty years of domestic "order" combined with exhausting foreign wars.

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The echoes of this shattering conflict—economic, clerical, and political—were heard around the world. Throughout western civilization people were divided into factions according to the nature of their reaction to the course of French events. Across the Channel, in England, Edmund Burke brought up his batteries of thundering oratory to check the spread of French principles; in 1790, even before any serious rioting had lifted its head in Paris, he published his Reflections on the French Revolution, a terrific indictment of the peaceful reconstruction that had been wrought by the national assembly. In this powerful tract he attacked everything that savored of democracy, denouncing the very concept that the English people, for example, had a right to choose their own rulers, frame a government for themselves, or cashier their political authorities for misconduct. The people of England, he said, utterly repudiate the idea; nay, more, "they will resist the practical assertion of it with their lives and fortunes."

Inflamed with wrath at the mere suggestion, Burke could hardly find language hot enough to discharge his emotions against the "frauds, impostures, violences, rapines, murders, confiscations, compulsory paper currencies, and every description of tyranny and cruelty" which marked the drive of the French Revolution. "Learning will be cast into the mire and trodden under the hoofs of a swinish multitude." Dignity, grace, refinement, and all that gives fragrance and beauty to social life, he argued, will be ruined in order that hair-dressers and tallow-chandlers may rule and ruin themselves and then set the world on fire. To stay this process Burke called for war, relentless war, upon the French as monsters and outlaws, demanding the restoration of the genial and benevolent despotism of Louis XVI by English arms. This first assault on French democracy he followed by letters and brochures more and more furious and convulsive until he fairly choked with unquenchable rage.

Though Burke's writings made a furor in England, they might have passed with little notice in America if Thomas

Paine had not undertaken to counteract the campaign of hatred that had been launched against France. But this trenchant pamphleteer, whose appeals had stiffened the backs of American Revolutionists and sent thrills throughout the states in the dark days of the war for independence, now seized his pen again, in order to answer Burke. In a few weeks he flung out to the world the first part of his great apology for democracy—the Rights of Man; and an edition given to the American public with a letter of approval from Jefferson was snapped up with avidity, furnishing the theme of lively debates in taverns, coffee houses, editorial sanctums, and drawing rooms.

"From a small spark," wrote Paine, "kindled in America, a flame has arisen, not to be extinguished." He admitted that disorders had appeared in connection with the French Revolution, but he asked the world to wait on the fullness of time to gather the fruits of the work begun by the national assembly. In any event, Paine argued, man is determined to be free; he will institute his own forms of government; monarchs, aristocracies, and priests cannot stay the tide that rolls in along the shore. "Our people . . . love what you write and read it with delight," wrote Jefferson to Paine. "The printers season every newspaper with extracts from your last, as they did from the first part of your Rights of Man. They have both served here to separate the wheat from the chaff." At a stirring moment in American politics, the pamphleteer had struck a note in perfect tune with the passions of the men then engaged in fighting a bitter campaign against Hamilton's serried ranks of the rich and well-born.

With incredible swiftness the Anti-Federalists organized a network of democratic societies from one end of the United States to the other—using for their model the French political clubs. To Federalists and old Tories it seemed as if new committees of correspondence, such as had engineered the revolution against George III, had sprung into life again, with capacity for infinite mischief.

In all the cities and important towns meetings were held to celebrate the victories of the radical parties in the French Revolution; at a great banquet in Philadelphia hot-headed orators openly exulted in the execution of Louis XVI; everywhere in Anti-Federalist circles the coalition of European monarchs against France—the *cordon sanitaire* against democracy—was denounced as a union of despotism against the principles upon which the American republic was founded.

Applying the lessons to domestic politics, extremists demanded the completion of the leveling process in the United States in accordance with French doctrines. Harmless titles, such as Sir, The Honorable, and His Excellency, were decried as too aristocratic, and in the new language of comradeship, it became the fashion to speak of Citizen Jones, Citizen Judge, Citizeness Smith. In a kindred spirit, excited democrats in Boston insisted on renaming Royal Exchange Alley, Equality Lane; in New York, King Street was rechristened Liberty Street. The President was praised for walking occasionally about the streets like an ordinary person; the Vice-President was criticized for riding in a coach and six. "The rabble that followed on the heels of Jack Cade," exclaimed young John Quincy Adams, "could not have devised greater absurdities than those practiced on America in imitation of the French." Beneath the surface of the popular exuberance, there was a genuine sympathy for the disfranchised artisans in the towns and for the struggling farmers in the country. Poor men contending against adversity saw, or thought they saw, in the success of the French Revolution the final triumph of their faction over "the enemies of the people."

Already deeply moved by domestic agitations, the Federalists became hysterical with fright when the extremists came to the top in the swirling fortunes of Parisian politics. They turned on the democratic societies in America as angrily as Burke turned on English radicals, denouncing them as sappers and miners engaged in destroying the

Constitution. Without restraint, they abused everybody who approved, or who passively refused to condemn with sufficient heat, the proceedings of the French Republic. They applied the term "Jacobin" profusely and indiscriminately to all American citizens who sympathized with France or who attacked the "stock-jobbing squadron" at home. Everything which the "rich and well-born" did not like was damned in respectable circles as "Jacobinical."

Timothy Dwight, president of Yale, stormed and raved. "Shall our sons," he shouted, "become the disciples of Voltaire and the dragoons of Marat; or our daughters the concubines of the Illuminati?" With equal respect for realities, another New England divine declared that Jefferson and his partisans were spreading "the atheistical, anarchical, and in other respects immoral principles of the French revolution." In his anger he read them all out of polite society: "The editors, patrons and abettors of these vehicles of slander ought to be considered and treated as enemies to their country. . . . Of all traitors they are the most aggravatedly criminal; of all villains they are the most infamous and detestable."

A third Puritan clergyman, proposing to go beyond verbiage, called for a war on France so that the Federalist administration could destroy its critics at home—a simple proposal for making traitors out of political opponents. A fourth preacher of the gospel, who lamented the triumph of French principles, thought the course of events especially deplorable because "half a dozen legislators or even scholars bred in New England and dispersed through the different countries of Europe every year" could have changed "the political face of affairs" in the Old World. And now the American radicals had spoiled everything by poisoning the fountains of purity.

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This pugilistic controversy over revolutionary politics took on a fiercer aspect when American commercial inter-

ests became involved in the war between England and France on the high seas; for facts as well as theories now confronted the disputants. English naval commanders seized American produce shipped in French vessels, captured American merchantmen carrying French goods, and searched American ships in a quest for British-born sailors to serve under the Union Jack. On the other side, the French in their way were no gentler; they let loose a flood of privateers, little better than pirates, to prey on American commerce with England; if they did not impress American sailors they often cruelly treated the officers and men who fell into their hands.

When stories of these depredations seeped into the American press, party temper rose accordingly. The Federalists could see every wrong committed by the French; the Anti-Federalists every wrong committed by the English. And things reached a climax when the French Republic called upon the United States for help against England under the old treaty of alliance and friendship made in 1778. Unquestionably the appeal touched a tender spot in America, where the aid rendered to the American Republic in the dark days of her own struggle against England was not forgotten.

But conservative men were at the helm and the times called for discretion. Hamilton, hating French radicalism in every fiber of his being, contended, with more dexterity than logic, that the treaty had been made with the French king and that, on the overthrow of the Bourbon monarchy, the obligations to France were suspended. Also bent on keeping the country out of war at all costs, Washington brushed aside Franklin's famous document and in 1793 proclaimed to the belligerents of Europe the neutrality of the United States. Though Citizen Genêt, the diplomatic representative of the French Republic, was greeted with extravagant acclaim by the Anti-Federalists on his arrival in America, Washington, refusing to be moved by popular clamor, received the emissary with stern formality. When Genêt, angered by this treatment, issued manifestoes, held meet-

ings, attempted to use American ports as bases of operation for French privateers, and, with the aid of American partisans, tried to unhorse Washington's administration, the President bluntly asked the French government to recall the troublesome guest.

With this firm act Washington coupled a policy that augmented the wrath of the opposition. While treating France with frosty propriety, he showed a mild complaisance in dealing with England. British troops still occupied forts in the West; slaves and other property carried off by British soldiers during the American Revolution had not been restored or paid for; and the British navy was playing havoc with American commerce. Against these "wrongs," Jefferson had often protested and on such counts some of his followers, casting off all repressions in their resentment, had repeatedly called for war on England.

On the other side, the Federalist party insisted on peace, its leaders with their usual facility formulating arguments in support of their policy. It was with difficulty, they said, that Washington's administration could raise funds for current outlays and any extraordinary expenditure would bring down in a crash the whole financial structure—the funded debt and the Bank—so recently and so arduously erected by Hamilton. Moreover, American towns were thronged with English merchants; and English investors, besides buying government bonds and bank stock, advanced credit for trade and money for land speculation and industrial enterprise, linking Hamilton's party to the British Empire by a thousand ties of a practical nature. Above and beyond all these things, England was warring on radical France, the detested principles of that republic, on the doctrines of Jeffersonian democracy. Though the Federalists lost heavily from the depredations on their commerce, every consideration of economic interest and political caution commanded them to oppose a second war on King George. In conformity to their wishes, Washington sent the Chief Justice of the Supreme Court, John Jay, to Eng-

land to negotiate a new treaty disposing of the issues in controversy.

Fully aware of the economic position and military weakness of the United States, the British Government drove a hard bargain with Jay. Its troops were to be withdrawn from the Western forts for that cost no sacrifice and some slight trading concessions were made; but nothing was said about returning the slaves carried off by British soldiers, about the seizure of American ships in the future, or about the impressment of sailors. While England agreed to pay for certain damages done at sea, Jay capitulated on the matter of private debts due British creditors, thereby reopening an old wound.

Many colonial patriots, in joining the revolutionary movement of 1776, had hoped to sponge their accounts with British traders and money lenders—a hope that never died. Even though the treaty of peace which closed the war for independence in 1783 had provided that no barriers should be put in the way of collecting the old bills, a large number of American debtors still managed to postpone the day of judgment and discharge. Never dismayed by delay, British creditors, on their part, continued to prod their representatives at Westminster until finally they had their reward in a clause of the Jay treaty, a clause binding the government of the United States to compensate British claimants for any losses due to impediments placed in the way of collection by judicial process. When the reckoning was made, it was disclosed that three-fourths of the total amount was owed by citizens in the southern states. That was the last straw: the slaves carried away by British soldiers were not to be returned and the hated debts were to be paid—in the last extremity by federal taxation. Planters who regarded with suspicion Jefferson's French ideas were now convinced that the Federalist party at least must be ousted from power and the Jay treaty repudiated.

Jefferson himself denounced the agreement as an infamous alliance between the Anglo-men in the United States

and England—a union made in defiance of the people and the legislature. The British minister in Philadelphia, now temporarily the capital of the Republic, was openly insulted by jeering crowds; Hamilton was stoned while attempting to defend the treaty; and Jay was burned in effigy far and wide amid howls of derision from enraged Republicans. For a long time it was found impossible to enlist two-thirds of the Senators in favor of ratification, and the fate of the treaty hung in the balance.

At last, thoroughly alarmed by the peril of defeat, the administration resolved to bring all its influence to bear. Laying down his ledgers, Hamilton wrote a series of powerful papers which he published anonymously. With incisive rhetoric he stung indifferent Federalists to action, warning them that "the horrid principles of Jacobinism" were abroad in the land and that a war with England would throw the direction of affairs into the hands of men professing these terrible doctrines. "The consequences of this," he said, "even in imagination, are such as to make any virtuous man shudder." In the end, by dint of much maneuvering and the use of personal influence, Washington was able to wring from the Senate its approval of the treaty, in June, 1795.

The deed was done but the ill-will aroused by it was not allayed. To display its temper, the opposition in the House of Representatives called upon the President for papers pertaining to the negotiation of the treaty. When it was curtly rebuffed, its wrath deepened, and the populace upon which it relied for support was stirred to renewed opposition. By this time the Anti-Federalists, or Republicans, as they were fond of calling themselves, strengthened by recruits from many quarters, had grown into a fairly coherent party and were evidently resolved upon grasping the powers of the federal government at the coming national election.

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This state of affairs confirmed Washington in his determination to retire at the end of his second term. He would then be sixty-five years of age and he was weary from his burdensome labors in field and forum. Since the opening of the Revolution, to say nothing of his provincial career, he had spent nearly fifteen years in public service and even while in retirement he had devoted irksome and anxious months to the movement that produced the Constitution. The glory of office had begun to pale. Once he had received respectful homage on all occasions; now near the close of his second administration he was shocked and grieved to find himself spattered with the mud of political criticism. Having definitely aligned himself with the Federalist group and having assumed responsibility for the policies of administration framed by that party, he had voluntarily incurred the risks of partisan attacks. Nevertheless he was distressed beyond measure to hear himself assailed, as he complained, "in such exaggerated and indecent terms as could scarcely be applied to a Nero, a notorious defaulter, or even to a common pickpocket."

These were the circumstances that led him to take advantage of the first opportunity to return to the peace of his Potomac estate. He had accepted reëlection in 1792 only on the urgent solicitation of both Hamilton and Jefferson, who had told him that he alone could save the new fabric of government. But another election was out of the question, not because he regarded the idea of a third term as improper or open to serious objections; he was simply through with the honors and turmoil of politics. Accordingly, in September, 1796, on the eve of the presidential election, he announced his decision in a Farewell Address that is now among the treasured state papers of the American nation.

In this note of affection and warning to his fellow citizens, Washington directed their attention especially to three subjects of vital interest. Having dimly sensed the conflict impending between the North and the South,

he gravely cautioned them against sectional jealousies. Having suffered from the excesses of factional strife, he warned them against the extremes of partisanship, saying that in popular governments it is a spirit not to be encouraged. Having observed the turbulent influence of foreign affairs upon domestic politics, he put them on their guard against "permanent alliances with any portion of the foreign world," against artificial entanglements with the vicissitudes of European rivalries, against the insidious wiles of alien intrigues.

Then in simple words of reconciliation he expressed the hope that his country would forgive the mistakes which he had committed during his forty-five years of public life and that he might enjoy, in the midst of his countrymen, "the benign influence of good laws under a free government—the ever favorite object of my heart, and the happy reward, as I trust, of our mutual cares, labors and dangers." Though many Anti-Federalists saw in the Address a veiled attack upon their partisanship and their affection for France, the more moderate elements in both parties regarded it as a message of sound advice from one whose motives were pure and whose devotion to the public good was beyond question.

Hearing that Washington was to retire, the opposition cast off every lingering qualm. Until that moment all save the most brutal critics had curbed somewhat the sweep of their passions, even in denouncing the worst rascals who took shelter behind the great President. At last he was to go from the capital forever and ordinary mortals were to hold the high office which he had filled with such superb decorum. That opened the flood gates. With a show of defiance, Anti-Federalists had branded the Hamiltonians as monarchists and assumed for themselves the name Republican even if it savored of French excesses. Some of them now ventured to call themselves Democrats—a term as malodorous in the polite circles of Washington's day as Bolsheviki in the age of President Harding. Scorning the

Puritan clergy who called Jefferson an atheist and anarchist, all the Anti-Federalists agreed that he was to be their leader and their candidate for President at the coming election.

This challenge the Federalists accepted by nominating a man of opposite opinions, John Adams of Massachusetts. His views on popular government were well known: he had openly declared that he feared the masses as much as he did any monarch and that he favored "government by an aristocracy of talents and wealth." On the main point, therefore, his theories were sound enough for any Federalist; but Adams, even so, was not a strong candidate for a boisterous campaign. While he had spoken contemptuously enough of the crowd, he had poured no libations at the feet of the aristocracy: in an elaborate work he had tried to prove that in every political society there is a perpetual conflict between the rich and the poor, each trying to despoil the other, and that the business of statesmanship is to set bounds for both the contending parties.

Besides being endowed with a somewhat reasoned suspicion of the high and the low, Adams was a student and unfitted for the hustings. He was not an orator or a skillful negotiator; his lightest word smelt of the lamp and his friendliest gesture betrayed a note of irritation. It, therefore, required a desperate campaign to get him into the presidency, with the narrow margin of three votes and, to make the dose more unpalatable, since Jefferson stood second in the poll, Adams found himself yoked for a four-year term with his most redoubtable foe as Vice-President.

Relieved of his burdens, Washington now hurried away from the capital to his haven at Mount Vernon, where praise and affection followed him, yet not without taunts from Republican champions who broke in upon the anthem of gratitude from time to time. In fact, one of the critical editors, a grandson of Benjamin Franklin, flung after the retiring President the burning words: "If ever there was a period for rejoicing, this is the moment—every heart, in unison with the freedom and happiness of the people ought

to beat high with exultation that the name of Washington from this day ceases to give a currency to political iniquity and to legalize corruption." If such was the treatment accorded to the great hero of the Revolution, Adams must have been without hope of mercy. And he received none.

Only one measure of the Adams administration won anything like universal approval and that was due mainly to an accident of French politics. Resenting what it regarded as the pro-English policy of President Washington, the Directory at Paris—the executive department established under the constitution of 1795—treated the United States with such lofty contempt that even the hottest defender of France on this side of the Atlantic, as the news was fed to him, felt insulted. Besides bluntly refusing to receive the American minister sent over in the closing days of Washington's administration, it persisted in believing that the President's Proclamation of Neutrality did not represent the real will of the United States. In addition to ordering the confiscation of American vessels bound to and from British ports or engaged in carrying British goods, it permitted French privateers to play havoc with American commerce in the West Indies. Now it was the turn of the Federalists to shed their pacifism and shout for war on "Jacobinical" France. But Adams, refusing to play that game, kept his temper and instead of blustering sent a special commission to France charged with the duty of restoring friendly relations.

When the members of this mission arrived in Paris, they found, so they reported, instead of a decent reception, insolence and effrontery before their faces and intrigue behind their backs. They were denied a formal recognition; but mysterious persons, pretending to speak for the government, visited them after candlelight. Nowhere did they see any signs of good will; on the contrary, according to their accounts, the commissioners were confronted with a demand for an apology from the American government for its past conduct, a large loan, and handsome bribes for

French officials. After haggling for many months in a vain hope for an accommodation, the mission broke off negotiations and sent back dispatches containing a full statement of its difficulties, perhaps not without political embellishments. With a shrewd strategical flair, President Adams immediately laid a report of the transaction before Congress, referring to the Frenchmen who made these demands for tribute and apology as Mr. X, Mr. Y, and Mr. Z.

In the form in which the dose was administered by the President, this was too much even for the stoutest Jacobin in the United States. Some Republicans, it is true, stopped to point out that the American minister sent by Washington and rejected by the French Directory was openly known as a bitter enemy of the French Revolution; others laid stress on the conduct of the British navy toward which the Washington administration had shown so little resentment. But the majority of Jeffersonians, much as they disliked Adams, apparently forgot their French sympathies for the moment and joined the Federalists in shouting: "Millions for defense, not a cent for tribute!" Once more Washington was called upon to take command of the army. Lively preparations for combat were commenced and actual fighting began on the high seas without any formal declaration of war by Congress.

Nevertheless, desiring peace if it could be obtained with decency, Adams renewed negotiations with France amid cries of rage from Federalist fire-eaters. At this juncture, Napoleon Bonaparte, after overthrowing the Directory in Paris by a coup d'état, installed himself as First Consul and indicated willingness to make an accommodation. The following year the two governments succeeded in reaching a kind of agreement that saved their faces, if it did not remove the worst of the irritants. By this time Adams was hopelessly adrift. If he had won some friends among Republicans by declining to plunge into a war against France, he had lost supporters among Federalists, partly by his pacific spirit

and partly by his failure to adjust some childish quarrels that arose among officers over precedence in an army that was to fight no battles.

While the Republicans were temporarily weakened by the division of their forces over relations with France, the Federalists resolved in 1798 to destroy the opposition, if possible, with two drastic measures, famous in American history as the Alien and Sedition Acts. The first of these laws authorized the President, in case of war or a predatory incursion, to prescribe the conditions under which alien enemies could be expelled or imprisoned as the public safety might require; thus Adams was given a weapon with which to suppress the activities of the French agents and Irish sympathizers who shared their antipathy for England. The second act was even more severe in its terms; it prescribed fine and imprisonment for persons who combined to oppose any measure of the government, to impede the operation of any law, or to intimidate any officer of the United States in the discharge of his duty; it penalized everyone who uttered or published false, scandalous, and malicious sentiments tending to bring the government of the United States or its officers into disrepute or to excite the hatred of the people.

The Alien Act, although it was not enforced, gave great offense, especially to the many foreigners in danger under its provisions. The Sedition Act was vigorously applied and aroused a tempest. Several editors of Republican papers soon found themselves in jail or broken by heavy fines; bystanders at political meetings who made contemptuous remarks about Adams or his policies were hurried off to court, lectured by irate Federalist judges, and convicted of sedition. In vain did John Marshall urge caution, explaining that the Sedition law was useless and calculated to arouse rather than allay discontent. In vain did Hamilton warn his colleagues: "Let us not establish a tyranny. Energy is a very different thing from violence." The high and mighty directors in the party of "talents and

wealth" would be satisfied with nothing short of destroying their opponents.

As Marshall and Hamilton had foreseen, the resentment of the Republicans answered persecution and finally burst all bounds. They denounced the legislation as despotic and its sponsors as tyrants. They invoked the protection of the First Amendment to the Constitution, which expressly forbade Congress to make any law respecting freedom of speech and press. They appealed to the rights of citizens and states.

Not content with the usual verbalism of politics, Jefferson proposed something akin to defiance. He drafted a set of resolutions declaring that the Alien and Sedition Acts violated the Constitution and were therefore null and void —resolutions which were introduced into the Kentucky legislature, passed, signed by the governor, and proclaimed to the country as representing the creed of the state. Simultaneously, Jefferson's competent aide, James Madison, started a similar revolt in Virginia, inducing the legislature to adopt resolutions condemning the obnoxious legislation and advising the states to coöperate in defense of their rights.

Though Kentucky and Virginia discovered that their appeals encountered indifference or even opposition on the part of their neighbors, they were not daunted. The former, hearing from some of the northern states that it was the business of the Supreme Court to decide high questions of law, announced, in reply, the fateful doctrine that a state could review acts of Congress itself and nullify any measure it deemed unconstitutional. While the Virginia legislature shrank from the full logic of this strong doctrine, it did appropriate money for arms and supplies. Fortunately for the Republicans, the fourth presidential election was now at hand and they could call upon the voters to repudiate at the polls the authors of the Alien and Sedition Acts. The issue became a factor, at least a rhetorical factor, in a nation-wide campaign.

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By unanimous consent leadership among the Republicans went to Jefferson. After some study of Hamilton's system in operation, he had become an irreconcilable opponent of all the leading measures fostered by the Secretary of the Treasury. He had objected to the Bank on economic and constitutional grounds. He had expressed critical opinions about the administrations of Washington and Adams which pleased the most radical among the agrarian faction. Indeed, it was easy for him to satisfy the aspirations of that party for, on matured conviction, Jefferson was primarily a champion of agriculture. He sincerely believed that the only secure basis of a republic was a body of free, land-owning farmers, enjoying the fruits of their own toil, looking to the sun in heaven and the labor of their own hands for their support and their independence.

Like Aristotle two thousand years before and agricultural philosophers through all the succeeding ages, Jefferson distrusted the arts of commerce and industry, the arts of buying in the cheapest market and selling in the dearest. These pursuits led inevitably, he thought, to chicanery, to the accumulation of great wealth by speculation, intrigue, and exploitation. For the artisans and laborers who served the masters of commerce and industry in the crowded towns, he had a great dislike, once going so far as to declare that the mobs of great cities were sores on the body politic, panders to vice, makers of revolution. As a corollary, he was convinced that the American system of liberty would come to an end when the people were congested in cities and dependent for a livelihood upon the caprices of trade. Such was his deliberate judgment formulated long before the Constitution was framed or the fortunes of politics had opened the presidency to him. This opinion was but hardened by his experience at the national capital and the ferocious treatment he had received from "the paper men" whom he had so severely denounced.

Jefferson, however, was more than an avowed opponent of Hamilton's fiscal system and more than a convinced champion of agriculture. He held views concerning human nature and human progress which were abhorrent to those who loved tranquillity in an established social system sustained by dogmatic religious sanctions. In an age when the masses of Europe were without education and were regarded as an inferior order of human beings, Jefferson declared his belief that "man was a rational animal, endowed by nature with rights and with an innate sense of justice; and that he could be restrained from wrong and protected in right by moderate powers confided to persons of his own choice and held to their duties by dependence on his own will." While seasoned politicians of the Federalist school were expressing contempt for theories of popular rule, Jefferson was contending that men "habituated to think for themselves and to follow reason as their guide" could be more easily and safely governed than people "debased by ignorance, indigence, and oppression." With him this was more than a formal faith. "I have sworn upon the altar of God," he wrote to a friend in 1800, "eternal hostility against every form of tyranny over the mind of man."

The same spirit characterized his theories of education. While a New England college president was proudly assuring the public that Gibbon's godless Decline and Fall of Rome was not allowed in his institution of learning, Jefferson was dreaming of a system of universal secular education. In later life he realized a part of this lofty ideal in the University of Virginia, founded under his leadership, where he provided for a democratic scheme of self-government by the professors, rejected all religious tests for teachers and pupils, exalted science, agriculture, and modern languages to a position of equality with the classics, and relied for discipline on student honor. "The institution," he said at the time, "will be based on the illimitable freedom of the human mind. For here we are not afraid to follow

the truth wherever it may lead or to tolerate any error so long as reason is left free to combat it."

For his religious ideas as for his political and educational theories, Jefferson was hateful to the orthodox of every sect. In common with so many philosophers of his age he was a deist who regarded Jesus as a great teacher and a good man. He applied higher criticism to the Bible, tested its science in the light of reason, and expressed grave doubts about the authenticity of its statements respecting creation, the flood, and other points relative to the system of nature. Though roundly denounced by theologians as an "atheist," an epithet lacking both in accuracy and fairness, Jefferson made no effort to conceal his liberality of opinion.

If reason was to be the guide in politics, religion, and education it followed that freedom of press and speech must be an essential element in the human scheme of things. This theory Jefferson also carried to its logical conclusion; utterly rejecting the tyrant's plea that liberty can be best protected by "beating down licentiousness," he went the whole length in asserting that the government should not interfere with the expression of opinion until it merged into an overt act. Even open resistance to government, which logic forced him to face, was not so dreadful in his eyes; when he heard of Shays' uprising in Massachusetts, he exclaimed: "God forbid that we should ever be for twenty years without such a rebellion."

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In view of Jefferson's doctrines it is not surprising that consternation ran swiftly through the circles of wealth and refinement in the middle and northern states when the news of his election to the presidency was sent broadcast in the autumn of 1800. Federalist ladies shook their wise heads over teacups and shuddered with horror as they spoke of the "atheist and leveler from Virginia." Federalist politicians and conservative gentlemen stood aghast:

all the grace and dignity of life, everything founded on knowledge and morals seemed destroyed in a flash. "Reason, common sense, talents, and virtue," wrote one essayist, "cannot stand before democracy. Like a resistless flood, it sweeps all away." The end of all good things had come. "Old Gates used to tell me in 1776," wrote one of John Jay's friends, "that if the bantling Independence lived one year, it would last to the age of Methuselah. Yet we have lived to see it in its dotage, with all the maladies and imbecilities of extreme old age." A journalist who had passed happily through the Revolutionary War bemoaned "the spirit of innovation which has lately gained strength in our borders, and now counteracts the best tendency of regular habits."

The depth of Federalist consternation was exhibited in an astounding proposal of Hamilton to prevent the triumph of Jefferson by a measure of doubtful legality and still more doubtful decency. In New York, where the presidential electors were still chosen by the state legislature, the election of the two houses in May, 1800, indicated that Jefferson would be victorious in the autumn. Therefore, Hamilton proposed that the governor, John Jay, call the old legislature in a special session to change the law and provide for the choice of presidential electors by popular vote in districts so arranged as to assure a majority for the Federalist candidate. In making this suggestion, Hamilton added that "scruples of delicacy and propriety" ought to give way when one was faced with the task of preventing "an atheist in religion and a fanatic in politics from getting possession of the helm of state." This extraordinary step Hamilton thought justified by "unequivocal reasons of public safety," but Governor Jay was unmoved. With simple directness, he wrote on the back of Hamilton's letter these words: "Proposing a measure for party purposes, which it would not become me to adopt." By letting affairs take their normal course, the honest governor assured the victory of a man whose views he heartily disliked.

When the returns were all in after the autumn storm, it was found that the Republican candidates, Jefferson and Burr, had fairly defeated Adams and Pinckney, their Federalist rivals, but were themselves tied; each had received the same number of electoral votes. Of course, everyone understood that the Republicans wanted Jefferson for President but, under the Constitution, the choice had to be determined by the House of Representatives. Consequently a momentary ray of hope gleamed through the murky darkness of Federalist defeat. In making the decision, the delegation of each state represented in the House had just one vote and, under this provision of the law, Federalists commanded a majority. They could choose either Jefferson or Burr for President or they could postpone the choice indefinitely.

As soon as word of the tie was confirmed, there opened a fierce and sordid battle in the House over the selection of the President. Finding that Burr's sense of propriety did not impel him to withdraw from the race, the Federalists began negotiations with him and also with Jefferson for the purpose of gaining from the candidate of their final choice a promise to uphold, when elected, all the essential points in the Hamiltonian program. At first many of them were decidedly inclined toward Burr on general principles—toward that wayward, spectacular, and mysterious grandson of Jonathan Edwards. For some strange reason one of the Federalist leaders thought Burr "a matter-of-fact" man who held "no pernicious theories" and justly appreciated "the benefits resulting from our commercial and national systems." Accordingly, Burr was duly sounded but he would not give the requisite pledges.

In the meantime his deadly enemy, Hamilton, laying aside his bitter hostility to Jefferson, threw himself into the fray on the side of the Virginian candidate. While rumor ascribes Hamilton's hatred for Burr to rivalry for the affections of a woman, it was not necessary to add that hypothesis to the incidents of political strife. Hamilton simply did

not share the views of his Federalist brothers; on the contrary he baldly branded Burr as a "Cataline." He thought that Jefferson was fanatical, unscrupulous, not very mindful of the truth and indeed a contemptible hypocrite, but even so more likely to temporize, bargain, and pursue a moderate course than his colleague on the Republican ticket.

So Hamilton suggested that the rival, Jefferson, be invited to give assurances with regard to the preservation of "the actual fiscal system," adherence to neutrality, and the continuance of the Federalists in all save the highest administrative positions. In the end, Jefferson was seen, made known his views, and was chosen by the House over Burr. By his action in the case, Hamilton added fuel to the fire of enmity which culminated in his death three years later at the hands of Burr in one of the most sensational duels ever fought on American soil.

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On account of his commitments and the strength of the Federalists in Congress, Jefferson had to proceed cautiously after his inauguration; and yet he and his followers moved steadily in the direction which they had mapped out during the campaign of 1800. They had laughed at Adams' coach and six and at attempts of Americans to ape the ceremonials of European courts. In keeping with their agrarian sentiments, Jefferson's inauguration on March 4, 1801, the first at the new capital in Washington, was marked by studied simplicity. Republicans had thought that Washington's custom of reading his messages to Congress smacked of the speech from the throne. Jefferson was no orator; so he adopted the practice of sending his recommendations to Congress by a clerk—a rule that was maintained unbroken until 1913, when President Wilson returned to the example set by Washington.

As if to emphasize his objections to official ritual, Jefferson received the British Ambassador in untidy dress and

slippers worn at the heel. He did not, as is sometimes averred, ride to the capitol on horseback, tie his horse to a post, and walk up to take the oath of office; but this apocryphal story illustrated the spirit of the new reign, "the great revolution of 1800," as Jefferson was fond of calling it.

In the business of government, the Republicans, if not intransigent, kept their thesis well in mind. They had denounced the funded debt as a means of creating a "money power"; they did not repudiate any part of it but they paid it off as rapidly as they could. They had objected to the excise tax, especially on whisky, and they quickly abolished it amid the general rejoicing of the back-country farmers. They had protested against the high cost of the federal establishment and they reduced expenses by eliminating many civil offices. They had held commerce in low esteem and viewed the navy as a Federalist device for defending it; in line with this theory they cut down the naval program.

In dealing with the distribution of federal offices, however, the Republicans proceeded with care even though they found all good berths occupied by Federalist politicians. During the negotiations that preceded his election Jefferson had, according to reports, agreed to deal gently with the minor employees of the government; he had also enunciated the noble sentiment that offices should be open to all on the principle of merit alone. Consequently he made no wholesale removals, but as vacancies occurred from time to time he was careful to fill them with trusted partisans as a matter of course. Believing that Hamilton's party had used the branches of the United States Bank in building up its machine, Jefferson expressed himself "decidedly in favor of making all the banks Republican by sharing deposits among them in proportion to the dispositions they show." In actual operation, therefore, he discovered, as he remarked, that "what is practicable must often control what is pure theory."

In keeping with Republican criticism of the sedition law,

Jefferson first proposed to declare it null and void in a message to Congress but finally he just decided not to enforce it against offenders arrested before the expiration of the act on March 3, 1801, and to pardon prisoners then in jail for violation of its provisions. Ultimately Congress repaid most, if not all, the fines that had been collected under the statute. The Republicans had been deeply offended by the stump speeches delivered by Federalist judges when instructing juries; and they promptly voted to impeach Samuel Chase, a justice of the Supreme Court, who had been especially severe in denouncing democratic doctrines from the bench. If they failed to convict him, it was due to no lack of zeal in his prosecution; the Federalists were simply too strong in the Senate where the trial was held.

Though defeated in their effort to oust Chase from office, the Republicans were able to get rid of the new district judges appointed during the "midnight hours" of Adams' administration; this they accomplished by the heroic process of repealing the law creating the judgeships. In vain did the Federalist Senators rave against this "assault upon the judiciary," declare that judges were entitled to a life tenure, and cry out that the repeal of the law would bring the Constitution down as a total wreck about them. The Republicans had suffered much at the hands of Federalist judges and they were in no mood to tolerate a single one who could be ejected from power.

In expelling, reducing, abolishing, and repealing, the Republicans were incidentally following the line of strict construction but they made no particular point of the issue at this time. They were willing to vote federal funds to build a national highway into the West where Jefferson's free farmers were in need of help and were flocking to the Republican standard. They were willing to buy the Louisiana Territory, even though Jefferson believed the purchase without constitutional warrant; for that expansion of the Constitution and the country brought more land

on which to rear sturdy agrarians. Jefferson, a practical man as well as a theorist, steered the ship of state by the headlands, not by distant and fixed stars.

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In their navigation, however, the Republicans, particularly the local politicians of that school, had to reckon with the Federalist interpretation of the Constitution by John Marshall, who, as Chief Justice of the Supreme Court of the United States, from 1801 to 1835, never failed to exalt the doctrines of Hamilton above the claims of the states. No difference of opinion about his political views has ever led even his warmest opponents to deny his superb abilities or his sincere devotion to the national concept. All have likewise agreed that for talents, native and acquired, he was an ornament to the humble democracy which brought him forth. His whole career was American. Born on the frontier of Virginia, reared in a log cabin, granted only the barest rudiments of formal education supplemented by a few months of law at William and Mary, inured to hardship and rough surroundings, Marshall rose by masterly efforts to the highest judicial honor America could bestow.

On him the bitter experience of the Revolution and of later days made a lasting impression. He was no "summer patriot." He had been a soldier in the revolutionary army. He had suffered with Washington at Valley Forge. He had seen his comrades in arms starving and freezing because the Continental Congress had neither the power nor the inclination to force the states to do their full duty. To him the Articles of Confederation had been from the first a symbol of futility. Into the struggle over the formation of the Constitution and its ratification in Virginia, he had thrown himself with the ardor of a soldier. Later, as a member of Congress, an envoy to France, and Secretary of State, he had aided the Federalists in applying their principles of government. When at length they were driven

from the executive and legislative branches of the government, he was chosen for their last stronghold, the Supreme Court. By historic irony, he administered the oath of office to his bitterest enemy, Thomas Jefferson; and for a quarter of a century after the author of the Declaration of Independence retired to private life, the stern Chief Justice continued to announce old Federalist rulings from the Supreme Bench.

Marshall had been in his high post only two years when he laid down for the first time in the name of the entire Court the doctrine that the judges have the power to declare an act of Congress null and void when in their opinion it violates the Constitution. This power was not expressly conferred on the Court. Though many able men had held that the judicial branch of the government enjoyed it, the principle was not positively established until 1803 when the case of Marbury *vs.* Madison, involving a section of a federal statute, was decided.

In rendering the opinion of the Court, Marshall cited no precedents, laid no foundations for his argument in ancient lore. Rather did he rest it on the general character of the American system. The Constitution, ran his premise, is the supreme law of the land; it controls and binds all who act in the name of the United States; it limits the powers of Congress and defines the rights of citizens. If Congress could ignore its limitations and trespass upon the privileges of citizens, Marshall argued, then the Constitution would disappear and Congress would become sovereign. Since the Constitution must be and is from the nature of things supreme over Congress, it is the duty of judges, under their oath of office, to sustain it against measures which violate it. Therefore, reasoning from the inherent structure of the American constitutional system, the courts must declare null and void all acts which are not authorized. "A law repugnant to the Constitution," he closed, "is void and the courts as well as other departments are bound by that instrument." From that day to this the practice of federal

and state courts in passing upon the constitutionality of laws has remained unshaken.

Yet at the moment this doctrine was received by Jefferson and many of his followers with consternation. If the idea was sound, he exclaimed, "then indeed is our Constitution a complete *felo de se* [legally, a suicide]. For, intending to establish three departments, coördinate and independent, that they might check and balance one another, it has given, according to this opinion, to one of them alone the right to prescribe rules for the government of the others, and to that one, too, which is unelected by and independent of the nation. . . . The Constitution, on this hypothesis, is a mere thing of wax in the hands of the judiciary which they may twist and shape into any form they please. It should be remembered, as an axiom of eternal truth in politics, that whatever power in any government is independent, is absolute also. . . . A judiciary independent of a king or executive alone is a good thing; but independent of the will of the nation is a solecism, at least in a republican government." But Marshall was mighty and his view prevailed, though from time to time other men, clinging to Jefferson's opinion, likewise opposed judicial exercise of the high power proclaimed in Marbury *vs.* Madison.

Had Marshall stopped with declaring unconstitutional an act of Congress, he would have heard less criticism from Republican quarters; but, with the same firmness, he set aside important acts of state legislatures as well, whenever, in his opinion, they violated the federal Constitution. In 1810, in the case of Fletcher *vs.* Peck, he annulled a law of the Georgia legislature, informing the state that it was not sovereign, but "a part of a large empire . . . a member of the American union; and that union has a Constitution . . . which imposes limits to the legislatures of the several states." In the case of McCulloch *vs.* Maryland, decided in 1819, the Chief Justice declared void an act of the Maryland legislature designed to paralyze the branches of the United States Bank established in that state. In the

same year, in the still more memorable Dartmouth College case, he abrogated an act of the New Hampshire legislature which infringed upon the charter received by the College from King George long before. That charter, he asserted, was a contract between the state and the College, which under the federal Constitution no legislature could impair. Two years later Marshall stirred the wrath of Virginia by summoning her to the bar of the Supreme Court to answer in a case involving the validity of one of her laws and then justified his action in a powerful opinion rendered in the case of Cohens *vs.* Virginia.

All these decisions aroused the legislatures of the states, especially those in Republican control. They passed sheaves of resolutions protesting and condemning; but Marshall never turned and never stayed. The Constitution of the United States, he fairly thundered at them, is the supreme law of the land; the Supreme Court is the proper tribunal to pass finally upon the validity of the laws of the states; and "those sovereignties," far from possessing the right of review and nullification, are irrevocably bound by the decisions of the Court. This was strong medicine for the authors of the Kentucky and Virginia Resolutions and for the members of the Hartford convention; but they had to swallow it.

While restricting Congress in the Marbury case and the state legislatures in a score of cases, Marshall also laid the judicial foundation for a broad and liberal view of the Constitution as opposed to narrow and strict construction. In McCulloch *vs.* Maryland he construed generously the words "necessary and proper" in such a way as to confer upon Congress a wide range of "implied powers" in addition to its express powers. Since the case involved, among other things, the question whether the act establishing the second United States Bank was authorized by the Constitution, Marshall felt impelled to settle the issue by a sweeping and affirmative opinion. Congress, he argued, has large powers over taxation and the currency;

a bank is of appropriate use in the exercise of its enumerated powers; and therefore, though not absolutely necessary, a bank is entirely proper and constitutional. "With respect to the means by which the powers that the Constitution confers are to be carried into execution," he said, Congress must be allowed the discretion which "will enable that body to perform the high duties assigned to it, in the manner most beneficial to the people." In short, the Constitution of the United States is not a strait-jacket but a flexible instrument vesting in the national legislature full authority to meet national problems as they arise. In delivering this opinion Marshall used language almost identical with that employed by Lincoln when, standing on the battlefield of Gettysburg, he declared that "government of the people, by the people, for the people, shall not perish from the earth."

## CHAPTER IX

## *Agricultural Imperialism and the Balance of Power*

If the philosophical Jefferson and his official family thought that they could settle down in political power to the enjoyment of peace, light taxes, and arcadian pleasures, they were soon disillusioned. The agricultural interest, which they so proudly represented, was no provincial estate sufficient unto itself. On the contrary, it depended for its prosperity upon the sale of its produce in the markets of the Old World while its advance guard on the frontier cherished imperial designs upon the neighboring dominions of England and Spain.

Therefore American agriculture vibrated in its fortunes with every turn in the European balance of power, never more precisely than in the third year of Jefferson's administration when the fury of the Napoleonic tempest was again unleashed across the sea. No theory of isolation could protect it from the shock of a struggle for empire that extended from London to Ceylon, from Moscow to Mexico City, from Copenhagen to Cape Town, encircling the globe

with fire. America as well as Europe was set afloat. Within a few years the Republicans in control of the federal government, buffeted by gales from abroad and by passions at home, were exercising powers greater than any ever claimed by Hamilton and defending the constitutionality of laws which they had once rejected. And in this swift whirl of fact and philosophy, their opponents, the Federalists, were forced into a narrow and crabbed provincialism that made Jefferson's juristic argument against the United States Bank seem broad and generous in comparison.

It has long been the fashion of historians to cite this reversal of fortunes in demonstrating the mutability of human affairs and the hollowness of political professions. Do not the items stand written clearly in the bond? The Republicans had proclaimed their unshakable faith in a narrow interpretation of the Constitution; in 1803 they purchased Louisiana—an act which Jefferson himself called a violation of the supreme law; a few years later they invoked the power of regulating commerce to justify a measure abolishing it and a "force bill" carrying that embargo into effect. Celebrating the virtues of agriculture, they had scorned the arts of trade; yet they vowed that their war on Great Britain was made with a view to upholding American commercial rights upon the high seas. They had opposed a national Bank and a protective tariff; but, at the close of their experiment in war, they resorted to both expedients in spite of their legal scruples.

And on the other side was the record of the Federalists. They had proclaimed their steadfast faith in a liberal view of the Constitution; but they could find no warrant in the parchment for the Louisiana purchase or the embargo. They had taken pride in cherishing the arts of trade; yet they voted against the war on England which was supposed to sustain the inviolability of American commerce. The reversal of politics, considered in terms of political rhetoric, seemed to be absolute.

Considered, however, in economic terms, it was a re-

versal of means not ends. If the purchase of Louisiana was unconstitutional, it at least added millions of acres of rich farming lands to be developed by Jefferson's beloved "agricultural interest." In the sphere of politics it also meant, as the Federalists said, the overbalancing of "the commercial states" by agricultural commonwealths. If in form the war on England was declared for commercial motives, it was in reality conceived primarily in the interests of agriculture.

This fact the scholarly researches of Julius W. Pratt have demonstrated in a convincing fashion. Agriculture just as shipping suffered from British depredations, for American exports were, in the main, not manufactures but the produce of farms and plantations. The men who voted in 1812 for the declaration of war on England represented the agrarian constituencies of the interior and their prime object was the annexation of Florida and Canada. Hence the opposition of the commercial sections to an armed conflict waged for the purpose of adding more farmers and planters to the overbalancing majority was at bottom no deep mystery.

Nor was the reversal of the Republican position on finance shrouded in obscurity. The second United States Bank, established by that party, did not grow out of a desire to draw the banking fraternity to the support of the government as in Hamilton's time but in truth sprang from a struggle to free the federal treasury from abject dependence on eastern financial interests and rescue the currency from the chaos created by the war. And finally, the protective tariff adopted by the Republicans in 1816 was defended by the spokesman of the planting interest, John C. Calhoun, on the ground that tariff schedules, when properly made, would provide a home market for cotton, corn, and bacon. At that time New England banks, strong enough to stand alone, welcomed no new rival in the hands of Jeffersonian politicians; and New England capitalists, largely engrossed in the carrying trade, did not look with

favor on customs duties that promised to cut it down. If reference be had, therefore, to the substance of things desired, some of the ambiguity of jurisprudence seems to be removed and the continuity of economic forces once more demonstrated.

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The first great stroke of Republican policy in the sphere of foreign relations, namely, westward expansion by the purchase of the Louisiana territory in 1803, was no bolt out of the blue either for the planters and farmers of the West or for Jefferson, who professed to cherish their interests. A decade before that event there were hundreds of American pioneers in the Spanish territory beyond the Mississippi; near the close of the eighteenth century the bishop of Louisiana reported that "the Americans had scattered themselves over the country almost as far as Texas and corrupted the Indians and Creoles by the example of their own restless and ambitious temper." Already promoters in the West had their eyes fixed on Mexico and were blowing up colorful dreams of imperial annexations to be realized in that direction.

Already the war in Europe had forced the fate of the West upon the attention of the federal government. The first phase of that struggle had opened, as we have seen, in 1793, while Thomas Jefferson was still serving as Secretary of State under President Washington, in a strategic post of observation from which he discovered many things. Especially did he grasp the meaning of the fact that, in the general scramble for spoils among the powers of the Old World, England might wrest Louisiana from the feeble grasp of the Spanish monarch—a menace to the United States to be avoided at all costs. Though he retired from the State Department in 1793, Jefferson retained his keen interest in the advancing frontier and continued to appreciate its importance.

During the intervening years until his inauguration as

President, events flowed swiftly in the regions beyond the Alleghenies as a steady stream of settlers moved westward with the sun. Kentucky was admitted to the Union as a state in 1792 and Tennessee in 1796, both of them good agricultural communities that gave electoral votes to Jefferson in 1800. Ohio, then rapidly filling up, was to have a voice in the next presidential election. The whole West was vibrant with prospects of great agricultural enterprise and the leaders who directed affairs in the Mississippi Valley knew what they wanted. They were unanimous in their resolve that the Mississippi must be kept open to American trade all the way to the Gulf of Mexico; and those with the largest imagination, as we have just said, were prepared for imperial undertakings beyond the mighty river. If Jefferson was inclined to hold back and deal timidly with foreign powers, he could not escape the firm pressure of his frontier constituents. In fact the very existence of the western farmers and planters, to say nothing of handsome earnings, depended upon the navigation of the Mississippi without let or hindrance.

Down the river to New Orleans they floated their tobacco, corn, hemp, wheat, pork, and lumber for shipment to the towns on the eastern seaboard or the markets of the Old World. To them this outlet to the sea was as important as the harbor of Boston to the merchants of that metropolis. For their bulky produce, transportation over muddy roads across the mountain barrier was almost prohibitive in its cost. Tea, coffee, cloth, and nails might come to them that way but, before the age of steamboats and improved roads, farm produce had to find a less expensive and more practical route. Therefore, in their search for a livelihood, in their quest for profitable enterprise, the men on the frontier were compelled to keep open the port of New Orleans. Moreover, if their restless spirit of migration was not to be quenched forever on the east bank of the Mississippi, then their next march would carry them beyond the borders of the existing American

dominion. By 1800 Kentucky had grown too civilized for Daniel Boone; and signs of the onward surge were clearly evident.

Accordingly, the frontiersmen watched with eagle eyes the fortunes of the King of Spain to whom at the close of the Seven Years' War in 1763 had fallen the prize of Louisiana. While he controlled New Orleans, there was little to fear. No doubt he resented the constant activity of Americans on the banks of the Mississippi; no doubt he grew angry when he read in the reports of his governors that these aggressive aliens looked greedily upon his untilled lands; but he was powerless to hold them in check.

While the outward signs of his immense empire were still imposing, a frightful palsy afflicted it from the center to the circumference. The valor, the energy, the capacity for great undertakings, which in the sixteenth century had made the name of Spain feared throughout Europe and around the world, had departed, leaving infirmity and incompetence supreme at Madrid and in the provinces. So in 1795 when Washington pressed the Spanish sovereign for a treaty granting Americans the right of trade through New Orleans, he won that privilege with relative ease. When five years later Napoleon covertly demanded the return of Louisiana to France, there was no alternative but secret compliance.

In the summer of 1802 a crisis was precipitated: a royal order from Spain in July closed the port of New Orleans to American produce. Hard on the heels of this news came a confirmation of the rumor that Napoleon had really wrested Louisiana from Spain. At any time, therefore, the French flag might be raised on the American border; for a temporary lull in the European War—effected by the treaty of Amiens signed in the spring of that year—promised the Corsican an opportunity to tempt fortune next in the New World. In a few months "the scalers of the Alps and the conquerors of Venice" might appear in

New Orleans, Natchez, and St. Louis. Their capacity for action was notorious.

Immediately the West was ablaze with excitement and alarm. Immediately a turbulent call to arms resounded along the frontier; expeditions were organized to prevent the landing of French troops; the legislators of Kentucky passed resolutions of protest against "invasion," pledging their lives and fortunes to sustain their rights; petitions for immediate aid flooded in upon the philosopher in the White House. Whatever his inclination, Jefferson was thus made aware that willful and irascible leaders in the West would open New Orleans by force if the federal government could not open it by negotiation.

If Jefferson's natural love of tranquillity and his affection for a strict construction of the Constitution had been ten times as great, the clamor of the West would have compelled him to act. He knew a political storm when he saw it on the horizon; so he urged his ebullient frontier constituents to restrain their ardor until he could try the resources of diplomacy.

Then he set the machinery in motion at Paris, thinking all the time of the produce dammed up at New Orleans rather than of the expansion of America in the abstract. The crisis, he evidently thought, was to be considered in terms of corn, tobacco, and bacon. "The cession of Louisiana and the Floridas by Spain to France," he wrote to Livingston, the American minister in Paris, "works sorely in the United States. It completely reverses all the political relations of the United States and will form a new epoch in our political course. . . . There is on the globe one single spot the possessor of which is our natural and habitual enemy. It is New Orleans through which the produce of three-eighths of our territory must pass to market." Spain might have retained it in her weakening hands for years, he went on to say, but the occupation of New Orleans by France would be a menace that could not

be ignored. Thus driven by realities Jefferson instructed Livingston to sound Napoleon on the possibility of buying New Orleans and also the Florida territory east of the Mississippi—on the assumption that the latter had gone to France with the Louisiana region.

To fortify Livingston and emphasize the urgency of action, Jefferson sent James Monroe to France with instructions to help make a treaty that would enlarge and secure American rights and interests on the Mississippi and "the territories eastward thereof." But before Monroe arrived in Paris, events had already begun to move with high speed. A French expedition to subjugate rebellious Santo Domingo had met disaster, warning Napoleon against adventures in the New World. Moreover, he had decided to renew the European war and needed to husband all his resources. Fully conscious that he had no fleet capable of coping with England, he knew that the loss of Louisiana in the impending conflict was as certain as fate.

With characteristic abruptness, Napoleon decided to sell to the United States every inch of the territory so recently wrung from Spain and instructed his minister of foreign affairs to open negotiations for that purpose. A few hours later Livingston was suddenly confronted by the astounding offer of the whole Louisiana domain. For a moment he was bewildered because he had no orders authorizing him to buy an empire; but his courage being equal to the occasion, he accepted the proposal. Monroe, who appeared on the scene at this moment, added his approval; and on April 30, 1803, the treaty of cession was signed by the negotiators. According to its terms, the Louisiana Territory, as received from Spain, was to be transferred to the United States in return for $11,250,000 in six per cent bonds plus the discharge of certain claims held by American citizens against France—a purchase price amounting to $15,000,000 in all. When the deed was done Livingston exclaimed that the action would in time transform vast solitudes into

flourishing communities, reduce England from her still dominant position in American affairs, and give the United States a position of first rank among the great powers of the earth.

Spain protested passionately and the French newspapers stormed. Napoleon's brothers, Lucien and Joseph, called on him to remonstrate. According to one story, they found him in his bath but insisted on seeing him at once to present their objections to the sale. Angered by their intrusion, Napoleon rose in haste, berated them for their insolence, and drenched them with water as he plunged back into his tub. When Lucien, not yet subdued, lingered to voice his opposition to the disposal of so fair a province, the First Consul, with an impatient gesture, flung his snuff box to the floor, declaring he would break his own brother in the same fashion if his opposition continued. In France the issue was closed.

When the news crossed the Atlantic the people of the United States were aroused in their turn—no one more astounded than Jefferson. He had thought of buying New Orleans and West Florida for a small sum but an empire had been dumped at his feet at a staggering price. He had cried aloud against the immense national debt amassed by Hamilton and had instructed Gallatin, his own Secretary of the Treasury, to bend every effort to reduce it; now he was asked to add fifteen million dollars to the burden himself at one stroke. He had pledged himself to abide by the letter of the Constitution and he could find no word in it expressly authorizing the government of the United States to buy a square foot of land.

His first thought being for ceremonial correctness, Jefferson prepared an amendment to the Constitution which would authorize the purchase. But delay was dangerous and changing the fundamental law of the land was a slow process. So under the stress of necessity Jefferson abandoned that project and simply called upon the Senate to ratify the treaty of cession. Exercising a keener vision

than they had shown a few years before, his friends now discovered authority for that action in the treaty-making clause and in other corners of the nation's supreme law.

Delighted to receive a legal sanction, Jefferson acquiesced on the point of theory, saying that "the good sense of our country will correct the evil of construction when it shall produce evil effects." Thus from slavish adherence to the letter of the covenant, he passed to dependence upon the nebulous "good sense" of his fellow citizens at large. Apparently troubled in conscience, however, he wrote to a friend that the government was like an agent who had exceeded his authority and must throw itself on the mercy of the country knowing that the people would have taken the step if they had been given a chance to do it. In other words, the government could alter the Constitution in a pinch when convinced that the people would have it so. John Marshall doubtless felt competent to amend it himself but he never committed any such doctrine to black and white.

Now it was the turn of the Federalists to appear in the rôle of pinchbeck lawyers and economists. They could find no constitutional warrant for the purchase, no need for such a vast territory, no money with which to pay for it. Manufacturers of Pennsylvania and merchants of New England could see no reason for their being excited about the plight of Ohio, Kentucky, and Tennessee. In fact they feared the growth of the West for they did not want to be outvoted in Congress by farmers from the frontier; they were also offended by rough voices and deficiencies in table etiquette at White House functions. The better educated the Federalists were, it seems, the less they understood the destiny of America. Sons of Federalist fathers at Williams College, after a solemn debate, voted fifteen to one that the purchase of Louisiana was undesirable. Like their sires, they faced the sea. The streets of London, the quays of Lisbon, and the Hong of Canton were more familiar sights to the merchants of the coast than were

the somber forests and stump-studded clearings of western America.

Wheeling up all their batteries of argument, the Federalists in the Senate raged against the ratification of the treaty of purchase. Men who had easily found Hamilton's Bank constitutional could not discover in the fundamental law of the land any vestige of warrant for acquiring more territory. Men who thought that the "broad back of America" could bear Hamilton's consolidated debt at six per cent interest now went into agonies over a new bond issue of less than one-fifth the sum at the same rate of interest. They drew doleful pictures as they counted the mass of gold and silver which would be wrung from the people to pay for a wilderness. They pointed out by way of contrast the low price which William Penn had paid for his princely domain. Finally and more directly to the point, they complained that the purchase of Louisiana would break the authority enjoyed by the old and conservative eastern states, shift the balance of political power to the West, and transfer the government of the Union to horny-handed farmers of leveling tendencies. They almost visualized the coming invasion of Andrew Jackson's hordes.

Yet the eloquence of the Federalists could not defeat the treaty. Jefferson commanded the votes and it was ratified. "The grand old republic is lost," mourned the die-hards, as they turned to their journals and ledgers. In December, 1803, the Stars and Stripes were raised over the government buildings in New Orleans; the land of Coronado, de Soto, Marquette, and La Salle passed under the sovereignty of the United States.

How large was the acquisition no one knew, for the boundaries had never been actually defined. When Livingston asked the French minister a question on that point, he received an evasive answer; neither the minister nor anyone else could furnish an accurate map. It is safe to say, however, that Louisiana embraced all the territory at pres-

ent within the borders of Arkansas, Missouri, Iowa, Oklahoma, Kansas, Nebraska, and South Dakota, besides large portions of what is now Louisiana, Minnesota, North Dakota, Colorado, Montana, and Wyoming. The farm lands which the "little-America" party on the seacoast called "a worthless wilderness" were well settled within less than a century and valued at seven billion dollars—five hundred times the price paid to Napoleon for them.

§

The same fateful course of events in Europe, beyond the will and the purpose of Jefferson, that lifted him from his narrow view of the Constitution to the wide nationalism of the Louisiana Purchase, drew him and his immediate followers into domestic policies more autocratic and sweeping than Hamilton's boldest enterprise; hurried them, pacific as they were in intention, into a struggle not of their own deliberate making; compelled them to resort to hated measures of revenue and finance; and, to cap the climax, thrust their opponents, the Federalists, out into utter darkness, far beyond the confines described in the Kentucky Resolutions and near to the border of secession and rebellion. Those who had set sail to the North Pole suddenly found themselves below the Antarctic circle. All this flowed inexorably from the reopening of the Napoleonic wars in 1803 and the steady advance of the American frontier south and west.

The world-encircling conflict, begun in 1793, now entered upon its last phase, as England and France plunged into a death struggle for supremacy in two hemispheres. The true nature of the armed contest had at last become apparent. The French Revolution had run its course from moderate reform to radicalism; from Marat's radicalism to Bonaparte's despotism. After grasping the scepter of power, Napoleon—who was infinitely more efficient than any of the Bourbons that ever ruled France—undertook to

recover from the ancient foe some of the commerce and territory lost in previous wars and make himself arbiter of Europe.

In the accomplishment of his purposes, he annexed Belgium and Holland, assumed the imperial crown, placed his brother, Joseph, on the throne of Spain, brought Italy under his heel, broke the Prussian sword which Frederick the Great had wielded with such effect at Rossbach and Leuthen, created a Rhine Confederation of German states under his own hegemony, humiliated the Pope, and brought the Tsar of all the Russias to his feet. While trampling on Europe, Napoleon attempted to paralyze the lucrative trade of Great Britain and strike a mortal blow at her Indian empire; but it was this undertaking that proved his ruin. Naturally the ruling classes of England were frightened into desperation. Besides fearing that the leaven of Jacobin doctrines would sooner or later produce a revolution in London, they were in mortal terror lest the victorious arms of Napoleon should wrest from them the fairest parts of their overseas dominion.

So the law of the jungle prevailed; and in the frightful contest that followed, the rights of neutrals were as chaff before a hurricane. Unable to form a coalition strong enough to beat Napoleon on land, England undertook to starve him and his allies into submission by the control of the sea. In May, 1806, she declared the coast of Europe blockaded from Brest to the mouth of the Elbe. In November of that year, Napoleon retaliated with his Berlin decree proclaiming a blockade against the British Isles, although he had no navy to enforce it. Within a twelvemonth England countered with a stiffer ukase—Orders in Council requiring American ships bound for the barred zone to stop first at a British port, secure a license, and pay a tax. This, exclaimed Napoleon, was the height of insolence and he replied with his Milan decree announcing that he would seize and confiscate any ship whose master obeyed the recent commands of Great Britain.

The predicament of American commercial interests was now extreme. A ship that sailed directly for the Continent was liable to seizure by the British; a ship that cleared for Great Britain might fall into the hands of the French. An American captain, who sought safety by entering a British port and paying the license fee, lost his cargo and his vessel if Napoleon's watchful officers found him out. And yet, though the risks were great, the rewards of escape were commensurate with the hazards. If one ship out of three wriggled through the net, the profits of the lucky stroke paid the losses and good dividends besides. So American merchants and seamen, who counted as nothing a trip around the Horn to China by way of San Francisco and Honolulu, crowded the little Atlantic with their boats. Steadily their tonnage engaged in foreign trade rose in spite of the appalling ravages wrought by the European belligerents, the violations of neutral rights, and the terrible insults to American pride.

In matters of principle there was slight difference between England and France; if the former seized more American ships, it was due to main strength, not to any tenderness on the part of Napoleon's watchmen. There was one respect, however, in which England was the greater offender and that, too, was due to circumstance rather than discrimination. She was in dire need of sailors for her navy. Her sea captains gave their men filthy food, flogged them half to death for trivial causes, and herded them into quarters unfit for human beings, the mutiny of the Nore, in 1797, bearing testimony to such gruesome practices. Consequently, droves of British sailors fled to American ships in search of better treatment, to earn higher wages and escape the war.

Thus it often happened that an American vessel carried among its crew men whose service could be lawfully claimed by England. But in many cases, it was difficult to tell whether a sailor was an Englishman or an American, especially since the citizens of both countries spoke the same

tongue. In fact nothing except official records could determine the nationality of a seaman and frequently that rover on the wide ocean had no authentic document showing the land of his rightful allegiance. Moreover, American naturalization papers were not accepted by England. Adhering to the ancient rule, "Once an Englishman always an Englishman," she steadily refused to recognize the principle of expatriation.

Evidently there were in these conditions good and sufficient grounds for wordy quarrels and acts of hostility. The government of the United States denied the right of British captains to hold up and search American ships at their sweet will. Even if carried out with all possible courtesy, the process itself was distressing beyond endurance. The operation required an American ship, whenever ordered, to "heave to," and remain submissive under British guns while the searching party pried into records, grilled the captain and his crew, seized, handcuffed, and carried off expostulating sailors. In making inquisitions English captains were not always nice in their judgment; in some instances they dragged away, in irons, men born under the American flag. Saints could not have done this work without arousing anger and saints could not have undergone the humiliation without reaching the limits of forbearance.

In point of fact, seamen of that age were not noted for the suavity of their manners; while searching and seizing they did not always observe the amenities of the drawing room. When, for example, in the summer of 1807 the American frigate, *Chesapeake,* refused to surrender some sailors alleged to be deserters from King George's navy, the British warship, *Leopard,* opened fire, killing three men and wounding eighteen—a high-handed act which even the British ministry did not have the hardihood to defend. Besides doing as they pleased on the high seas, the belligerents were none too fastidious in American waters. Both British and French ships patrolled the coasts

of the United States and pursued their prey within the three-mile limit. If the French did less damage and inflicted fewer insults, it was due to lack of power and opportunity, not to any high regard for jurisprudence and æsthetics, as their dictatorial conduct in other respects well proved.

The campaign of violence on the seas was accompanied by an angry exchange of notes and opinions among the powers involved. In this sphere neither the English nor the French government was over-refined in its methods. The former paid little attention to American protests and, when it deigned to reply at all, often used the language of irony conceived in contempt. Napoleon, on his part, accused Jefferson of accepting without a blow subjection to the British Empire, issued false statements, and made promises which he did not intend to fulfill. To add to the complexity of the endless diplomatic parley, American congressmen entered into curious relations with British and French representatives—relations that were wanting in taste if not in loyalty.

Stories of these transactions, coupled with reports of atrocities on the high seas, spread controversy and alarm throughout the United States, causing the partisan spirit to flame high. Some citizens wanted to fight England; some wanted to fight France; others wanted peace at any price. True to political forms, Federalist Senators and Representatives, goaded by constituents who had lost ships and cargoes at sea and at the same time bent on political advantages for themselves, resorted to every measure which intrigue and ingenuity could invent to embarrass and discomfit Jefferson in his baffling search for a way out of the dilemma.

Whatever could be said of the President's diplomacy amid these perplexities, one thing was certain: he was eager to keep his nation out of the European quarrel and he managed to do it for six years—as long as he was in power. In maintaining this resolute stand against war, Jefferson coolly followed a policy which he had matured on the basis

of long experience and wide study. Peace was with him not only a "passion," as he said; it was a system.

Although by no means a universal pacifist, he was fully convinced that peace was the best policy for the United States, given its geographical position, its democratic institutions, and its agricultural character, insisting with Washington that the age-old battles of Europe were no concern of America. He was not afraid of bloodshed or inherent evils of war; it was the social results of armed conflicts he dreaded. War, he exclaimed, had transformed the kings of Europe into maniacs and the countries of Europe into madhouses while peace had "saved to the world the only plant of free and rational government now existing in it!" Corruption and tyranny, in his opinion, flowed from armed conflicts, whereas "peace, prosperity, liberty and morals have an intimate connection." Therefore, he reasoned, all but "pepper-pot politicians" would hold him in high esteem for keeping the country aloof from a brutal struggle "which prostrated the honor, power, independence, laws, and property of every country on the other side of the Atlantic." In spite of all criticism, it was thus a reasoned and deep-seated conviction—not impulse or caprice—that led Jefferson to keep ever before him the goal of peace during the negotiations and agitations that made his administration so tumultuous.

Seeking with all his talents a solution of the problem in measures short of war, the President resorted at the outset to diplomatic negotiations. Finding that requests and pleas had no material effect on the belligerents, he undertook to bring them to terms by restraining their commerce and cutting off their supplies. When Great Britain blockaded the Continent in 1806, the immediate answer of Jefferson and his party was the Non-importation Act closing American ports to certain British goods—an instrument intended to serve, figurately speaking, as a club over the head of King George's ministry.

But this law proved to be an idle gesture; British and

French restrictions on American trade became more onerous. Therefore Congress passed in December, 1807, the Embargo Act, which forbade all American vessels to leave these shores for European ports. In this fashion a clause of the Constitution authorizing the regulation of foreign commerce was stretched to sanction a measure abolishing it. Though a caustic remedy, this act was equally without avail in bringing European powers to terms; and, after applying it for two disastrous years, Congress, in the closing days of Jefferson's administration, repealed the futile and irksome measure, substituting for it the Non-intercourse Act, which prohibited trade with England and France while permitting it again with the rest of Europe—another arbitrary law which, like the others, brought no relief from the exactions of the belligerents.

Indeed, the Embargo Act was more destructive to business and agriculture than the English and French depredations on sea-going ships. Before the passage of that law, bold seamen, lured by high profits, took the risks involved and carried cargo after cargo safely into foreign ports. There were sport and speculation as well as danger and loss in the adventure. Men who cursed Jefferson for failing to break the Orders in Council and the Napoleonic decrees could work off some of their frenzy in the excitement of blockade-running.

But when the Embargo bill was passed, the brave were tied up in port with the timid. Ships then swung idly at the docks. Goods decayed in warehouses. Merchants were driven into bankruptcy; bookkeepers, shipbuilders, longshoremen, and sailors were thrown out of employment. Farmers and planters of the South and West found the export market for their cotton, rice, tobacco, corn, and pork paralyzed, while the prices of manufactures doubled.

In short, those who obeyed the law were impoverished; those who violated it by slipping out of the harbors or by smuggling goods into Canada or Florida for shipment abroad were liable to be ruined by encountering the agents

of the federal government at any moment. The country at large, angry and impotent, broke into furious wrangling, with editors raging and Federalist politicians fuming. Jefferson himself was heartily sick of the whole business. "Never," he groaned, on the expiration of his last term, "did a prisoner released from his chains feel such relief as I shall on shaking off the shackles of power. Nature intended me for the more tranquil pursuits of science by rendering them my supreme delight."

§

When Jefferson declined reëlection and thus made the third-term doctrine a part of the unwritten Constitution, the presidency devolved upon James Madison, also a man of peace. As the Secretary of State, Madison had for eight years consistently sustained the Jeffersonian policies as a matter of loyalty and conviction. In fact, his whole career had been pacific. Though active in public affairs during the Revolution, he had served in legislative halls and council chambers, not on the field of battle. Small in stature, studious in habits, sensitive in feeling, he was in the bottom of his heart a lover of peace and, if he had been master of his party after the fashion of Jefferson, Congress might not have taken up arms in 1812. But Madison was not a commanding personality and the drift toward war became steadily more marked as the months of his administration rolled on.

Searches, seizures, captures, impressments, and collisions continued to agitate the country and deepen resentment. In the spring of 1811, a British frigate held up an American ship near the harbor of New York and "took from her John Diggio, an apprentice to the master of the brig and a native of Maine." While cruising under orders from the Secretary of the Navy to prevent such outrages, Commodore John Rodgers, commanding the frigate, *President,* came to blows with the British sloop *Little Belt,* smashed

her upper works, and killed several of her seamen. If the country had been hunting a pretext for taking up arms against England in defense of commercial rights, it could easily have found one.

As a matter of fact, the rising tide of opinion which bore Congress along in the direction of war did not flow primarily from the commercial sections of the country. It is true that one branch of American mythology represents the second war with England as springing inevitably from her depredations on American trade and her impressment of American seamen, but the evidence in the case does not exactly support that view. Northern shipowners, upon whom the losses fell with special weight, did not ask for armed intervention. On the contrary, they took great pains to prove that the federal government's report listing thousands of impressment outrages was false and they were almost unanimous in their opposition to drawing the sword against England. Moreover, it must be remembered that two days after the United States declared war—before news of the event reached London—the British government withdrew its obnoxious Orders in Council, leaving only the impressment issue unsettled by parleys and diplomacy. If, as had been said, that alone was sufficient cause for war, the fact remained that the communities which suffered most from it did not so regard the matter.

It was in other quarters, as Pratt has conclusively shown in his Expansionists of 1812, that the war fever was rising. All along the frontier from Vermont to Kentucky, advancing pioneers were ready for a new onward surge. Western New York and the Ohio country were filling up with settlers and the call for more virgin soil was being heard in the land. Fully understanding the significance of this demand the Indians, with unerring instinct, turned to the British for help—and received it. Since Canada was still sparsely settled and the western region practically given up to the fur-trading interests, the Indians and British could, without any difficulty, make a common cause against

Americans, both being eager to preserve against the oncoming pioneer the hunting grounds that were the haunts of fur-bearing animals.

In these circumstances, whole tribes of Indians on both sides of the boundary between the United States and Canada—one estimate placing the number at sixty thousand—came under British influence and were ready at any signal to fall upon American outposts with fire and tomahawk. It was to this factor in the diplomatic game that Henry Clay referred when he called for the acquisition of Canada in a war on England, exclaiming: "Is it nothing to us to extinguish the torch that lights up savage warfare? Is it nothing to acquire the entire fur trade connected with that country and to destroy the temptation and opportunity of violating your revenue and other laws?"

Besides getting rid of the Indian barrier to the advance of the agricultural frontier, besides gathering in the rich fur trade enjoyed by the British, the American war party also hoped to acquire the farming lands of Canada. When in 1811 the delicate matter of relations with England was being debated in the House of Representatives, the chairman of the select committee to which the issue was referred frankly exposed substantial reasons for taking up arms against that country. "We could deprive her," he said, "of her extensive provinces lying along our borders to the North. These provinces are not only immensely valuable in themselves, but almost indispensable to the existence of Great Britain. . . . By carrying on such a war . . . we should be able in a short time to remunerate ourselves ten fold for all the spoliations she has committed on our commerce."

Geographical destiny seemed also to indicate the way. "The waters of the St. Lawrence and the Mississippi," asserted another member of the House, "interlock in a number of places; and the great Disposer of Human Events intended those two rivers should belong to the same people."

If farmers of the Northwest were to get their portion

from a war on England, planters of the South were also to have a reward. For a long time leaders in that section, especially in Georgia and Tennessee, had looked upon the two Floridas as a part of their economic empire. That broad belt of land cut off the gulf on a long coast line; it was inhabited by Indians who sometimes made expeditions into the United States; and in its hospitable swamps and everglades runaway slaves found a refuge. Here were strategic reasons for extending the "natural frontiers" of the United States.

Moreover, there were questions of legality to be considered. Since the terms of the Louisiana Purchase were vague, the American war party could advance a claim, however dubious, to West Florida, and since Spain owed American citizens a large bill for damages done to their trade, the same ambitious faction felt justified in seizing East Florida by way of compensation.

Finally there were contingencies. As the Spanish monarchy was allied with England in the European war, its Florida territory might serve as an English base if hostilities arose between the United States and the mother country. So West Florida was declared to be American soil and to complete the operation, Congress, early in 1811, authorized the President to take possession of East Florida and hold it pending negotiations. It was abundantly evident by 1812 that a war with England might bring about the consummation so devoutly wished.

In the grand sweep of their imagination, "the expansionists of 1812" also brought Mexico within their range. In 1804, John Adair, a valiant soldier who later served under Jackson at the battle of New Orleans, wrote to James Wilkinson, the ambitious freebooter: "The Kentuckyans are full of enterprise and although not poor, as greedy after plunder as ever the old Romans were; Mexico glitters in our eyes—the word is all we wait for." Two years afterward Aaron Burr launched his expedition to realize among other things the hope of the southwest, namely,

wresting Mexico from Spain and bringing a new empire under Anglo-Saxon hegemony.

Burr failed but his project was not forgotten. "Citizens of the West," exulted a writer of Nashville in the spring of 1812, "a destiny still more splendid is reserved for you. Behold the empire of Mexico. . . . Here it is that the statesmen shall see an accession of Territory sufficient to double the extent of the republic." If the whole program could be carried into effect, the "new United States" of which Clay spoke would include the continent of North America. At all events within a few years Stephen Austin was occupying Texas.

It was the men of the agricultural frontier who cherished these ambitions and at last brought about the declaration of hostilities against England in 1812. There is no doubt on that point. Professor Pratt has plotted on a map the constituencies of the congressmen who voted for the war resolution and has shown that their districts stretched from New Hampshire to Georgia in the form of a great crescent bending westward. "From end to end," he says, "the crescent traversed frontier territory, bordering foreign soil, British or Spanish, or confronting dangerous Indian tribes among whom foreign influence was suspected and feared. . . . Nothing could better demonstrate the frontier character of the war spirit than to observe its progressive decline as we pass from the rim of the crescent to its center at the national capital. Expansionist enthusiasm declined even more rapidly."

Equally rooted in practical considerations was the opposition to the war. "The Federalist party," continues Professor Pratt, "grounded chiefly in the mercantile and financial interests of the coast towns, the college-bred professional men, the more solid and 'respectable' elements in society, was fairly homogeneous in its creeds of both foreign and domestic politics. Abroad it looked upon Napoleon as Anti-Christ and endorsed Pickering's famous toast, 'The world's last hope—Britain's fast-anchored isle.' In home

affairs, it was convinced, not without cause, that the Republican administration had deliberately resolved to ruin its commerce and dissipate its prosperity. Holding these views, it could see no worse national crime than a war against England which would render indirect aid to Napoleon, and no worse disaster to its interests than a form of expansion which would mean new states to increase the Republican strength in Congress." There was the alignment of the forces for and against the second war with England.

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Although the war party was united in overbearing the Federalists and their allies, it was sharply divided against itself over aims and methods, and displayed in that schism a fatal weakness which in the end balked the purposes of both factions. The southern planters who wanted the Floridas looked with deep misgivings upon the project for adding Canada to the growing power of the North; while northern farmers who wanted Canada did not actually wish to see the planting wing strengthened by new estates on the Gulf of Mexico.

This division in opinion appeared in 1811, even before war was declared. When a provisional scheme authorizing the President to raise forces competent to conquer Canada was presented in Congress, "an almost solid South joined with Federalist New England to defeat it." The same discord was manifest a few days after the declaration of war, when the House of Representatives passed a bill empowering the President to occupy East and West Florida. This was, of course, pleasing to the southern contingent, but as soon as an amendment was offered in the Senate looking toward the possession of Canada also, it was voted down by a combination of Federalists and southern Republicans. Once more the issue was presented in 1813 in the form of a bill providing for the occupation of East Florida, which was demolished by a bloc of Senators drawn mainly

from the region north of the Potomac. "We consent that you may conquer Canada; permit us to conquer Florida!" exclaimed a Federalist statesman taunting the war faction; but if this was the exact language of the bargain, the parties to the contract could not on any terms unite in an efficient effort to realize their conflicting aims.

The truth seems to be that President Madison's administration—"the Virginia dynasty"—although it was ready enough to annex the Floridas, was lukewarm on the conquest of Canada. At least it was fully aware of the dangers inhering in that operation. Time and again John Randolph of Roanoke, bitter foe of the war, had informed the public that the seizure of Canada would assure northern supremacy over the planting interest and had openly warned his brethren against it. In one of his outbreaks against the expansionists of the Northwest, he declared: "Canada seems tempting in their sight. That rich vein of Genesee land which is said to be even better on the other side of the lake than on this. Agrarian cupidity, not maritime right, urges the war. . . . It is to acquire a preponderating northern influence that you are to launch into war."

Beyond all question, James Monroe, who served first as Madison's Secretary of State and then as head of the War Department, shared Randolph's dislike of the Canadian adventure, going so far as to say bluntly that the invasion of Canada was to be viewed "not as an object of the war but as a means to bring it to a satisfactory conclusion." Indeed, if we may believe General Armstrong, who was forced out of the War Department by Madison, Monroe actually instructed the southern generals on the northern front "not to do too much," explaining to them "that this was secretly the wish of the President."

Although there was some spleen in the General's statement, there can be no doubt about three facts pertinent to the controversy: the northern wing of the war party was rather indifferent about the seizure of the Floridas; the southern wing did not look upon the conquest of Canada

with enthusiasm; and the direction of the war was in the hands of the southern contingent.

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Such was the background for the great decision made by the federal government in 1812 and of the armed conflict it waged. Such were the primary causes of the "second war for independence," as it is often called. Such were the ambitions that inspired the belligerent party which took possession of the House of Representatives in 1811—the party headed by leaders known in history as "war hawks."

Lest there be some doubt as to the real goal ahead, its views were fairly voiced by two young members destined to be mighty figures in the nation: Henry Clay of Kentucky and John C. Calhoun of South Carolina. Both were passionate in their demand for war. Both spoke for the expansionists. Clay, in a blaze of enthusiasm, announced that "the militia of Kentucky alone are competent to place Montreal and Upper Canada at your feet." Calhoun with equal confidence exclaimed: "So far from being unprepared, Sir, I believe that in four weeks from the time a declaration of war is heard on our frontier, the whole of upper Canada and a part of lower Canada will be in our power." With leaders capable of making such forecasts, the rank and file behind the "war hawks" were even more impatient to fling the burden of fire and sword on the army and the navy.

So in June, 1812, the resolution breaking with Great Britain passed the House of Representatives by a vote of seventy-nine to forty-nine and the Senate by nineteen to thirteen—with the spokesmen of the South and West aligned against the members from the commercial Northeast. In this light manner planters and farmers precipitated a struggle on land and sea for which they had made no effective preparation.

At the moment the standing army had about seven thou-

sand men in the field and it was necessary to enlarge the land forces immediately. Instead of profiting from the experiences of the Revolution, Congress resorted to the old devices which had proved so costly then: it supplemented the regulars by a volunteer force and appealed to the state militia. It even made one mistake which had been avoided in the war for independence: refusing to create a unified command under a single general, it committed the grave task of directing the war to many hands. Moreover, it entrusted the business of furnishing supplies and munitions to political contractors later characterized by General Upton as a "swarm of parasites who fattened upon every reverse to our arms."

As a result of these measures and policies, the only offensive stroke of power which the government could really make, namely, an invasion of Canada, failed to accomplish its objective. There was the usual display of valor on the part of officers, regulars, and the best of the militia but their achievements were all out of proportion to their sacrifices.

When the war commenced there were about five thousand British regulars in Canada. Instead of making one consolidated drive upon them and destroying them in a single campaign, the Madison government, divided in counsels and hampered by the anti-war party, made one half-hearted attempt after another, dragged out the war for nearly three years, summoned innumerable bodies of militiamen to the colors, lost more than five thousand soldiers, killed and wounded, and in the end did not destroy the British and take Canada.

Again and again raw recruits failed to meet the iron test. On one occasion four thousand mounted men from a section that had cheered for the war abandoned their commander before they came within a hundred miles of the enemy and rushed back in haste to their homes. On another occasion a body of militia refused to cross into Canada to support their American brethren engaged in a des-

perate and unequal contest a short distance away—because, the officers alleged, the men were not lawfully bound to serve outside the country.

In the course of this strange contest, the United States called out about fifty thousand regulars, ten thousand volunteers, and four hundred and fifty thousand militiamen to cope with British forces which at the moment of greatest strength did not exceed seventeen thousand disciplined soldiers. On one side of the ledger Madison's administration could show some minor victories in the North and Andrew Jackson's triumph at New Orleans; on the other side it had to place the capture of Detroit by the British, an invasion of New York, and the destruction of the federal buildings in Washington.

The navy within the limits of its equipment was in a better condition than the army. It was not hampered by state interference or by the necessity of handling raw militiamen but it had neither the tonnage nor the guns required for a contest with the greatest sea power on earth. Called upon to defend a long coast line and protect an extensive commerce, it rendered a good account of itself. Perry's victory on Lake Erie, Macdonough's stroke at Plattsburg, and the stirring deeds of Lawrence, Rodgers, and a score of commanders bore testimony to the valor of American seamen. Aided by a swarm of privateers the navy for many months worked havoc on British commerce, repaying the patriots for some of the depredations committed by captains of King George under the guise of "international law."

All this was heroic and afforded new pages for romance but it was not war and the government of the United States was in no position to wage one efficiently. When the British ministry finally awoke to the gravity of the situation, it brought its superior sea power to bear on America with awful effect. It blockaded the Atlantic coast, paralyzed American commerce, foreign and domestic, and held the whole seaboard in a vise-like grip.

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After a few months of war it became obvious that neither of the contending powers was able to deliver a mortal thrust. Indeed they had hardly begun it when they wished themselves out of it. Less than a year after the first gun was fired, President Madison accepted a tender of mediation from Russia. In reply to the same proposition, Great Britain, not adverse herself, expressed a preference for direct negotiations, offering an olive branch which was eagerly grasped by the President. In July, 1814, authorized delegates of the warring nations met at Ghent and after prolonged negotiations reached an agreement on Christmas eve,—a few days before General Jackson's victory over the British at New Orleans.

It is true that neither party was altogether happy with the outcome but both had good reasons for desiring peace. Great Britain, still fearing another storm in France—which soon came with the return of Napoleon from Elba—was ready for a settlement demanding no sacrifices of goods or principles. The government at Washington, on its side, was careening toward bankruptcy; it was issuing treasury notes in large amounts and steadily swinging in the direction of the paper money policies of the Revolutionary War. Its war loan of 1814 was a disastrous failure; the bonds of that issue were sold at a twenty per cent discount, while state banknotes worth only sixty-five cents on the dollar in specie were accepted as cash. And the financiers who gave their support to the loan, limited as it was, insisted, as the price of their aid, that the war should stop. Thus peace was the only alternative to economic collapse, if not the disruption of the Union. Planters and farmers were taught some lessons in finance and patriotism.

So the peace came. When the treaty reached the United States, the people were surprised to find in it no clause forbidding Great Britain to seize American sailors, destroy American commerce on the high seas, search Ameri-

can ships, or support Indians on the frontier. It was a bitter experience for President Madison to compare his proclamation announcing the objects of the war with the treaty which gathered the fruits of the contest.

Nevertheless we are told when news of the settlement arrived, the people "passed from gloom to glory." Bells pealed in the church steeples; restive school children were released for a holiday; flags were flung out; and the taverns were crowded with patriots drinking toasts to the triumph of a great cause. The victory of General Jackson at New Orleans seemed a grand climax for the celebration.

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Throughout this controversy over foreign affairs extending from the inauguration of Jefferson to the end of the second war with England in 1815, the division between the commercial and the agricultural interests, to use the language of the day, was clearly discernible. "This war, the measures which preceded it, and the mode of carrying it on," exclaimed Josiah Quincy, the outstanding Federalist champion from Massachusetts, in 1813, "are all undeniably southern and western policy, not the policy of the commercial states." The debates over the Embargo, over measures of national defense, and over taxes to support the government, all betrayed the deep economic cleavage that separated the Northeast from the South and West. When at length war was declared by Congress, the vote, as we have seen, ran true to the line of cleavage, cutting across party ranks and traditional associations.

From the beginning to the end it was the merchants and shipowners who took the lead in opposing the policies and measures of the Republican administration. Though the seamen impressed by the British navy belonged as a rule to their vessels, they apparently did not feel the wound to national honor as deeply as did the planters from the South or the farmers from the West. Though it was

their trade that was preyed upon by British and French sea rovers, they were willing to take the bitter with the sweet, losses accompanied by profits, rather than endure the irksome restraints of the Embargo. When the federal government failed to provide a navy strong enough to protect their commerce and coast fortifications adequate to the defense of their towns, they accused the farmers and planters of being the responsible parties.

To them a flag that did not stand for security on the sea as well as on the land was no flag at all. "The term flag," said Josiah Quincy, "is talked about as though there was something mystical in its very nature—as though a rag with certain stars and stripes upon it tied to a stick and called a flag was a wizard wand and entailed security on everything under it or within its sphere. There is nothing like all this in the nature of the thing. A flag is the evidence of power. A land flag is the evidence of land power. A maritime flag is the evidence of maritime power. You may have a piece of bunting upon a staff, and call it a flag, but if you have no maritime power to maintain it, you have a name and no reality; you have the shadow without the substance; you have the sign of a flag, but in truth you have no flag."

After the Republicans had declared war, spokesmen of the commercial interests continued their opposition. They began by filing a minority report in Congress which condemned the administration in severe language and, until peace was finally effected, they worked hard to thwart and prostrate the financial and military measures of the administration. No doubt, they offered coöperation on condition that the invasion of Canada be abandoned, that the land forces he confined to defending existing territory, and that the war on the sea be pressed with vigor. But failing to get their own way, they poured the vials of their wrath on the government, denouncing the invasion of Canada and seeking to hamper it. Voicing their sentiments, Josiah Quincy cried out in Congress that the attack on

northern neighbors was less defensible than the conduct of Captain Kidd, the pirate, and the West Indian buccaneers.

In the same strain opponents of the war railed at every one of the administration's bills for raising troops. When, in the hour of distress, the government was driven to the last resort, the draft, Federalist orators exhausted their eloquence in resisting it. In this affray they summoned to their aid the powerful intellect of Daniel Webster, then a young member of the House of Representatives, who responded to the call in a vehement speech—one so furious that it was deemed expedient to suppress its publication for nearly a hundred years.

Without mincing words, Webster accused the majority of trying to demonstrate "that the government possesses over us a power more tyrannical, more arbitrary, more dangerous, more allied to blood and murder, more full of every form of mischief, more productive of every sort and degree of misery than has been exercised by any civilized government, with a single exception, in modern times." He protested because the battles which the conscript was made to fight were "battles of invasion," warned his hearers that "the nation is not yet in a temper to submit to conscription," and vaguely hinted that the pursuit of such policies might end in throwing away the government and dissolving the Union.

In a similar vein the Federalists and a few Republican allies tried to defeat the loan bills and the tax projects devised by the administration for the support of the army and navy. Finally northern critics attacked slavery itself as the basis of the planters' power in a government that forced them to endure and sustain a war they hated.

During this contest of orators, the contending Federalists and Republicans reversed their theories of the Constitution, thereby revealing again the intimate essence of high juristic doctrines. In the earlier years when the representatives of the commercial states, spokesmen of trade, finance, and industry, earnestly wished to fund the conti-

nental debt at face value, transfer the burden of state debts to the national treasury, found a bank that would serve business enterprise and enhance the value of federal bonds, enact tariff laws protecting industry, pass statutes encouraging shipping by bounties and preferences, and stifle criticism by sedition bills, the Federalists were hardly able to find language strong enough in which to express their feelings about maintaining national supremacy, repressing states' rights, and upholding the broad view of the Constitution. Being in possession of the government, they easily assumed that Congress could lawfully do anything which they thought "necessary and proper."

On the other hand, the Jeffersonians, then out of power and opposed to most of the economic measures sponsored by the Federalists, took the opposite tack. Everything they did not like was unconstitutional and the United States was to them little more than a league of independent commonwealths.

But as soon as the tables were turned, philosophy turned a somersault too. Republicans now displayed as much agility in expounding the constitutionality of their own measures as they had once showed in opposing Hamilton's measures. When Jefferson was troubled with constitutional scruples in connection with the Louisiana Purchase, as we have seen, he did not press the point; on the contrary, he wrote that "the less that is said about any Constitutional difficulty, the better. Congress should do what is necessary in silence." When Josiah Quincy, angry over the admission of the state of Louisiana, invoked the right of secession, it was a southern member of Congress who called him to order. When pacific resistance to the Embargo appeared in New England, ten years after Kentucky's defiance and twenty years before South Carolina's nullification, it was a congressman from North Carolina who spoke boldly of enforcing federal authority. "What!" he exclaimed. "Shall not our laws be executed? Shall their authority be defied? I am for enforcing them at every

hazard." When the minority in Congress protested against the war, President Madison pronounced the act akin to treason.

With the same facility the Federalists now took the narrow view of the Constitution and defended the sovereignty of the state, playing their new rôle with as much astuteness as they had played the old. In keeping with changed circumstances, everything they opposed they declared unconstitutional: the Louisiana Purchase was unconstitutional; the Embargo was unconstitutional; the admission of Louisiana as a state was unconstitutional. It was a rare war measure that did not violate the law of the land. "The issue of paper money receivable in taxes," complained Quincy, "was unconstitutional because it was a violation of faith previously pledged."

In fighting the conscription act, Webster also took refuge in the Constitution. The principles of the bill, he said, "are not warranted by any provision of the Constitution . . . not connected with any power which the Constitution has conferred on Congress. . . . The Constitution is libelled, foully libelled. . . . Where is it written in the Constitution, in what article or section is it contained that you may take children from their parents and parents from their children and compel them to fight the battles of any war in which the folly or the wickedness of Government may engage it? . . . An attempt to maintain this doctrine upon the provisions of the Constitution is an exercise of perverse ingenuity to extract slavery from the substance of a free Government."

And if the federal government insisted on enforcing unconstitutional laws, then, shouted Josiah Quincy, speaking for Massachusetts, in the language of Kentucky, "the people of each of the associated states are competent not only to discuss but to decide." Higher than this line of argument it was not possible for them to go.

Such criticisms were by no means confined to Congress. While the national government was waging its desperate

contest, first by diplomacy and then by arms on land and sea, against a formidable antagonist, even while the capitol of the United States was being sacked and burned by the enemy, whole sections of the commercial states were in open and active opposition to what they contemptuously called "Mr. Madison's war."

By formal resolutions official bodies in New England roundly condemned it. A Boston town meeting saw in "the calamities of the present unjust and ruinous war" and the disturbances connected with it, nothing but a prelude "to the dissolution of all free government and the establishment of a reign of terror." The lower house of the Massachusetts legislature called upon the people to organize a "peace party" throughout the country. "Express your sentiments without fear," ran the clarion appeal, "and let the sound of your disapprobation of this war be loud and deep. . . . If your sons must be torn from you by conscriptions, consign them to the care of God; but let there be no volunteers except for a defensive war."

Individuals went beyond official bodies in expressing their emotions. Some members of the Massachusetts legislature were for an open break with the administration at Washington, one of them venturing to declare that he would rather have the British constitution, "Monarchy and all," than the American Constitution with embargoes. Another exclaimed that "the sooner we come at issue with the general government the better." In the same spirit of aggression the Boston Daily Advertiser proposed that New England withdraw from the war, proclaim her neutrality, and make a separate treaty with George III. Taking another tack, the Boston Gazette suggested that the peace party should follow "the example of the convention of which the revered Washington was president," and call a national assembly for the purpose of framing a new constitution to be binding on two, three, four, five, or any number of states ratifying it.

In a philosophical vein, the leading Federalist paper of

Boston, the Columbian Centinel, declared that the allegiance of citizens to the federal government was secondary and qualified while their allegiance to their respective state governments was natural, inalienable, and founded on the will of God "as collected from expediency." More material to the outcome, the financial interests of New York and Boston—still Federalist in politics and opposed to a war forced upon them by planters and farmers—failed to come whole-heartedly to the aid of the administration. In fact, the sale of government bonds in northern cities was deliberately subjected to capitalistic sabotage and the sinews of war withheld from a government fighting for its life.

Resistance to federal authority was by no means limited to paper declarations and private agreements. When, on the authority of the President of the United States, General Dearborn appealed to the governor of Massachusetts for certain militia detachments to protect the country against the foe, the latter, with the approval of his council, bluntly refused to accede to the request. Instead of rushing to arms in defense of the flag, he proclaimed a fast day as an atonement for waging war "against the nation from which we are descended and which for many generations has been the bulwark of the religion which we profess." Equally recalcitrant, the governor and the legislature of Connecticut refused to supply their quota of militiamen and let the President know that "the state of Connecticut is a free, sovereign, and independent state; that the United States are a confederacy of states."

To speak summarily, all the New England governors, except the chief executive of New Hampshire, took the position that they could comply with demands for militiamen or reject them, as their judgment dictated. In practice, they did not oppose recruiting for the United States army by "lawful" process within their states, or attempt to block volunteering; indeed, Massachusetts furnished more soldiers to the regular army than any other state save New York. But they held that the Constitution did not

authorize the use of the militia except to execute the laws of the Union, suppress insurrection, and repel invasion, and they were no doubt happy to have legal warrant for declining to aid in the prosecution of the war.

Determined to make resistance to "Mr. Madison's war" more effective, the Massachusetts legislature in October, 1814, issued a call to the other states to send delegates to a general convention "for the purpose of devising proper measures to procure the united efforts of the commercial states, to obtain such amendments and explanations of the Constitution as will secure them from further evils." Connecticut and Rhode Island responded favorably; local conventions in New Hampshire and Vermont promptly chose representatives; and the assembly met at Hartford on December 15, 1814.

In theory and in fact, the Hartford convention was a congress representing commercial interests—appealing to the trading states as against the agricultural sections of the South and West. It set forth, without redundant verbiage, the proposition that the Union was a balance of economic powers and that the commercial states were in mortal danger of being dominated and ruined by a combination of southern planters and western farmers.

Distinctly avowing its purpose to be the protection of the trading interests against agrarian majorities in the Congress of the United States, the Hartford convention offered a series of amendments to the federal Constitution. One clause provided that the power of the planting section be reduced by the complete exclusion of slaves from the count in assigning to the states their Representatives in Congress on the basis of population. Other clauses proposed that a two-thirds vote be required in Congress to admit new states, to impose an embargo on foreign commerce, or to declare war, except in case of actual invasion. The language of the Hartford resolutions, though temperate, was firm, the concluding passages warning the country that if the application for amendments was not

successful and the war continued to rage, it would be expedient to hold another assembly armed "with such powers and instructions as the exigency of a crisis so momentous may require."

In answer to the defiant policy of New England, the federal government resorted to no caustic measures. In preparing his message of November, 1812, President Madison felt "constrained to advert to the refusal of the governors of Massachusetts and Connecticut to furnish their required detachments of militia," but Congress passed no alien and sedition acts, created no system for spying upon citizens, made no provision for hunting down those who could see neither justice nor wisdom in the war. Crabbed old John Randolph of Roanoke laughed loud and long when he read that the New England Federalists were standing forth in shining armor as apostles of nullification and the champions of states' rights. The Richmond Enquirer, as if forgetting the Kentucky and Virginia resolutions, broke out in moral indignation: "No man, no association of men, no state or set of states has a right to withdraw itself from this union of its own accord. . . . The majority of states which form the union must consent to the withdrawal of any one branch of it. Until that consent has been obtained, any attempt to dissolve the union or to obstruct the efficacy of its constitutional laws, is Treason—Treason to all intents and purposes."

But the federal government enacted no such sentiments into law, and fortunately for the country, the arrival of news of peace, early in 1815, made it unnecessary for the New England Federalists to hold another convention at Hartford, or anywhere else. Nearly half a century beyond the portals of the hour lay Fort Sumter.

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The close of the second war with England and the fiscal policies pursued by the government in settling its troubled

estate completed the discomfiture of the Federalist party as an organization. With respect to the old issue of the tariff, the revenue act of 1816, made necessary by the requirements of war finance, afforded a degree of protection to American industries that would have delighted Alexander Hamilton. A warm champion of the measure, Clay saw in it the beginning of an American system. Calhoun declared that it guaranteed a domestic market to farmers and planters and made them independent of the vicissitudes of European wars. After protesting mildly in the name of her shipping interests at the moment engaged in a prosperous carrying trade, New England turned to industries fostered by a benevolent shelter. Everywhere the manufacturers—who had flourished while English competition had been cut down by the war—rejoiced in the conversion of the Jeffersonians to "sound national doctrines."

In reforming their disordered finances just as in framing tariff schedules, the Republicans felt compelled to resort to Federalist policies, by establishing a second United States Bank. During the war, the management of the treasury had been unhappy, to say the least. The government had been seriously embarrassed by the refusal of the banking interests to give their loyal support; and the incapacity of the Republican fiscal system to bear extraordinary strain had been amply demonstrated.

Indeed, it could hardly be said that there was any system. On the expiration of its charter in 1811, Hamilton's Bank had been allowed to lapse; and the banking business of the country had passed into the hands of numerous state corporations and concerns of varying strength and soundness. In five years the number of these institutions had increased from eighty-eight to two hundred and forty-six and their note issues had risen from about fifty million to approximately one hundred million dollars—an inflation so magnificent that all but the New England houses suspended specie payment when the city of Washington was captured by the British.

The effect of this chaos on the Madison administration was disastrous. An agricultural government, without the support of a national banking institution, without the generous assistance of the strongest northern banks, it had to finance its operations on the basis of its dubious credit—with baleful results. For its bonds floated between 1812 and 1816 totaling over $80,000,000, the treasury received only about $34,000,000 measured in specie; and in the process the government increased its obligations from $45,200,000 to the appalling sum of $127,334,000, the increment alone amounting to more than the domestic debt incurred during the Revolutionary War and funded by Hamilton.

Accordingly, the economic position of the Republicans in 1816 was very delicate. Their bills were pressing and, in meeting their debts, they had only two alternatives: they could make terms with the bankers of the Northeast or they could create a new national bank under their own political auspices—an insistent dilemma in which they adopted the latter expedient. If this choice compelled them to reverse their position on the legality of the Bank, at least they could say that it spared them a greater humiliation, a Republican surrender to private finance. Even Madison could bring himself to accept the unavoidable. Years before he had declared Hamilton's Bank unconstitutional; the Constitution remained unchanged, but he approved the new bank bill when presented by Congress. So it became a law and by a single stroke an energetic body of men associated with the public debt and the national banking system was temporarily attracted to the Republican interest—Jefferson's agricultural interest—just as in former times a similar group had been affiliated with the Federalists. Although a few old radicals like John Taylor who had thundered against the "corrupt squadron" in Washington's administration protested against "the surrender to the money power," their outcries were in vain. The new Bank was duly chartered in 1816.

Encouraged by the turn in national politics, cautious and wise Federalists, who had a keen sense for the substance of things, gradually shifted to the Republican side. A faithful "rear guard" put up a candidate at the presidential election of 1816, but, after a thorough drubbing at the hands of James Monroe, even it withdrew from the national field and confined its actions, steadily diminishing, to state elections. Harmony then became the keynote. When President Monroe made a grand tour of New England in 1817, the hard-boiled Boston Centinel burst forth in generous words of praise, under the caption: "The Era of Good Feeling"—a phrase that was echoed by the populace and with some reason applied to the eight years of Monroe's service in the White House.

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This process of conciliation was aided by the temporary drift in the affairs of Europe. While the restoration of the Bourbons in France, after the overthrow of Napoleon at Waterloo in 1815, had allayed the fears of the most incorrigible Federalist, the course of the French Revolution through Jacobinism, dictatorship, empire, and restoration had dashed the high hopes of the most loyal Democrat. Gains had been won for liberty—France was at last a constitutional monarchy—but disillusionment was for more than a decade the dominant note among the Jeffersonian radicals. A great experiment in human rights had been made in Europe but at great cost and with results that fell far short of the aspirations cherished by the idealists of 1793. It seemed, therefore, as if both Federalists and Republicans had heard enough of European politics and were ready to turn their backs resolutely on the quarrels of the Old World.

It was not possible, however, for the stoutest apostle of isolation to avoid altogether the politics of international contacts. Indeed, a short time after the collapse of

Napoleon at Waterloo, the government at Washington was engaged in a serious negotiation with Spain over the fate of the two Floridas, a fate left unsettled by the War of 1812. All the reasons that had led the expansionists of that year to covet the two provinces were still operating. All the grievances that had then afforded grounds of irritation—smuggling across the border, Indian raids, and the escape of slaves into the everglades—were still unredressed. At the same time, Spain, weakened by domestic disturbances and engaged in a contest with her rebellious colonies in South America, was in no position to govern the troublesome Floridas or remove the causes of American discontent. Thus, the seal of propriety was given to punitive expeditions.

In 1818 another Indian outbreak snapped the tension. General Andrew Jackson, acting on vague orders from Washington, led his impetuous men across the border into Spanish territory and commenced a diligent search for offenders against American security and peace. He took possession of St. Marks and Pensacola, summarily hanged two British subjects engaged in dubious undertakings along the coast, and in effect established American sovereignty over the entire region. In these circumstances, there was nothing for the King of Spain to do but make the most of the inevitable, and accordingly, on Washington's birthday in 1819, his minister in Washington signed a treaty yielding the Floridas to the possessor. In exchange the United States agreed to pay five million dollars to its own citizens, discharging claims for damages to American commerce committed by Spanish authorities during the recent European war. As a part of the general adjustment the Secretary of State also accepted the Sabine River and a line drawn to the northwest as the boundary of the Louisiana Territory, in this way disposing of a long-standing uncertainty. Though, in the acquisition of the Floridas, more territory was secured, it did not appear that President Monroe was worried by constitutional scruples. His friend

and adviser, Jefferson, still lived but doubts on the point had been laid by tradition.

Hard upon the heels of the Florida purchase came another incident in foreign relations which brought the transactions of Europe forcibly into the purview of American politics. Once more the unsettled state of Spain was the cause of trepidation. During the Napoleonic upheaval and the dissolution which followed, Spanish colonies on the American mainland declared their independence, precipitating a costly and desultory war between the metropolis and the former provinces. In her enfeebled state Spain could not subdue the rebels; in her pride she could not yield to them. And while the struggle was in course, another revolution broke out in Madrid and spread to Italy, threatening the security of the recently pacified Europe. In his dilemma, King Ferdinand frantically appealed to friendly monarchs for assistance.

His brethren of the purple, eager to suppress revolution in the Old World, naturally sympathized with projects for putting down similar disturbances in the New World. On opposition to republics and representative government, the sovereigns of the Continent were all strongly united. Indeed, three of the great autocracies—Austria, Prussia, and Russia—were already formally bound, under the Holy Alliance of 1815 and collateral agreements, to coöperate in maintaining the status quo and in preserving the purity of the monarchical principle. Given a pretext for common action by alarming events in Spain and Italy and moved by appeals for help against popular uprisings, the leading powers sent delegates to a conference at Verona in 1822 to see what could be done to stabilize Europe. It is true that on due deliberation the diplomats shrank from promising direct support to King Ferdinand, but their sympathies were unmistakable. The Tsar of Russia, who in virtue of his extensive claims along the west coast of North America had interests in both hemispheres, was more than platonic: he proposed that military aid be rendered to Spain in her

domestic difficulties, paving the way for a possible restoration of Spanish sovereignty over the former provinces, now pluming themselves as republics.

To these plans England refused to become a party. The rising flood of British democracy that was soon to carry the reform bill of 1832 was even then breaking over the bulwarks of established institutions, warning the Tories in office against reactionary adventures abroad. Furthermore, British statesmen, deriving their powers from Parliament, could not consistently approve the doctrines of Verona or give aid to the Spanish monarch in a war on representative government. Still more potent, perhaps, in restraining the London cabinet was the opposition of British merchants to any indorsement of Spain's projects for recovering her American resources. Having built up a lucrative traffic with her colonies after the monopoly of Madrid was broken by revolt, traders on the banks of the Thames were in no mood to see their business destroyed by a restoration of Spanish authority. Thrown thus by political and economic interests on the side of non-intervention in behalf of Spain, the British secretary for foreign affairs, Canning, suggested to the American minister in London coöperation between the United States and England in resolving the Spanish-American crisis.

At the same time, the government at Washington, with John Quincy Adams as Secretary of State in the lead, was taking its bearings. Fully appreciating the importance of the news that Great Britain would not assist the despotic continental powers, President Monroe consulted Madison and Jefferson, receiving from them advice to join forces with England in opposing the restoration of Spain's dominion in the New World. In all other official circles the issue likewise became a subject of animated discussion—so many men expressing similar views on the crisis that the authorship of the policy later known as the Monroe Doctrine was obscured by a cloud of witnesses. With good authority it has been accorded to Adams; with equal sanction the honor

has been conferred upon Monroe; a few English writers have put in the claims of Canning.

Undoubtedly the influence of Adams was very great but the idea was in general circulation. The logic of the situation was manifest and Monroe understood it as well as any member of his administration. A fair judgment, therefore, seems to be that the historic Doctrine was the fruit of collaboration by the President, the Secretary of State, and their close political counselors.

The result of their deliberations was embodied in Monroe's message to Congress on December 2, 1823, in which he served notice forcefully and definitely on the autocrats of Europe that he would regard "any attempt on their part to extend their system to any portion of this hemisphere as dangerous to our peace and safety." With the same precision, he declared that, while the United States would not interfere with the colonies in the Western Hemisphere still possessed by European powers, it would range itself on the side of those that had declared their independence. Any attempt by a European country to oppress or control them, he declared in a voice of warning, would be viewed here as "the manifestation of an unfriendly disposition toward the United States."

Besides disposing of that matter, the President also referred to the claims of Russia on the northwest coast. With respect to such pretensions, he admonished all and sundry that "the American continents, by the free and independent condition which they have assumed and maintained," are not henceforth to be considered "as subjects for future colonization by any European power."

Happily formulated, favored by the times, and backed in effect by the British navy, the Monroe Doctrine at once gained a potency in world affairs that went far beyond the military strength of the rising American republic. In the circumstances, neither Spain nor any of her continental associates was in a position to make an effective answer to the ultimatum; so the President's triumph was complete. For-

tunately for him, too, the Doctrine pleased all factions in the United States. Democrats saw in it a vindication of revolutionary principles in the spirit of Thomas Jefferson and agricultural imperialists read between the lines the promise of a free hand in the Southwest. Federalists, discovering in the Doctrine a guarantee that Latin-American ports would be open to their enterprise, added their joyful praise to the general pæan. When his term came to an end, Monroe could retire amid the plaudits of his countrymen. It was gratifying to "the bantling America," if somewhat ironical, that a member of the old régime who opposed the adoption of the Constitution could strike a note of such sweeping nationalism.

## CHAPTER X

## *The Young Republic*

THE launching of the new republic produced a ferment of ideas that touched all shores of thought and challenged all the creative energies of the American people. In the profound economic and political movements of the period were effected deep changes in the whole cultural life of the country—its class arrangements, intellectual concerns, æsthetic interests, provisions for the promotion of knowledge and encouragement of the arts.

From foreign sources came impacts scarcely less disturbing to the culture handed down from colonial times. When the provincial status under an insular Britain was cast off, closer affiliations were formed with other centers than London, from Paris round the world to Canton. The gates were widened for a freer inpouring of French, German, and Italian science and opinion, invigorating every branch of life. The colonies had been essentially British, theological, conservative; the new states born of the Revolution were swept into a national current, made a part of the world system of powers, shaken by the multiplication of secular

interests, and quickened with the dynamic of the progressive philosophy.

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By the requirements of the war and the economic exigencies that followed it, the ablest and noblest minds of the United States were forced to think in common terms of national affairs. While the British government and the British navy defended and controlled the thirteen colonies, that intellectual and moral operation had not been necessary. Now it could not be denied or eluded. The continuing requirements of defense, the funding of the continental debt, the assumption of state debts, the creation of a common currency and banking system, the erection of a customs union, and the enactment of protective legislation for shipping and industry nourished classes that looked to the national government as the center of power, stability, and affection.

Moreover, the establishment of the federal capital—first in New York, then in Philadelphia, and finally in the District of Columbia—provided a metropolis where the representatives of all sections and all interests assembled for negotiation, compromise, and adjustment. Beyond question the social and intellectual effects of a common center were positive and constructive. Farmers and planters, as well as merchants, financiers, and manufacturers, turned to it for aid and comfort in the advancement of their projects, and few were so small in mind that they did not now grasp some concept of national destiny associated with the federal union. Those who henceforth appealed to the American people whether in economic and political argument, in drama, in poetry, in fiction, or in the arts had to reckon with national ideas and national emotions.

The development of a central government—one of the emergencies sprung upon the isolated provincials by independence—was of necessity a secular process, thus falling into line with the whole movement so eloquently described

by Lecky in his history of rationalism. Puritans might lord it over Anglicans in New England, Anglicans might display their pretensions before Catholics and Quakers in Maryland and Virginia, Catholics might long for an establishment of papal authority over all, and Presbyterians might rule with an iron hand their communities on the frontier, but under Providence none of them was strong enough to get a mastery over the federal government, even if the Deists who wielded high powers in the drafting of the Constitution had been willing to bow before the winds of sectarian passion.

Inexorably, therefore, the national government was secular from top to bottom. Religious qualifications for voting and office-holding, which appeared in the contemporary state constitutions with such profusion, found no place whatever in the federal Constitution. Its preamble did not invoke the blessings of Almighty God or announce any interest in promoting the propaganda of religion. Instead, it declared purposes that were earthly and in keeping with the progressive trend of the age—"to form a more perfect union, establish justice, insure domestic tranquillity, provide for the common defense, promote the general welfare, and secure the blessings of liberty to ourselves and our posterity." And the First Amendment, added by the radicals in 1791, declared that "Congress shall make no law respecting an establishment of religion, or prohibiting the free exercise thereof." In dealing with Tripoli, President Washington allowed it to be squarely stated that "the government of the United States is not in any sense founded upon the Christian religion."

Besides rearing a national government on a secular basis, the Revolution and the forces set in motion by it made many modifications in the arrangement and weight of the social classes. Slavery, at the bottom of the scale, was attacked by abolition in northern states and by an extensive voluntary emancipation in the South. Although the system of indentured servitude remained in the full protection of law

and custom, the opening of the western frontier facilitated the rise of freedom in the economic scale and within a generation the immigration of European laborers reduced the practice of indenture to the vanishing point.

At the top of the social order inherited from England and nourished in colonial times dislocations were numerous and significant. In the concrete, the "wealth and talents" of colonial America were decimated by the overthrow of English protectors and defenders. The expulsion and flight of the English official classes—governors, army officers, judges, and retainers of every type—raised to a prouder estate the second stratum of American society—merchants, yeomen, planters, and farmers; and in the general upward heave mechanics soon found their way higher in the scale of things. George Washington could not get an important post in the British army but he became Commander-in-chief of the continental army. John Adams, who in his youth had hoed corn in Massachusetts and in his manhood been snubbed by the superior persons of the British official entourage at Boston, became minister to the Court of King George. Thomas Jefferson, the son of an obscure yeoman of Virginia, was lifted to the post of governor, served as minister to France, directed the nation for eight years as chief executive, and became a leader of defiant democracy, known around the world for his intellectual acumen.

At the very moment when by revolution each stratum of the free society was being raised a notch in the scale, heavy responsibilities for the maintenance of social order and the direction of social destiny were laid upon those who gathered political sovereignty into their hands. They had long been accustomed to a high degree of self-government and that experience was immensely valuable; but their powers had been exercised under the close supervision of British authority—an authority that could be invoked at any moment in the interest of property. Never had they tasted the heady wine of republican freedom to rule or ruin themselves.

So when the protecting walls of the British Empire were shaken down, as the unexpected end of a local outbreak, all the burdens connected with the support of law and order fell upon newly-emancipated governing classes. Inexorably they were invited to consider all questions of religion, ethics, natural science, politics, economics, education, literature, and humanism in a novel relation—in relation to concepts of national destiny. It was in these circumstances that the narrow, stuffy, provincial thinking of the thirteen English colonies flowered into the renaissance of the modern age. If one faction, aided by the old Tories, conceived their task as that of holding slaves, indentured servants, and disfranchised mechanics down to their historic levels, another party rose valiantly above that materialist project and conceived their mission in terms of the larger humanism then sweeping through the western world.

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In the formation of new and vitalizing connections with the Continent were strengthened the slight bonds that had been forged in the realm of culture during the colonial age. Legations were now established in European capitals and diplomatic representatives of the great powers in due time also appeared at the political center of the United States. Naturally the new relations were closest with the French, who had recently been such welcome allies against the English foe. Indeed, several French officers, attracted by the extraordinary opportunities of the New World, remained in America after the war, casting in their lot with the republic. Among them were artists, scientists, and engineers, including Major L'Enfant who, under the direction of Washington and Jefferson, planned the new capital for the United States. Moreover, statesmen and philosophers in France maintained a lively interest in the American experiment. In 1784, Louis XVI offered Harvard a botanical garden filled with plants from his own collection, in order

that American science might receive the stimulus of European experience. French travelers visited the United States and wrote illuminating books on the nature and prospects of the republic.

Simultaneously a French vogue flourished in America. In Puritan Massachusetts arose the American Academy of Arts and Sciences, which deliberately attempted to reproduce "the air of France rather than of England and to follow the Academy rather than the Royal Society." Down in Virginia a French officer, supported by the lieutenant-governor of the commonwealth, organized an Academy of Arts and Sciences and a number of southern gentlemen subscribed heavily to a grand scheme for promoting advanced researches in connection with the institution. If the outbreak of the French Revolution had not placed unexpected obstacles in the way, the project would no doubt have been realized in an impressive style.

Among the many forces which beat upon the new republic through contacts with the Old World, four were of special significance to the development of American culture; namely, the accumulating triumphs of natural science to which all European countries contributed, the achievements of the English inventors who started the technical upheaval known as the Industrial Revolution, the dynamic impulse given to social thinking by the French formulation of the concept of progress, and the intellectual reverberations of the French Revolution in the sphere of politics.

All the scientific forces which had commenced a revolution in the age of Bacon and Descartes multiplied and spread in every direction during the eighteenth century. Joseph Black, a Scotch physician, Bergman, a Swedish investigator, Cavendish, Rutherford, and Priestley, English experimenters, made striking additions to man's knowledge of the material universe. Lavoisier crowned their labors by establishing quantitative chemistry on a sure basis. In electricity Galvani and Volta were making discoveries which broke the way for the work of Morse and Edison.

Physics, botany, zoölogy, comparative anatomy, and physiology were advanced by epoch-making researches which swept into the discard innumerable inherited traditions, superstitions, and vagaries. In 1785, three years before the adoption of the Constitution of the United States, James Hutton of Edinburgh published a new theory of the earth, throwing out a cosmic interpretation that contributed in the decades to come to the series of explosions set off by Lyell and Darwin in England.

Entangled with the researches of the scientists was the work of the inventors, Watt, Arkwright, Crompton, and a host of skillful mechanics, who harnessed power to the engine, fashioned steel fingers capable of spinning spidery threads, and started the emancipation of mankind from the limitations of its material form and physical strength. While American patriots were setting in motion a political avalanche, James Watt was starting a technological drive which destroyed the economic heritage of the centuries.

As fast as scientists and inventors piled up the new knowledge, organizers and publishers distributed it far and wide among the people. While Samuel Adams and Patrick Henry were hammering out their weapons for a social battle in America, Voltaire, Diderot, D'Alembert, Helvetius, and their never-resting colleagues in France were fashioning their vast Encyclopædia—the focus for generations of scientific labors and the starting point for still more expansive efforts. Though associated in the common mind with attacks on religion, its real import was the meager space which it gave to that ancient monopoly as compared with the pages and tomes dedicated to man's understanding of the material universe, his place in it, and the society of which he was a part.

In the midst of the intellectual activities which surged up with increasing power as the eighteenth century advanced was formulated the most dynamic social theory ever shaped in the history of thought—the idea of progress or the con-

tinual improvement in the lot of mankind on this earth by the attainment of knowledge and the subjugation of the material world to the requirements of human welfare. This philosophic attitude, as J. B. Bury demonstrates in his excellent history of the subject, was unknown to the ancients, the Greeks and the Romans, and it was also foreign to the spirit and doctrines of early Christianity. If Plato and Aristotle dreamed of an ideal society in which gentlemen of leisure and taste could enjoy "the good life," they did not imagine the possible realization of their hope by progressive efforts over a long period of years; neither did they stumble upon a thesis of social evolution embracing all classes and representing an infinite series of adaptations to human needs, projected through the endless future.

Equally remote from the mind of the mediæval theologian, with his theory of man's degeneration in this life and dream of bliss in a life to come, was the notion of constant change directed to the material benefit of humanity. Indeed, not until the modern age could philosophy throw off the creed of the baffled earthly life, with its resignation to the brutal yoke of untamed nature.

As Bury points out, certain conditions, appearing only in modern times, were essential to the development of the idea of progress. First of all, there had to be a respect for and interest in the common business of labor and industry —a respect which neither the slave owners of Athens and Rome nor the feudal lords of mediæval Europe could acquire. In the next place, there was necessary a climate for secular thought; the renaissance and the commercial revolution effected in the age of discovery and colonial exploitation brought that factor into play. In the third place, there had to be a liberation from slavish adherence to written books handed down from antiquity and the church fathers; natural science by its emphasis on experimentation and observation wrought that revolution in the realm of mind. Finally, the doctrine of the "invariability of nature" was needed to free human affairs from the

shadow of an angry and interfering Providence—a mysterious force acknowledging no laws and obeying no decrees save those of caprice; Descartes and the philosophic mathematicians of the seventeenth century gave a well-rounded form to that view, so devastating to those who professed an intimate familiarity with the ways and wishes of Almighty God.

By the opening of the eighteenth century the intellectual climate was all set for the idea of progress and in 1737 it was proclaimed by that curious French philosopher, Abbé de Saint-Pierre, in a work entitled, Observations on the Continuous Progress of Universal Reason. "Here," as Bury says, "we have for the first time, expressed in definite terms, the vista of an immensely long progressive life in front of humanity. Civilization is only in its infancy. Bacon, like Pascal, had conceived it to be in its old age. . . . The Abbé was the first to fix his eyes on the remote destinies of the race and name immense periods of time." At last, wrote Saint-Pierre in effect, by shaking off its inertia and taking thought, mankind can do more to improve its condition in a hundred years than it has done in two thousand years of traditional complacency.

Once announced in France, the thesis worked irresistibly among the thinkers who were preparing the way for the Revolution in that country. The Encyclopædists were more or less swayed by it. Abbé Morellet dallied with it. In 1770, Sebastien Mercier gave it popular currency in Germany and England as well as France, by his futurist novel, L'An 2240.

Two years later Chevalier de Chastellux, who was in a short time to serve in the war of American independence and write a remarkable work on American society, advertised the creed in his book, On Public Felicity, portraying as the goal of progressive endeavor a happiness which consisted "in external and domestic peace, abundance and liberty, the liberty of tranquil enjoyment of one's own." The extraordinary signs of it he proclaimed to be "flourish-

ing agriculture, large populations, and the growth of trade and industry." Then, in the year that Jefferson wrote the Declaration of Independence asserting as nature's gift the right to life, liberty, and the pursuit of happiness, Adam Smith published the Wealth of Nations, a powerful support for the doctrine of progress in which were celebrated opulence and comfort as the great aim of statecraft.

Already well sanctioned by thinkers, the new theory of earthly progress, which in its application included the promotion of science and invention, received an immense impetus during the French Revolution. That cataclysm was more than an economic and political transformation; it was an intellectual upheaval which had relevancies for all the philosophies and institutions of humanity. Even while the Reign of Terror was at its height, committees were at work brushing away the barbarities of the criminal code, trying to reduce civil law to a reasoned system, devising schemes of universal education, and projecting new institutes of science. As the tide of radicalism moved forward, traditional religion was challenged from every side and the concept of continuous development on earth placed beside the ancient promise of bliss in heaven. In creative art and literature as well, new tendencies accompanied the attempt to reconstruct the social order.

All this was known in America. Translations of French works poured from American presses during the early republican age. And on top of appeals from Gallic writers came out of France explosive tracts from Thomas Paine, whose services to the American Revolution won for him a wider hearing in the United States than Condorcet and Voltaire could attain.

In keeping with the spirit of his party, Paine was more than a politician, the wide scope of his interests embracing, besides the whole struggle of humanity against misery, the application of science to tradition. The concluding chapters of his Rights of Man, written, as we have seen, in answer to Edmund Burke's Reflections on the French

Revolution, contained an outline of political economy that embraced universal education, the abolition of poverty, a reform of the criminal law, pensions for the aged, the reduction of armaments and international peace. His Age of Reason which assailed the historic accuracy and the validity of Biblical lore exalted science and reason as the searchlights of truth. If the effects of these flaming thrusts into the fabric of inherited authority were countered by the reaction of Napoleon's imperialism and the Catholic restoration, they were not wholly lost in the Old World or the New. Through England also, Americans drew French doctrines, revamped by the various reformers who were trying to reconstruct George III's system in the spirit of Mirabeau if not of Danton. Moreover, America gave an asylum and an audience to English radicals, such as Priestley and Cooper, who fled from conservative mobs and the operation of penal sedition acts.

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Under the impact of new forces—political and economic revolution, the advance of science and invention, the accumulation of knowledge, and the blasts of foreign influence—the intellectual climate of the American republic presented to the rising generation features essentially different from those of high significance in the colonial era. By the secularizing political process and the march of scientific skepticism, still deeper inroads were made into the sovereignty of theology and mysticism, especially among the educated classes.

In many circles of America, the trinitarian doctrine of Christianity crumbled under two fires. On the part of the theologians, particularly in New England, there went on during the eighteenth century a continuous debate over the traditional forms of Christian faith which eventuated in a return to one of the primitive creeds, a widespread acceptance of the unitarian view of Christ's teachings and mission.

After the outbreak of the American Revolution, the disintegration of customary worship proceeded rapidly. In 1782, King's Chapel in Boston formally and officially declared in favor of unitarianism. About the same time an English tourist reported believers of that faith in all the cities he visited, even in the village of Pittsburgh on the frontier. At the opening of the nineteenth century, nearly every Puritan preacher in Boston had deserted the trinitarian views of his fathers. In 1803, William Ellery Channing, on taking up his work in the Federal Street Church, definitely inaugurated the unitarian movement which finally split the Congregational churches into two opposing camps.

From another quarter also, less theological in its interest, criticism was poured upon the great structure of theology bequeathed by the ancients and revised by the Lutherans, Calvinists, Anglicans, and Puritans. While the theologians themselves were being perplexed by dialectic difficulties, men of science and laymen who undertook to defend and advance that discipline were drifting steadily in the direction of Deism, a faith in one God derived not from a reading of Christian creeds and professions but largely from a study of nature and pagan literature.

Although the roots of this belief lay deep in the wisdom of antiquity, it did not come into prominence in England until early in the seventeenth century. By 1648, however, the year in which death carried off Lord Herbert of Cherbury, "father of Deism," the Deist movement was well under way. After the profounder meaning of the Copernican concept of the infinite universe had foliated in the minds of students—especially after Newton crowned it with his mechanistic view of the stellar system—a powerful group of English thinkers entirely discarded from their thought the God of the Old Testament and the cosmogony described in the Book of Genesis and elaborated by John Milton.

Out of England Deism was borne to France by Voltaire, where it became the creed of nearly all the skeptics who labored at the Encyclopædia and at the new philosophy of

naturalism and humanity. From various directions the doctrine came into America, spreading widely among the intellectual leaders of the American Revolution and making them doubly dangerous characters in the eyes of Anglican Tories. When the crisis came, Jefferson, Paine, John Adams, Washington, Franklin, Madison, and many lesser lights were to be reckoned among either the Unitarians or the Deists. It was not Cotton Mather's God to whom the authors of the Declaration of Independence appealed; it was to "Nature's God." From whatever source derived, the effect of both Unitarianism and Deism was to hasten the retirement of historic theology from its empire over the intellect of American leaders and to clear the atmosphere for secular interests.

Nevertheless at the very moment when Deism was playing havoc with theological sovereignty there arrived from England yet another religious movement more akin to Edwards' Great Awakening than to the spirit of Franklin, Washington, and Jefferson. The new faith was known as Methodism and its founder, John Wesley, on his own confession, was in some respects a disciple of Edwards. Under another guise this movement represented the dissidence of dissent, the leveling fervor which, as Burke remarked, had sharpened the antagonism between America and the mother country, and was in the course of time to furnish the inspiration for a nonconformist upheaval in England.

By proposing to reduce somewhat the Anglican hierarchy and to elevate the laity, Methodism added to the democracy of the pew. In religion, it emphasized the salvation of the individual by prayer and conversion. In morals, it waged a Puritan-like war on dancing and frivolity in general while it specifically exalted the virtues of industry and sobriety. If the sermons and hymns of Methodism jarred on Jefferson's skeptical ears, its emphasis on self-expression as against authority and its appeal to the humble as against the mighty contributed to the swelling stream of mass con-

sciousness that made republicanism secure, beyond the possibility of reaction.

The Peter the Hermit of this new gospel was Francis Asbury, sent over in 1771 by Wesley to take charge of three hundred brethren then in the New World. For forty-four years this tireless missionary labored in the American vineyard, traveling more than two hundred and fifty thousand miles through villages and towns, through thickly settled country districts and dark frontier forests, claiming finally three hundred thousand converts and four thousand ordained clergymen. Though not a learned man, by constant reading of the Bible, Asbury made himself master of all its images, figures, and arguments that stir the emotions. After the fashion of Jonathan Edwards, who set an awful example, Asbury one moment frightened his flock by lurid pictures of hell and the next thrilled it by visions of joy in heaven.

Like Catholic missionaries, Methodists went straight to the frontier, but unlike the Catholics they did not work especially with the Indians or carry to them industrial and decorative arts. On the contrary, they labored mainly with people of their own race, to restrain the harshness and brutality of the backwoods, to tame the hot passions of men quick with the rifle and the dirk, to introduce sobriety into communities terrified by drunken bullies. They built no cathedrals or beautiful missions; they preached on stumps and in barns.

When they found the Sermon on the Mount unavailing, Methodists resorted to the horrors of hell and damnation, shocking with their excesses that finicky English tourist, Mrs. Trollope, who compared in loathing the noisy gospel of the American frontier with the quiet decorum of village churches in England where the vulgar never questioned the dominion of squire and parson or ventured to dabble in theological mysteries—forgetting in her critical attitude toward the American democratic spirit that Methodism was an English importation which, by whipping up the

emotions, happened to appeal to the untutored axmen of the backwoods with the same appalling force as to the neglected and despised miners and potters of Lancashire and Staffordshire. If it lacked in the æsthetic appreciation that adorns supported and contented leisure, it appealed intensely to the dawning consciousness of the hewers of wood and drawers of water who were to count heavily in the conquest and government of this continent. Though English in origin, the Methodist organization became more rooted in American soil than the Episcopalian Church; Methodists had brethren and sisters in England but they had no lingering traditions binding them to the primate at Canterbury.

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While Methodism swept thousands of converts into its fold and defied advancing Deism, it did not turn back the irresistible current of natural science that had been gathering momentum since the age of Bacon and Descartes. Indeed, next to the great political experiment, the growth of scientific interest was perhaps the outstanding feature of cultural life in the early republic.

Some of the men who had contributed to the development of that subject in colonial times lived on into the new epoch to enlarge their discipline under novel conditions. Franklin, full of years and great in honors, saw Washington's administration inaugurated before he passed from the scene. His colleague, Benjamin Rush, continued his work for nearly four decades after the Declaration of Independence, winning from the King of Prussia and the Tsar of Russia official recognition for his contributions to medical knowledge.

From England came two ardent apostles of science, whose labors in America strengthened the cause so dear to Franklin's heart. Joseph Priestley, discoverer of oxygen, who shared honors in chemistry with Lavoisier, found shelter in Pennsylvania from persecutions at home and carried on his researches there until his death in 1804. The

other refugee from oppressive laws, Thomas Cooper, arrived in 1795 and for forty-five years labored at chemistry, mineralogy, geology, and political economy, combining disputes with the theologians over "the authenticity of the Pentateuch" and equally bitter controversies with Federalist politicians over policies of government. Arrested and fined under the Sedition Act of a New World after he had fled from one in the Old, driven out of Virginia University by religious critics, he preached science in South Carolina College until he was finally forced into retirement by his clerical foes.

In the meantime a new generation of men was carrying forward the scientific inheritance and adding to its data and theories. Nathaniel Bowditch, Massachusetts mathematician, brought out in 1802 the American Practical Navigator and a few years later undertook the task of translating Laplace's *Mécanique céleste* into English. At Yale, in 1805, Benjamin Silliman gave his first regular course on chemistry, opening a career that was rich in achievement and distinction. Seven years afterward, far away on the banks of the Ohio, John James Audubon, a native of New Orleans, began the labors that were to make him the premier ornithologist of his age. In 1815, Constantine Rafinesque, of Franco-German parentage, published at Philadelphia the first part of his extensive work on botany —early fruits of inquiries by a curious genius who was in his later days to startle his contemporaries by declaring that "new species and new genera are continually produced by derivation from existing forms," foreshadowing the epoch-making proclamation of Charles Darwin in the next generation.

All over the country in fact, in colleges, libraries, and amateur laboratories, a restless searching for the secrets of nature was being prosecuted with energy and intelligence. The great Lewis and Clark expedition from St. Louis to the Oregon coast in 1803-06 was more than a path-breaking enterprise; it was a scientific undertaking of

high importance. If none of the American scientists approached in magnitude the giants of the Old World, they at least made a profound impression on the intellectual life of America.

Moreover, the practical men among them—Whitney, Fulton, Stevens, and Fitch, for example—were true sons of the age that gave Watt, Arkwright, and Crompton to the western world. Two revolutionary inventions belong to the early republic: the cotton gin patented under Washington's administration and the steamboat launched as a commercial success during the presidency of Jefferson.

By coöperative effort the inventive genius of isolated individuals was stimulated and supplemented. The American Philosophical Society, founded in colonial times, as we have seen, took on new life after the Revolution. Gathering into its fold members from all parts of America and indeed of western civilization, it began to issue publications to disseminate the results of research; and, since its program included almost everything from mechanical inventions to experiments that "let light into the nature of things," its range was wide enough to embrace the many scientific interests of the day from archæology to aeronautics. Practically all the distinguished Frenchmen who came to America as ministers, travelers, or exiles during the early republican era were admitted to the Society and the custom of enrolling the leaders of European science was continued, several of the Americans in turn being honored by membership in European academies. At the sessions of the American Philosophical Society, all the scientific questions which occupied the thought of the Old World and the New were seriously debated. It could be truly said that no modern speculation or problem discussed by the savants of Europe escaped the scrutiny of the Academy at Philadelphia —that lively center which inspired the formation of similar bodies and special associations in every part of the United States.

Meanwhile, the industrial arts were advanced in another quarter by associations of merchants and mechanics who formed institutes, founded libraries, and promoted research for new ideas and designs. Among these unions of citizens, for example, was the Pennsylvania Society for the Encouragement of Manufactures and Useful Arts, which in 1792 began to stimulate talent in America by offering premiums for the best pottery, china, and other articles of utility. Bonuses were held out as prizes to English craftsmen who would bring over, in defiance of the official orders, drawings and models of the new machines which were making their country the workshop of the world. The spirit of the age was unmistakable: master nature, make her subserve human comfort, and accumulate wealth from the process.

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In the humanistic sciences, the great note of the age was the idea of progress which now secured a widening empire over the minds of those who reflected on the destiny and duty of mankind. That concept, especially as it flowered in the speculations of Chastellux and Condorcet, had a close relation to, and a deep significance for, the republic in America. Owing to the absence of a priestly monopoly over learning, the relative fluidity of classes, and the existence of immense material resources, conditions in the United States were peculiarly favorable to the application of the theory. In America at least it seemed possible to lift the dream from the realm of speculation and give it effect in the common life of the masses.

This hope inspired Condorcet when, in the shadow of death cast by the tyranny of the French extremists, he wrote, in 1793, the immortal *Esquisse d'un tableau historique des progrès de l'esprit humain,* an outline of the history of progress and a forecast of its impetuous sway over the illimitable future. Into this gigantic pattern Condorcet fitted the American Revolution as the great event

of the modern world which was to set in train the dynamic of a new epoch. "In consequence of America declaring herself independent of the British government," he said, "a war ensued between the two enlightened nations, in which one contended for the natural rights of mankind, the other for the impious doctrine that subjects these rights to prescription, to political interests, and written constitutions. The great cause at issue was tried, during the war, in the tribunal of opinion, and as it were before the assembled nations of mankind. The rights of men were freely investigated and strenuously supported in the writings which circulated from the banks of the Neva to those of the Guadalquivir. . . . These discussions penetrated into the most distant and retired hamlets. . . . In this state of things, it could not be long before the trans-Atlantic revolution must find its imitators in the European quarter of the world."

The very next year after Condorcet's sketch of progress was printed, namely, in 1796, a beautiful translation was issued in Philadelphia, rapidly spreading the fame and philosophy of the author through the intellectual circles of America. Coming as it did swiftly upon the publication of Chastellux's observations on American civilization and its probable destiny, Condorcet's volume gave wide currency to the notion that America might realize a grand ideal for the subjugation of the material world to human welfare.

Beyond all question Franklin, who knew the Encyclopædists and Condorcet, early saw the import for America of natural science and the concept of progress. Indeed, fifteen years before Condorcet's sketch of universal prosperity was published, Franklin wrote from the American legation in France to Priestley, the English chemist: "It is impossible to imagine the height to which may be carried, in a thousand years, the power of man over matter. We may perhaps learn to deprive large masses of their gravity and give them absolute levity, for the sake of easy transport. Agriculture may diminish its labor and double its

produce; all diseases may by sure means be prevented or cured, not excepting that of old age, and our lives lengthened at pleasure even beyond the antediluvian standard. O that moral science were in a fair way of improvement, that men would cease to be wolves to one another, and that human beings would at length learn what they now improperly call humanity!" Sir Humphrey Davy spoke with full knowledge when he said that Franklin "has in no instance exhibited that false dignity, by which philosophy is kept aloof from common applications; and he has sought rather to make her a useful inmate and servant in the common habitations of man, than to preserve her merely as an object of adoration in temples and palaces."

When Franklin died, the mantle of intellectual leadership fell upon Jefferson. As his letters and his great library showed, he too was thoroughly conversant with the latest advances of natural science and with the idea of progress overriding philosophies of apathetic or stoical resignation to fate; he was constantly meditating upon their meaning for the order of society just established in republican America. On surveying the ground after he laid down public office, he expressed to John Adams his conviction that "one of the questions . . . on which our parties took different sides, was on the improvability of the human mind in science, in ethics, in government, &c. Those who advocated a reformation of institutions, pari passu with the progress of science, maintained that no definite limits could be assigned to progress. The enemies of reform on the other hand denied improvement and advocated steady adherence to the principles, practices, and institutions of our fathers which they represented as the consummation of wisdom and the acme of excellence beyond which the human mind could never advance." There was the key to Jefferson's concept of social evolution.

Appealing especially to the third and fourth economic strata of the American social order, namely, the yeomanry and mechanics, Jefferson was the natural leader of a human-

istic democracy. Though himself a planter, he was of yeoman origin. Cutting loose from English patterns of reputability, he came to the conclusion that public felicity was the goal of statecraft. Reviving Roman doctrines, he held that the idea of a republic was something dignified and grand in itself, a noble expression of human nature, and he grew still more democratic as the years went by. As we have seen, Jefferson started early on his program for realizing an individualistic society: destroying primogeniture as the bulwark of the Virginia aristocracy, disestablishing the church in Virginia, promoting freedom of the press and religious worship, eliminating cruelties and superstitions from the laws, advancing free schools and institutions of higher learning, forwarding the study of theoretical and applied science, and extending the knowledge of modern languages as the key to modern wisdom.

In the course of time Jefferson worked out a fairly comprehensive scheme of social science: agriculture should be the economic basis of society; a mild and inexpensive government given to toleration and justice could easily maintain order; an equal division of inheritances and easy acquisition of land would make for a practical equality in status; universal education would afford talents for leadership and give all the people an equal opportunity to get at the wisdom of the ages; immigration should be limited to assimilable stocks and overpopulation avoided; slavery should be abolished and the slaves transported to a land of their own. Thus could America realize in some measure at least the dream of a golden age and move to better things with the advance of knowledge. Whatever criticism might be brought against Jefferson's creed, it had the merit of concreteness and humanism; and, contrasted with the colonial order, was certainly revolutionary from beginning to end.

Against such social theories, as well as against Jefferson's political leadership, was aligned, as he said himself, a party that denied the doctrine of human improvement, clung to

theological authority, and sought safety in traditional customs. As a matter of fact there was enough left of the old arrangement of classes and their psychology to give a specious appeal to the prospect of retaining most of the colonial heritage. When the grave consequences of the Revolution, both actual and impending, were fairly grasped, a party of cultural propriety was formed. Its nucleus was made up of the wealthier families from the second colonial stratum which had come to the top in the upheaval. Grouped around this core were the new families enriched during the war by speculation, privateering, confiscations, expropriations, and various forms of legitimate business enterprise. Closely associated with these orders were the old loyalists who had never accepted the Revolution but, while hating it in their hearts, had remained in America and weathered the storm.

Although, in promoting capitalistic undertakings, this party, by one of the twists of fortune, was more revolutionary in the realm of fact than Jefferson himself, in ideas and manners it strove with almost pathetic anguish to gather up the floating timbers of colonial wreckage. Remembering with regret the pomp and circumstance of the provincial capitals, it tried to make the republic socially respectable, surround the President with glitter and ceremony, maintain the powdered wigs, silken hose, and servile livery of the grand style. Without much difficulty this party persuaded Washington to assume some signs of royal dignity, thereby offending those who professed leveling principles. When he went about the capital city on official business, he rode in a fine coach drawn by four horses, making quite a regal appearance. When he and Mrs. Washington gave a ball, the social set tried to envelop them with the style of a royal couple. Perhaps recalling snubs received from the English set in Boston, John Adams now thought that the head of the nation should have an impressive title such as "His Majesty, the President"; while ladies with claims to heraldic devices similarly dubious

would have addressed his consort at the Republican Court as "Lady Washington."

After all, this was natural enough, for Washington was indeed a majestic figure compared with the lumbering "Farmer George" who ruled England, and titles of some kind had been cherished by every type of human society since the first primitive chief rose above his fellows. Moreover, if we leave out of account some bucolic members of Congress, the executive, legislative, and judicial authorities of the first government were gentlemen born and bred; so the installation of royal ceremony would not have been as incongruous as it seems at this distance. Furthermore, polished ministers and their ladies from European courts and distinguished visitors, such as Talleyrand, Duc de la Rochefoucauld-Liancourt, and Louis Philippe, future King of France, bringing an atmosphere of reputable custom to the American scene, stimulated by their very presence a desire for emulation.

Although the Jeffersonians laughed heartily at the airs of the daughter of a Philadelphia speculator who married into the English aristocracy and learned to swear and tell malodorous stories with the *savoir faire* of a duchess, there was no doubt about the rigidity of the class lines which separated the party of wealth and talents from the party of farmers and mechanics. During the last days of Jefferson's service as Secretary of State, only three of the "best" families of Philadelphia, then the national capital, deigned to invite to their homes that delightful raconteur, musician, and critic of the fine arts. Once when Mrs. Washington discovered a spot on her immaculate drawing room wall just above a sofa, she reproached her niece with entertaining "a filthy democrat."

In a similar spirit, Federalist Boston read out of polite society Republican leaders, such as Elbridge Gerry, and later even John Quincy Adams when he went over to the Jeffersonian party. In the city of Samuel Adams, regarded by the English as a low demagogue, it became impossible

for a member of the strict political sect to dance or drink wine with a "Jacobin." In fact it was as difficult for a reputable Federalist to associate with a Jeffersonian Democrat of the early republican age as for a denizen of Fifth Avenue in the era of William McKinley to drink tea with a disciple of John P. Altgeld or Eugene V. Debs.

If, as most modern historians agree, there was no large monarchist contingent in this party of propriety, it certainly contained a very considerable proportion of people who felt that the strength of their order and its culture depended on close relations with England and that the outcome of the whole republican experiment was at least doubtful. In the year of the peace with England—that is, in 1783—the London Chronicle published a letter from Charleston setting forth the prevailing note in the circle of conventional hopes: "The wise and moderate part of the inhabitants here look back upon their late situation, when connected with Great Britain, with infinite regret and consider the peace, the security, the brotherly regard, and the state of visible improvement which they enjoyed under the protection of the mother country as the true Golden Age of America." Just after the adoption of the Constitution in 1788, another Chronicle correspondent, this time from the center of things, in Philadelphia, while reporting some economic improvement, recorded with pleasure that John Adams had "demonstrated the absurdity of democracy" and abated much of the aversion to monarchy, adding that "it would not surprise many were the United States a monarchy early in the next century."

This sentiment was shortly confirmed by Jonathan Boucher, the celebrated Anglican clergyman, a refugee from the American Revolution, who in 1797 brought out a volume of his sermons prefaced by a note on the lamentable result of the recent uprising in America. With the dialectic artistry of his craft, he argued that the United States was founded on false democratic principles; that it had started the horrible French Revolution and would be

shaken down by it; that it would finally become a great empire under a monarch. Culturally, Boucher declared the experiment a complete failure since it owed all the arts, sciences, and other good things to England. Quoting a writer of his school, he exclaimed: "What has America to boast of? What are the graces or the virtues that distinguish its inhabitants? What are their triumphs in war or their inventions in peace? Inglorious soldiers, yet seditious citizens! Sordid merchants and indolent usurers." In the circumstances, the only remedy that the disturbed clergyman could concoct was a permanent alliance of the United States with Great Britain. Though this party of historic propriety, eager to beat back the rising tide of Jefferson's humanistic democracy, was destined to be outvoted at the polls, it possessed enough wealth and power to furnish solid substance for a social development along conventional lines.

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The shock of the Revolution, the struggles to uphold the independent republic that had been forced upon the people by the accidents of fortune, and the contests of parties over the possession and direction of the national government awakened unexpected creative forces in imaginative literature and art. As in every age of intellectual activity, the operation and flowering of those energies were contingent in a large measure upon the character of their patronage —itself now a complex of economic factors—the nature of the conflicts within the social order, and the dominant features of the spiritual climate in general. Thus conditioned, the product of American vitalism during this era was rich and varied. In its highest forms it was marked by power and distinction. If much of the writing was stilted and bombastic, those faults could be attributed in no small measure to reverence for English and classical models.

Letters and art, as in any other order, had to be sustained under the republic by dollars and cents. Tradition-

ally the support for literature had come mainly from royal, princely, and ecclesiastical sources, supplemented later by subscriptions from members of the landed and mercantile classes; while art and architecture had been fostered by kings, lords, prelates, gentlemen, and merchants who bought the products of the painters and the designers. The theater which Goethe directed at Weimar, like most of the great theaters on the Continent, depended largely upon princely bounty. If the Crown in England did not underwrite the stage or provide a royal opera house, it did patronize artists, actors, musicians, and authors by means of commissions, grants, and pensions. Voltaire's Henriade, which appeared in England in 1728, was subsidized by three hundred and forty-four subscribers, headed by the king, the queen, and noblemen of the court, and it was dedicated in a grand style to Queen Caroline, who gave him a goodly purse.

In republican America there were no kings, princes, queens, or prelates to maintain letters and the arts. Here the makers of imaginative literature were supported by plain civilians who bought books, magazines, and theater tickets. Although the question of government subvention for the theater was raised in a debate in the Pennsylvania legislature and a few persons advocated official subsidy and control in republican interests, the idea bore no fruit. The drama like the novel and poetry had, therefore, to rest upon popular enthusiasm and purchasing power. Art and architecture bowed to the decrees of merchants and landed proprietors who had surplus incomes to spend. Dairy maids and hired men, as a contemporary remarked, could buy the hair-raising stories of the novelists, but they could not buy oil paintings, town houses, or mansions in the country. Neither were they holders of front pews in the congregations that built new churches or voters for legislatures that ordered the state capitols. But each group—the high and the low—found its servants; each was soon offered wares to fit its tastes.

Since there were then few Americans who combined riches with æsthetic talents and hence could withdraw from the world of reality to indulge in their dreams, the literature and art of the republican era inevitably bore the impress of the social and political struggles that went on among the patrons. Writers and artists, living in the world of fact, could not escape the "Sturm und Drang" that raged about them. And the war for independence had left a legacy of emotions. Basically a certain dislike of Britain and things British was unavoidable among the patriots, especially as the clash with the mother country continued long after the treaty of peace was signed. Of kindred necessity a consciousness of national independence and of the challenge which responsibility carried with it forced upward feelings of belligerence and pride. By analogous processes, interest and affection were turned in the direction of France, the great ally in the war for liberty.

At the same time within American society, as we have already indicated, was being waged a spirited battle between capitalistic forces on the one hand and agrarians led by planters on the other—a contest in which the Federalist party, drawing its sustenance mainly from the commercial orders, was thrown back upon traditional ideas in meeting the attacks of Jeffersonian hordes. Since it also included in its ranks most of the old Tories who looked with tearful eyes upon the past that lay buried under the ruins of the Revolution, English writers, classical and contemporary, and English actors and artists could satisfy nearly all the desires of those who longed poignantly for order, for calm, and for prostration. In the circumstances, therefore, most of the creative writers in the realm of imaginative letters, during the early republican period, showed a tendency to drift to the Jeffersonian left.

The immediate environment in which these writers and artists worked presented striking features that could not escape the attention of any observer—features essentially

rationalistic, practical, scientific, and humane, with far-reaching implications that touched all elements of the social fabric and its functions. In concrete terms the historic rights of Englishmen, of which colonial America had boasted, meant privileges for merchants and freeholders; whereas the rights of man accorded by nature, in logical requirement at least, embraced privileges for disfranchised mechanics, subject women, indentured servants, and even slaves. At all events, the volcanic awakening of the masses which accompanied the Revolution and the fierce partisan battles that followed it were patent facts standing out vividly in the American scene.

Less ponderable, but undeniable, was a new social spirit, calling for prison reform and the abolition of slavery, which was making advances in the land: it was being discussed in the closets of philosophers; it was destined to rewrite, in blood, as time proved, whole chapters of the law. And as radical interpreters would have it, the rights of man really included rights of women too. Mary Wollstonecraft's startling challenge to masculine supremacy, published in 1792 was as portentous in one sphere as Rousseau's social contract in another. Finally, the intellectual climate of the new age was secular and earthly.

No one could read the current books that flowed from the press in England, France, Germany, Italy, and the United States—and the intellectual life of the republican age embraced all those countries as parts of a common civilization—without discovering waves of reform beating against the traditional headlands. If writers in America could agree on the necessity of sustaining the republican idea, they displayed on other matters shades of opinion that lay far to the left of the "high toned" doctrines espoused by Hamilton and John Adams. In any case they bore the striations of the social drift.

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It was natural that the republican writers should seek to make the drama an instrument to express their interests and philosophy. In the confusion of the war for independence, of course, the theater, which had grown up in a desultory fashion after its initial appearance at the opening of the eighteenth century, had suffered a serious setback except in New York, where the British used it for their own purposes, during their occupation of the city. Indeed, the Continental Congress, much to the satisfaction of the Puritans, had in 1774 advised all the states to "discountenance and discourage all horse racing, and all kinds of gaming, cock fighting, exhibitions of shows, plays and other expensive diversions and entertainments." It seems that the request was granted with more enthusiasm than calls for money and troops.

But as soon as peace came, the strain was relaxed. Even in Boston the ice then began to crack; throughout Massachusetts, where stage plays had been forbidden by an act of the General Court in 1750, the Revolution and the new secularism had set opinions afloat. By 1791, things had reached such a point that a number of respectable citizens, horrifying Samuel Adams and some of the saints, petitioned for a repeal of the law. The proposal was defeated but, undismayed by the stern aspect of jurisprudence, a troop of comedians visited Boston the very next year, rented a stable, erected a platform, and announced, as a disguise, a series of "moral lectures."

Unhappily for the players, the news was too good to keep and early in December the sheriff swooped down upon a "moral" performance of The School for Scandal. In the foray, one of the actors was arrested and a test case was made while the town rocked with excitement. Since crowds wanted to see and hear the Thespians, the trial was held in that citadel of liberty, Faneuil Hall. Then, to the consternation of the good, a clever trick of the defending counsel forced the acquittal of the wicked one amid a storm of applause. From that time forward

theatrical performances were freely advertised and given in the stronghold of Cotton Mather.

While the rising generation was reading and reciting comedies and tragedies, while even the children of Puritans were attending the "Devil's Chapel," as stern old Doctor Tillotson called the play house, American writers were arguing that the theater could be made to serve the cause of the young republic. Indeed, one of the most effective replies to Tory dramatic propaganda was made by Mrs. Mercy Warren in the form of a satirical play, entitled The Group, written in 1775. Whether her product was actually put on the boards is not known but the publisher claimed that it had been "lately acted" and was to be "reacted to the Wonder of all Superior Intelligences Nigh Headquarters at Amboyne." If there were some shortcomings in Mrs. Warren's style, there was no weakness in the patriotism which inspired her answers to the attacks of General Burgoyne and other "military Thespians" from England. With a similar confidence in American destiny, William Dunlap, who may justly be called the "father of the American theater," championed the drama on the ground that it could be made an engine for the support of the republic and the improvement of the social order.

On this point, however, there was much difference of opinion. In the debate on the subject, in 1785, in the Pennsylvania legislature, the contestants divided rather sharply according to their political views, the party of "the rich and well-born" lending support to the theater and the party of leveling agrarian democracy taking the other side of the question. Robert Morris declared himself a friend of the theater as offering a rational, instructive amusement—an institution that had improved public manners, given opportunity to genius, afforded lessons to vice and folly—and expressed the hope that in due time American poets would be writing dramas adapted to the circumstances of American life. George Clymer, one of the richest men in Philadelphia and, like Morris, a member

of the constitutional convention of 1787, after declaring that no civilized state was without a theater, inquired: "Are we forever to be indebted to other nations for genius, wit, and refinement?"

Against these apostles of Hamilton's Federalism were arrayed two farmers from the frontier who were to vote against the ratification of the Constitution in the Pennsylvania convention. One, John Smiley, thought that the drama would divert the people from their political duties, that Cardinal Mazarin had established the French Academy for that sinister purpose, and that the fine arts "only flourished when states were on the decline." The other, William Findley, likewise a vigorous opponent of the Hamiltonian liturgy, was equally doctrinaire; in his opinion a government-regulated theater would be a dangerous tool, while a free theater would vitiate arcadian taste. Although in the end the project for a theater supported and censored by the state was defeated, it did not mean that in Pennsylvania or anywhere else the drama escaped the impacts of contemporary politics.

On the contrary, the writers of American plays, in keeping with their political professions, deliberately sought to strike the republican note; and after the battle began to rage between Hamilton and Jefferson, they breathed into their lines the animus of the partisan conflict. The second American play given on a regular stage by professional actors, it seems, Royall Tyler's comedy, The Contrast, produced in New York in 1787, represented a yeoman's reaction to the manners and customs of a selfish and luxurious urban society. In this satire, a patriot soldier, Colonel Manly, embodied pride in American independence; a Yankee servant stood for contentment with "twenty acres of rock, the Bible, the cow, Tabitha, and a little peaceable bundling"; while the offspring of profiteering families from the city represented the foibles and outlook of the smart set contemptuous of arcadian democracy.

To the drift of the argument the prologue gives the cue:

Exult, each patriot heart!—This night is shewn
A piece, which we may fairly call our own;
Where the proud titles of "My Lord! Your Grace!"
To humble Mr. and plain Sir give place.
Our Author pictures not from foreign climes
The fashions or the follies of the times;
But has confin'd the subject of his work
To the gay scenes—the circles of New York.
On native themes his Muse displays her pow'rs;
If ours the faults, the virtues too are ours.
Why should our thoughts to distant countries roam,
When each refinement may be found at home?
Who travels now to ape the rich or great,
To deck an equipage and roll in state;
To court the graces, or to dance with ease,
Or by hypocrisy to strive to please?
Our free-born ancestors such arts despis'd;
Genuine sincerity alone they priz'd;
Their minds with honest emulation fir'd,
To solid good—not ornament—aspir'd;
Or, if ambition rous'd a bolder flame,
Stern virtue throve, where indolence was shame.

But modern youths, with imitative sense
Deem taste in dress the proof of excellence;
And spurn the meanness of your homespun arts,
Since homespun habits would obscure their parts;
Whilst all, which aims at splendour and parade,
Must come from Europe and be ready made.

Should rigid critics reprobate our play,
At least the patriotic heart will say,
"Glorious our fall, since in a noble cause.
The bold attempt alone demands applause."

Thus does our Author to your candour trust;
Conscious, the free are generous, as just!

In the course of the play the hero celebrated republican simplicity, decried luxury, praised the glories of Greece in her early career when her people knew "no other tool than the ax and the saw." Expressing doctrines akin to those of Daniel Shays, the Yankee Jonathan boasted that "we don't make any great matter of distinction in our state be-

tween quality and other folks." In contrast the gay young lady—the flapper of New York in the century that was passing—laughed at the old-fashioned morals thus revived, declaring that money was one of the chief objects of matrimony, that she could bring more beaux to her feet by "one flirt of this hoop" than by sighing any fine sentiments.

In the end, however, republican virtue triumphed. The "snob" of the play took his leave with a remark about the superiority of his imported Chesterfieldian finish while the hero exclaimed: "I have learned that probity, virtue, honor, though they should not have received the polish of Europe will secure to an honest American the good graces of his fair countrywoman, and I hope the applause of The Public."

Two years after Tyler's comedy appeared in New York, William Dunlap's play, The Father, was produced, opening his career as the dominant figure in republican dramatics. Born in New Jersey, Dunlap was a native American. Nevertheless he was catholic in his interests and tastes, broad in his knowledge of foreign tongues and literatures, and deeply appreciative of older civilizations. He was a prodigious worker, writing in all about fifty plays, ranging from tragedy to comedy and from interlude to opera. More than half of these were original productions; the remainder were translations or adaptations from French and German works.

Besides this, Dunlap studied painting with Benjamin West and brought the sister art to work in close alliance with the drama. So extravagant, indeed, was his taste for great spectacles that he seems to be the originator of that conspicuously American type of production—the gorgeous show making a lavish display of wealth and material goods. His versatile labors Dunlap crowned by writing a history of the American theater.

In the American themes handled in his plays, Dunlap consciously mirrored the aspirations of the idealists around him—"liberty, science, peace, plenty, my country," as he

expressed it. The Father presented in 1789 caught up the refrain of The Contrast, which had captivated the people. Especially did his drama, André, enter into the spirit of the Revolution and of the optimists who believed that the republic was about to fulfill the age-long hope of mankind for utopia.

While unfolding the story, Dunlap made one of his characters, M'Donald, a soldier in the field, give an efficient cause for the Revolution:

> As to ourselves, in truth, I nothing see,
> In all the wondrous deeds which we perform,
> But plain effects from causes full as plain.
> Rises not man forever 'gainst oppression?
> It is the law of life; he can't avoid it.
> But when the love of property unites
> With sense of injuries past, and dread of future,
> Is it then wonderful, that he should brave
> A lesser evil to avoid a greater?

Yet when a companion in arms, Seward, asked him: "Hast thou no nobler motives for thy arms than love of property and thirst for vengeance?" M'Donald replied:

> Yes, my good Seward, and yet nothing wondrous.
> I love this country for the sake of man.
> My parents, and I thank them, cross'd the seas,
> And made me native of fair Nature's world,
> With room to grow and thrive in. I have thriven;
> And feel my mind unshackled, free, expanding,
> Grasping, with ken unbounded, mighty thoughts,
> At which, if chance my mother had, good dame,
> In Scotia, our revered parent soil,
> Given me to see the day, I should have shrunk
> Affrighted. Now I see in this new world
> A resting spot for man, if he can stand
> Firm in his place, while Europe howls around him.

Moved by the nobler strain Seward exclaimed:

> Then might, perhaps, one land on earth be found,
> Free from th' extremes of poverty and riches;
> Where ne'er a scepter'd tyrant should be known,
> Or tyrant lordling, curses of creation.

To the land thus blessed with liberty, peace, and plenty were to be added, however, the finest products of European civilization.

> From Europe shall enriching commerce flow,
> And many an ill attendant; but from thence
> Shall likewise flow blest Science; Europe's knowledge,
> By sharp experience bought, we should appropriate;
> Striving thus to leap from that simplicity,
> With ignorance curst, to that simplicity
> By knowledge blest; unknown the gulf between.

When his companion who had listened patiently to this outburst cried, "Dreams, Dreams!" M'Donald brought the vision to an end with the words:

> I'll to my bed, for I have watch'd all night;
> And may my sleep give pleasing repetition
> Of these my waking dreams! Virtue's incentives.

In such themes and in such lines did Dunlap seek to realize his project for using the stage as an instrument to disseminate the ideals of the young republic, improve taste, and elevate morals.

Among Dunlap's contemporaries were two dramatists who went completely over to the Jeffersonian left, boasted of the name Democrat, participated in politics, and openly expressed their judgment on the merits of the contending parties. The first of these, James N. Barker, combined office-holding under Republican auspices with his literary labors and tried to express, in terms of Jeffersonian philosophy, "the genius of America, science, liberty, and attendant spirits."

The second, Mordecai Noah, while discovering the limitations of his art in American conditions, with similar vision freely accepted the restraints imposed upon him by the society in which he worked. "My line, as you well know," he said in a letter to Dunlap, "has been in the more rugged paths of politics, a line in which there is more fact than poetry, more feeling than fiction; in which to be sure,

there are 'exits' and 'entrances'—where the 'prompter's whistle' is constantly heard in the voice of the people; but which in our popular government, almost disqualifies us for the more soft and agreeable translation to the lofty conceptions of tragedy, the pure diction of genteel comedy, or the wit, gaiety, and humor of broad farce."

It was indeed those very irksome trammels that drove Noah's distinguished countryman, John Howard Payne, to develop his dramatic art abroad in more traditional themes, such as Brutus and Charles II. If his song, Home Sweet Home, by which he is remembered, recalled the land of his birth, Americans took note of the fact that it was sung for the first time in London, in 1823, after Payne had lived in England for many years.

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Like the dramatists, the novelists of the early republic also worked in the realism of existing facts and conditions. They too arranged themselves according to their sympathies with the tendencies of their age. On the right, although a champion of republican simplicity, was Royall Tyler, a son of Boston, a graduate of Harvard, a soldier in the army that suppressed Shays' agrarian rebellion, a producer of fiction as well as a writer of plays. In a novel called The Algerian Captive, published in 1797, he gently surveyed and satirized all American society, displaying in the operation both skill and insight.

While Tyler laughed a little at that "certain staple of New England . . . called conscience," at the hard theology of his ancestors, the ingenuities of spinsters, and the quackeries of doctors, he bore down heaviest on points that irked the party of Thomas Jefferson. He laughed loudest at the itinerant doctors who were "especially good at mending a kettle and a constitution." He obviously enjoyed taking a shot at paper money, at Voltaire, d'Alembert, and Diderot, at "light anti-federal sermons," and

at the hard-drinking and fox-hunting Jeffersonian gentry of the South. He grew positively exuberant in describing a Virginia parson who came late into his pulpit, red in the face from beating a Negro boy who had delayed his arrival by negligence, and then preached "an animated discourse of eleven minutes on the practical duties of religion." In a parting volley, Tyler remarked that the clergyman was "as much respected upon the turf as upon the hassock."

If many a hearty Federalist laughed over Tyler's thrusts at foibles on the opposite side of the political fence, the spiritual heirs of Cotton Mather and Jonathan Edwards in New England must have wept over the secular note of his novel. It was too strong in its wit to be missed. Lest it be overlooked, however, by the careless, Tyler explained in his preface that he was contributing a native product to satisfy the growing interest of the masses in tales of fancy.

By way of reinforcement, he made his hero describe at length the change in popular taste that had taken place during a few years of his absence from home: "When he left New England, books of Biography, Travels, Novels, and Modern Romances were confined to our seaports; or, if known in the country, were read only in the families of Clergymen, Physicians, and Lawyers; while certain funeral discourses, the last words and dying speeches of Bryan Shaheen, and Levi Ames, and some dreary somebody's Day of Doom formed the most diverting part of the farmer's library. On his return from captivity he found a surprising alteration in the public taste. In our inland towns of consequence social libraries had been instituted, composed of books designed to amuse rather than to instruct. . . . All orders of country life, with one accord, forsook the sober sermons and Practical Pieties of their fathers for the gay stories and splendid impieties of the Traveller and the Novelist. The worthy farmer no longer fatigued himself with Bunyan's Pilgrim up 'the hill of difficulty' or through the 'slough of despond' but quaffed wine

with Brydone in the hermitage of Vesuvius, or sported with Bruce on the fairy land of Abyssinia: while Dolly, the Dairy maid, and Jonathan, the hired man, threw aside the ballad of the cruel stepmother, over which they had so often wept in concert and now amused themselves into so agreeable a terrour, with the haunted houses and hobgobblins of Mrs. Ratcliffe, that they were both afraid to sleep alone."

A few degrees to the left of Tyler, but yet no leveling democrat, was a disciple of Jefferson from western Pennsylvania, Hugh Brackenridge, whose novel, Model Chivalry, laid bare the anatomy of American politics in an imitation of Cervantes. Brackenridge was a lawyer who had developed a large practice among the farmers of the Pittsburgh region and shared some of the frontiersman's antipathy for Hamilton's high-toned government. In a satirical vein he belittled the Society of the Cincinnati, that powerful aid of the Federalist faction.

In a true agrarian spirit, lawyers were made the enemies of the people. "They have so much jargon," said the hero of the tale, "that the devil himself cannot understand them. Their whole object is to get money; and, provided they can pick the pocket of half a joe, they care little about the person that consults them. . . . This thing of the law has been well said to be a bottomless pit." The business of education, Brackenridge thought, was "to form the heart to a republican government." A gentle irony was turned on the American Philosophical Society, whose members were made to mistake an Irish whiskey tax collector tarred and feathered by an anti-tax mob for an "Anthroposornis or manbird."

With moderation Brackenridge treated the great reforms of the French Revolution as deserving the applause of all good citizens, though the excesses were to be deplored; but the leveling procedure must not be carried too far. To talk about "vox populi" was to play the demagogue; to speak of "serving" the people or the "majesty" of the people smacked of monarchy. Tailors and laborers were

simply silly if they cherished aspirations for public office; they should stick like the cobbler to the last. It was enough that an Irish mechanic should have the "right" to be President, without thinking of exercising that high privilege reserved for his betters. In short, Brackenridge's novel approved good, sound Republican government by gentlemen of the Jefferson type. The "monocrats" and the "democrats" equally deserved to be cast into outer darkness.

Far more radical than Brackenridge, though in practice less concerned with the actual business of politics, was Charles Brockden Brown, the outstanding novelist of the time, forerunner of Cooper and Hawthorne. In Brown's intellect all the eddying currents of the age were reflected. His religion betrayed the drift of the time: though he was born of Quaker parents and continued to regard himself to the end as a Christian, he was first attracted by Rousseau and the German sentimentalists and then drawn to Voltaire and the rationalists. His politics admitted of no doubt for his anti-Federalism was ingrained: he opposed the adoption of the Constitution and was especially aggrieved because that document did not include the Declaration of Independence.

Enabled by his knowledge of modern languages to keep in touch with Continental thought and work, he came to believe profoundly, with the French reformers, that the cruelties and follies of superstition could be cleared away by science and reason. Though he never rejected Christianity, he was clear-cut in denouncing the doctrines of total depravity and infant damnation. "Human beings," he said in approved scientific temper, "are molded by the circumstances in which they are placed. In this they are all alike. The differences that flow from the sexual distinction are as nothing in the balance." Cherishing such notions, Brown seized with avidity upon the writings of the English radicals, especially of William Godwin, philosophic anarchist, and of Mary Wollstonecraft, whose book, A

Vindication of the Rights of Women, appeared in 1792, and was republished in Philadelphia in 1794—just a hundred years after Mary Astell's Defense of the Female Sex and Defoe's advocacy of equal education.

Indeed, Brown was so affected by the prevailing discriminations against women and the discussion of their status, already agitating "advanced" minds, that he wrote a brochure, Alcuin, in which he anticipated twentieth century ideas of feminism with respect to economic independence, political rights, and legal equality. In this dialogue a highly intelligent woman pleaded her own case: "I think we have the highest reason to complain of our exclusion from many professions which might afford us, in common with men, the means of subsistence and independence." In a broad sweep, she objected to the bars against so many pursuits, to the denial of college education and the subjection of married women to the discipline of the common law. "Are you a Federalist?" her questioner asked. "What have I as a woman to do with politics?" she answered. "Even the government of our country, which is said to be the freest in the world, passes over women as if they were not. We are excluded from all political rights without the least ceremony. Law-makers thought as little of comprehending us in their code of liberty as if we were pigs or sheep."

The novels of Brown were also modern and didactic; they all revealed the spirit of the left wing politics. His first work of fiction, Wieland, was a plea for rationalism as a cure for the evils induced by superstition and credulity. Even when he entered the turgid realm of mystery, rationalism entered with him. In Clara Howard, he sang the praise of the yeoman, objected to the poison of servility that had lingered in society from colonial times, and portrayed a new woman thinking and acting for herself. Through his pages walked sociological enthusiasts discoursing on law, marriage, riches, and reform. Scott, Godwin, and Shelley read Brown's books and English reviews com-

mended them but time did not deal gently with his long homilies.

As a matter of fact, if Brown was the most creative novelist of the early republic, women writers were the best sellers and enjoyed the more enduring appreciation by the populace. Pioneers in the field of fiction though they were, their work outlasted the stories of all their male contemporaries. In the year of Washington's first inauguration, Sarah Wentworth Morton published the Power of Sympathy, which critics agree was our first regular novel. A few years later Susannah Haswell Rowson, though English by birth, issued in America a story called Charlotte Temple in which she claimed that "vice however prosperous in the beginning, in the end leads only to misery and shame." It was the old triangle. "A pellucid drop had stolen from her eyes and fallen upon a rose she was painting," ran the refrain; but the Americans bought it by the thousands and it lived in edition after edition for generations. In 1797 Hannah Webster Foster published The Coquette, a novel based upon an American episode, which so charmed the general public that before the death of the author in 1840 it had passed through thirteen editions. Thus did the successors of Anne Hutchinson and Mary Dyer at least invite their generation to consider other themes than salvation and damnation, for many things had happened since the landing of the Pilgrims.

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In poetry as in imaginative prose the political and intellectual conflicts of the republican age found their expression. The struggle for independence during the American Revolution was mirrored in John Trumbull's McFingal, a long political satire portraying the patriots victorious over the Tories in argument and arms. When the Revolutionary contest was ended and the American social cleavage appeared, a group of verse makers, known as the

Hartford Wits, assailed in the Anarchiad of 1787 the leveling tendencies of the agrarian party with a virulence that forecast Republican editorials on William Jennings Bryan a hundred years later.

Bowing to a kindred passion, Thomas Green Fessenden, in Democracy Unveiled or Tyranny Stripped of the Garb of Patriotism, roundly abused Jefferson, Jacobinism, atheism, and democracy. In fact, no novelties in the intellectual world were allowed to pass unscathed. Voltaire and rationalism were bombarded by Timothy Dwight in the Triumph of Infidelity—a long ode saturated with Biblical lore. Nor must it be forgotten that William Cullen Bryant, dutiful son of a Federalist father, started his career as a poet by a scurrilous attack on Jefferson and his policies which was published in 1808 under the head of The Embargo; that six years later, in a Fourth of July ode, praising England, "Queen of the Isles," he accused his own government of waging a useless war.

On the other side of the line that divided the age into warring camps were poets equally prolific and as fascinated by the art of making verse. Philip Freneau, after rendering effective services to the patriot cause in the days of the war, threw himself enthusiastically into the popular movement led by Jefferson. Indeed as the editor of the National Gazette—partly supported by his salary as translator in Jefferson's Department of State—Freneau employed his biting sarcasm in analyzing the policies and measures of Hamilton. If it is correct to call him "the poet of the American revolution," it is equally proper to say that he was the bard of Jeffersonian Democracy and of the rationalist age.

Into Freneau's party finally drifted the New England poet, Joel Barlow, whose Vision of Columbus, published in 1787, was hailed in England and France no less than at home as a work giving promise of genius. One of the Hartford Wits, Barlow took part in writing the Anarchiad but later, while in France, he espoused the popular cause,

was granted French citizenship, and enjoyed the honor of being attacked by Edmund Burke. After he finally settled down in his native land, he brought out, in 1807, the Columbiad, a pretentious poem affecting the grand style but in fact a "geographical, historical, political, and philosophical disquisition" rather than a work of art. President Madison made him minister to France and the rising democracy claimed him as a bright star in its firmament but time was ruthless to his poetry.

If William Cullen Bryant, in an essay on American poetry, written soon after Barlow's death, could with justice lightly dispose of all such efforts as The Columbiad on the ground that they lacked in native instinct and simplicity of style, he could not himself escape the impact of the scientific rationalism which was so highly prized by the democratic philosophers of his country. Federalist though he was in origin, he later became a Democrat and even in his youth he was so steeped in the new skepticism that his great poem, Thanatopsis, written in 1811, was in essence pagan, as his critics said, "because there is no mention of the Deity in it nor recognition of the Christian doctrine of resurrection and immortality."

To this charge his biographer could only reply that the poem "takes the idea of Death out of its theological aspects and sophistications and the perversions of conscience with which they are connected and restores it to its proper place in the vast scheme of things." The apology was itself a confession that Thanatopsis filled the mind of Jefferson rather than that of Timothy Dwight.

§

The soul of the artist no less than the mind of the poet was in some measure subdued during the early republic to the interests, passions, and conflicts of the period. Workers in the realm of the imagination were then, as always, kindred spirits. The painter, as Charles Caffin points out

in his study of American art, is limited by his canvas, while the orator, the poet, or the dramatist can crowd his pages with scenes and characters; but the sentiments behind their work and the influences of their environment are almost identical. No doubt, the emotions expressed by Barlow's Columbiad and by Trumbull's portrayal of Bunker Hill were keyed to the same vibration.

Moreover, the artist, like the writer, had to be sustained. William Dunlap, the sturdy democrat, might scorn the word "patronage" but John Trumbull was near the truth when he said that American artists could not look to the Church or to the legislatures for support and were "necessarily dependent upon the protection of the rich and great"—the strong bulwark of Hamilton's party. That fact, so clearly recognized by a leading painter of the time, meant that art, from the nature of things, could not swing as far to the left as imaginative letters which could be maintained, in part at least, by the pennies of the multitude. If painters sometimes received commissions from city councils and state legislatures or from Congress, they were forced to rely in the main upon the pleasure of those who could pay for oils and miniatures, and to endure the criticisms of the radical democrats who, on account of its historic position, associated art with monarchies and aristocracies as a symbol of servility.

By the cataclysm of the Revolution, the painters of provincial America were divided into factions: one, devoted to the old and conventional society with its aristocratic pretensions; the other, to the simplicity of the republican ideal. For example, as we have noted, Copley adhered to the party of unbending Tories, leaving the turmoil of the New World for the security and milder atmosphere of Georgian London where he could serve landed gentry and merchants of more seasoned fortune than the planters of Virginia or the traders of Philadelphia and Boston. On the other hand, as if to offset this defection, Charles Wilson Peale of Maryland, who was studying in England when the storm

broke, hurried home to share the fortunes of his native land, taking a lively interest in the war for independence and the political contests that followed.

Between battles and campaigns, Peale painted portraits of the hero, Washington, fourteen in all, divining as it were the place which the leader was to hold in the affections of the nation which he was so largely instrumental in calling into being. Besides giving form and color to numerous themes chosen from the life of the republic, Peale, without breaking from the classical tradition, took upon himself the task of spurring among his people an interest in art and in the training of artists. He organized the first exhibition of painting in America, tried to persuade New York City to establish a museum of the arts and sciences, and finally induced Philadelphia to raise the money for such an institution in 1805 when New York betrayed indifference.

In founding the Pennsylvania Art Academy, its promoters announced in brave, if quaint, language, "the high and stalwart purpose of the times, a consciousness of the limited conditions of the start, a conviction of the harvest of the future." They proposed "to promote the cultivation of the Fine Arts in the United States of America by introducing current and elegant copies from works of the first masters in Sculpture and Painting and by thus facilitating the access to such standards and also by conferring moderate but honorable premiums and otherwise assisting studies and exciting the efforts of artists, gradually to unfold, enlighten, and invigorate the talents of our countrymen." That was a courageous move; for when John Pine, coming but a few years before to paint revolutionary scenes, brought with him a cast of Venus de Medici, the people of Philadelphia suffered a shock of fright. Even after they recovered a bit, the model could only be shown to a select few; devoted to Doric columns though it was, the city shuddered at the very suggestion of the statues originally housed behind them. Nevertheless the home city of

Franklin survived the ordeal and the young Academy gained strength as the years passed by.

Among his colleagues from New England, Peale had a friendly rival in John Trumbull, of Connecticut, who shared his affection for the republican experiment. Trumbull was nineteen years old when the Revolution came down upon his country but without hesitation he threw himself into the patriot cause, laying aside book and brush for rifle and sword and rising through distinguished service to the rank of colonel, then to deputy adjutant-general. When the triumph finally came at Yorktown, Trumbull went to England to study under West and later to see the work of the old masters on the Continent. Returning to America, he started on his long career as a painter in New York in 1804.

A veteran of the war, imbued with the spirit of the Napoleonic age, and a Federalist of the old school, Trumbull's mind was unresponsive to the naturalism of Rousseau which was stirring poets to ecstasies. Above all a patriot, nothing was more appropriate, therefore, than that he should choose American subjects and seek to immortalize the heroes and scenes of the struggle for independence. With something akin to military rigidity, he made portraits of Washington, Jefferson, and Adams, and painted the battle of Bunker Hill, the signing of the Declaration of Independence, the surrender of Cornwallis, the resignation of Washington, and other phases of the drama, in the imposing style made famous at Versailles. Not a figure was loved into immortality by soft caresses; the same note of starched Roman formalism ran through his painting of Washington that gave structure to Lee's oration on the man "first in the hearts of his countrymen." It was, consequently, with a certain degree of fitness that Trumbull associated himself with a number of rich New Yorkers in 1808 to float the American Academy of Fine Arts as a chartered corporation governed, not by a counting of heads, but by an enumeration of the twenty-five dol-

lar shares held by the several stockholders who financed the venture.

This intimate relation between the psychic affiliations of the artist and the nature of his work was also well illustrated in the paintings of Trumbull's distinguished contemporary, Gilbert Stuart. Though born in Rhode Island, Stuart early forsook provincial America for a life in London, studying with Benjamin West. When, at length, the break with the mother country rent his native land, instead of rushing home like Peale to throw himself into the fray, he remained in England, aloof from the tempestuous passions of the hour, until the safe days of peace returned; indeed, until Washington was reëlected President for a second term. But from 1793 to his death in 1828, he labored with prolific industry in preserving to posterity with ceremonial correctness the faces of great Americans—among them Washington, Adams, Jefferson, Madison, and then John Jacob Astor.

Whatever his subject, Stuart placed on its lights and shadows the stamp of his inner feelings, betraying in the lines of his brush a chill remoteness from the crash of the patriot battles and the fervent strivings of the new republic. "Stuart," justly remarks Caffin, "did not share in the life spirit of the nation," was a bit too elegant and cosmopolitan. "On the other hand, before the grimly intellectual or austerely visionary faces of Smibert's New England divines, the precise elegance and proud self-sufficiency of Copley's men and women of the world, or Peale's bald masculine records of the man upon whom devolved the leadership of a new nation, we can recognize a series of types and in our imagination reconstruct their environment. . . . We may transport ourselves beyond the then present, as the founders of the nation did, 'and feel the future in the instant.' "

It is not a cause for wonder that Washington, the Virginia planter, indomitable leader of a revolutionary cause, and great political pacificator, was subdued by Stuart to the

varnish of an old English man-of-arms. Unquestionably the painter was deeply drawn to Washington, confessing that he lost his self-possession in the presence of that illustrious man but he failed to induce the General to part the curtain and reveal his secret self. Although the full length portrait may have satisfied Lord Lansdowne, to whom it was presented, neither that picture nor the Athenæum portrait painted at Mrs. Washington's request gave to posterity a luminous conception of the living personality disclosed by his own diaries, letters, and papers. It may be said, of course, that, whereas Peale painted Washington in the full tide of manhood, Stuart saw him only in later life after responsibility and suffering had given their wonted gravity to his face but this fact alone will not account for the frigid, if correct, solemnity that directs Stuart's every stroke.

In sculpture and architecture, the young republic with all its aspirations could do little more than borrow. The former was yet an alien art among Anglo-Saxons and the Americans consequently had no English heritage on which to build. Apparently American interest in sculpture was manifest at first in the South and shyly, even where Puritan fear of satanic alliances was weakest in its grip. But native talent was wanting. The years were far off when a Celtic genius, Saint Gaudens, born in Dublin but reared in America, could carve a Diana in lines comparable to Houdon's and at the same time catch the unconquerable spirit of a Puritan father.

So the patronage of European artists was the only resort. When Virginia decided to immortalize La Fayette and Washington, it was forced to turn to Houdon, who had already delighted France with his Morphée, if he had shocked the Salon with his nude Diana. It was to his fortunate acceptance that Richmond owed its heroic statue of the great revolutionary leader. In some of the richer southern homes, the love of the plastic arts also found expression in beautiful importations. For instance, from

an English admirer, Samuel Vaughan, Washington received an Italian mantel, exclaiming when he first saw it, "I greatly fear that it is too elegant and costly for my room and republican style of living"; but its charm melted his scruples and to the end he cherished it with keen delight.

Through the architecture of the republican age, the political note rang with startling intonations. In casting off monarchy and established church, the patriot Fathers, like their emulative contemporaries, the leaders of the French republic, returned in their dreams, their oratory, and their architecture to the glories of republican Greece and Rome—to the simple columns, roofs, porticoes, and straight lines of early Mediterranean structures. Nothing seemed to them more appropriate. The ornate elaboration of renaissance Gothic appeared out of place in a country that was republican in politics, practical in its interests, and tinged, at least, with democracy. There was of course no strict uniformity of thought but the stamp of the classics was heavy on the official buildings and private mansions of the period.

It was with a mind fixed upon the imposing designs of ancient city planners that Major L'Enfant conceived his elaborate scheme for the city of Washington—a scheme for which he received shabby treatment at the time and trivial recognition in a military funeral nearly one hundred years after he was laid a pauper in a quickly forgotten grave. When, in 1808, the adopted son of Washington built his mansion at Arlington, Virginia, he seemed convinced that the final triumph of art lay in the achievements of the Greeks two thousand years in their tombs. It was to the simplicity, solemnity, and power of Rome, despoiler of Greece, that Jefferson turned for the design of his University of Virginia. In the same reverence for classical antiquity, the colonial Georgian style was now pushed aside by architects who built mansions for southern planters, banks, offices for the federal government, and the capitol to house the Congress of the United States. Those who fashioned

material structures and those who drafted orations drew their inspiration from the same source.

§

The moment independence was assured, articles, pamphlets, and books on the function of education in the new social order poured from the presses, the anxiety of private individuals for the future of the republic being supplemented by the stimulus of a prize offered by the American Philosophical Society for "the best system of liberal education and literary instruction, adapted to the genius of the government of the United States; comprehending also a plan for instituting and conducting public schools in this country, on the principles of the most extensive utility." So important did the subject seem to the founders of the republic that the outstanding men of the time bent their minds to it—Washington, Jefferson, Benjamin Rush, Noah Webster, and James Sullivan, as well as writers less known to general history, such as Robert Coram, Nathaniel Chipman, Samuel Knox, and Samuel Harrison Smith. Though most of the tracts and pamphlets lie buried in the dust of libraries, their influence still lives in American educational theory; and a recent scholar, Allen Oscar Hansen, has paid generous tribute to the services of the republican pioneers in his volume on Liberalism and American Education in the Eighteenth Century.

In the wide range of speculation, nothing human or pertinent to the coming centuries seems to have been overlooked by the thinkers who pondered on the rôle of education in civilization. A composite view of their ideas shows that, in their enthusiasm for new and revolutionary concepts, they far outran the commonalty, anticipating in almost every phase projects a hundred years ahead of their day. In the first place, they recognized the deplorable state of the education received from colonial times—miserable schoolhouses, meager equipment, and poorly-trained

teachers. On the constructive side they nearly all insisted that there should be a nation-wide system of popular education, universal, sometimes including girls, supported by general taxation, running from the elementary school to the university. "It is a shame, a scandal to civilized society, that part only of the citizens should be sent to colleges and universities to learn to cheat the rest of their liberties," exclaimed Robert Coram.

Turning to the purpose and content of education, the eighteenth century critics were equally explicit. In their view the prime end of education was to help realize the ideal of progress, raise the general level of well-being, bring all citizens within the range of the coöperative life, apply science to the service of mankind, prepare pupils for economic independence, instruct them in the duties of citizenship, instill in them republican principles, strengthen and enrich American nationality. As an instrument for the realization of such theories freedom of thought—the foe of bigotry—was to be encouraged. "A perfect freedom of debate is essential to a free government," urged Noah Webster in his Sketches of American Policy. "A vigorous spirit of research" was to be promoted, according to the creed in Samuel H. Smith's Remarks on Education. "I wish," said James Sullivan, "to excite some of my younger countrymen . . . to bend their attention and endeavor to make deep researches into what constitutes man's happiness individually and in society." Some writers naturally laid stress on one phase to the neglect of others, but broadly speaking the whole structural foundation for modern theory and practice was sketched with wonderful foresight, considering that feminism was then confined to esoteric circles and that the revolution wrought by technology lay hidden in the future.

A resolute nationalist of the Hamilton school, Washington looked upon higher education as the servant of the new constitutional system which had been erected with such labor and required for its maintenance support on every

side. In his first message to Congress he dwelt solemnly upon the matter of promoting science and letters and raising up an educated nation competent to the great task of self-government. Though he did not venture to decide the best method of attaining the object, he hinted at aid for existing institutions and the foundation of a national university.

As to Washington's personal views there was no doubt. Having learned from practical experience in the Continental army the advantages to be derived from the mingling of youths from every section, he wished to establish an American University so high in its standing that the necessity of going to Europe would be eliminated and students from every corner of the United States would be attracted to its halls. As an evidence of his interest, he left in his will a sum of money to be devoted to the endowment of such an institution, if Congress should ever be inclined to extend "a fostering hand to it."

Unfortunately the fine vision was not caught by his countrymen. Military and naval academies were, indeed, founded at West Point and Annapolis but the dream of a national university to unite the minds and hearts of those who were to guide America in the coming years was not realized, jealousies among existing colleges and the triumph of the state's rights party defeating the project.

The second great educational proposal of the early period, likewise blighted by indifference, united the nationalism of Washington with the democratic humanism of Jefferson. Appropriately enough, it came from Philadelphia and from Benjamin Rush, one of the Franklin circle. In framing his educational ideal, Rush brought the whole country within his purview, conceiving of its spiritual development as a national unity. The university with which he proposed to crown the hierarchy of schools, serving the cause of human progress as an American institution, was to be a post-graduate college preparing youths for public life.

Its curriculum, worked out in detail by the meticulous author, was to include among the subjects for instruction: the principles and forms of government—everything relating to peace, war, treaties, and general administration; ancient and modern history; agriculture, in all its branches; history, principles, objects, and channels of commerce; principles and practices of manufactures; applied mathematics; the parts of natural philosophy and chemistry relating to agriculture, manufacture, commerce, and war (for war is apt to continue, however un-Christian, he said); natural history; philology, rhetoric, and criticism; modern languages opening the gates to knowledge relative to national improvements of all kinds; athletics and manly exercises.

Thus Rush proposed to explore and teach the new learning, and to teach it in the compelling terms of utility. "The present age," he said, "is the age of simplicity of style in American writings. The turgid style of Johnson—the purple glare of Gibbon—and the studious and thick set metaphors of Junius—are equally unnatural and should not be admitted to our country." Citing the examples of Russia and Denmark, Rush suggested that two specialists be assigned for advanced research in natural science and that four be sent abroad in the quest for new knowledge; not overlooking the fact that northern Europe and England had borrowed heavily from Mediterranean peoples.

"While the business of education in Europe," Rush declared, "consists in lectures upon the ruins of Palmyra and the antiquities of Herculaneum or in disputes about Hebrew points, Greek particles or the accent and quantity of the Roman languages, the youth of America will be employed in acquiring those branches of knowledge which increase the conveniences of life, lessen human misery, improve our country, promote population, exalt the human understanding, and establish domestic and political happiness." To make the teachings of his great school effective Rush proposed that after the lapse of thirty years all civil officers

be chosen exclusively from its graduates with a view to eliminating quacks from politics as from law and medicine! The president of this university, he urged, should be a man of extensive education as well as of liberal manners and dignity.

But Washington's project and Rush's plan were too nationalistic in spirit and purpose to secure the cordial support of a country that had begun its career by exalting the sovereignty of the states and had swung back to that creed in 1800 after a temporary period of high centralization. So in keeping with the drift of political opinion it happened that Jefferson, the theorist, became the practical builder in the field of education. No one on the American continent had more enthusiasm for the subject; no one had more confidence in education as the instrument for the preservation and development of democracy. As his political affection centered on the state, so his educational efforts were mainly confined to that sphere, even though he did indorse the idea of a national university.

Remembering, perhaps, the size of Athens and her achievements, Jefferson preferred to devote his talents to Virginia rather than to wear himself out trying to induce Congress to establish a continental system. But in the field he did choose and the work he attempted, the spirit of the modern age shone forth abundantly. Seeing the youth of the land casting off the old learning for "intuition and self-sufficiency," he proposed to hold their intellectual enthusiasm by offering the new learning of the laboratory and research. For the theological and scholastic system so dominant in the colonial régime, he proposed to substitute one that was scientific, modern, and practical in character. He did not, of course, ignore the wisdom of the ancients, far from it, but his emphasis was different. "I am for encouraging the progress of science in all its branches," he wrote to a friend in 1799.

At first Jefferson lavished his affections on his alma mater, William and Mary, which had suffered heavily from

the loss of its English revenues during the Revolution. As a member of the board of trustees, he helped to bring about the adoption of his leading ideas and to work a transformation in that college by the introduction of modern languages and the establishment of chairs in law, history, and political economy. Not long after Blackstone began his lectures on jurisprudence at Oxford, Wythe was expounding great principles of the law at William and Mary. In the enthusiasm for innovations, Greek and Latin were for a time dropped from the regular program and could be studied only privately with one of the professors. As if to emphasize the democracy of the age, the status of the students was raised by granting to them a larger freedom in selecting subjects and by adopting the honor system for their examinations. Thus, even in the midst of revolution, Jefferson made to prevail in an Anglican college much of the liberal thesis which he was to apply on a grand scale in the University of Virginia founded by him more than a quarter of a century later.

In the northern colleges, on the other hand, scientific and social studies made slower headway. It is true that Williams College, chartered by the Massachusetts legislature of 1793 and opened under the guidance of Yale alumni, made some novel departures; it immediately permitted the offering of French instead of Greek as an entrance subject and soon established a program of French language and literature, followed by special courses in law, civil polity, mathematics, and natural philosophy. It is true also that Bowdoin College, organized in 1802 under a charter of the Massachusetts legislature, offered a milder brand of Calvinist theology to the boys of the Maine woods who were not rich enough to go to Harvard; and boasted of having on its faculty Parker Cleaveland, who won distinction both at home and in Europe for his contributions to chemistry and mineralogy.

In the main, however, the colleges of the North, excepting Franklin's institution at Philadelphia, escaped the influ-

ence of French science, skepticism, and humanism. When Ezra Stiles, on his inauguration as president of Yale in 1778, sketched his scheme of higher education, he left to the classics their wonted authority, relegated geography, mathematics, history, and belles lettres to a secondary place, and bent higher mathematics, natural philosophy, and astronomy to the purposes of theology. In the history of American intellectual development there is nothing more illuminating than the contrast presented by a Yale commencement address of the late eighteenth century and one a hundred years afterward.

Harvard, in the age of Jefferson, likewise clung rather closely to established academic customs. A distinguished, if ungrateful, son, Harrison Gray Otis, flung at his alma mater the charge that she was dominated by pedantry and logic. "May Father Time," he said in 1782, "ameliorate his pace and hasten the desired period when I shall bid adieu to the sophisticated Jargon of a superstitious synod of pensioned bigots and ramble in the field of liberal science." In the bitter exaggeration of the undergraduate was revealed the continued supremacy of ancient ideals in the old institutions of the North—institutions which had passed through the political and economic revolution without breaking the sway of the theological regimen.

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It was in the theory and practice of secondary education rather than in the higher learning that the most thoroughgoing innovations were made at the turn of the century. The movement of democratic opinion, naturally in favor of popular education, was accelerated by the influence of doctrines from Europe. Out of the philosophy of Rousseau, the fiery French radical, interpreted by German and Swiss experimenters, flowered a varied and luxuriant literature on the training of the young and their relations to society—a literature so rich that, according to estimates, twice as

many books on the subject were printed during the last quarter of the eighteenth century as during the preceding three quarters. Certainly no phase of the question was untouched. Education in agriculture and the manual arts, social discipline, gymnastics, moral and religious culture, the secularization of the curricula by emphasis on modern languages, geography, history, and science, and even the complete elimination of theological motives from the class room were now advocated in proposals for reform.

Underlying the new concepts were Rousseau's sentiments: repugnance to tradition and devotion to nature, observation, and the cultivation of social sympathies. Reflecting the political ideals of the age, the child's right to happiness was placed beside the current emphasis on the adult's right to liberty and opposed at every point to the formalism and discipline of governing classes bent upon making artisans, peasants, and soldiers only—humanity against class dominion, democracy against authority. This was the dream which Basedow sought to realize at Dessau in Germany and Pestalozzi at Yverdon in Switzerland. "All the beneficent powers of men are due neither to art nor chance, but to nature," exclaimed the Swiss pedagogue. From that premise it logically followed that "education must pursue the course laid down by nature."

In America, this philosophy and the practice based upon it were strongly advocated by William Maclure of Philadelphia, a retired merchant and amateur in science who visited Yverdon and in 1805 published a book on the school. The next year, Maclure imported from Switzerland an apostle of Pestalozzi to lecture on the new education and give demonstrations. Within a short time Pestalozzian schools were founded at Philadelphia and at various places in Kentucky and the West, planting the germs of a humane and democratic system of education for foliation when the funds with which to nurture them could be provided.

Nothing could have been more acceptable to the disciples

of Jefferson than the revolutionary concept of life embodied in these plans of education for it exactly squared with his philosophy of politics. The conservative system of Europe, he contended, was founded on the doctrine that "men in numerous associations cannot be restrained within the limits of order and justice but by forces physical and moral, wielded over them by authorities independent of their will. . . . We believed that man was a rational animal, endowed by nature with rights and an innate sense of justice." One relied upon formalism and artifices to hold the lower orders of society in check; the other proposed to cut loose from the past, trust in the beneficent powers given to man by nature, and develop them by a simple process into social harmony. So the revolutionary gospel of the European experimentalists fitted neatly into the pattern of the Republican statesman of Virginia.

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Since the colleges were beyond the reach of the American masses stirred by novel aspirations in the ferment of the revolutionary movement and since the ruling orders in the states were not prepared to tax themselves for the support of public schools, the immediate answer to the new demands was the academy, usually founded under private and local auspices, though in many cases with state aid and support. Unhampered by the traditional curricula of the colleges, and controlled by enterprising individuals rather than by clerical boards of trustees, the academies began to break paths toward a liberal education more precisely adapted to American life.

Unlike the old classical grammar schools, academies escaped the whip of the college entrance requirements, for a time, at least. Bidding for the patronage of sons and daughters of merchants and farmers who could not hope to attend institutions of higher learning, they reached a hitherto untouched middle stratum of the population. In

the circumstances greater flexibility of curriculum was possible: the classics and theology were reduced when not omitted entirely, while French, art, history, and literature for the first time found favor in the scheme of secondary education. If, as correctly charged, the academies later became vested interests which opposed the rise of the public school system, still they helped in their day to weaken the grip of scholasticism on the higher learning and prepare the way for the humanities.

Yet at best the academies left unsolved the problem that puzzled radical leaders of the revolutionary age; namely, how to lift the mass of the people from illiteracy into the world of culture, out of subserviency and apathy into coöperative and energetic citizenship. Many a philosopher, with Jefferson in the van, dreamed of the day and sketched plans for universal education, for boys, at least. State constitutions and legislatures made grand declarations of principles on the subject but it was one thing to put a project on paper and another thing to convert the governing classes to the notion of taxing themselves for it or to overcome the age-old inertia of the populace. So in these conditions the sectarian and charity schools of colonial times continued to hold the general field of elementary education, although like the colleges they lost the support of funds from England when the break with the mother country was made.

They were supplemented and extended, however, by two new and important agencies, both imported from England. The first of these was the Sunday school, especially designed to reach the children of the poor on a day when they were not employed. While generally associated with religious sects and always emphasizing instruction in the Scriptures, the Sunday school movement in the beginning was broader than any mere sectarian intent and was supported, especially in England where it originated, by interdenominational societies founded for that object. Though regarded as perilously democratic by conservative Angli-

cans, the Sunday school was promoted by dissenters with so much fervor that interest in the institution spread to the United States.

In 1791, "The First-Day or Sunday School Society" was organized at Philadelphia for the purpose of extending elementary instruction to the poor. The experiment was true to form but American tendencies gave a peculiar direction to later developments. There was no large submerged mass of paupers in the United States, such as existed in England, and the little schools established here and there by the various sects were already reaching deep down into the social order. So the Sunday schools assumed a more theological tone in America than in England, becoming as a rule mere adjuncts to the churches that sustained them, leaving other than religious training to the ordinary day schools. Nevertheless before the age of compulsory, secular education, they gave elementary instruction in reading to thousands who were not within the fold of the other sectarian institutions.

The second English scheme for reaching the masses with elementary instruction was the monitorial school in which the older pupils transmitted to the younger information they had themselves learned by rote from the teachers. The idea was an ancient one. A Portuguese traveler saw it in operation in India in the early part of the seventeenth century. Jesuits had made extensive use of it, and at various places in Europe it had been developed long before the end of the eighteenth century when England and the United States resorted to the project. Yet, in common opinion, it was with the name of Joseph Lancaster, an English Quaker philanthropist, that the formal beginning of a great movement in 1798 was associated—although Andrew Bell, perhaps with a better show of justice, claimed to have inaugurated the system by an experiment started previously under the auspices of the Established Church. Indeed, in England two schemes of monitorial instruction, one nonconformist and the other Anglican, ran side by

side, later with state financial assistance, until the adoption of the board school program in 1870.

Whatever its origin, in the United States the monitorial project soon attracted the interest of educational theorists. In 1809 the Public School Society of New York introduced it into its schools and a few years later Lancaster himself came to America to apply his scheme in person. Although he proved to be a stubborn and intolerant teacher and finally sank into poverty and distress, his method for educating the masses spread into every state in the Union. It was taken up by some of the academies; it was adopted by the schools later instituted for training teachers; and when state education finally entered the field, it was employed at first by Indiana and Maryland. Crude as it was and destined to vanish before the freer systems and practices of Pestalozzi and Froebel, the monitorial plan afforded the only solution to the problem of mass training in an age that had little money or would not impose taxes to pay for anything better. It was cheap, it was practical, it was one step nearer universal, free education.

That ideal—schools for all free children supported by taxation—made slow headway through the years that followed independence. In the midst of the Revolution, 1779, Jefferson brought before the legislature of Virginia a bill proposing to lay the commonwealth out into districts and provide each with a school maintained by public revenues, open to the children of all citizens, free of tuition for the first three years. Though the bill failed to pass, the plan persisted.

While Jefferson's contemporaries did not like imposts and excises any better after the Revolution than before, they had at their disposal a rich treasury of undeveloped natural resources which could be dedicated in part to the uses of education. If they did not dare to tax their constituents for the support of common schools, they could at least reserve wild lands for that purpose. In that relation they had before them a high example set by the Con-

gress under the Articles of Confederation when, in the Ordinance of 1785, it dedicated one section of land in each township of the Ohio Territory to the maintenance of public schools. Moreover, many state constitutions declared, with big flourishes, that schools should be established in the public interest though they provided no means for their support. Rhode Island, Maryland, and the Carolinas, for instance, made grand gestures that came to little or nothing.

There was in America no Prussian monarch to impose a compulsory system on the people for reasons of state. Consequently the project of universal free education had to be evolved gradually in a democratic fashion, under the leadership of men and women with vision, who realized that they could move only as fast as knowledge of the ideal could be disseminated and practical interests enlisted for its support. When at last the task was seriously undertaken, at the middle of the nineteenth century, the stamp of American nationality was clear upon it.

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During the transition from province to nation, that strange process, so closely described by Carlyle, in which literature "emerged out of the cloisters into the open Market-place and endeavoured to make itself room and gain a subsistence there," worked a revolution in American journalism. The challenge of novel facts, impetuous aspirations, and social controversies enlarged the power of the press and gave substance to writers who fed its capacious maw. Every phase of the dramatic story from the mustering of the Stamp Act Congress to the battle of New Orleans, every hope and every theory of the fermenting age can be traced in the news stories, the editorials, and the fugitive articles that filled the columns of weeklies, monthlies, and eventually dailies.

Throughout the period, magazines bloomed like roses in

summer time, dying with the same regularity. From Boston, Massachusetts, to Lexington, Kentucky, enterprising editors flung out their tiny sheets with wistful patriotism, the titles of their little journals betraying their hearts' desire: The American Magazine, The Columbian Magazine, The American Universal Magazine, and finally the North American Review—the last, founded in 1815, being the only one of a great crowd that survived the rush of time. Leaving the rage of party faction to the newspapers, editors of weekly and monthly periodicals devoted themselves to literature, morals, science, and the arts. Their catholicity of interest was well illustrated by the descriptive note attached to a typical magazine of the time: "A monthly Museum of Knowledge and Rational Entertainment containing Poetry, Musick, Biography, History, Physics, Geography, Morality, Criticism, Philosophy, Mathematics, Agriculture, Architecture, Chemistry, Novels, Tales, Romances, Translations, News, Marriages, and Deaths, Meteorological Observations, etc., etc."

Venturing beyond the written word, some of the more audacious editors included in their pages a few simple engravings which evidently added to the appeal of their journals, for when one of them afterward substituted type for pictures "the admirers of this polite art earnestly called for their re-assumption." Encyclopædic in their range, these early magazines popularized literature, science, and art in an age before public libraries were general and before education was wide and comprehensive. They furnished "subsistence" for a school of writers who broke a path for Cooper, Irving, Prescott, Poe, and Lowell. Their pomposity and ridiculous chauvinism will, no doubt, be pardoned by those acquainted with Old World magazines of the same period.

As if to soften the stresses of life in the New World, the periodicals of the young republic made much of poetry, each having its "Pegasus, its Cabinet of Apollo, its Seat of the Muses, its Parnassiad; even the most prosaic its

Poetical Essays or its Poetical Provisions." They could all boast of narrative verse "both serious and jocose" apparently on the theory that poetry like music could soothe the savage breast. One of them printed An Elegant Ode on the Mechanism of Man; another published some lines To a Lady on Striking a Fly with Her Fan. In any event there was a thirsty craving for "good taste" which led editors to specialize in tabloid culture responding, perhaps, to the taunts of English writers that, bereft of their leadership and authority, the Americans would become "literary ourang-outangs."

With positive poignancy did the Christian's, Scholar's and Farmer's Magazine feel a call to labor among the heathen, receiving from a watchful contributor, who welcomed its efforts, suggestions as to one neglected field that needed cultivation. "A deficiency of learning," he lamented, "hath often been very sensibly regretted by many worthy characters in these states when elevated to public and important offices; and frequently ignorance hath not only exposed them to ridicule but been injurious to the interests of the public."

The writer then illustrated with a case that must have been peculiarly embarrassing to young republicans who had just tossed off British supremacy: "We mention particularly a circumstance that exposed a very popular patriot in London a few years past to contempt and occasioned him to become a subject of ridicule in the public papers of the metropolis. In an oration he made at Guildhall, instead of speaking in the superlative degree, which he wished to have done, through ignorance, he made use of the double comparative—more better." Dreadful error and before a London audience at that! From such grief sprang the first of the American "Mentors" to give to the untutored an education in the superlatives of dining, dancing, and dallying, in addition to proprieties of speech.

From this cultural anguish the ladies were by no means exempt. On the contrary they were early discovered as

the very bulwark of correct taste. Independence was hardly declared when one rash editor introduced the "elegant polish of the female pen" and, as the years passed, the ladies won an ever larger share in the pages of the magazines. Their virtues were extolled, love stories were printed for their idle hours, poetical enigmas and rebuses were provided to stretch their tender minds, examples of refined correspondence between the sexes were furnished as guides to ready letter writers, and stray fragments were printed to arouse "desultory thoughts upon the utility of encouraging a degree of self-complacency especially in the female bosoms"—all with a fervent desire to "please rather than wound woman, the noblest work of God." In 1792 came the climax with the appearance of an all-lady repository designed to circulate primarily in the boarding schools, it seems.

The venture was daring, yet discreet; for the ladies' magazines of the young republic, like their successors to the days of Edward Bok, refrained from encouraging any froward feminism. "The female patronesses of literature," insinuated the gracious editor, "while they discover an understanding in the fairest part of intelligent creation to distinguish works of real merit from the false glare of empty professions, at the same time also shed a luster on the amiable qualities which adorn the minds of the fair. It is theirs to ease the weary traveler in the rugged paths of science and soften the rigors of intense study; it is theirs to chase the diffidence of bashful merit and give dignity to the boldest thought. . . . Every lover of the ladies will stand forth as a champion in defense of a work peculiarly calculated for the instruction and amusement of the lovely."

Of all the great flock of magazines that sued for patronage two or three managed to live long enough to attain distinction. First among these was Matthew Carey's Columbian Magazine, founded in Philadelphia in 1786, a staple in intellectual circles for more than half a century. His American Museum, founded the next year, com-

manded for its pages articles from Franklin, Rush, Freneau, Hopkinson, and Trumbull that were solid in substance, dignified in style and appropriate to the age, emphasizing science and economics rather than theology and polite letters. Somewhat lighter and yet marked by critical discernment was the Literary Magazine and American Register, which ran through a brief career in the same city under the direction of Charles Brockden Brown, already famous as a novelist, the author of Arthur Mervyn.

Not to be eclipsed by Philadelphia, some intellectual Brahmins of Boston—the Anthology Club, composed of several "gentlemen of literary interests"—launched, in 1803, The Monthly Anthology and Magazine of Polite Literature, a work of love edited, as well as sustained, by its sponsors. Convinced that the traffic was now blocked by the mob, by too many writers producing "worthless weeds prematurely," the directors insisted that articles and book reviews for the journal should be characterized by expertness and quality.

Indeed, so excellent was their work that the promoters of the North American Review, when establishing their magazine in 1815, selected William Tudor from the Anthology Club to serve as their editor. Thus favorably inaugurated, this Review continued to be issued in Boston for more than sixty years, marshaling to its aid the most eminent minds of New England and acting as the arbiter of conservative taste in letters and politics in that section —until its removal to New York in 1878. Only when The Atlantic Monthly came into the arena in 1857 did it have a serious competitor for northern patronage.

True to the traditions of emancipated provinces, the poignant persons who edited the magazines of the young republic were vexed with longings to win above all the favor of the Old World. When a French traveler declared that the arts, except that of navigation, received little attention in America and that the "Bostonians think of the useful before procuring to themselves the agreeable," it

gave great sorrow to his American readers. "It has been suggested," said the promoters of the Nightingale or Mélange of Literature, in 1796, "that the inhabitants of Boston prefer viewing the manifest of a ship's cargo to a lounge in the library. Let it not be said that in the pursuit of gain, Literature and the Muses are left at a distance, and that a sordid lust for gold has banished every noble sentiment, every mental delight from the bosoms of the avaricious Bostonians. God forbid that any foe to our country shall ever have reason to say that our native town is the residence of Ignorance, though it should be the emporium of Plutus."

While couched in the moving style of the period, this plea was apparently not heeded, for Emerson, looking back upon the history of Massachusetts during the period that lay between 1790 and 1820, felt moved to exclaim that "there was not a book, a speech, a conversation, or a thought in the state." Nevertheless it could be said that those rough sea captains who preferred viewing a ship's manifest to scanning a library shelf, who had never heard of Arius or Gainsborough, were changing the world to which the Philosophers of the Brook Farm school long afterward appealed. From the fabled East, Boston navigators brought tea and silks, fragments of a fragile art, accounts of strange traditions and religions, awakening a spirit of adventure that went far in dissolving the theological monopoly of thought and other Puritan legacies. Quite as much as the dialecticians, they made unitarianism and transcendentalism popular. And if lowly, but indispensable, services were not to be despised, it had to be recorded that they amassed the fortunes which enabled the Ticknors, Brookses, Adamses, Prescotts, Parkmans, Lowells, and Jameses to cut loose from the smell of salt and tar, to dream dreams in the milder atmosphere of the Old World where the sea captains and accumulators of an earlier time had already done their work of preparation for the softer generations to come.

Relieved of literary burdens by magazines, the newspapers sprang full armed into the political arena. During the stormy days of the Stamp Act, they were transformed from colorless bulletins into flaming sheets of sedition, kindling passions that never died away. Throughout the war for independence a battle royal was waged between the Tory and the Patriot press, and when that issue was settled, local disputes of the triumphant Americans still furnished an abundance of fuel for editorial fires. Into the fight over the Constitution publishers plunged with relish, and later, as Hamilton's measures came up one by one before Congress, they secured endless and lively themes for news and comment. When at length the alignment between the Federalists and the Republicans was clearly defined, every newspaper of importance became a party organ, exchanging advocacy for patronage and praise.

As the factional struggle waxed hotter and hotter and the population increased, new papers appeared until at last every city and every village of any size had its press. Forty-three colonial sheets, it is reckoned, survived the Revolution; thirty years later an assiduous counter estimated that the United States had three hundred and sixty-six newspapers.

Of the new journals that entered the fray two of the most powerful were personal organs of the great party leaders, Hamilton and Jefferson. Scarcely was the former installed in office, when he induced John Fenno to bring out in New York in April, 1789, The Gazette of the United States, to defend the administration of Washington, that is, his own economic policies. Jefferson replied in kind about two years later, taking the cue from his rival, by supporting Freneau, the poet, in the publication of the National Gazette at Philadelphia, to which the capital had then been removed.

The age of the daily had now opened; by the close of Jefferson's administration in 1809 there were at least twenty-seven dailies scattered from Boston to New Orleans

—nearly all partisan, sustaining or attacking the administration in power or serving some personal or factional cause. When the capital was transferred to Washington, this city became the center of political journalism, holding that strategic position until the telegraph broke its monopoly and brought every editorial room near to the seat of national sovereignty.

As party organs, these newspapers vividly exhibited the passions of the combatants in the political field, the scurrilities to which both sides resorted passing modern belief, though they were not peculiarly American at the time. With withering scorn and contempt, Hamilton's organ treated the opposition as low-born demagogues. Though his party boasted of commanding the talents as well as the wealth of the country and felt limited—somewhat—by the requirements of gentility, the reader of to-day, when turning over the yellow leaves of the Federalist organs, will have difficulty in discerning the fruits of that restraint. Not without a touch of retribution, perhaps, Jefferson was daily smeared with charges of being an atheist, a leveler, an agrarian, an anarchist, a democrat, a demagogue—all synonyms for criminality in the Federalist camp.

On the other side, Jefferson's party spoke frankly for the people and the editorials of its press savored of the soil. The "corrupt squadron" of speculators in Congress and outside was assailed with every weapon of vituperation known to men, and the secret sessions of the Senate were fiercely attacked until that august body was forced to throw open its doors, at least during the transaction of ordinary business. Nor was Washington, the father of his country, spared; his personal integrity was not laid under suspicion but he got much of the "mud" aimed at Hamilton and felt that "a common criminal" could fare no worse.

To the continuous flow of political rhetoric, the steadily growing proportion of space devoted to domestic and foreign news afforded little relief for the news was all colored by politics. Nothing but a few "features" really relaxed

the tension. In 1793 an original columnist, the first perhaps in the New World, Royall Tyler, novelist and dramatist, began to supply readers of the New Hampshire Journal with witty comment on current events, while "A Lay Preacher," a forerunner of Dr. Frank Crane, gave vent to moralizings on things in general. At last "wordless journalism" definitely put in its appearance when in 1811 Benjamin Russell, a New England editor, brought out the "gerrymander" cartoon destined to endure for more than a century—long after many a contemporary editorial on the subject had been buried in the dust of decades. Americans were beginning to laugh at themselves; by quip and picture they could ease a bit the fierce strain of politics and soften the terrors of hell.

## CHAPTER XI

### *New Agricultural States*

DURING the years between the inauguration of George Washington and the retirement of James Monroe, the "agricultural interest" was enlarging its area, multiplying its adherents, and increasing its wealth. When the first President of the United States took the oath of office in Wall Street, there were thirteen states in the Union; within a little more than three decades nine new commonwealths had been erected in the Valley of the Mississippi—Kentucky, Tennessee, Ohio, Louisiana, Indiana, Mississippi, Illinois, Alabama, and Missouri—and two on the outskirts of New England—Vermont and Maine. In the same eventful period the population of the country multiplied nearly three times; at its close there were more inhabitants in Kentucky and Tennessee than in Massachusetts, Rhode Island, Connecticut, and Vermont combined. With the movement of peoples and the rise of new communities went of course a westward shift in the center of political gravity.

At the end of Monroe's administration Virginia, mother

of Presidents, had to yield the scepter. Four years afterward Massachusetts was also forced to abdicate when her conservative son, John Quincy Adams, who had won the palm by an accident in the grand scramble of 1824, was swept from the White House before the flood of western Democrats headed by Andrew Jackson of Tennessee. The political forces of agriculture which had driven from power Hamilton's party of finance, commerce, and industry in 1800 had now been made apparently invincible by recruits from the frontier.

No wonder the statesmen of "wealth and talents" were in despair as they read the handwriting on the wall. At the Hartford convention a decade before, the assembled Federalists had prophesied that the admission of new western states would destroy the delicate balance between the planting and the commercial sections, that the planting interest allied with the western farmers would for a time govern the country, and that finally the western states, multiplied in number and augmented in population, would control the interests of the whole. To ward off this disaster the soothsayers of calamity had then offered ingenious paper projects in the form of constitutional amendments but words could not stifle the earth hunger of the multitudes nor bar the gates to them.

Through the years the tide of migration rolled westward, leaving in its wake widespreading farms and plantations whose owners, organized in political communities, worked hard at getting and using their full share of political power in the government of the nation. And their labors were not without reward. Of the fourteen Presidents of the United States elected between the passing of John Quincy Adams and the coming of Theodore Roosevelt, all except four, were either born in the Mississippi Valley or were, as residents, from early life identified with its people and its interests.

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This westward migration—far greater in volume than the invasion that peopled the hills of New England and the lowlands of Virginia—was in one respect distinguished from other significant movements of colonizing races. The English settlements of the Atlantic seaboard were established under the patronage of powerful companies or semi-feudal proprietors encompassed by the protecting arm of a strong and watchful government. In striking contrast, the movement that carried American civilization beyond the Appalachians was essentially individualistic. No doubt, land companies helped to blaze the westward way, but they were few in number and their rôle in the process of occupation was relatively unimportant, especially after the initial steps were taken. It must be conceded also that little associations of neighbors from time to time detached themselves from the older Atlantic communities and went in groups over the mountains, but their adventures, like the undertakings of corporations, were mere eddies in the swarming migration that filled the continental empire. In the main, the great West was conquered by individuals or, to speak more accurately, by families.

When pioneers from English communities on the coast first began to open paths toward the Mississippi, the western region was a wilderness in which several seaboard colonies had conflicting legal rights under charters and grants from kings of England. Though the claimants, for many reasons, including the royal proclamation of 1763 closing the frontier to easy settlement, did little to develop their estate, its value was appreciated, if not by the commonalty, at least by statesmen and by investors with an eye to fortunate land speculations.

By no accident, accordingly, on the outbreak of the Revolution, George Rogers Clark, at the head of an armed expedition, was dispatched into the West for the purpose of wresting from the Ohio country the grip of England. As contemplated, the stroke was effective. While negotiating the treaty of peace with Great Britain at the close of the

war for independence, the American delegation was able to clinch the achievement by fixing the western boundary of the United States at the Mississippi River.

Meanwhile, a lively contest arose in America over the fruits of victory. The politicians in control of the states that had claims, good and bad, naturally wanted to direct the disposal of the western lands and to recoup from that source at least some of the expenses of the struggle against Britain. But the politicians in other states, bitterly resenting this monopoly, declared that the Northwest had been won by common sacrifices and demanded equal shares in the fruits of victory. Finally, after much wrangling the principle of national ownership was adopted and the several claimants, sometimes with specific reservations, ceded their holdings to the United States.

The government to which this huge domain was transferred, namely, the Congress created by the Articles of Confederation, though too feeble to execute any grand plan of colonization, prepared the way for individual and corporate action by creating some of the conditions necessary to effective occupation. By two remarkable ordinances enacted in 1784 and 1785 it set momentous precedents for the Northwest Territory.

In the first of these decrees the Congress enunciated the fateful principle that the territories to be organized in the West should be ultimately admitted to the Union as states enjoying all the rights and privileges of the older commonwealths—not kept in the position of provinces in another Roman Empire ruled by pro-consuls from the capital. The second ordinance made provision for the official surveys which were to carve out farms, towns, counties, and states on a rectangular, or checker-board, pattern. With respect to actual settlement the Congress also arranged for the sale of lands so that pioneers and speculators could acquire holdings by lawful procedure and acquire titles of unimpeachable validity. These measures, excellent as they were, left out of account, however, one important factor,

namely, an efficient government for the Northwest Territory—one which could hold Indians, squatters, and outlaws in check and assure investors and farmers the peaceful possession of their property. Until this crowning measure of preparation was passed, successful colonization on a large scale could not be undertaken.

At last under the sharp pressure of private enterprise the missing factor was supplied. In March, 1786, a number of New England citizens, many of them veterans of the Revolutionary War, met in Boston and organized an Ohio land company for the purpose of buying a huge tract in the Northwest. After perfecting their plans, they sent spokesmen, led by the Reverend Manasseh Cutler, to New York to make the necessary arrangements with the Congress of the United States, arrangements which included, besides a cession of land, the creation of an efficient territorial government.

To their amazement these far-seeing promoters met neglect and indifference in Congress until they secretly agreed that several of its influential members should share in the profits of the transaction. With more precision than was customary with the authors of Puritan sermons, the Rev. Mr. Cutler entered a description of the operation upon the pages of his personal journal: "We obtained the grant of near five millions of acres . . . one million and a half for the Ohio company and the remainder for a private speculation, in which many of the principal characters of America are concerned. Without connecting this speculation, similar terms and advantages could not have been obtained for the Ohio company." The price to be paid for the land was fixed at a figure that promised to net the government about eight or nine cents an acre in specie. The scheme of administration was provided by the Congress in the now famous "Ordinance for the Government of the Territory of the United States Northwest of the Ohio."

This memorable document provided for the temporary control of the Northwest by a governor, a secretary, and

judges fully empowered to make laws and enforce them. Incidentally members of the Congress and managers of the Ohio land company who had engineered the project became the official rulers of the whole domain. General Arthur St. Clair, president of the Congress, who, after much persuasion, had helped to get the requisite measures through the legislative body over which he wielded the gavel, received as a reward, besides stock in the enterprise, the salaried post of governor in the Northwest Territory. Two of the company's directors were appointed judges to serve with St. Clair, the three constituting in effect the consolidated legislative, executive, and judicial departments of the western province.

Besides making these provisional arrangements, the Ordinance also prepared for the long future. It stipulated that as soon as there were five thousand free males in the territory a popular assembly should be established, male citizens owning fifty acres of land to enjoy the right of suffrage. Religious freedom was guaranteed, the historic safeguards of jury trial, approved judicial procedure, and the writ of habeas corpus were assured to all the people, and the establishment of schools and the promotion of education encouraged. In the spirit of the new humanism slavery and involuntary servitude were solemnly forbidden. Echoing the recent reforms made by Jefferson in Virginia, the accumulation of fortunes under the ancient law of primogeniture was blocked by a provision that estates should be divided among the children of deceased persons in equal parts, saving the rights of widows. Finally, the territories to be formed in the region were in due time to be admitted to the Union on the same footing as the old states.

Such were the broad principles formulated to govern the development of political communities in the West. They were confirmed in 1789 by the Congress which assembled under the Constitution of the United States. Except for the ban on slavery, they were applied the following year to the territory south of the Ohio ceded to the Union by

North Carolina and again in 1798 to the Mississippi domain surrendered by Georgia.

With the question of government out of the way, the next problem was the adoption of methods for selling western land to settlers and speculators. And it was a thorny problem, involving Federalist and Republican theories of state. It had long vexed the advisers of the British Crown and it was to torment American politicians for more than a century. With the refrain of 1776 still ringing in their ears, the members of the Congress in their act of 1785, already cited, had provided for selling western lands in lots of 640 acres at a minimum fixed rate of one dollar per acre in addition to certain administrative charges. But in 1796, after the ardor of early populism had cooled a bit, Congress raised the price to two dollars and authorized the sale by auction.

A part of Hamilton's plan for raising revenues from the public domain, this measure, by favoring the speculator, or at all events the purchaser of large estates, and failing to satisfy the demands of the farmer in search of a little homestead, inevitably raised a tempest of criticism from the followers of Jefferson. After four years of agitation, Congress made concessions by opening land offices in the West for the convenience of buyers on the spot. Still the cry of the poor man was heard, growing louder and louder, until at length in 1820 Congress was compelled to provide for the sale of land in blocks as small as eighty acres at not less than $1.25 an acre. That reform won, the advocates of free homesteads now made their voices heard above the din of Washington politics, again and again, until they were finally silenced by the coveted act of Congress. Thus the drift of public policy—in accord with Jeffersonian political economy—was against the establishment of immense estates tilled by tenants. Even the speculators and companies that bought in large quantities could not develop their holdings by servile labor or retain their purchases for long periods. They were in fact forced to sell in small

lots on reasonable terms to actual settlers, contributing in this way to the process by which the small freehold of sixty, eighty, or one hundred and sixty acres, tilled by the farmer and his family, became the typical unit of agriculture in the Northwest.

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It was a marvelous empire of virgin country that awaited the next great wave of migration at the close of the eighteenth century. As the waters of the Tigris, the Euphrates, and the Nile had invited mankind to build its civilizations along their banks, in remote antiquity, so the valley of the Mississippi now summoned the peoples of the earth to make a new experiment in social economy in the full light of modern times. And what a valley it was! The Mississippi River and its tributaries carried a volume of water greater than that of all the rivers of Europe combined, excluding the Volga.

In the widespreading basin was a climate for every mood and temper, from the freezing winters of the lake country to the semi-tropical summers of Alabama and Mississippi. There were soils and seasons for almost every fruit, vegetable, and cereal that man or woman could demand. There were forests of hard and soft woods adapted to every kind of structure—homes, barns, factories, boats, and barges. From the lakes to the gulf were scattered rich beds of coal, iron, copper, and lead—prime materials for those giant industries on which modern empires are built. And what a theater for action! The nine states created between the old colonies and the Mississippi River contained a dominion greater than the combined area of Great Britain, Germany, and Italy with the Netherlands and Belgium added for good measure. In the Northwest Territory alone, either France or Germany could be comfortably fitted with room to spare.

Into this new arena for enterprise four routes created by nature led from the older states. To the South one

ran from Alexandria to Richmond and from Richmond through the Cumberland Gap into the Kentucky country; along this trail Daniel Boone had blazed the way as early as 1769 and in the course of time it had been widened into a wagon road. A second route lay westward from Alexandria over the mountains and across the Great Kanawha to Boonesboro. In the middle region, three roads, starting from Philadelphia, Baltimore, and Alexandria respectively, converged on Pittsburgh, where the wide waters of the Ohio River offered the emigrant an easy journey on into the far country. To the north the Genesee road, beginning at Albany, ran almost due west through level country to Buffalo, on Lake Erie, the principal gateway into the upper reaches of the Northwest Territory.

Each of these natural routes to the West had its own history. For a time the Cumberland road held the primacy. The region into which it led was at the beginning under the governments of Virginia and North Carolina—states that offered lands to settlers on easy terms and gave them a precarious protection against the Indians. The road itself was very near the back doors of the upland farmers in those states and it beckoned them on to a more fertile soil than their plowshares had so far broken. Moreover, the advance of slave-owning planters from the coast exerted a steady pressure on them, driving them to escape by the Cumberland route from that invasion.

When the planting advance got into full swing and the Northwest Territory was opened during the closing years of the eighteenth century, the Ohio River route began to gather an ever-larger portion of the emigrants. Although the journey from the coast to Pittsburgh was beset by difficulties, the rest of the way was easy, for as soon as the immigrant family arrived at the headwaters of the Ohio, it could buy almost any kind of boat for the remainder of the trip—a light canoe for two or three or a ten-ton barge that would carry a score of passengers with household goods, wagons, plows, and cattle down the river to

the landing point nearest the chosen destination. And yet before long the Ohio route was rivaled by competing lines to the north, especially by the National Road, begun in 1806, and the Erie Canal, opened in 1825.

The story of the migration into the Mississippi Valley by these various routes is an epic which has found no Homer; but a hundred historians, professional and amateur, have assembled the materials for use when the immortal bard shall appear. Indian trails have been retraced, portage paths uncovered, and old wagon tracks marked on the maps. Archer Hulbert has plotted the first roads over which the empire builders moved to the scenes of their new labors. Local historical associations, crowned by the Mississippi Valley Historical Society, have rescued from old chests and lumber rooms yellow newspapers, faded letters, and saffron diaries that tell of the marching pioneers who wrought for themselves and their children's children. Roosevelt with his usual gusto wrote a long chapter of the story in six volumes, bearing the somewhat misleading title of The Winning of the West. Turner and his school of meticulous workers have analyzed the influence of the advancing frontier on the life and politics of the United States. If, in their enthusiasm for a long-neglected subject, they have pressed their argument too far, at all events they have forced the historians of Puritans and Cavaliers to take note of something more realistic than Sunday sermons and armorial scrolls.

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The rolling tide of migration that swept across the mountains and down the valleys, spreading out through the forests and over the prairies, advanced in successive waves. In the vanguard was the man with the rifle—grim, silent, and fearless. He loved the pathless forest, dense and solitary, carpeted by the fallen leaves of a thousand years and fretted by the sunlight that poured through the Gothic arches of the trees, where the wild beast slunk through the

shadows, where the occasional crash of a falling branch boomed like thunder, and where the camp fire at night flared up into the darkness of knitted boughs as the flaming candles on the altar of a cathedral cast their rays high into the traceries of the vaulted roof.

As he paddled his canoe along the winding rivers or crept through the forest and canebrake, the hunter's nerves kept taut with watchfulness. His clear eye was quick to discern the signs of his foe or prey and to find the rifle range with deadly accuracy. The practiced muscles of his sinewy arm could direct his long dirk with unfailing skill to the vital spot whenever he came to close quarters with an assailant. As alert as the deer he stalked and as silent as the coiling snake that slid across his path, the hunter carried on a dangerous craft against every kind of stratagem known to man or beast. If he heard what seemed to be the call of a harmless bird or the hoot of an owl, he dropped to earth and lay still as death, listening intently until he could be sure that there were no false notes betraying the voice of an Indian poised in the forks of a tree for a shot at him; for, in the long contest with the red hunter for the spoils of the wilderness, he had learned the terrible penalty that awaited the white man who neglected the ways of the forest.

Unsocial as the rifleman was in his hunting habits, he generally had a family on or near the frontier. With the aid of his wife and children, he threw up a rude shelter, often open on one side like the cabin in which Lincoln's mother died. He girdled and killed a few trees near by and laid a rail fence around his lot. There the family planted a "truck patch" of corn, beans, turnips, cabbage, and potatoes. While the hunter was searching for game in the forests or fishing in some neighboring stream, the wife and children vigorously hoed among the tangled roots and tough grasses of the garden. When autumn came the crops were harvested; the corn was stored in a rough crib; the cabbages, turnips, and potatoes, bedded in straw, were

buried in great mounds from which the winter's supply could be taken. Wood for the big fireplace of sticks and clay came from the forest's edge. In all its phases the mode of living was crude but it was far removed even so from the depths of primitive culture.

If, amid these rough surroundings, the hunter himself was content, it could not often be said that his wife was equally satisfied with her share in the contest. Nearly always she was a reluctant fugitive from a civilization of a higher order and could not help pining for the softer things of older societies. Usually she was a pathetic figure in her coarse dress of linsey-woolsey and deep sunbonnet, performing in terrifying loneliness the humble duties of her household. Unlike the Indian woman, who was a part of the nature in which she worked and had never known the smoother paths of settled communities, the hunter's wife could seldom sink as quickly as her husband into the ways and temper of the wilderness. But her lot was fixed and she marched resolutely through the encircling shadows of the frontier, taking fate as it came.

When by the immigration of settlers her forest home began to take on some of the elements of civilization, her hunter husband, finding his game supply diminishing, was sure to grow restless and begin to talk of "going West." After much discussion, sometimes interspersed with lamentation, he would induce or command his family "to pull up stakes and strike for the tall timber." After all, for him, the migration was no great effort. Frequently he was a mere squatter on land to which he had no title. If, under the liberal preëmption plan of the government, he had valid claims, they were not worth much and he could readily sell them to a newcomer on the scene. So with a light heart he disposed of his cabin and clearing and with his household turned his face toward the setting sun.

In the wake of the man with a rifle came the seekers of permanent homes. In the Northwest, and usually in the Southwest, the leader in this next phase of occupation was

the man with a plow, or, to speak more correctly, the family with established habits of domestic economy—the farming group who understood and loved the steady and sober industry of the field, the housewife who was a mistress of the thousand arts that created comfort, security, and refinement, and the rollicking children who made the frontier ring with merriment and who helped to enrich their parents as they grew in years. Immigrants of this type soon built a fourth side to their abode and set in glass windows; within a short time they substituted well-constructed frame or brick dwellings for their first log cabins. They cleared broad acres for tilling and combined with their neighbors to open roads through the woods, fling rude bridges across streams, and build churches and schoolhouses.

As the settlements of the county expanded into compact farms they made provision for local government, erecting a courthouse and log jail and choosing officers to administer rough and ready justice in civil and criminal cases. Before the first generation was ready to surrender to the children, the county seat had usually grown into a thriving village where, as a traveler through the Ohio country in 1836 declared, "broadcloths, silks, leghorns, crapes, and all the refinements, luxuries, elegancies, frivolities, and fashions are in vogue." A few of the more ingenious men developed into manufacturers and millers on a small scale; business enterprise with all its implications commenced.

Sometimes the family of this class remained rooted for at least two or three generations in its first settlement; but often it was quickly struck with the western fever and moved on like the hunter in search of a new Eldorado. In the far country it was not uncommon to find homesteaders who had camped five or six times on their westward march. Indeed, as the renewal of exhausted soil called for more scientific knowledge than many a farmer could command, migration to virgin country was the easiest way out of poverty for the unskilled.

To the south of the Ohio River, the settlers who followed the hunters were generally white farmers, akin in spirit and purpose to those who peopled the Northwest Territory. If the climate in some sections invited planters to bring their slaves, the task of cutting forests, clearing land, and making the beginnings of civilization usually offered obstacles which they were not well fitted to surmount. So the first drive into the southern wilderness was made also by industrious white families and in the upland regions of Kentucky and Tennessee they remained in permanent possession of the soil. But close behind these home builders, especially into the wider valleys and broader plains, came masters with their slaves, buying up, uniting, and enlarging the holdings of their forerunners. In this way one of the distinctions that marked the old South from the Northwest was widely carried into the lower Mississippi Valley. Though, as southern observers were wont to say, western masters were shrewder and less punctilious than the grand gentlemen of the Virginia and Carolina lowlands, they were all united by ties of common interest, particularly on points touching their "peculiar institution."

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Considered in chronological order the history of the westward movement presents two distinct phases: one relative to the occupation of the Kentucky and Tennessee region, the other to the settlement of the Northwest Territory. The first advance on the wilderness was made into the district south of the Ohio at a date somewhere in colonial times not fixed in the chronicle of the West. Roosevelt records that as early as 1654 "a certain Colonel Wood was in Kentucky," and that in 1750 Dr. Thomas Walker of Virginia, "a genuine explorer and surveyor," made his way to the headwaters of the Kentucky, writing on his return an entertaining journal of his trip now available in printed form. In a few years more two Pittsburgh hunters,

Stoner and Harrod, were shooting buffaloes on the bend of the Cumberland, near the site of Nashville.

In any case the path had been broken when in 1769 Daniel Boone, with five companions, set out from his home on the Yadkin and pushed resolutely westward until he passed through the mountain fastness and out into the blue grass region. Discovering there an abundance of game that filled him with delight, round-horned elk, bears, and buffaloes, Boone bore home such a tale as had never been told in the hills of North Carolina. Inspired by his stories, other hunters rushed to the West along the trail he had blazed, pressing onward in their operations until they reached the Mississippi and established connections with the French trading posts on the river.

Immediately behind the forerunners came pioneers and their families from Virginia, North Carolina, and Georgia, the major portion of them Scotch-Irish farmers seeking an escape from the clay hills of their native states. In the very year that Boone made his first trip over the mountains, farmers from western Virginia planted a settlement on the banks of the Watauga in eastern Tennessee, then a part of North Carolina. Around blockhouses built along the river, they grouped farms and log cabins, thus giving to their contemporaries a demonstration in the difficult art of combining dispersed agriculture with effective provisions against hostile Indians.

In the middle of the next decade, Boone himself, in cooperation with Henderson, a colossal speculator of North Carolina, led a band of pioneers into Kentucky and founded the post of Boonesboro. Even in the stormy days of the Revolution the migration continued, and, after peace came, it broke all precedents. By 1790 Tennessee had a population of 35,000—while Kentucky reported twice as many, a census return larger than that of Delaware or Rhode Island, then more than a century old. The next year, William Blount, federal governor of Tennessee, built his capital on the banks of the Tennessee River and christened

it Knoxville in honor of Washington's Secretary of War, a good Federalist.

The second phase of the westward movement, namely, the great migration into the Northwest Territory, opened under more fortunate auspices. Settlers in the region south of the Ohio had been compelled to do their work under the protection of two rather indifferent parent states, whereas the pioneers of the Northwest, coming later on the scene, were able to invoke the armed might of the new federal government established under the Constitution. Not long after his inauguration President Washington, himself a large holder of western lands who appreciated the future of the Ohio country, took vigorous measures to organize military expeditions against the Indians on the frontier. His commander, General Anthony Wayne, in many clashes with these redoubtable foes of the white invasion, finally brought the leading chieftains to their knees, forcing them in 1795 to sign a treaty which cleared the eastern and southern portions of the Territory for white settlements. Then, by a process of steady pressure accompanied by some fighting, section after section was wrested from the aborigines and thrown open for occupation by farmers. Of course the white rifleman in the vanguard long continued to come into collision with the red man whose hunting ground he was despoiling but after Wayne's treaty there occurred in the Northwest relatively few of those dreadful scenes which had made Kentucky and Tennessee "a dark and bloody ground."

It was sheltered by the strong arm of the national government that promoters of Manasseh Cutler's land company drove upward into the midlands of Ohio from their base, Marietta, founded on the banks of the Muskingum under the guns of Fort Harmar in 1788. It was with less danger from the Indians than their ancestors had encountered at the hands of the Pequods that pioneers from Connecticut commenced to the north the settlement of Western Reserve, an immense domain which the state had

retained on surrendering to the Union its historic claims. Without fear, Moses Cleaveland, blazing a path to the shores of Lake Erie, established in 1796 a post that was destined to grow into a great city. From these beginnings two prosperous colonies, both offshoots of New England, rose and flourished.

With faithful precision the town meeting, the Congregational Church, steady-going habits, and Massachusetts thrift were reproduced beyond the mountains, as land-hungry sons and daughters of the Puritans advanced rapidly on the Mississippi, dispersing widely in northern Ohio, Indiana, and Illinois, upward into southern Michigan and Wisconsin and westward toward the great plains. So with accuracy could Webster declare in his magnificent oration delivered on the two hundredth anniversary of the Pilgrims' landing: "New England farms, houses, villages, and churches spread over and adorn the immense extent from the Ohio to Lake Erie and stretch along from the Alleghany onwards, beyond the Miamis, and towards the Falls of St. Anthony. Two thousand miles westward from the rock where their fathers landed, may now be seen the sons of Pilgrims, cultivating smiling fields, rearing towns and villages, and cherishing, we trust, the patrimonial blessings of wise institutions, of liberty, and religion. . . . Ere long the sons of the Pilgrims will be on the shores of the Pacific."

Not a whit behind New England, the middle and southern states furnished their quotas for the conquest of the northwest wilderness. In a huge tract acquired by the mighty speculator, J. C. Symmes, New Jersey folk established a colony at Cincinnati, so named in honor of the many soldiers who took part in the early settlement. Having merely to open their back doors to reach the frontier, Pennsylvania and New York sent settlers into nearly every community beyond the mountains.

From the South, especially the piedmont of North Carolina and Virginia, poured a stream of families already inured to the hardships of pioneer life. Some were Quakers

from upper counties of the old North State recoiling before the overbearing power of the slavocracy. Others were nomadic prospectors, such as Lincoln's father and mother, who, growing weary of ill-requited labors on impoverished soil in the East, rolled onward with the tide. Indeed, the southern part of Indiana and Illinois was largely peopled by men and women from Kentucky, Virginia, and North Carolina, who placed their stamp indelibly upon the economy, culture, and politics of that region. Under their coonskin caps, the principles of Jeffersonian Democracy were to be found with the same regularity as the doctrines of Federalism among the dyed-in-the-wool Puritans from Massachusetts and Connecticut who laid out their prim townships to the north. There were many exceptions of course, but astute politicians knew how to handle them.

By the Old World, as well as the seaboard states of the New, contributions were made to the development of the West. English travelers and capitalists, looking for larger opportunities, visited every important section of the Mississippi Valley during the years at the turn of the century, many of them casting in their lot with the makers of the young society on the frontier. The English book market was soon well stocked with pamphlets, handy guides, and pretentious volumes giving accounts of the journey from "the old country" to "the log cabin in the clearing" and every ship bore English immigrants bound for the western valleys. From the Continent came an ever-increasing host of Germans who scattered widely over the Northwest Territory and across the Mississippi into Missouri. A band of Swiss founded the town of Vevay on the Ohio River while some French settlers were induced by land speculators to try their fortunes in the fertile region which Marquette and La Salle had explored more than a century before.

The rapidity with which these immigrants from all quarters subdued the wilderness almost passes belief. In 1775 there were not more than five thousand whites in the Missis-

sippi Valley, outside New Orleans, and they were mainly French families clinging to their old posts. In 1790 there were about 110,000 white people in that region; within another decade the number rose to 377,000. The national census of 1830 gave 937,000 to Ohio, 348,000 to Indiana, 157,000 to Illinois, 687,000 to Kentucky, and 681,000 to Tennessee. In short, within the forty years after the heavy migration began, the western territory acquired more inhabitants than the original thirteen colonies in a century of development under the stimulus and patronage of governments, companies, and proprietors; more than Canada in the hundred years following the British conquest of that great dominion. Nothing like it had yet occurred in the stirring annals of American settlement.

It was in fact a momentous mass movement. Beginning in 1787 a steady surge of pioneers for the West passed through Pittsburgh; in that year, it is recorded, "more than nine hundred boats floated down the Ohio carrying eighteen thousand men, women, and children and twelve thousand horses, sheep, and cattle, and six hundred and fifty wagons." Travelers tell us that the roads were crowded with immigrants on foot and in wagons, marching west in high hope or with grim determination to win or die. Whole communities in the East were stripped of their inhabitants, as the nomadic fever spread.

While the nineteenth century was still a bantling, the Yankee missionary, Timothy Flint, was lamenting, in the vein of Goldsmith's Deserted Village, that New England, forsaken in the westward rush, was destined to decay. "Our dwellings, our schoolhouses, and churches will have mouldered to ruins," he exclaimed, "our graveyards will be overrun with shrub oak; and but here and there a wretched hermit, true to his paternal soil, to tell the tale of other times." If the prophecy was a bit strained, it breathed the fears of the age.

By 1830 the banks of the Ohio River were strewn with flourishing villages and aspiring cities while the country to

the south and north was dotted over with prosperous communities. Wheeling, Marietta, Newport, Cincinnati, Madison, and Louisville, alive with tourists and traders, were dreaming of greater days to come. Cincinnati had 26,000 inhabitants. Dayton, the other terminus of the Miami Canal, was a booming town of 2900. Sandusky, one of the chief points of distribution for the migration of the East by way of Buffalo, was growing like a reed. Cleveland was a lively village expecting to become a metropolis as soon as the canal under construction between Lake Erie and the Ohio could be opened for traffic.

In Indiana the most populous town, Madison, with 2000 inhabitants, was even then noted "for the quantity of pork barrelled there." On the central border not far from the Ohio line, Quakers from Pennsylvania and North Carolina had built the stable settlement of Richmond. Indianapolis, with 1200 residents, was already determined to become the capital of the state. On the banks of the Wabash, Vincennes, "the oldest place in the western world after Kaskaskia," was assuming an air of antiquity. Logansport, Terre Haute, Crawfordsville, and Lafayette were rising in the forests. Robert Owen's communistic colony, "New Harmony," having made the great experiment, had turned back to the ways of individualism. Throughout Ohio, Indiana, Illinois, and Kentucky wild animals had practically disappeared from the regions around the settlements; wolves sometimes swept down to carry off a sheep or a hog and a big bear occasionally was discovered in the family larder seeking honey; but very few dangerous beasts remained to beset the unwary traveler, at least on his way along the roads and blazed trails.

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As may be imagined from this sketch of its origins, the civilization of the new West was a checkered pattern full of surprises and contradictions. The many contemporaries

who tried to describe it found colors, shades, and tints to please their varied fancies. Timothy Dwight, president of Yale and a rabid opponent of Jefferson, crisply declared that most of the pioneers who went from his region into the Ohio country were little better than anarchists; perhaps having in mind the leveling tendencies of small farmers.

"They are," he said, "not fit to live in regular society. They are too idle; too talkative; too passionate; too prodigal, and too shiftless to acquire either property or character. They are impatient at the restraints of law, religion, or morality; grumble about the taxes by which Rulers, Ministers and School-masters are supported and complain incessantly, as well as bitterly, of the extortions of mechanics, farmers, merchants and physicians, to whom they are always indebted. At the same time they are usually possessed, in their own view, of uncommon wisdom; understand medical science, politics, and religion better than those who have studied them through life; and although they manage their own concerns worse than other men, feel perfectly satisfied that they could manage those of the nation far better than the agents to whom they are committed by the public. . . . After censuring the weakness, and wickedness of their superiours; after exposing the injustice of the community in neglecting to invest persons of such merit with public offices; in many an eloquent harangue, uttered by many a kitchen fire, in every blacksmith's shop, and in every corner of the streets; and finding all their efforts vain; they become at length discouraged; and under the pressure of poverty, the fear of a gaol, and the consciousness of public contempt, leave their native places, and betake themselves to the wilderness." In this fashion, thought the good college president, the sober and respectable people of the East, rid of village Gracchi, could enjoy peace and quiet—and they did until at last the terrible earthquake of Jeffersonian Democracy shook down the Federalist temple about their ears.

On the opposite side of the ledger could be placed the

verdict of another New England clergyman, Timothy Flint —that veteran missionary who lived for many years on the frontier and traveled it from end to end. Knowing the pioneers personally in their new homes, he felt moved to repel the imputations of the "learned and virtuous Dr. Dwight." Though he admitted that there were worthless people in the West—"and the most so, it must be confessed, are from New England"—he drew a picture of the frontier which was sympathetic and on the whole favorable. "It is true there are gamblers, and gougers, and outlaws; but there are fewer of them, than from the nature of things and the character of the age and the world, we ought to expect. . . . The backwoodsman of the West, as I have seen him, is generally an amiable and virtuous man. His general motive for coming here is to be a freeholder, to have plenty of rich land, and to be able to settle with his children about him. It is a most virtuous motive. And notwithstanding all that Dr. Dwight and Talleyrand have said to the contrary, I fully believe that nine in ten of the emigrants have come here with no other motive."

Having rendered this opinion in general, Flint explained that the man who had wrestled with bears and panthers and had passed his days in constant dread of Indians was of necessity accustomed to carry a dirk and rifle, to stalk about with a pack of dogs at his heels, and wear the rough garments of the woods. But everywhere, continued the missionary, the stranger was greeted with rude hospitality, springing from an innate gentleness of manner. The somewhat ungracious "Yes, I reckon you can stay all night" was merely a laconic way of putting the best at the disposal of the wayfaring man. While the housewife was "timid, silent and reserved" and declined to sit at the table, she gave unstinted attention to the slightest wish of the visitor. Money in payment for food and shelter was spurned by the host and hostess; even the children that gathered at the door to speed the parting guest turned away from the proffered coin. If the people who fled from the ministrations of the

good and wise were originally the wretches portrayed by Dr. Dwight, then the wilderness must have had a redemptive influence on their natures.

In religion the western regions were naturally as diverse as the people who settled them. The Scotch-Irish who moved over the mountains into the Holston and Tennessee Valleys were, of course, still Presbyterians in creed; as soon as a frontier settlement was well-established, a committee was chosen to build a church, select a preacher, and manage the finances of the enterprise. In a similar fashion, the emigrants from New England who went into the Ohio country erected a Congregational church in every township they occupied; while the Quakers made their plain meeting house the center of their community life on the frontier. At the old French posts that stood out occasionally like hulks of sunken ships in the midst of the British flood, Catholic priests continued to baptize, marry, confess, warn, absolve, and bury according to the rites of their historic Church. Wherever the Germans settled, the Lutheran faith flourished; while here and there Episcopalian clergymen undertook the care of souls in a climate none too favorable for their colder ceremonials.

Beside the pastors of established congregations were devoted missionaries of every sect. The girdled trees of the advancing frontier were hardly dead when wandering preachers appeared to save men and women from the danger of relapsing into barbarism. Especially numerous and powerful were Methodist and Baptist itinerants who proclaimed a passionate gospel of hell-fire and salvation that moved the hardest drinkers, boldest fighters, and meanest sinners of the hinterland to repentance, periodically at any rate. Into the most remote spots they penetrated, laying out regular circuits from community to community so that the seed once planted might be carefully cultivated. To fortify the faithful and gather recruits into the fold they held great "camp meetings" to which settlers flocked from near and far for a season of singing, preaching, and

testifying—ceremonials that often flowed over into shouting, dancing, screaming, fainting, and other excesses, as religious ecstasy seized the more exuberant of the assembled hosts.

In spite of theological differences a strong note of Puritanism characterized the preaching of all denominations. Methodists denounced dancing, card-playing, and jewelry almost as fiercely as they did drunkenness and profanity. The Congregational missionary, Timothy Flint, though somewhat more liberal in his views, complained that every German farmer had a distillery and that "the pernicious poison, whiskey, dribbles from the corn." But when he remonstrated with them, the Germans always replied, that, "while they wanted religion and their children baptized and a minister as exemplary as possible, he must allow the honest Dutch, as they call themselves, to partake of the native beverage." The Quakers—even those who liked a "night-cap" of good whiskey—would have no "godless" musical instruments in their meeting-houses and their solemn garb marked them as censors of the wicked world in which they had no part.

Even laymen joined in the Puritan crusade. Mrs. Trollope, under the head of literature and prudery, declared that a scholarly gentleman in Cincinnati once exclaimed to her: "Shakespeare, Madam, is obscene, and thank God we are sufficiently advanced to have found it out." At all events, in that city, billiards and card-playing were then unlawful and dancing was viewed with much disfavor. A young German of good breeding gravely offended one of the best families by speaking of "corsets" in the presence of ladies and the manager of a public garden who put up a signboard bearing the figure of a Swiss maiden in short skirts was forced by the outraged women of the community to have a flounce painted on her ankles. Such was the delicacy to be found in a country where boisterous profanity and hard drinking were as common as sunshine—profanity and drinking so shocking that Flint was once moved to distribute

among the teamsters of his wagon train copies of "that impressive tract, the 'Swearer's Prayer.' "

Harsh and grinding as life was on the frontier and puritanical as were the devout, there were signs of intellectual interest and craving even in the early days. The very first band of hunters who went through the Cumberland Gap into Kentucky in 1769 carried with them two volumes of Jonathan Swift's Works and whiled away long nights around the camp fire reading the diverting Gulliver's Travels. In August, 1787, when Lexington was but a few years old, an editor, bearing the goodly English name of John Bradford, brought out the first newspaper beyond the mountains, The Kentucky Gazette; and four years later The Knoxville Gazette, under the patronage of the governor of Tennessee, issued a ringing Federalist challenge to all Jacobins and Democrats.

In fact as soon as any village could boast of a few hundred inhabitants and give promise of a future, some enterprising printer appeared with press and type to establish his sanctum in a log cabin. In little weekly sheets, the spleen of the politicians was vented, sermons were reported, and budding poets were allowed to address the muses. With the clergymen and the editors went the lawyers. In every county seat attorneys did a thriving business defending criminals and settling disputes over land titles. Their professional labors they supplemented by delivering to order turgid and high-sounding orations on the Constitution, the genius of Washington, or the spirit of American institutions.

Nor was the training of the young wholly neglected in the tough battle for a livelihood. Those wise statesmen of the East who foresaw the future of the West had early given thought to the education of the people. The ordinance of 1785 set aside in the Northwest Territory a great reservation of land for the support of elementary and higher education. Supplementing this act, the Northwest Ordinance two years later declared that "religion, morality

and knowledge being necessary to good government and the happiness of mankind, schools and the means of education shall be forever encouraged."

In the same spirit the territories and states erected in the region set aside land for educational purposes; the constitution of Indiana, for instance, proclaiming in 1816 that the funds derived from the sale of public land dedicated to education "shall be and remain a fund for the exclusive purpose of promoting the interest of literature and the sciences and for the support of seminaries and public schools." But fine declarations such as these, while they expressed excellent intentions, were difficult to realize. Much of the land set aside for education was sold at low figures by corrupt or careless officials and no small part of the money was lost through inefficiency and maladministration. It was not until the middle of the century that the public school system of the middle west was placed on a solid foundation.

More prosaic and complicated than public documents would lead us to believe was the real story of frontier education. As on the seaboard, it opened with a record of private and sectarian effort. The Presbyterian preachers who went into the early communities of Kentucky or Tennessee generally played the triple rôle of farmer, parson, and schoolmaster; emigrant bands from New England into the Ohio country usually took teachers with them; but many a frontier settlement was long without a school of any kind until some of the more energetic citizens took up subscriptions, built a log house, and engaged a master.

Here and there "seminaries" of higher learning arose to keep the lamp burning after the example of the Fathers on the Atlantic coast. At Lexington, Kentucky, in 1807, Cuming, an English traveler, found in Transylvania University a flourishing institution deserving commendation. The president, Rev. James Blythe, according to the report, taught natural philosophy, mathematics, geography, and English grammar; another clergyman was professor of

moral philosophy, belles lettres, logic, and history; there was also a professor of languages, one of medicine, and one of law. Enthusiasm was great but salaries low. The professor who taught French would have starved to death if he had not supplemented his "university" stipend by fees from a dancing class. "And here," the tourist adds in an aside, "it may not be impertinent to remark that in most parts of the United States teachers of dancing meet with more encouragement than professors of any species of literary science." Not far from this university, the English wayfarer found an academy where young ladies were taught reading, writing, spelling, arithmetic, grammar, elocution, rhetoric, ancient and modern history, natural history, moral philosophy, music, drawing, painting, fancy work, plain sewing, and other appealing subjects.

Before the nineteenth century was far advanced, Timothy Flint, with a pride worthy of John Harvard, could record that there were six colleges in Ohio: Miami at Oxford, Ohio University at Athens, Kenyon at Gambier, Western Reserve at Hudson, Franklin at New Athens, and Lane Theological Seminary for the Presbyterians at Cincinnati. In addition, the missionary continued, there were fifteen or twenty academies and each session of the legislature was incorporating a new one. On a journey farther west, Flint visited Indiana College, opened in 1829 at Bloomington, where, he said, "a thorough classical education is imparted at an expense as moderate as any similar seminary in the Union."

In backward regions, out of the range of organized instruction, women of breeding often taught untutored husbands and stalwart children their letters and sent them rejoicing through the gateway that led to books and papers. For example, Andrew Johnson, the Tennessee tailor, who was fated to become President of the United States on the death of Lincoln, learned the rudiments from his wife and under her instruction unconsciously prepared for the career marked out for him by destiny. Thus knowledge ad-

vanced slowly but steadily upon the ignorance of the hinterland—advanced because there was something more substantial in the fiber of the emigrants from the East than the qualities listed by the excellent tutor, Dr. Dwight, or the excesses of evangelistic revivals would seem to indicate.

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The economy of the new West, essentially agricultural, rested mainly upon a system of freehold farms. In the lower Mississippi Valley and in the Missouri country, it is true, the planters with their slaves early pushed out toward the frontier; but in large sections of Alabama, Tennessee, and Kentucky, and all through the Northwest Territory, where slavery was forbidden, the small farmer reigned supreme. In this immense domain sprang up a social order without marked class or caste, a society of people substantially equal in worldly goods, deriving their livelihood from one prime source—labor with their own hands on the soil.

For a long time there were in that vast region no merchant princes such as governed Philadelphia and Boston, no powerful land-owning class comparable to the masters of Hudson Valley manors. Even the slave owners of the gulf states, though sometimes richer than their brethren on the seaboard, were many years in acquiring the magnificent pretensions that characterized the gentry of Virginia and South Carolina. Sugar makers and cotton growers of the Southwest gave their section no Washingtons, Randolphs, Madisons, and Monroes. Jefferson Davis belonged to the second generation of Mississippi planters and by the time he grew to manhood his class was marching swiftly to its doom.

For many decades, an overwhelming majority of the white men in the West were land-owning farmers. The unit of their society was the family on the isolated holding engaged in an unremitting battle with nature for its living. No benevolent government surrounded it with safeguards; no army of officials inspected its processes of life and labor.

In a thousand emergencies it was thrown upon its own resources; it produced its own foodstuffs, manufactured most of its own clothing, warded off diseases with home-made remedies inherited from primitive women, and often walked in the valley of the shadow of death without priestly ministrations.

In its folkways and mores there was a rugged freedom—the freedom of hardy men and women, taut of muscle and bronzed by sun and rain and wind, working with their hands in abundant materials, shaping oak from their own forests and flax from their own fields to the plain uses of a plain life, content with little and rejoicing in it, rearing in unaffected naturalness many children to face also a career of hard labor offering no goal in great riches or happiness in a multitude of things—none servants of the machine with their energies pinched by steel into fragile finery and their days turned into night by the soot of chimneys—all satisfied by the unadorned epic of Christianity inherited from their fathers, with heaven not far away and a benign Providence taking thought lest some sparrow might fall unnoticed. Although travelers into the pioneer West disagreed on many points they were almost unanimous in enumerating the outstanding characteristics of the frontier people: independence in action; directness in manner, want of deference for ceremony, willingness to make acquaintance with all sorts and conditions of mankind, a rough and ready license of speech with a corresponding touchiness of temper in the presence of real or fancied insults.

Nevertheless the men of the frontier were quick to associate themselves in bodies politic, for besides bearing with them from the older states traditions of self-government, they were eager to safeguard their own interests against the machinations of statesmen in the East. Above all things they were keen to wrest control over the public lands from the politicians at Washington who were as a rule either engaged in speculation on a large scale or indifferent to the needs and claims of the West. For these cogent reasons the

pioneers early resolved to have local autonomy, even if it meant snapping the slender ties that bound them to the Union.

Within fifteen years after Boone led his path-breaking party to the West the question of separation became acute in Kentucky. In 1785 a convention was held and a resolution was passed declaring that Kentucky must separate from Virginia and enter the Union as a state. During the brief period of delay that ensued, some of the hot-heads directed by James Wilkinson, a picturesque adventurer of dubious morals, proposed to take matters into their own hands and proclaim Kentucky independent in spite of Virginia or Congress. But calmer counsels prevailed. In 1792 after a season of agitation and in spite of the lamentations of eastern Federalists, Kentucky found a seat in the Union beside Vermont, admitted a year before.

Meanwhile a parallel movement was in full swing to the south. In 1784 the frontier communities of Tennessee elected a constitutional convention which met at Jonesboro in midsummer and without a dissenting voice declared its independence of North Carolina. A constitution was drawn up, a legislature of two houses elected, and the new state of Franklin, as it was called, announced to the public. Immediate provision was made, by the establishment of an academy, for "the promotion of learning." As in the case of Kentucky, a long controversy with the mother state now followed.

At the close of the dispute the infant commonwealth gave up the ghost but its fierce spirit of independence continued to live until at last North Carolina, unable to manage the tempestuous frontier, ceded the territory to the United States. Though subjected by this act to the strong arm of the national government, the pioneers in their passion for self-government refused to be balked. They called another convention, framed a second constitution, elected a governor, chose two federal Senators, and sent Andrew Jackson, with his hair done up in an eelskin, to speak for the

new state on the floor of the House of Representatives at Philadelphia, still the capital of the nation. Their constitution was duly laid before Congress and after a brief tussle between the Federalists and the Republicans, Tennessee was admitted to the Union, in 1796.

Across the Ohio in the Northwest Territory, the appetite for self-government was also keen. As a matter of fact, two years before the Congress enacted the Ordinance of 1787 for the district, one John Emerson issued on his own imperial authority a call to the squatters of the region to assemble in convention and draft a government for themselves. In assuming this prerogative the true son of New England declared that men "have an undoubted right to pass into every vacant country and there to form their constitution and that from the confederation of the whole United States, Congress is not empowered to forbid them." But the doctrine was too strong for the times and the assembly was never convened.

For nearly twenty years the district was held under national supervision until the population reached a figure more appropriate to the position of a commonwealth. It was in 1803 that Ohio was admitted to the American federation under a constitution framed with the consent of Congress. A decade more passed and Indiana asked for a place in the Union. In 1816 her constitution was drafted, the approval of Congress obtained, and her government inaugurated at Corydon. Illinois was next in the political arena and could not be denied recognition. Under the spirited leadership of a man born in New York and reared in Tennessee, a plan of government was drawn up; in 1818 Congress admitted the backwoods commonwealth to the privilege of statehood.

Before this time the appeal of the Far South had been heard. By 1810 lower Louisiana claimed a population of more than 75,000 and the people of the metropolis of New Orleans, a center of trade and old Latin culture, thought themselves not unworthy of a place beside Baltimore and Boston. On the cession of the territory to the

United States seven years earlier a promise had been made that the inhabitants should enjoy all the rights of American citizens and in due course be taken into the Union as a body politic equal in all respects to the elder members of the national association.

The idea was naturally pleasing enough to the Republicans at Washington, happy to be reinforced by new Senators and Representatives from the Southwest, but the Federalists, on their part, could hardly find words strong enough to express their horror. When at length the bill to admit Louisiana came before the House of Representatives in 1811, Josiah Quincy of Massachusetts declared that the passage of the measure would be a virtual dissolution of the Union, a death blow to the Constitution, and the signal for some of the states "to prepare definitely for a separation, amicably if they can, violently if they must."

Reinforcing his protest, a committee of the legislature of Massachusetts complained that "if the President and Senate may purchase land and Congress may plant states in Louisiana, they may with equal right establish them on the North-West Coast or in South America." However faultless the logic may have been, it did not soften the hearts of the Republicans. In 1812 Louisiana became the peer of Massachusetts in spite of the latter's dread. Before another decade elapsed, Mississippi and Alabama "poured their wild men," as the Federalists dubbed them, upon the floor of the national Congress.

Far to the north in the Louisiana Purchase, another commonwealth was rising to power on the banks of the Missouri. Into the fertile lands of that region streamed hardy farmers from Kentucky and Virginia, planters with their slaves, land-hungry Yankees from New England, thrifty Germans from Pennsylvania and straight from the Old World—freemen and bondmen mingling in one effervescent community. Though differing in interests, in religion, and sometimes in language, all the white men were agreed on one thing: winning independence as a state.

Pressing their claim upon Congress, they precipitated an angry dispute over slavery, the first of the mighty debates that finally culminated in an appeal to arms. On this occasion a compromise staved off the storm; in 1820 Maine was admitted into the Union as a free state and Missouri was accepted with slavery, while through the remainder of the Louisiana Territory the line of 36° 30′ was adopted to mark the division between freedom and bondage. Before the new legislature across the Mississippi had barely tried its wings, some wag painted on the wall behind the speaker's chair: "Missouri, forgive them. They know not what they do." So the new government was launched with humor as well as with determination.

In fashioning their constitutions, the backwoods draftsmen followed rather closely examples furnished by the older states from which they had emigrated. Sometimes their documents were almost exact copies of admired models. Again they were mosaics; the leader in the Illinois convention, for instance, welded the constitutions of Kentucky, Ohio, and Indiana into a composite law. In every case there were included with mechanical regularity a bill of rights and articles dealing with the executive, legislative, and judicial departments. As a rule, however, the frontier lawmakers stipulated that the governor should be elected by popular vote and not by the legislature, as required by the first constitutions in the majority of the original states; and in several other respects the new commonwealths were also more "democratic" in their politics.

Rejecting the doctrines of the Old Dominion, Kentucky provided that all free male citizens who had resided in the state for two years should enjoy the right of suffrage and that any lawful elector should be deemed eligible for the office of governor or membership in the legislature. Thus was realized on the frontier the political equality of freemen, in an age when property or taxpaying qualifications were still retained by the commonwealths of the Atlantic seaboard. Indeed the departure was too radical for some of

Kentucky's own neighbors. Tennessee, for example, insisted on restricting important offices to freeholders, counting no man eligible to the general assembly unless he owned two hundred acres of land in the county which he represented or worthy to be elected governor unless he possessed a freehold of five hundred acres. Furthermore, across the Ohio River, Indiana, while giving the ballot to all white male citizens, declined to allow any one who was not a taxpayer to serve as a legislator or chief executive.

Yet, in spite of the property qualifications, even the highest, all the new western states were, broadly speaking, democracies of free and equal white men. It was indeed a poor and shiftless pioneer who could not acquire a freehold or become a taxpayer; in fact it was not very difficult to secure the five hundred acres fixed as the economic qualification for governor of Tennessee. So the politics of the frontier was the politics of backwoodsmen, and if a type of the age is needed for illustration, it may well be David Crockett, whose autobiography is one of the prime human documents for the American epic yet to be written.

In early manhood, without any formal education and barely able to write his own name, Crockett was made a local magistrate. Confessing at the time that he had never read a page of a law book in his life, he gave his decisions on "the principles of common justice and honesty between man and man, and relied on natural born sense and not on law learning" as a guide to his judgments. From this petty office Crockett advanced to the state legislature. When the new honor fell upon him, according to his own admissions, he had never read a newspaper, and was under the impression that General Jackson himself was the whole government of the United States. In his campaign for election, Crockett told stories that amused the crowd; usually ending his speech with the remark that he was "dry as a powder horn" and extending a general invitation for the auditors to join him at the nearest liquor store.

On arriving at the capital of the state in the rôle of a

Solon, Crockett was so ignorant of constitutional law that he did not know the meaning of the word "judiciary." Undeterred, however, by a lack of training in books, he widened his information, improved his handwriting, and kept his wits burnished. In due time he was sent to Congress where, for reasons difficult to fathom, he finally turned against General Jackson and ruined his own political career. Stung by defeat at the polls, Crockett now made off for the southwest where he died dramatically at the Alamo, helping to wrest an empire from the hands of the Mexicans. No doubt other politicians from the West were more learned and could make speeches in grammar more elegant but on the whole Crockett was fairly typical of a great horde of hunters and farmers who pushed into the rude chambers of western capitals during the opening decades of the nineteenth century and sent their spokesmen to Washington to instruct the federal government in the politics of frontier agriculture.

## CHAPTER XII

## *Jacksonian Democracy—A Triumphant Farmer-Labor Party*

THE creation of nine states beyond the mountains, accelerating the steady movement of political power toward the West, was synchronous with profound social changes on the seaboard—changes equally disturbing to eastern gentlemen of the old school in wigs, ruffles, knee breeches, and silver buckles. While the widening agricultural area was sending an ever-increasing number of representatives to speak for farmers upon the floor of Congress, state after state on the Atlantic coast was putting ballots into the hands of laborers and mechanics whom the Fathers of the Republic had feared as Cicero feared the proletariat and desperate debtors of ancient Rome. Even Jefferson, fiery apostle of equality in the abstract, shrank at first from the grueling test of his own logic; not until long after the Declaration of Independence did he commit himself to the dangerous doctrine of manhood suffrage.

Expressing their anxieties in law, the framers of the first

state constitutions, as we have noted, placed taxpaying or property qualifications on the right to vote. The more timid excluded from public office all except the possessors of substantial property; and those who stood aghast at the march of secularism applied religious tests that excluded from places of political trust Catholics, Jews, Unitarians, and scoffers who denied belief in hell. All people thus laid under the ban of the law they regarded as socially unsafe. "The tumultuous populace of large cities," ran the warning words of Washington, "are ever to be dreaded." In Jefferson's opinion also, "the mobs of the great cities" were "sores on the body politic."

Such was the prevailing view among the ruling classes of the time and it was founded on no mere theories of state. The conduct of the rioters in the days of the Stamp Act agitation, the fierce treatment meted out to Tories in the years of the Revolution, and the mass meetings of workingmen in New York and Philadelphia when the first state constitutions were being framed, all indicated that social forces of unknown power were stirring beneath the surface of society.

There was a brief period of peace and reaction while the Constitution was being launched but that was the calm before the storm. Washington had been safely installed only a few weeks when the alarm bell of the French Revolution gave the signal for an uprising of the sansculottes of the western world. Before long, in all the cities of the American seaboard, a movement for white manhood suffrage was in full swing. Indeed, the mechanics of Pennsylvania had already set an example in 1776 by forcing the adoption of a low taxpaying franchise which gave a broad popular base to the government and paved the way for a Jacobinical democracy. During Washington's first administration, in 1791, to be exact, Vermont came into the Union without property restrictions, and Delaware gave the ballot to all white men who paid taxes. Though reckoned among the conservative states, Maryland "shot Niagara" in 1809

by adopting manhood suffrage; and nine years later Connecticut, even less devoted to the quest for novelties, decided that all males who contributed a trivial sum to the support of the government could be trusted with the ballot.

The fire spread to Massachusetts. Into the state constitutional convention of 1820 strode radicals ready to strike down all the political privileges expressly accorded to property, raising anew the specter of Daniel Shays. Frightened at their demands, Daniel Webster, then in the prime of his manhood, and John Adams, at the close of his memorable career, joined in protesting against innovations. With his customary eloquence, Webster warned the convention that all the revolutions of history which had shaken society to its foundations had been revolts against property; that equal suffrage was incompatible with inequality in property; and that if adopted it would either end in assaults on wealth or new restraints upon democracy—a reaction of the notables. In spite of the fact that the argument was cogent, it did not rally the delegates as one man to the established bulwarks. The privileges of riches in the state senate were indeed retained but the straight property test for the suffrage was abandoned and a small taxpaying restriction adopted, merely to be swept away itself within a few years.

A similar contest took place in New York in 1821 when a band of Federalists in the constitutional convention argued, threatened, and raged to save the political rights of property, only to go down in defeat after gaining some petty concessions which were abolished within five years in favor of white manhood suffrage. From this struggle echoes were heard in Rhode Island where the mechanics of Providence, learning of Tammany's victory in New York, called for a similar unhorsing of the freeholders who ruled their own state. Unawed by their hue and cry, the conservatives stood firm while the tiny commonwealth founded by apostles of liberty was shaken by a long and stormy agitation over the rights of man. For nearly twenty years the

tempest blew hard, provoking an armed uprising, known as Dorr's Rebellion, and culminating in the substitution of a taxpaying for the freehold qualification on the suffrage.

Still more obdurate were Virginia and North Carolina, notwithstanding the power of Jefferson's great name; the former would not let anybody but landowners vote until 1850; the latter did not surrender that restriction for six years more. But the delay was not so significant, for the growth of the western counties in those two states gave them each a population of small farmers who had no more love for the planters on the coast than the Irish mechanics of New York City had for the stockholders in the United States Bank. Thus it may be said that when the nineteenth century turned its first quarter, political power was slipping from the hands of seaboard freeholders, capitalists, and planters into the grip of frontier farmers—usually heavily in debt to the East for capital and credit—and into the hands of the working class of the industrial towns, already tinged with leveling doctrines from fermenting Europe.

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As the cohorts of the new democracy marched in serried ranks upon the government, they inevitably modified the spirit and practice of American politics. First of all, they criticized the method of electing the President. Shrinking from the hubbub of popular agitations, the Fathers had sought to remove the choice of the chief magistrate as far as possible from the passions of the multitude; though impressed by the difficulties of the task they hoped to introduce a quiet, dignified procedure about as decorous as the selection of a college rector by a board of clerical trustees. To attain their end, they provided that the President of the United States and also the Vice-President should be carefully chosen by a small body of electors selected as the legislatures of the states might decide.

Given this choice, the legislatures, naturally greedy for

power, proceeded to exercise the right themselves; but before long the new democracy was thundering at their doors, demanding the transfer of that sovereign prerogative to the voters at the polls. Slowly but surely the managers of politics yielded to the cry for the popular choice of the President; in 1824 only six states still allowed the legislatures to choose the presidential electors and eight years later but a single state, South Carolina, clung to the original mode. One of the great safeguards against the tyranny of majorities was now submerged in the tossing waves of democracy.

Yet the all-devouring populace was by no means satisfied with this gain, for the nomination of party candidates for President was still in the control of a small body of politicians known as the "congressional caucus." After the country divided into two parties, it became necessary for each of them to select its candidate in advance of the election; but of course the rank and file of its personnel could not assemble for that purpose in one forum, travel being tedious and expensive even for exalted officers. Accordingly the party members in Congress simply took upon themselves the high function. When the season for choosing the presidential candidate approached, the congressmen of each party met in caucus behind closed doors and agreed upon the dignitary to be put before the people. While the election of President and Vice-President was passing into popular control, the choice of candidates thus remained in the grip of a few managers in Washington.

To the new democracy this situation was intolerable and a roar of protest went up against it. In 1824, on the refusal of "old King Caucus" to nominate General Andrew Jackson, such a clatter was raised that never again did members of Congress dare officially to select the people's candidates for them. When the campaign of 1832 came around, there was substituted for the caucus an institution known as the nominating convention, an extra-legal party conference composed of faithful delegates chosen by local assemblies of loyal partisans. To be sure, Senators and

Representatives were always prominent in the convention but they were now faced by hundreds of party agents "fresh from the people," as Jackson was wont to say.

In fact, the grand convention was mainly ruled by office-holders and aspirants for office. While the election of the President was vested in the people legally, the choice of candidates, in fact, passed from the congressional monopoly to professional politicians at large. This transfer was noted by many eminent observers, especially by those who failed to win a nomination; and soon the convention was denounced in the vivid terms formerly applied to the caucus. Nevertheless, the new party institution took root and flourished; by 1840 it seemed as rigidly fixed as the Constitution itself. It also became at the same time the accepted organ of party operation in the lower ranges of state and county politics. Men who refused to abide by its decisions were anathematized and treated like social pariahs.

The profits as well as the powers of public office now became objects of interest to the new democracy. "To the victors belong the spoils," a slogan of New York politicians, was elevated to the dignity of a national principle in the age of Andrew Jackson. And yet it would be a mistake to assume that the doctrine was a product of the period. To the statesmen of ancient Rome the emoluments of office and the plunder of the provinces were matters of prime concern; the hands of the righteous Cicero were far from spotless. The government of England in the era of the Georges was an immense aggregation of sinecures and profitable positions, the impeccable Pitt having his Newcastle to distribute pelf among the beggars of the better sort that swarmed around Parliament.

In colonial America, contests over lucrative posts filled official circles with petty rackets; the thrifty Franklin made the most of his opportunity as royal postmaster-general of America. Once independence was established, there were problems of statecraft to be considered. Even the virtu-

ous Washington, placed by a sense of honor and private fortune above jobbery in public offices, could not ignore its function in party management. In making his first appointments, he was careful to choose friends rather than enemies of the new Constitution, although he occasionally tried to clip the wings of especially dangerous critics by giving them places in the administration; and, taught by experience the perils of doubters in his own household, he finally vowed that he would henceforth select only well-disposed persons for office, on the highly defensible theory that no government can rely on its foes for success. Jefferson was equally careful, when removals, resignations, and deaths occurred, to make selections with reference to party loyalty.

This practice the labor and agrarian democracy which later swept into power merely amplified by ousting a larger proportion of office-holders and by avowing more frankly that the sweets of place were among the joys of victory. To this doctrine, they added another, namely, rotation in office, demanding that terms be short so that more party workers could share in the delights of conquest. The bucolic openly admitted the purpose; while the sophisticated argued that long tenure made officers lazy, bureaucratic, and tyrannical.

In either form the new gospel weighed heavily with farmers who seldom saw as much as a hundred dollars cash in the course of a whole year and with mechanics who labored at the bench or forge for seventy-five cents a day. To them a chance at the public "trough," as the phrase ran in gross colloquialism, was to be welcomed gratefully on any axiom of ethics. Indeed, it was often difficult to distinguish, except in mathematical terms, between those who suffered from the taint of vulgarity in office-seeking and those who united public emoluments and private retainers in the higher ranges of the public service. Whatever the niceties of the occasion required, it was clear to all that the advent of the farmer-labor democracy was

bound to work changes in the more decorous proceedings handed down from the Fathers.

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The flow of time in which occurred these modifications in American political life carried off the heroic figures of the Revolution and left the race to the fleet men of a new generation. Washington died in 1799, still "first in the hearts of his countrymen," as Light Horse Harry Lee said in the funeral oration. Patrick Henry had already gone to his long home; Samuel Adams was soon to follow. In 1804, Alexander Hamilton, in the prime of life, was shot in a duel by Aaron Burr. John Adams and Thomas Jefferson, old and bent under the weight of years, trudged on in the dusty way until 1826, when they died within a few hours of each other on July 4, reconciled and at peace. Charles Carroll, last surviving signer of the Declaration of Independence, lived to turn the first sod for the Baltimore and Ohio Railway on July 4, 1828, and to see with dimmed eyes the outlines of a progressive future; but in four years he too was no more. James Madison, philosopher of the Constitution, kept up the good fight long enough to write a ringing protest against nullification in South Carolina; then death carried him off at the ripe old age of eighty-five.

When the election of 1824 arrived, there was no Father of the republic, in the vigor of manhood and crowned with the halo of a romantic age, able to take up the office laid down by Colonel Monroe. Time as ever was ruthless. The Virginia succession had come to an end. Even the Federalist party, founded by Hamilton and Washington, was out of the field—or rather incorporated as a disturbing factor in the all-embracing Republican party of Jefferson. The "era of good feeling" was closing; buried or concealed hatreds were reviving. New men, looking to the future rather than to the past, were jostling one another for place and power in the forum, but none stood out head

and shoulders above the others as the inevitable successor to Monroe.

Puzzled by this state of affairs, the congressional caucus nominated for the presidency W. H. Crawford of Georgia, a man of ability but not a commanding personality. Its decree was in regular form but it could not be enforced because, forsooth, three other candidates insisted on entering the lists. John Quincy Adams, son of the second President, regarded himself as heir apparent in virtue of his services as Secretary of State; while the frontier brought its hard fist down on the political table with emphasis, announcing the rights of Henry Clay of Kentucky and Andrew Jackson of Tennessee. "The wild men of the Mississippi region" could not be ignored but fortune postponed their mastery.

So divided were the returns from the polls that no one of the four had a majority of the presidential electors as required by the Constitution; Jackson stood at the top, Clay at the bottom. From this it followed that the election was thrown into the House of Representatives, where each state could cast only one vote—the vote of its delegation—and men elected in calmer days held the floor under the leadership of Clay as Speaker. Upon the trained ears of the old political dynasty, the cries of Jackson's hordes swarming into the lobbies sounded like the voices of willful fanatics. Bent on defeating them at all costs, Clay, whose small number of votes left him outside the pale, threw his strength heavily to the right and by skillful management won the presidency for Adams with the office of Secretary of State for himself, perhaps, as alleged, quite accidentally.

Though the roaring flood of the new democracy was now foaming perilously near the crest, the great dike of proscriptive rights still held, for Adams could no doubt give to the government the tone of the old régime. He called himself a Republican in politics, having turned against the Federalists and affiliated with the Jeffersonians in the days when the latter were regarded by the New England aristocracy

as "a Jacobinical rabble." Nevertheless, he was no horny-handed farmer, aproned mechanic, or bold Indian fighter, dear to the rising electorate of the age. Educated at Harvard and in the politest circles of Europe, Adams viewed public service as a kind of *noblesse oblige* to be kept untainted by the vulgar odors of loot and spoils—a service capable of protecting democracy by efficient administration against the inroads of the plutocracy.

Besides being out of lockstep in matter of political patronage, he was opposed to flinging western land out to impecunious members of Congress, avid speculators, and gambling farmers. Looking to the long future, he believed in preserving the public domain as a great national treasury of resources to be wisely and honestly managed with a view to revenues for roads, canals, and education in letters, arts, and sciences. Besides anticipating by nearly a hundred years some of the most enlightened measures of conservation, Adams foresaw in a livid flash the doom of slavery in a social war.

By no possible effort could he become a Jacksonian "mixer"; like his illustrious descendant, Henry Adams, he was destined to wander in space without finding rest or peace. From the beginning to the end of his administration, misfortune dogged his steps. When he appointed Clay head of the State Department, the resentment of Jackson's party broke all bounds, worshipers of "Old Hickory," seeing in the appointment conclusive proof that a "corrupt bargain" had defeated their Hero. With a feeling of righteous indignation, they began to prepare for the next election, filling Adams' four years with torment by abuse and with chagrin by gathering in his friends as they fled from the sinking ship. In a tidal wave the country repudiated Adams at the next election.

The campaign of 1828 was marked by extreme rancor—a bitterness akin to that of 1800 when the Jeffersonian hordes drove the elder Adams from power. Metropolitan newspapers, the clergy, federal office-holders, manufac-

turers, and bankers were in general hotly in favor of re-electing Adams; the richest planters of the Old South preferred him to Jackson, even if they had little love for a New England Puritan himself. Against this combination were aligned the farmers, particularly those burdened with poverty and debts, and the mechanics of the towns who shouted their "Hurrah for Jackson!" with a gusto.

Passions of rank and place, rather than definite issues, divided the two factions and in the mad scramble for power both resorted to billingsgate of the most finished quality. Though garbed in the mantle of respectability, the Adams faction pictured Jackson, to use the terse summary of a recent historian, Claude Bowers, "as a usurper, an adulterer, a gambler, a cock-fighter, a brawler, a drunkard, and a murderer." It also turned on his wife, its national campaign committee even sinking so low as to send out bales of pamphlets attacking the moral character of his "dear Rachel" who, although she did smoke a pipe, was a woman of exemplary life. In this unsavory game, Jackson's faction, determined not to be outdone, portrayed Adams as a stingy Puritan, an aristocrat who hated the people, a corruptionist who had bought his own election, and a waster of the people's money on White House decorations; and accused Clay of managing Adams' campaign "like a shyster, pettifogging in a bastard suit before a country squire."

When the smoke of the fray had lifted, it was found that Adams had won nothing but the electoral votes of New England and not even all those; whereas Jackson had carried the rest of the Union, making an absolutely clean sweep in the South and West. The collapse of the Adams party was terrible to behold. Gentlemen and grand dames of the old order, like the immigrant nobles and ladies of France fleeing from the sansculottes of Paris, could discover no consolation in their grief.

On March 4, 1829, a son of the soil rode into Washington to take the oath of office. All the Presidents before Andrew Jackson had come from families that possessed

property and its cultural accompaniments. None had been compelled to work with his hands for a livelihood; all except Washington had received a college education. Jackson, on the other hand, born of poverty-stricken parents in the uplands of South Carolina, was of the earth earthy. It is not even known just how or when he got the barest rudiments of learning but it is certain that to the end of his life his language, if forceful and direct, was characterized by grammar strangely and wonderfully constructed.

In his youth Jackson had gone to the Tennessee frontier where, as a land speculator, horse trader, politician, and rural genius in general, he managed to amass a large estate and a goodly number of slaves. Tall and sinewy, he loved wrestling matches, fist fights, and personal quarrels. By way of settling one dispute, he killed a man in a duel and ever afterward treasured the pistol that performed the deed as a trophy to show his visitors. In an awful brawl with the Benton brothers, he himself received a bullet which remained imbedded in his flesh for many years as evidence of his hardihood. Whenever an Indian fight occurred in his neighborhood, he rushed to the front.

Elevated to the leadership of the local militia by his undoubted courage, Jackson won the passionate devotion of his men by sharing their hardships and perils. Already a local hero, he had leaped into national fame in 1815 by defeating a blundering and incompetent British general at the battle of New Orleans. Finally, he had added more laurels by wresting Florida from Spain, summarily hanging two English subjects, and stamping out warlike Indians on the border.

This son of the soil, transformed in the eyes of his devotees into a military figure comparable to Napoleon the Great, furnished excellent presidential timber for the new democracy. That his views on the tariff, internal improvements, and other current issues were nebulous in no way detracted from his immense and irresistible availability. He was from the West. He was a farmer—a slave owner,

no doubt, but still a farmer. He had none of the unction that marked the politicians of the seaboard school and the mechanics could think of him as one of themselves.

Jackson's opponents, of course, sneered because he was rough in manner, smoked an old pipe, chewed tobacco profusely, told stories that could not be printed, loafed around with a week's bristles on his face, and wore soiled clothes. John Quincy Adams, who knew Jackson well, could hardly suppress his anguish when Harvard gave "the brawler from Tennessee" the degree of doctor of laws. It was not a pure accident that Jackson's chief regret at the end of his presidential course was "that he had never had an opportunity to shoot Clay or hang Calhoun." But the contempt of his enemies only endeared him the more to the masses, especially as all charges were discreetly counterbalanced by news that he regularly read the Bible, recited countless lines of Watts' doleful hymns, and asked the blessing at the table. Moreover, those who saw him dressed in his best, with his pipe and plug laid aside, bowing in his courtliest manner, concluded that the discreditable tales about him were partisan falsehoods.

When the day of Jackson's inauguration came, the city of Washington was jammed with crowds. From near and far thousands of his devoted followers had come to witness the spectacle—and in many cases to get jobs in the new administration. All the decorum of former days was rudely broken. Bowing right and left to cheering throngs, Jackson and his party walked from his hotel to the inaugural ceremonies. After taking the oath of office, he rode in his best military style down the Avenue to the White House, followed by a surging sea of worshippers.

On his arrival at the presidential residence the doors were thrown open to everybody and, if Webster is to be accepted as authority, the pushing idolators behaved like hoodlums, upsetting the punch bowls, breaking glasses, and standing in muddy boots on damask chairs to catch a glimpse of the people's Napoleon. "The reign of King Mob

seemed triumphant," groaned Justice Story of the Supreme Court. Recalling the refinements of Jefferson, Mrs. Margaret Bayard Smith, a leader in the local social set, held her nose and wrote: "The noisy and disorderly rabble . . . brought to my mind descriptions I have read of the mobs in the Tuileries and at Versailles."

With utmost dispatch the business of government—and dividing the spoils—was begun. To aid him in the operation, Jackson chose two cabinets. The first, composed of the heads of departments, was filled with men of fair talent and some distinction; many a worse ministry has been assembled since. The second, known as the "Kitchen Cabinet," was made up of Isaac Hill, Amos Kendall, and other private advisers, who served as a collective agency to keep the king informed about the gossip of the capital and to keep the masses in good humor with news meet for their understanding.

As soon as the chiefs were installed, a survey of the gentlemen in federal berths commenced. "No damn rascal who made use of an office or its profits for the purpose of keeping Mr. Adams in or General Jackson out of power is entitled to the least leniency save that of hanging," wrote one of the President's applicants. "You may say to all our anxious Adamsites that the Barnacles will be scraped clean off the Ship of State," declared a member of the kitchen sanhedrin. "Most of them have grown so large and stick so tight that the scraping process will doubtless be fatal to them."

Though the threats were terrifying, in fact the slaughter of the innocents was not as great as the opposition alleged. Indeed, many got only their just deserts; some of the tenants were found to be scoundrels, prosecuted, and convicted for fraudulent transactions while public servants, one of the "martyrs," a personal friend of Adams, being sent to prison for stealing from the Treasury. No doubt hundreds of old and faithful officers were ousted; but on the other hand hundreds were allowed to retain their places

in spite of the severe pressure from the Jackson followers, begging for jobs.

It is therefore due to the memory of the President to say that, like Clive in India, he had reason to be proud of his moderation. To this judgment must be quickly joined the statement that Jackson started the custom of making wholesale removals in favor of party workers, giving high national sanction to the practice of bestowing the spoils upon the victors. A few intellectuals, such as James Russell Lowell, soon poured ridicule upon the system; many statesmen, especially those who had never had occasion to make use of it, denounced it; yet as time passed that form of political etiquette became more and more prevalent, hardening into prescription.

In addition to scraping barnacles from the Ship of State, Jackson gave energetic consideration to the political issues of the hour: the tariff, nullification, the Bank, internal improvements, and the disposal of western lands. All these questions were economic in character, presenting new phases of the struggle that had produced the colonial revolt against Great Britain, the reaction under Hamilton, and the swing to Jefferson. And their management involved the fortunes of the three marked sections into which the country was divided—the capitalistic Northeast, the planting South, and the farming regions beyond the seaboard—with the mechanics of the towns coming into the play whenever the aristocracy of wealth and talents was to be pommeled.

Each section had an outstanding champion who sought to make congressional combinations of power in the interest of his constituents. Daniel Webster, as Fisher, his biographer, tells us, was "the hope and reliance of the moneyed and conservative classes, the merchants, manufacturers, capitalists, and bankers." John C. Calhoun acted frankly as the mouthpiece of the planting aristocracy; he acknowledged it and was proud of it. Thomas Hart Benton of Missouri was the shouting spokesman of the western farmers and land speculators who were struggling to wrench

the public domain from the grip of the government. Happily placed between extremes, North and South, Henry Clay labored to construct a platform that would command the support of the eastern capitalists and the western farmers, unite hearts and make him President; but he failed to accomplish his design.

Into the lists Jackson entered as gladiator-at-large for the masses against the moneyed classes, declaring that the agricultural interest was "superior in importance" to all others and placing himself, as he said, at the head of "the humbler members of society—the farmers, mechanics, and laborers who have neither the time nor the means" of securing special favors for themselves. They heard him gladly and thought him their Sir Galahad.

§

During Jackson's first administration the oldest of domestic questions, the tariff, became so acute -that, in 1832, it raised a revolt among the South Carolina planters. Between the opening of the century and that date signal changes had been made in the economic condition of the country. The Embargo and the War of 1812, by cutting off the stream of English manufactures, produced an immense growth in American industries, a growth that was further enhanced by the tariff of 1816, enacted, ostensibly at least, to provide a continuous home market for agricultural produce. In this process the economic climate of several regions was radically altered.

Although the leaders of New England—the home of American shipping interests engaged in a lively carrying trade—had opposed the tariff of 1816, they accepted the unavoidable and turned their best energies, together with their capital, to the promotion of manufactures favored by protection. Iron masters of Connecticut, New Jersey, and Pennsylvania, having reaped high profits under the gracious shade of the tariff wall, naturally thought of increasing their

earnings by raising the bulwark. Even the wool, hemp, and flax growers of Ohio, Kentucky, and Tennessee, and the sugar planters of Louisiana discovered that, while free trade was good for agriculturists as a general theory, advantageous exceptions could be made in practice. Other economic interests of various kinds veered in the new direction.

And as the number of protected groups increased and their capital augmented, the pressure on Congress for additional safeguards became heavier and heavier. Then political weathervanes veered. Webster, who had fought the tariff of 1816, taking note of drifting flaw, became an ardent champion of protection. If Calhoun, finding the sea lanes to industrial England open once more, turned back upon his course to free trade, his colleague, Clay, developed the idea of "discriminating" customs duties into a perfect national system. "Dame Commerce," he exclaimed, "is a flirting, flippant, noisy Jade and if we are governed by her fantasies, we shall never put off the muslins of India and the cloths of Europe." So he appealed to "the yeomanry of the country, the true and genuine landlords of this tenement, called the United States," to emancipate the nation from dependence on foreign capitalists.

Under the drive of combined economic powers, the tariff was forced up in 1824, again in 1828, and higher still in 1832. The second of these three revisions, known as the "tariff of abominations" among its enemies, was carried through Congress by such a determined union of factions that the planting statesmen who now wanted to trade their produce freely for the manufactures of England were thrown into an unwonted political fear. Badly defeated in the forum at Washington, they began to build a backfire at home. Speaking through the legislatures of Virginia, North Carolina, South Carolina, Georgia, and Alabama, they solemnly denounced the tariff of abominations.

Then, finding such denunciations to be mere rhetoric flung against triumphant fact, South Carolina, weary of sheer verbalism, made ready for open resistance. In the

autumn of 1832, the state legislature ordered an election of delegates to a convention, with a view of preparing for the worst. The elections were duly held, the assembly was convened, and an Ordinance of Nullification tossed defiantly in the face of the protected interests. This Ordinance named the battleground and the weapons. It declared that the tariff gave "bounties to classes and individuals . . . at the expense and to the injury and oppression of other classes and individuals." Running true to American political phraseology, it proclaimed the tariff a violation of the Constitution—therefore null and void and without force in the state of South Carolina. It closed with a solemn warning that, if the federal government attempted to coerce the people of the state, they would assert their independence and take their place as a sovereign power among the nations of the earth.

While the issue thus joined was fraught with peril to the republic, it was not absolutely intractable. No doubt, South Carolina's challenge of nullification coupled with secession, like the gesture of New England during the War of 1812, was defiant, but the planting forces were not yet welded into an unyielding cohesion. On the contrary, the cotton states to which South Carolina appealed for support —as she did again in 1860—after condemning the tariff as abominable, refused point blank to approve nullification as a remedy. On the other side, the protected interests, assailed in the rear by Jacksonian farmers, were not strong enough to hold in an open affray sectors they had taken by congressional negotiation nor yet prepared to attempt a suppression of nullification by arms. Capitalism and cotton had many leagues to cover before they could be joined in a death grapple. Evidently an accommodation was both necessary and possible.

To the settlement, President Jackson contributed something, though what and how much it is hard to say. Beyond question his devotion to the Union was deep and sincere. Although he came from a region that had once been ready

to "fly off" when the closure of the Mississippi was threatened, his state was now well content with the common roof the Fathers had built. While there were slave owners in Tennessee, and Jackson was one of them, the political community, largely dominated by small farmers, was by no means assimilated completely to the planting system. Cotton was not king there and Jackson's sympathies, as he was wont to say, were with the humble people, rather than with the planters or with capitalists. Moreover, he had a tiger's hatred for Calhoun, apostle of nullification—a personal hatred which grew out of a well-authenticated report that the South Carolina statesman, when a member of Monroe's Cabinet, had proposed the arrest of Jackson for his cavalier conduct during the Seminole War in Florida. Smarting with resentment, the General, at a Jefferson dinner in 1830, had snapped out his warning to Calhoun in a toast: "Our Federal Union—It must and shall be preserved." Besides this, Jackson was President of the United States and he regarded resistance to authority in the light of a personal insult as well as a violation of law.

When he heard the news of South Carolina's action, Jackson therefore declared himself ready to "hang every leader . . . of that infatuated people, sir, by martial law, irrespective of his name or political or social position." Regarding nullification at bottom as a species of sedition, he vowed that he would meet it "at the threshold and have the leaders arrested and arraigned for treason." Still he was careful to confine such heated expressions to private letters and conversations. With a strong feeling for realities, he sent a shrewd politician to South Carolina to sound the earth at the very moment that he was preparing to order out additional troops.

In his public utterances Jackson spoke more softly, using the phrases of law and order rather than the language of the battlefield. Taking refuge in a long and eloquent proclamation, the President announced his firm belief in the sacredness and perpetuity of the Union and his inten-

tion to uphold it by the exercise of all the powers vested in him by the Constitution. This document was put in final form, it seems, by his Secretary of State, Edward Livingston, one of the most remarkable figures in American history; but the central idea of the paper was Jackson's own. Though emphatic, it contained no bluster, no threats of executions, no menace of martial law. It was firm, yet conciliatory toward the South Carolinians, appealing, as did Lincoln's inaugural address long afterward, to their love of Union rather than their fear of force. Employing also the tactics of moderation in his messages to Congress on the crisis, Jackson proposed that the tariff against which the nullifiers protested be lowered—that was just what they wanted—and called for new legislation granting the President larger powers in the enforcement of the laws—which they did not mind much if the laws pleased them.

Here was a case for compromise and Henry Clay, past master of that fine art, rose to the occasion, laying before the Senate in February, 1833, a plan which offered consolations to both parties. Turning courteously to the planters, he proposed that the tariff to which they objected be reduced to the level fixed in 1816, which Calhoun himself had then approved. Bowing tactfully to the other side, he suggested that nothing drastic be done immediately, that the proposed reduction should extend over a period of ten years, taking the form of curtailment by easy stages. Remembering the affection which all men professed for the Union, he also accepted a bill making provision for upholding its supremacy by armed force, if necessary. After a warm debate, both propositions—the one lowering the tariff and the other exalting the Union—passed both houses of Congress and were signed by the President on the same day, March 2.

Hailing the outcome as a glorious victory, South Carolina rescinded the Ordinance nullifying the tariff and satisfied her honor by declaring the force bill null and void.

Everywhere planters regarded the triumph of open resistance as complete. According to all outward signs, at least, they had every reason to rejoice, for whatever might be said about the flowers of speech that decorated the contest, they had actually checked the progress of the Hamilton-Webster system—checked it so thoroughly that a few years later, when the manufacturing interests succeeded in pushing the tariff up again, they were able to bring it down by easier means.

On the other hand, Jackson could point with pride to the fact that the Union had been duly preserved, and the protected industries could take pleasure in escaping a single swift blow of repudiation. It was not until his last days that Clay, on reviewing his career of strife and disappointment, found in his mind grave doubts about the wisdom of his course. Would it have been better if he had let Jackson and the nullifiers come to blows in 1833, settling then and there, by force of arms, the mighty economic question that divided the sections? Who, working under the eye of eternity, could make answer?

§

Interwoven with the tariff controversy was the public land question which had worried George III's ministers, plagued Hamilton, and continued to evoke heated dispute. Besides inspiring Senator Benton of Missouri to flights of eloquence, it called forth the celebrated Webster-Hayne debate in 1830, the greatest among the many verbal battles of the Jacksonian era. Although constitutional glosses have almost buried the substance of that disputation, its kernel was essentially economic. It arose over a proposal of a Connecticut Senator, Samuel A. Foote, to inquire into the expediency of limiting the sale of the public lands—a matter of moment both to protected manufacturers and slave-owning planters. The former, as eager to secure an abundance of cheap labor as to find shelter behind a tariff

barrier, viewed with grave concern the westward rush to land in the public domain. Working people who forsook flaming forges and whirling spindles to till the soil in the Ohio Valley were lost to the mills; while those who remained behind could raise their wages by threatening to follow in the footsteps of the pioneers. On the other hand, southern planters, not yet aware that a valley of free farmers might in time contest their own sway, saw a possible addition to their strength in the growing agricultural population beyond the Alleghenies. Farmers and planters acting together, the latter reasoned, might overcome the manufacturing capitalists in politics at Washington.

There was nothing occult in this philosophy. Every statesman of the time knew the relation of the land question to the tariff issue and to the balance of power in the American Union, none better than Webster of Massachusetts and Hayne of South Carolina. In fact, Hayne in throwing down the gage was merely supporting a fiery Jacksonian Democrat, Senator Benton, who frankly spoke for the western farmers and kept his heart fixed on their concerns. Webster, taking up the gage, simply made a clever stroke by choosing the champion of slavery rather than the Gracchus of Missouri as the object of his attack. If he had opposed Benton, instead of the South Carolina lawyer, his plea for the Union might have been heard with less pleasure beyond the mountains and the formation of the Republican homestead-tariff bloc at Chicago in 1860 might have been still more difficult.

That problems in accountancy lay solidly beneath the cloud of constitutional argument was made manifest in the course of the debate, especially by the orator from South Carolina. Referring to the War of 1812, Hayne advanced passionately upon Webster, lashing out: "At this dark period of our National affairs, where was the Senator from Massachusetts? How were his political associates employed? 'Calculating the value of the union.'" More than that, he exclaimed, when the nation, in a perilous

moment, was fighting for its life against a powerful foe, New England had resisted the enforcement of the law and prepared for a division of the country—all because her commercial interests were impaired.

With generality Hayne included some particulars. "Nothing was left undone," he said, "to embarrass the financial operations of the government, to prevent the enlistment of troops, to keep back the men and money of New England from the service of the Union, to force the President from his seat. . . . With what justice or propriety can the South be accused of disloyalty from that quarter?" In the heat of the fray, Hayne possibly overlooked the fact that his sword cut both ways. If South Carolina was right in 1830, why was New England wrong in 1814? If Massachusetts was disloyal in Madison's administration, what could be said of South Carolina in Jackson's administration? Legally, nothing; ethically, perhaps, nullification was less defensible in war than in peace. The core of the matter lay in the reversed economic situation; but perhaps beyond economics lay something transcendent—national destiny.

In an oration which has by general consent taken its place among the masterpieces of all time, Webster made the most of the opportunity presented by Hayne. He had been taunted with inconsistency; he answered in kind by showing the reversal of South Carolina's opinion on the tariff after 1816. New England had been charged with disloyalty to the Union; Webster faced the issue squarely by saying that, if anything savoring of treason was to be found in the records of New England, he offered not defense but rebuke. To Hayne's itemized bill of indictment against Massachusetts, Webster replied by throwing a blaze of glorious encomium on the record of the state in the Revolution and by adroitly covering the more recent pages of history with the mantle of evasion and oblivion.

The philosophy of nullification had been defended by Hayne; Webster, who had been perilously near it himself but a short time before, now marched upon it with sonorous

rhetoric. Using historical allusions, many of them clouded by doubtful authenticity, employing logical inferences often more adroit than conclusive, he underwrote the doctrine of perpetual union—a union made by the people, not by the states, an object of love and admiration forever. In his peroration, Webster, the artist and prophetic man of letters, broke through the entanglements of the politician; in an almost superhuman effort he shot the white light of his poetic vision down the shadowed avenue of the future to dark and bloody places where men inspired by his ideal and reciting his moving periods were to die for the cause he had so magnificently celebrated.

In piling Ossa on Pelion, Webster did not overlook mundane considerations—the economic and political substance of the pending issue, the sale of those annoying western lands. New England had been accused of enmity toward the West, of cherishing a hard and selfish policy; he answered by showing how New England had favored those internal improvements so dear to the West—roads that opened markets to produce and raised the values of land. Then he turned upon Hayne and warned him that the southern statesmen who, like the enemies of Banquo, had killed friendship between the farming and the commercial states would gain nothing in the end because they could never drag the West with them to nullification and secession, another flare of prophecy that was fulfilled in 1861. But Webster was more than an orator. He was a practical man; when he came from the sky to earth, he moved to postpone indefinitely the resolution of Senator Foote which offended the West, burying it under the mountain of papers on the table.

The South Carolinian thus won a futile victory; and in the process New England also lost. If eastern members of Congress had in fact approved Benton's long-pending bill for giving away the public lands to farmers, if they had then and there effected a union with the West by yielding on the land question, as they were finally forced to do in

1860, they might have spared themselves a thirty years' struggle with the low tariff party. More than that, they would have made the forces of the Union a combination of power so formidable that secession would have scarcely dared to face it. But they failed to seize this grand occasion for the not unnatural reason that politicians must apparently work in the fear that rises from the instant need of things.

§

If the tariff and land questions had stood alone, the Northeast and the West might have found it easier to draw together in 1830, but the old banking and currency issue that had plagued America since the days of George III was once more to the front in a virulent form. The second United States Bank, chartered for twenty years in 1816 to enable the Jeffersonians to finance their war, was becoming in the minds of western farmers and eastern mechanics the very citadel of tyrannical money power.

Radical Democrats had denounced it on principle from the beginning and their attacks steadily increased in animosity. Others acquired their views from practice. The notes of the Bank, sound throughout the Union, drove from circulation the paper currency of shaky institutions chartered by state politicians, thus inflaming village statesmen with anger against the "rich and well-born." Its managers were accused of showing favoritism to friendly politicians and of discriminating against the followers of Jackson in making loans; indeed a "psychic injury" of this character, alleged to have been inflicted on one of the President's friends, seems to have been the original source of his special rage against the Bank. The managers were likewise charged with using their power to contract the currency for the purpose of punishing their enemies, with giving retainers to some of their orators in Congress, and with spending corporate funds for campaign purposes. So the natural hostility of the masses to the plutocracy was

intensified by dark and sinister rumors about a new "corrupt squadron."

That many of the charges against the Bank were groundless was later revealed by historical research. If some of Jackson's men were denied loans for business reasons, it was never proved that discriminations were made against Democratic politicians merely on account of their doctrinal views. If the Bank refused to be used by the brokers in spoils, its motive was economic rather than partisan. In the beginning at least, its president, Nicholas Biddle, it seems, tried to steer his way "on sound business lines" through the maze of politics.

After the war on the Bank commenced, however, both he and his colleagues laid hold of the various weapons at hand. From that time forward, the allegation that members of Congress received retainers from the Bank certainly rested on a substantial basis. In any case its mightiest spokesman in the Senate, Daniel Webster, was on the payroll of the corporation, a fact made clear in distant days by the publication of Biddle's letters and papers. In those documents it is recorded that, two weeks after the opening of a congressional session in which a battle royal was to be fought over its charter, Webster wrote to Biddle, shrewdly conveying the information that he had declined to take a case against the Bank and adding with charming frankness: "I believe my retainer has not been renewed or refreshed as usual. If it be wished that my relation to the bank should be continued, it may be well to send me the usual retainers."

Equally well established now is the charge that the Bank contracted its loans for the purpose of producing distress and breaking the back of the political opposition. Beyond all question, in the midst of the contest a term of financial stringency was deliberately inflicted on the country; Biddle, sure of his ground, declaring to the head of the Boston branch that "nothing but the evidence of suffering abroad will produce any effect in Congress." Webster himself,

convinced that pressure on the populace would be useful, wrote to Biddle that "this discipline, it appears to me, must have very great effects on the general question of rechartering the Bank."

In fact, the private correspondence of the period now open to the student shows that the supporters and beneficiaries of the Bank had effected a strong union of forces for the purpose of controlling a large section of the press, dictating to politicians, frightening indifferent business men, and defying Jackson and his masses. "This worthy President," laughed Biddle, "thinks that because he has scalped Indians and imprisoned Judges, he is to have his way with the Bank. He is mistaken."

Pride was, nevertheless, riding for a fall. Jackson's anger, once aroused, was terrible to behold; it was the anger of the warrior rushing on his foe heedless of wounds and death, not the cold and calculating wrath of the counting house. Moreover, he had behind him the accumulating discontent of the agrarian and labor elements in the new democracy—an unrest which he steadily fanned into flame by very clever tactics. In his first message to Congress, Jackson attacked the Bank openly but not with might and main. In his second and third messages, he deftly referred to the subject, warily leaving the decision to "an enlightened people and their representatives."

If the opposition had maintained a discreet silence, a clash might have been avoided; but, boasting of its wisdom, it chose another course. The Bank was uneasy about the future; and Clay, sniffing the presidential air in 1832, decided to make an issue of it then and there. Though its charter had four more years to run, the Bank applied for a renewal and Congress, under the leadership of Clay, passed the bill granting the petition.

Jackson's reply to this defiance was a veto and a ringing message calling on the masses to support his position. Paying his respects to high sentiments, he took his stand by the Ark of the Covenant, declaring the Bank unconstitu-

tional. Knowing full well that the Supreme Court had held otherwise a few years before, Jackson countered this uncomfortable verdict with the bald statement that each officer took the oath to support the Constitution as he understood it, not as it was understood by others—a doctrine that probably set all aged gentlemen in horsehair and robes trembling for the future of their country, while pleasing Old Hickory's followers immensely.

Having paid his homage to the auspices, Jackson got down to the meat of the matter: the alignment of economic forces. He called attention to the fact that the people of the western and southwestern states held only $140,000 worth of the twenty-eight millions of capital stock outstanding in private hands, whereas the capitalists of the middle and eastern states held more than thirteen millions. He pointed out that, of the annual profits of the Bank, $1,640,000 came from nine western states where little or none of the stock was held.

The moral lesson was obvious. It was an economic conflict that happened to take a sectional form: the people of the agricultural West had to pay tribute to eastern and foreign capitalists on the money they had borrowed to buy land, make improvements, and engage in speculation. Jackson did not shrink from naming the contestants. "The rich and powerful" were bending the acts of the government to their selfish purposes; the rich were growing richer under special privilege; "many of our rich men . . . have besought us to make them richer by acts of Congress. By attempting to gratify their desires, we have in the results of our legislation arrayed section against section, interest against interest, and man against man, in a fearful commotion which threatens to shake the foundations of our Union."

That was indeed a call to arms. The head of the Bank, Biddle, declared himself delighted with it. "It has all the fury of the unchained panther, biting the bars of his cage. It is really a manifesto of anarchy, such as Marat and

Robespierre might have issued to the mob." The President's cheer leaders threw up their hats with sheer joy at the spectacle. Western farmers had been charged with seeking to avoid their honest debts; they had replied by asserting that the money they borrowed had been made by the printing presses of the Bank under government authority. Now Jackson embodied their theories and vehemence in a message. If there was any frosty philosopher present, looking serenely upon the battle, he has left us no memoirs.

In the election of 1832, after a campaign of unrestrained emotions, Jackson completely discomfited his opponent, Clay, and returned to the White House like a Roman conqueror with his victims at his chariot. The Bank had fought him; thinking in terms of war, the President proceeded to fight back. Its charter had four years of legal life remaining; the law could not be repealed by military decree; so other means of attack were found. Acting as head of the administration, Jackson ordered the Secretary of the Treasury to deposit no more federal revenues in the Bank or any of its branches and to withdraw in the payment of bills the government's cash already in its vaults. Besides this he distributed the national funds among state banks, remembering to reward those which had correct political affiliations—institutions which became known as "pet banks." As the treasury surplus happened to be mounting, Congress, now in Democratic hands, got rid of it by spreading the money among the state governments, nominally in the form of loans, practically in the shape of gifts.

In 1836 the second United States Bank automatically came to the end of its checkered career and the country under the inspiration of the new democracy entered an epoch of "wild cat" finance. The very next year, a terrible business depression fell like a blight upon the land, bringing as usual more suffering to farmers and mechanics than to the "rich and well-born"; but this calamity was likewise attributed by the masses to the machinations of the money

power rather than to the conduct of their hero, President Jackson. Nothing would induce them to retrace their steps. For three decades a union of the South and West prevented a restoration of the centralized banking system. Not until the planting statesmen withdrew from Congress and the storm of the Civil War swept minor gusts before it were the ravages wrought by Jackson repaired by the directors of affairs in Washington.

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The economic policies and personal conduct of Jackson split wide the Republican party of Jefferson and put a sudden term to the era of good feeling. No President had ever exercised such high perogatives as Jackson or shown so little consideration for the feelings of those who came under executive displeasure. Besides keeping the entire body of minor civil servants in constant terror of reprimand and dismissal, he treated his own Cabinet with scant courtesy, while deciding vital questions himself or with the advice of his backstairs coterie. When one Secretary of the Treasury refused to remove the deposits from the Bank on executive order, Jackson summarily appointed another; when the second also declined to be a mere tool, he chose a third, who finally did his bidding with the alacrity of an errand boy. Angered by a protest lodged by the Senate against his arbitrary conduct, Jackson made his followers force through a measure expunging the hated resolution, one of the lieutenants in flushed exultation blotting the censure from the records. Unawed by the majesty of the Supreme Court, Jackson treated the decisions of Chief Justice Marshall with little respect; and when death eventually removed that distinguished judge from the bench, the President put in Marshall's place Roger B. Taney, an able and astute politician who was known to favor state banking.

In faithful accord with the law of antithesis, the personality and measures of Jackson summoned into being an

angry, if motley, opposition. His assault on the Bank aroused the undying hatred of high finance. His approval of a tariff that meant ultimately a material reduction in the protective features set most of the manufacturers fiercely against him. His efforts to stir up "the humble members of society—farmers, mechanics, and laborers," to repeat his phrase—against the "rich and powerful" had worried thousands of prosperous people in the South, especially cautious planters who thought they had as much to fear from the leveling passions of small farmers in the back country as from the tariffs of New England mill owners. In South Carolina they had an additional reason for opposing Jackson for he had talked in a high and mighty fashion of suppressing "insurrection" and hanging "traitors."

Here then were the elements for a powerful political combination if some process of welding could be discovered. Doubtless Jackson's enemies owned the major portion of the working capital of the country. Certainly they commanded oratory and ingenuity; but, as yet united merely by common antipathy to the President and his party—by the timidity of property in the presence of unfathomable dangers—they presented no solid array for a political contest. Only statecraft of the highest order could amalgamate nullifiers and nationalists, protectionists and free traders, planters and manufacturers into a working association. Only skill in appealing to popular imagination could convince the mass of voters that the great hope could be realized at last.

Nevertheless the task was worth while for many reasons and Henry Clay of Kentucky seemed fated for leadership in the undertaking. All things considered, Clay had several kinds of availability: he was from the West and so could invade Jackson's home province; he was favorably known among the manufacturers and financiers of the East but, unlike Webster, was not charged with being the pet and pensioner of capitalists. Though a facile speaker, he had not hopelessly committed himself in his school days to the

ponderous periods of Cicero; while he could at times soar to the empyrean, he was always able to talk to the public in the vernacular.

Taking the title abandoned by Jackson, opponents of that popular hero called themselves "National Republicans" and later "Whigs," for short, after the manner of the English adversaries of royal prerogative. In 1832, with Clay at their head, they tried to oust the President by employing all the approved methods of politics, including propaganda and social terrorism.

In an imposing phalanx, they marshaled most of the middle classes—friends of the national bank, advocates of sound money, lawyers, merchants, manufacturers, businessmen of the higher ranges, and college professors. Managers of the Bank subsidized the press by large payments for advertising. Mill owners threatened workmen with dismissal in case Jackson was elected. A packer in Cincinnnati told the farmers that he would pay $2.50 a hundred for pork if Clay was victorious and a dollar less if his opponent, the Democratic President, was returned to power in Washington.

And purists attacked Jackson in their especial field. Since his system of theology was about as nebulous as his politics, they charged him with irreligion. They accused him of beginning a long journey from the Hermitage on a Sabbath and he only escaped the serious censure of the virtuous by showing that he really started on Monday. When he declined to proclaim a day of prayer for relief from the cholera, suggesting instead that under the Constitution it was a matter for the states to decide, Clay pounced upon him for his impiety and moved a resolution in the Senate to name the day for the appeal to God. During the campaign the voters were not allowed to forget that impiety and unsound finance went hand in hand. And still all the legions and all the artillery could not defeat the hero of New Orleans.

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After ruling the country with an iron hand for eight years, supported by the acclaim of the masses, Jackson naturally regarded the choice of his successor as a part of his sovereign prerogative. Indeed at the opening of his first administration, he had made it known discreetly that he wanted his Secretary of State, Martin Van Buren of New York, to take his place when he left the White House. Obedient to his lightest wish, his kitchen companions bent their efforts to the task of securing the throne for the "crown prince" and their labors were successful.

In a well-selected convention, "fresh from the people," they nominated Van Buren as the party candidate for the presidential election of 1836. By this time the Jacksonians had discarded the safe old title of Republican, chosen by Jefferson, and had taken instead the flaunting label, "Democrat"—a word that once had grated as harshly on urbane ears as its constant companion, "anarchist." Subject to the law of familiarity, the insignia that had frightened grand gentlemen and fine ladies of the heroic days had become a household emblem; men who shrank from it with horror two decades before now wore it proudly on their shields.

Though they had in Van Buren a less formidable candidate to face, the Whigs, failing to unite on a single leader, went down to defeat. But just when everything seemed hopeless, the tide turned. The victorious President fell into a series of misfortunes that gave heart to his enemies. On the threshold of his administration, he encountered a disastrous business panic, the wild tumult of speculation and inflation ending in an explosion. While Jackson's war on high finance had doubtless hastened the inexorable, it was not the sole cause of the crash.

The fact was that one of the periodic cycles of capitalism was at hand and the party in power at Washington could offer no effective remedies, if any there were. On the contrary, it accelerated the ruinous process by repealing the law which provided for the distribution of surplus federal revenues among the state treasuries and by issuing the specie

circular which directed federal officers to accept only gold and silver, save in certain cases, in payment for public lands. Having taken these precautions in the interest of its credit, the government simply allowed the winds to blow. Hundreds of banks failed; mills were shut down; work on canals and railways was stopped; thousands of laboring people were turned into the streets; federal revenues fell until a deficit supplanted a surplus; land sales dropped off; and speculation came to a standstill.

Throughout this panic President Van Buren maintained a kind of academic composure. As the leader of Jacksonian Democracy, he could do nothing that would please business men and financiers anyway; and his party had no constructive plan of its own. He, therefore, contented himself with urging the establishment of an independent treasury to receive and guard the funds of the federal government—a simple project of doubtful merit which Congress, after three years of discussion, finally adopted in 1840.

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At that moment another presidential campaign was at hand. Whigs were making ready to restore Hamilton's system of economy; and Democrats to destroy the last vestiges of the "money power." Astute Whig leaders, counting heads, saw that they would have to be clever if they were to overcome the multitudinous Jacksonian host made up of farmers and mechanics with some of the planters in the vanguard. Accordingly they exercised the wisdom of serpents. They cast aside Clay, whose views on the Bank, tariff, and other economic questions were too well known and beat the Democrats at their own game, by themselves nominating a western farmer and military hero, General William Henry Harrison.

This man of Mars was, of course, no Napoleon comparable to the great Jackson of New Orleans, but he had beaten some Indians at the battle of Tippecanoe and had

served with honor in the War of 1812. More than that, at the close of his military career, he had pleased western agrarians by settling down in a modest home in Ohio. To make his appeal perfect in the eyes of Whig managers, Harrison's political opinions were so hazy that no one could be alienated by them.

It was with an eye to such qualifications for the presidency that the shrewd Biddle, tutored by his experience with Jackson, gave sound direction to party managers in this style: "If Genl. Harrison is taken up as a candidate, it will be on account of the past. . . . Let him say not one single word about his principles, or his creed—let him say nothing—promise nothing. Let no Committee, no convention —no town meeting ever extract from him a single word about what he thinks now or will do hereafter. Let the use of pen and ink be wholly forbidden."

Conjuring with this spirit, the Whigs of 1840 refused to frame any platform of principles, and simply offered General Harrison to the country as a man of the people while they attacked Van Buren as an eastern aristocrat. In this fashion the tables were reversed: the old party of Tiberius Gracchus was trying to elect a patrician from New York, whereas the party of the rich and well-born was trying to elevate a Cincinnatus straight from the furrow.

Given these factors, the campaign of 1840 was naturally exuberant. Sobered by the possession of power and led by a man who loved good wine and old silver, symbols of aristocracy, Democrats softened their former raucous campaign cries. But the Whigs, made desperate by two defeats, took up the discarded tactics of their opponents. As a party they adopted no policies, avowed no doctrines. Carlyle's "magnificent" Webster assumed the fustian of the demagogue, announcing that he was ready to engage in a fist-fight with anyone who dubbed him an aristocrat, expressing deep regret that he too had not been born in a log cabin, and rejoicing that his older brothers and sisters had begun their lives in such a humble abode. "If I am

ever ashamed of it," he boasted, "may my name and the name of my posterity be blotted from the memory of mankind!" That fastidious New York lawyer, William H. Seward, rode ostentatiously about in an old green farm wagon making speeches at crossroads villages on the superlative merits of the hero of Tippecanoe. The rank and file erected in every town of importance log cabins from which hard cider was served in copious draughts to stimulate the enthusiasm of the voters.

Before gaping crowds, Whig orators berated Van Buren as a man addicted to high living and lordly manners, alleging that he even put cologne on his whiskers and was liable to die of the gout before the end of his term, if elected. They accused him of eating from gold plate and declared that he "laced up in corsets such as women in town wear and if possible tighter than the best of them."

Having summarily disposed of Van Buren, the showmen then presented to the enfranchised their own candidate, General Harrison, as a noble old Roman of the West who lived in a hut, worked with his own hands in field and barn, and left his latchstring out hospitably for the wayfaring man. "We've tried your purse-proud lords who love in palaces to shine," they sang. " But we'll have a ploughman President of the Cincinnatus line."

Probably this buffoonery was distasteful to the staid and respectable Whigs of the East. In any event, since it was not as unpalatable as a low tariff and an unsound currency, they swallowed the medicine of the campaign in the hope of better times after the election. What else could they do? Whatever their pains, the returns from the polls afforded them abundant consolation. Harrison won 234 electoral votes while Van Buren limped in with sixty.

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After carrying the country in a dust storm, the Whig leaders soon revealed their inmost desires. If Harrison

had not died shortly after his inauguration leaving his high office to the Vice President, John Tyler, they might have gone far on the way toward a restoration of the Hamilton system. At any rate, with the aid of protectionist Democrats speaking for special constituencies, they were able to push through the tariff act of 1842 raising the customs duties and destroying the compromise measure enacted nine years before. And, had no factional disputes intervened, they might have established a third United States Bank then and there.

Unfortunately for all designs veering in that direction, their two high captains, Tyler in the White House and Clay in the Senate, were looking beyond immediate results to their own possibilities in the coming election. The President, a Virginia man originally taken up by the Whigs to catch southern votes, knew very well how unpalatable were Hamilton's doctrines below the Potomac and he would only approve a national bank of restricted powers. On the other hand, Clay, long associated with financial interests in a practical way, deluded himself into believing that the country was ready for something more thorough. Neither one of the contestants, therefore, did his best to bring about an accommodation; a fight seemed better to them than a truce. So Tyler vetoed two bank bills in succession and Clay, turning back to the tactics of 1832, proposed to submit the issue to the voters at the polls.

As in the first instance, the solemn referendum of 1844 ended in the discomfiture of those who proposed it. Once more the shout of the Democratic masses rose to heaven against "the money power." Its machinations, they alleged, were more tyrannical than ever, citing for proof the increase in tariff duties and the effort to revive the hated Bank. In addition they drew attention to an attempt made in Congress in 1843 to force upon the federal government the assumption of bonds repudiated by a number of states in the late general panic. Though this scheme was not successful, as everybody knew, it furnished to the rural mind

conclusive evidence that eastern capitalists and English creditors were trying to make the whole nation pay debts which it had not contracted.

Furthermore, the Whigs were compelled to bear the brunt of a damaging attack on the score that their English sympathies were as strong as those of the Federalists half a century earlier. In 1842 Webster, as Secretary of State, they were reminded, had negotiated with Lord Ashburton, representing England, an agreement relative to the long-disputed boundary of Maine in which he surrendered to Great Britain a large section of land that, under the treaty of 1783 closing the war for independence, appeared to belong to the United States. In spite of the fact that this concession seemed to be the only alternative to war or continual quarreling, the American public was not at all happy with the outcome and Webster felt it necessary to sweeten the pill by spending some money out of the secret service funds of his department to carry on a favorable propaganda through the religious press of Maine. Though the treaty was eventually ratified, it was roundly condemned by discontented Democrats, and especially by the doughty old warrior, Benton, who called it "a shame and an injury"—"a solemn bamboozlement." When the use of public money in creating opinion for the support of the treaty became known through a congressional investigation, the wrath of the Democrats burst all bounds.

An accumulation of forces was certainly menacing the Whigs when the campaign of 1844 approached. Yet, determined to face the economic issues more firmly than in the previous contest, they nominated Clay—a threat which the Democrats answered by choosing as their candidate a friend and neighbor of Jackson, James K. Polk of Tennessee. In the referendum so clearly put the verdict of the voters was emphatic. The party of the Bank, sound money, and high protection was thoroughly routed, in a sweep as decisive as that of 1800 which ousted the Federalists from the national capital. Spokesmen of the planting aristocracy, now

alarmed by slavery agitation and deeply concerned over the fate of Texas, were beginning to comprehend that they had more to hope from leadership in a democracy of farmers, mechanics, and laborers in general, than from coöperation with the elements that composed the Whig party in the North.

On the other hand, the Whigs themselves were made dimly aware that the balance of power was shifting into western hands; but it took more defeats to convince them that they could not destroy their foes with Hamilton's weapons alone. Not until 1860 were they able to make an effective union with the western farmers under the traditional name of Republican—the name which Jefferson had chosen in the early days of his party's history and Clay had approved when in 1832 he had christened the Federalist faction anew.

## CHAPTER XIII

### *Westward to the Pacific*

BEFORE the western outposts of Jacksonian Democracy, Louisiana and Missouri, had settled down comfortably in the Union a movement was in full swing to carry the Stars and Stripes through the neighboring territory of Mexico to the Pacific. Nothing could check its momentum; neither the protests of New England abolitionists nor the resistance of the Mexicans; neither the torrid heat of the desert nor the ice-bound passes of the mountains. Within a generation it came to a climax in the annexation of Texas, a war with Mexico, the conquest of California, and the adjustment of the Oregon boundary. In the eyes of abolitionists, the drive on Mexico was a slave-owners' plot, a conspiracy against a friendly country, the seizure of "more pens to cram slaves in."

Many incidents lent color to this thesis but the tough web of facts could not be stretched to cover it. There were other economic forces equally potent: the passion of farmers for more land, the lure of continental trade, and the profits of New England traffic in the Pacific Ocean. Besides

all that there was an active body of unknown citizens who held several million dollars worth of the debt and land scrip of Texas and looked to the United States for security—a sum which exceeded in value all the slaves in the Lone Star State in 1845.

Neither slavery nor profit explains, however, the whole westward movement. There was Manifest Destiny which covered a multitude of things and was tinged with mystery by the imagination of the esoteric. According to the version of the seers a virile people turned their resolute faces toward the setting sun. Some of them acquired by fair negotiation lawful possessions in Texas; others pierced the desert and crossed the mountains to gather peltries and engage in honest trade. Their rights were scorned and their flag was insulted by incompetent and dishonest Mexican officials. Innocent persons were imprisoned and some were murdered by barbarians. In such circumstances silence was dishonorable, peace a folly, annexation a virtue. Such was the case submitted in the name of Manifest Destiny.

But this shining shield had a reverse side. The nationalist historians of Mexico present a different version of Manifest Destiny. A ruthless and overbearing race of men, greedy for land and trade, respecting no rights or laws which barred their way, deliberately set themselves to the work of despoiling their neighbor. They violated contracts; they intruded themselves into Mexican territory without passports or permits. Their official representatives at the Mexican capital fomented domestic intrigues, attempted to buy for a song what they intended to take by violence, and shrank not from corruption in gaining their ends. American citizens took part in revolutionary movements to overthrow a friendly government; American naval officers seized Mexican ports in time of peace, pulled down the Mexican flag, and hoisted the Stars and Stripes. Finally, Americans raised a revolution in Texas, tore that province away from a peaceful republic, and then made war to get more territory. Such was the Mexican view of the drama.

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Although in this bitter controversy a judgment satisfactory to both parties can hardly be rendered, a number of pertinent facts force themselves upon the moralist who feels compelled to hold a court of justice and mercy. Above all it is necessary to take account of the state of Mexico during the first half of the nineteenth century. It is the fashion to speak of the "Mexican government," the "Mexican people," and "Mexican policies." Nothing could be more misleading. Such terms, with some show of propriety, may be used in referring to a settled country with a stable government capable of representing the masses; but even in such nations there are wild oscillations—like that which occurred when the United States, repudiating Wilson and the League of Nations, swung abruptly to Harding and isolation. What seems to be perfidy is sometimes a perfectly legitimate change of opinion.

In the case of Mexico during the period of American pressure, the situation was extremely confused. Between 1800 and 1850, Mexico was not an orderly nation with an authoritative government. At the opening of the century it was a province of Spain. In 1810, it became the scene of a war for independence which broke out with volcanic force, raged for seven years through fluctuating fortunes, and ended in suppression. After three years of peace came a renewed uprising which culminated in 1821 in separation from Spain and the establishment of a provisional government.

The next year, a military adventurer, Iturbide, aping the pomp and ceremony of Cæsar, was crowned emperor with the title of Augustus I. In a few turbulent months, he was overthrown and exiled; when he returned he was shot by his former subjects. In 1824, a federal constitution, fashioned on the American model and marked by certain democratic features, was established, followed by five years of comparative peace. But underground went on a lively

political intrigue, with the American minister, Joel Poinsett, aiding the liberal faction, until a revolt put a term to his operations.

In 1829, another military leader, Bustamante, rode into power on the shoulders of a conservative clique, only to be ousted, after three years of tenure, by a more efficient disciple of Machiavelli, Santa Anna, an extraordinary person whose adventures for a quarter of a century rivaled the exploits of a Don Quixote. In 1836, a clerical and highly centralized constitution supplanted the fundamental law erected twelve years before, nullifying all the sundry "plans" which had been concocted in the meantime. Within a few months Bustamante was back in the saddle and Santa Anna in revolt.

In four years, the tables were again turned: Santa Anna was on top for another brief hour; and then driven from the country in 1844. But nothing daunted him, neither his defeat by the Texans at San Jacinto in 1836 nor banishment by his countrymen. Returning to Mexico in 1845, with the help of the American government, he put himself in a trice at the head of the army and led it in the war against the country which had so recently befriended him. He even survived the humiliation of disaster at the hands of the American army; driven from Mexico once more, he came back again, set up a dictatorship, and in 1853 assumed the title of "Most Serene Highness." After a short respite he was expelled, only to reappear and live to a ripe old age. Not until 1876 did he pass from the scene.

Whenever there was a stable government in Mexico during these troubled decades, it was usually a tyranny. Whenever popular elements were in power, political and personal disputes distracted the country. The year 1847, which marked the triumph of American arms in Mexico City, saw three presidents in that capital.

To the superficial observer, therefore, the history of Mexico between 1810 and 1850 seemed like a series of disconnected military adventures without rhyme or reason;

but in reality this was not the whole story. There were important elements running through it all with a fair degree of consistency. A province was struggling desperately to shake off the grip of Spain and to find itself. Indian peons, serfs bound to the soil, were waging a peasants' war against feudal lords, lay and clerical, most of whom were of Spanish origin; a clergy and aristocracy were playing their historic rôles; a small but active middle class, dallying with incendiary doctrines of liberty, democracy, and self-government, had taken up arms against feudal and ecclesiastical privileges; military adventurers, akin to those who filled Europe with tumult for a thousand years after the dissolution of the Pax Romana, were making the most of a crumbling order. Yet in the midst of the discord, there were demonstrations of national pride; domestic quarrels were hushed in the presence of the Northern Eagle.

The theater in which this drama was staged was vast in extent. Reaching from the boundaries of Guatemala on the south, it spread out like a great fan to the borders of Louisiana on the northeast and to the Pacific and the towering mountains of Upper California on the northwest. In 1810 it was inhabited by about six million Indians, pure and mixed in blood, and sixty thousand people of Spanish origin, nearly all concentrated in the region now embraced within the republic of Mexico. A quarter of a century later when the American drive really began, there were approximately only three thousand Mexicans of Spanish origin in Texas and four thousand in California, a mere handful of people composed mainly of priests and monks congregated at the missions, soldiers nominally engaged in keeping order among the subject Indians, and large landowners and cattle raisers.

As may be imagined, the government of these widely scattered settlers between 1810 and 1845 was feeble, erratic, and fitful—presidents and dictators and congresses appearing and disappearing in agitations that shook Mexico from center to circumference. A policy adopted by one govern-

ment was repudiated by the next; reforms well conceived in spirit could not be executed for lack of power. Without capital and without stability, harassed by revolutions and debts, Mexico could not develop the resources and trade of the northern empire to which she possessed the title of parchment and seals. More than that, she could not occupy it for the simple reason that she did not have the emigrants for that enterprise.

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Peering over the borders of this almost empty realm was a restless, hardy, conquering people that had carried the American empire westward with a rush and a roar. Almost from the day when independence was declared, the frontier sentinels of the United States had looked upon all the territory from the Mississippi to the Pacific as their property, at least in the process of becoming; as the Germans would say, *im Begriff werden.* The happy purchase of Louisiana, in their opinion, only confirmed the inevitable.

When in the Florida-purchase treaty of 1819 John Q. Adams, as Secretary of State, accepted the Sabine River, instead of the Rio Grande, as the western boundary of Louisiana, they thought that their interests had been betrayed by a narrow-minded aristocrat of the New England seaboard. "I will never accept it," blurted out Senator Benton, the agricultural imperialist of Missouri. Clay likewise denounced the surrender of Texas and Jackson favored action on it as soon as eastern opinion could be reconciled to "further change." We must "get the Texas country back" whenever it can be done "with peace and honor"—this was the statesman's way of saying "at the inexorable moment."

While the ink was still wet on the Florida treaty fixing the boundary of Louisiana at the Sabine River, the first phase of the westward movement opened. In 1821 Moses Austin, a Connecticut Yankee, who had made and lost a fortune in Benton's state, secured through the governor of

Texas, then a province of New Spain, a huge grant of land on which to establish three hundred families—"honest, industrious farmers and mechanics," Catholic in religion, and willing to take the oath of allegiance to the Spanish monarch. Before he could execute his contract, however, death blocked his project and the task fell to the lot of his high-spirited heir, Stephen F. Austin. After surveying the ground, the enterprising son chose a spot for his colony not far from San Antonio and there founded a thriving American settlement composed of people drawn mainly from Tennessee, Mississippi, and Louisiana. To make sure of his property rights, Austin obtained a confirmation from the government of Mexico, which had now declared its independence from Spain.

Austin's grant was followed by similar concessions to other impresarios, Mexican, American, English, Scotch, and Irish, until substantially all of Texas was parceled out among adventurers who promised to bring in colonists of good character and Catholic faith, willing to swear allegiance to the Mexican republic. Americans, many of them slave-owners, now streamed over the border, some to develop grants, others without titles or claims, in search of land and fortune. Although a few lawless individuals from the frontier joined in the rush, most of the immigrants were industrious, energetic, and God-fearing men and women bent on establishing communities of the American type. Within ten years there were about twenty thousand people in Texas; a decade under American direction had brought more settlers than three hundred years of Spanish administration. To the rulers at Mexico City that was an alarming fact and when it was too late they tried to close the floodgates at the Texas border.

Far away on the Pacific coast another American invasion had begun without the formality of land grants and official permits. In 1796, a merchantman from New England, with the American ensign snapping at the masthead, careened around the Horn, up along the coast, and into Monterey.

In the wake of this pioneer ship, other vessels quickly followed, establishing at favorable ports lively markets for eastern manufactures. Beads, knives, gunpowder, cotton goods, pottery, and rum were traded for furs; the furs were carried to Canton; and Chinese merchandise received in return was taken back to Boston, New York, and Philadelphia. On a single expedition sometimes huge fortunes were made. One captain in a few hours collected 560 otter skins in exchange for goods that cost him less than two dollars and sold the lot in Canton for $22,400. Another Yankee bartered six hundred yards of cheap cotton cloth for a bale of peltries worth nearly seven thousand dollars in China.

Though Spanish law, and later, Mexican law, forbade foreigners to trade along the Pacific coast, American business enterprise, stimulated by reports of such alluring profits, could not be stayed. Both the theory and the fact of the local trade-monopoly, it is just to say, varied widely with the fortunes of the government in the distant city of Mexico and neither the Californians nor the visiting American merchants paid much attention to the nice technicalities of the situation. At all events commerce flourished in spite of exclusive laws and blustering officials, linking by the mystic cords of interest the Atlantic seaboard with far places on the Pacific. All the visible benefits of Manifest Destiny were not in Texas.

As long, however, as this traffic was limited to the sea, there seemed to be no hidden eventualities in it, at least to the Mexican officials in California; for when they thought of the long voyage around the Horn, they acquired a false sense of security. But just as they were about to settle down to an enjoyment of their domain, they heard a lusty knock at their eastern portals; intrepid American traders shrinking from the perils of the stormy sea had braved the dangers of parched deserts, frosty mountains, and hostile Indians to reach them in another way. While Stephen Austin was busy with projects in Texas, in November, 1826,

Jedidiah Smith, a fur trader of Yankee extraction, appeared at the door of the San Gabriel mission in southern California with a party of trappers. Without asking the permission of the Mexican governor or paying any heed to passport formalities, he had come overland from St. Louis in search of precious peltries. Indifferent to the curt reception accorded him, this dauntless Smith defiantly tramped the West for a decade or more, exploring the San Joaquin and Sacramento Valleys, cutting a way from California to Oregon, and advertising the virtues of the country to his fellow citizens "back East."

The dike being breached, the trickle stole in, followed by the flood. In 1829, Ewing Young opened a trade route from Santa Fé. Twelve years later an organized expedition of American settlers, under the leadership of John Bidwell, literally staggered across desert and mountain, dogged by thirst and hunger, into the fertile San Joaquin Valley. By this time the word had gone forth: Richard H. Dana's Two Years Before the Mast, articles by Hall Kelley, and letters by innumerable travelers were advertising the Pacific coast to the East. The land was good and fair to look upon; American editors said the United States must possess it; and the federal government became much interested. Under official auspices, John C. Frémont made two expeditions overland to California in 1842-5, explaining when questioned by the Mexican governor that his interest was purely scientific; yet it happened that an American army officer was opportunely on the ground to give assistance in the conquest of California when destiny struck the hour.

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In fact, while American farmers and planters were rushing into Texas, while New England sea captains were garnering the trade of California, while pioneers were breaking the land routes to the Pacific, the State Department at Washington was very much on the alert—watching for an

occasion to follow economic penetration by political dominion. A few weeks after Adams was inaugurated President in 1825, his Secretary of State, Clay, wrote to the American minister in Mexico, Poinsett, instructing him to begin negotiation for the purchase of Texas, a commission which Poinsett would have gladly fulfilled if he had been able to make headway against the suspicious government to which he was accredited.

When, to his surprise, Poinsett was recalled for interfering in the domestic politics of Mexico, President Jackson, then at the American helm, selected as his successor a hardy land speculator of the southwest, Anthony Butler, and instructed him to open operations with a view to acquiring first Texas and then California. Now Butler was scarcely the man to carry out such an undertaking with tact and taste. In more respects than one, his character was deficient, Jackson himself being finally forced to confess that Butler was a "liar" and a "scamp," and Sam Houston writing him down a "swindler and gambler." In a final verdict on the point, a modern historian, Justin H. Smith, after flaying with a good deal of justice the Mexicans with whom Butler negotiated, remarks calmly of the American minister: "He was a national disgrace . . . personally a bully and a swashbuckler, ignorant at first of the Spanish language and even the forms of diplomacy, shamefully careless about legation affairs, wholly unprincipled as to methods, and by the open testimony of two American consuls openly scandalous in conduct."

Shortly after Butler's arrival in Mexico City, the local press announced that he had come to buy Texas, spreading alarm among the politicians and patriots of Mexico. Beyond question, the rumor was well founded—an American "trial balloon"; for, as a matter of fact, Jackson had instructed Butler to purchase Texas, after coolly warning the Mexican government that the Americans on the spot "will declare themselves independent of Mexico the moment they acquire sufficient numbers." Since suavity was not a strong point

with Butler, this threat tied to an offer to buy failed to land the prize. But, determined not to be balked by any superficial propriety, Butler turned to bribery, proposing to Jackson that several hundred thousand dollars be spent in inducing Mexican officials to sell Texas to the Americans.

When Jackson received this astounding suggestion, he expressed surprise that Butler had not sent it in cipher and declared that bribery was far from his intention of course. In guarded diplomatic language the President then informed the expectant minister that the United States would not undertake to control the distribution of the purchase money among persons in Mexico who had held land grants in Texas, warning him in the same breath to give "these shrewd fellows no ground to charge you with any tampering with officers to obtain the cession through corruption."

Undismayed by the bribery hint, Jackson, after allowing Butler to come to Washington to discuss the matter in person, sent him back to Mexico City with orders to buy California as well as Texas. Failing in this mission, the high-handed minister then baldly advised the President to seize some of the coveted territory by force. That was too much for Old Hickory and, writing on the back of the letter, "What a scamp!" he called Butler home to final obscurity. Whatever may be said about the character of the Mexican officials, humor, if not respect for diplomacy, suggested drawing the veil over the American minister.

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What negotiation failed to accomplish, the march of events consummated. While countless notes were being exchanged by the governments of the United States and Mexico, the state of Texas was slipping away from the Mexican republic as rumors of Austin's colonizing scheme flew far and wide, even crossing the Atlantic, and arousing the cupidity of English, Irish, and Scotch adventurers. During all the diplomatic wrangling, the offices of adminis-

tration in Mexico City were jammed with promoters begging and wringing huge grants of land from men none too scrupulous. Few, it seems, were turned away. Within little more than a decade practically the whole of Texas had been distributed among land contractors, the names of Austin, Beale, Williams, Cameron, McMullin, McGloine, Whelin, Zavalla, and Felisola being mingled with strange profusion in the land records of the Mexican capital and written large on the map of Texas.

All these contractors, regardless of their real motive, undertook to import a given number of families in return for a certain acreage. Under official seal, they bound themselves to bring in people of good repute—Catholic in religion and prepared to profess allegiance to the Mexican republic. Having secured their grants and made their pledges, the promoters then issued notes or scrip representing claims to holdings of various amounts, hoping by advertisements and the sale of paper rights to secure immigrants or at least to make a profit out of the "deal."

In this fashion the news of activities in Texas spread all the way from New Orleans to Boston, as Texas scrip flooded the country. In the "fabulous forties" ancestors of people who were long afterwards to buy oil stocks with savage avidity bought up land notes at a few cents on the dollar in the firm conviction that the federal government would aid in realizing on the risks. Their fever penetrated other countries; stories of the New El Dorado flew over the sea to Dublin, London, and Edinburgh, attracting to Texas streams of immigrants from all quarters. By 1835 there were more than twenty thousand invaders in that flourishing province—hardy farmers, lordly planters, droves of slaves, hunters, adventurers, and outlaws. Great events were impending.

Frightened by the diplomacy of Jackson and alarmed at the swarms of aliens crossing the border, the officials at Mexico City drew back in dismay. When it was too late they tried to recover their passing dominion with laws and

proclamations which only advanced "the day" by arousing more opposition among the American settlers in Texas and among the holders of scrip everywhere. In 1829, a decree of the Mexican government abolished slavery; but a vigorous protest from American settlers compelled it to exempt Texas from the operation of the order.

About the same time a reactionary revolution put Bustamante in the saddle, swept away the liberal constitution of 1824, and forcibly united the two states of Texas and Coahuila, evoking from the Americans on the spot an angry outcry. Not yet submissive to events, the Mexican government then forbade the importation of slaves, required immigrants to present passports, ordered the expulsion of squatters who could not show lawful titles to their lands, and tentatively abrogated all the land contracts which had not been fulfiled by the promoters, thereby bringing distress to the hearts of land speculators scattered from the banks of the Brazos to the banks of the Thames.

On top of this combustible material was thrown a quarrel over taxation—always a sore point with the Anglo-Saxon. In 1831, on the expiration of an agreement exempting colonists from duties on certain imports for a period of seven years, Mexican officials proceeded in due form to collect taxes according to schedule—in a peremptory and irregular manner, the Americans alleged—stirring wrath from Natchidoches to San Antonio. Finally, as if defying fate, Santa Anna, acting in his capacity as dictator, denied the petition of Texas for separate statehood. Immediately, the Sam Adamses and the Patrick Henrys of the southwest went into caucus. Nothing but a match was needed again to fire the powder train and spring the mine.

This little spark was furnished by Colonel William B. Travis, an impetuous American, who, against the wishes of the more conservative elements in Texas, organized a small force, made an attack on the hated customs office, and expelled the Mexican revenue collector, bag and baggage. Following this ominous action, a number of Americans took

the side of a Mexican adventurer in a revolutionary assault on Tampico with the object of unhorsing Santa Anna. From this it was but a step to open resistance. By the advocates of self-government, an appeal was made to friends in the United States for money and men; a declaration of local autonomy was issued in November, 1835; before two months had passed the last Mexican soldier had been driven across the border; and early the next year the independence of Texas was formally proclaimed.

Though torn by internal dissensions, the government of Mexico could not overlook these acts of defiance. Placing himself at the head of experienced troops, Santa Anna swept northward "to restore order." For a time fortune seemed to be with him, for the first clashes resulted in victories, such as they were. In March, 1836, a small band of Texans, embattled under Colonel Travis in the Alamo at San Antonio, was utterly destroyed in one of the most desperate struggles ever waged on the American continent. A few days later another group of Texans, three hundred and fifty in number, was overwhelmed by a superior force and shot in cold blood, an act of cruelty which the Mexicans tried to defend as "justice meted out to traitors."

This deed proved to be their undoing, for the Texans were now thoroughly aroused and strongly united. Under General Sam Houston, their little army of independence fell upon Santa Anna on the banks of the San Jacinto River. With the shout "Remember the Alamo!" and in a tumultuous rush, they carried everything by storm, killing nearly half the Mexican army and capturing most of the foemen who escaped the sword, including Santa Anna himself. In their fury the avenging Texans demanded the life of the Mexican commander but in the end Houston saved him from the firing squad, wrung from him an official recognition of Texan independence, and then sent him under escort into the United States.

Having cleared their soil of Mexican soldiers and taken their place "among the independent nations of the earth,"

the Texans turned with eager expectancy to the United States, hoping for admission to the Union. Among the statesmen of the South they met cordial sympathy. They had assured the planting interest by writing in their constitution one clause forbidding the legislature to prohibit the importation of slaves by immigrants from the United States and another clause forbidding it ever to proclaim a general liberation of bondmen. So the answer to the Texan overture was emphatic in the South: Mississippi, Alabama, and Tennessee by solemn resolution called upon Congress to admit the Lone Star State to the Union.

The Texans also had a sympathizer in Jackson, the trusted friend of their own president, General Sam Houston; but Jackson, though personally willing, acted cautiously. A national campaign was on in 1836, the Whig opposition in the Senate was too formidable to be flouted, and mustering a two-thirds vote in favor of a treaty of annexation was clearly impossible. Consequently Jackson left the White House without adding Texas to his beloved Union.

For years after Jackson's retirement, the country was agitated over the question of annexation. Mild critics of slavery protested against it. Abolitionists raged with all their might. "I trust, indeed," exclaimed William E. Channing, "that Providence will beat back and humble our cupidity and ambition. I now ask whether as a people we are prepared to seize on a neighboring territory to the end of extending slavery? I ask whether as a people we can stand forth in the sight of God, in the sight of nations, and adopt this atrocious policy? Sooner perish! Sooner our name be blotted out from the record of nations!" With a shout of defiance, William Lloyd Garrison called for the secession of the northern states if Texas came into the Union with her slaves. Recalling his classical studies, John Quincy Adams prophesied the fate of imperial Rome as the just doom of imperial America. Even conservative men who did not condemn slavery trembled at the thought of

reopening the bitter dispute that had been closed, they thought, by the Missouri Compromise of 1820.

Amid this tempest of opposition, southern champions of annexation pursued their course with fixed resolution. To them it at last offered security for their peculiar institution against the overwhelming predominance of the free states. Texas was an empire in itself; four or five large commonwealths could be carved out of its generous expanse and given eight or ten United States Senators to balance the representatives from Michigan, Wisconsin, Iowa, and other free states as they arrived one after another upon the floor of the upper chamber. If that project could be realized, the North might have the House of Representatives; it could not enact into law any economic policies detrimental to the planting interest as long as the South possessed equality in the Senate.

To the watchful Calhoun annexation, carrying with it these implications, promised the only guarantee for the perpetuity of the Constitution. Little did he dream that the action which he fondly imagined could save the Union and slavery would in reality reopen the sectional controversy, precipitate a civil conflict, and end in the destruction of chattel bondage itself. So dim is the vision of the wisest of statesmen! So far astray do the calculations of the learned and the great lead them! That which the planting interest thought would save slavery helped to destroy it. That which ardent abolitionists fancied would fasten slavery upon the country forever hastened emancipation.

Though the planters were easily won by the delusive argument that proved to be their destruction, they were not strong enough in Congress to carry the annexation of Texas. It was necessary to win votes above the Potomac where abolitionists were thundering against it day and night, where there seemed to be no powerful economic support for the addition of distant territory, nothing to appeal to save the showy doctrine of Manifest Destiny. But appearances were deceptive. In reality there were also in the North

substantial forces working in favor of annexation—forces having in view more immediate, direct, and tangible gains than those offered to the planting interests of the South.

In the financial sections of every large community, quantities of Texas scrip were afloat, as we have seen. In New York City, for example, three land companies, organized to buy claims of doubtful validity, had issued stocks to a gullible public. With these stocks ran current a deep suspicion that the authorities of Mexico would never accept the claims as lawful and that a revolution ending in the establishment of a stable government in Texas under American auspices would be necessary to put profits into the pockets of those who purchased such wild-cat paper. This was likewise true with respect to many other forms of speculative land securities which passed from hand to hand in the North. In a word, the independence of Texas, the admission of Texas to the Union, and the confirmation of acquired land rights were essential to realizing the inflated hopes founded on an immense volume of paper scattered around through the United States.

Even more important in this momentous contest perhaps was the huge quantity of bonds and notes floated by the republic of Texas after its declaration of independence. Like the United States at the beginning of the American Revolution, it had been started on paper. On paper it tried to survive, its finances growing steadily worse from year to year. In 1838, its secretary of the treasury reported an outstanding debt of $1,886,425; in 1841 he dolefully admitted that the expenditures for the year had been $1,176,288 and the receipts only $442,604; by 1845 the treasury was in complete chaos, the debt being then variously reckoned from $7,000,000 to $12,000,000. Every day the paper sank lower, to the dismay of those who held it; bonds and notes drawing eight per cent interest were selling on the streets of the capital at a price as low as three cents on the dollar.

Suddenly these securities appeared in many parts of the United States. Having subscribed to the first loans floated to finance the Texas revolution, Americans bought blocks of subsequent issues emitted to sustain it. Speculators in Texas acquired a large quantity at ridiculous figures and sent it flying across the border in all directions, into the Mississippi valley and to New York by steamer. There was no enigma in this. It was obvious to everybody who held any of the vagrant paper or knew anything about the failing security behind it that the annexation of Texas and the stabilization of its finances could alone prevent its bonds and notes from becoming worthless, destroying real values as well as potential profits for the holders.

How widespread was the influence of the speculators in Texas paper cannot be estimated with any degree of exactness, for the distribution of the bonds and notes is not known. We have, however, the testimony of Jay Cooke, the financier of the Civil War, on this point. He was associated, during the Texan controversy, with a Philadelphia banking house that later handled the government's fiscal business during the Mexican War, and, therefore, in a position to speak with no little authority. And according to his careful biographer, E. P. Oberholtzer, who had access to the family papers, "Mr. Cooke always believed that the northern opposition in Congress to the addition of this large slave territory to the national domain was overcome through the selfish exertions in their own interest of the holders of the Texas debt certificates, many of whom were influential northern men."

That this economic pressure was far-reaching became signally evident in 1850 during the congressional debate on the bill adjusting the boundary between Texas and New Mexico —a measure carrying an indemnity to Texas of $10,000,000 to be applied in part on her debt. The very introduction of the indemnity project swept the price of Texas bonds upward from four or five cents on the dollar to fifty cents. Amid great excitement the Senate passed the bill with

alacrity and all was going smoothly when suddenly, to the agony of interested parties, the House of Representatives defeated it.

Then came a royal battle for reconsideration which, after many days of hard work, was effected with a "loud cry of exultation." On the day the vote was finally taken on the bill, lobbyists pressed around the desks of the Representatives in such force that one of the members asked for their removal from the floor, remarking drily that Texas bondholders could see and hear as well from the galleries. According to Joshua R. Giddings, a congressman from Ohio, three million dollars worth of the paper was afloat in Washington at the time and members were offered as much as fifty thousand dollars apiece for their votes. Though this was possibly a mere surmise, there was no doubt that the depreciated bonds and scrip played an important part in the movement for annexation of Texas from her declaration of independence in 1836 to her admission to the Union.

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In the decade which followed the independence of Texas, while the planting interest, the speculative interest, the land interest, and Manifest Destiny were preparing the way for annexation, both diplomacy and immigration were swinging California into the American orbit. By 1840 Mexican occupation of that vast province was merely a shadow on the land. The entire army in control did not exceed five hundred regular soldiers, scattered among half a dozen presidios; while no serious pretensions were made at ruling the region north of San Francisco Bay. The weak and changing government at Mexico City, far removed from the scene and confining its activities mainly to passing messages to and fro once or twice a year, could not possibly administer the province efficiently or restrain the foreign invaders who came from every direction. It could issue decrees but it could not enforce them. It could awaken opposition and

revolt but could not crush them. At no time could it count on much local support.

As a matter of fact there was little available, for the country, besides being far away, was sparsely settled. Within its borders were only a few tiny towns—San Diego, Los Angeles, Monterey, and Yerba Buena on the site of present-day San Francisco—and the trade in their markets was almost entirely in the hands of Americans. Sprawling over the intervening stretches were the estates of Spanish grandees, vast, uncultivated, and unprofitable dominions. Here and there were old Spanish missions which once had been the seats of prosperous economic life under the direction of shrewd and competent managers, but even they had now sunk into decay because the lands had been secularized in 1834 and bought up by Mexican adventurers and American merchants. In short, California was a wide-open province awaiting the drive of a virile, active, organizing people while all over the United States were restless persons reading about the distant El Dorado in innumerable pamphlets, books, and inspired newspaper articles.

So the great American migration commenced. In May, 1841, a party of men, women, and children, under the leadership of John Bidwell, "the prince of California pioneers," set out from Missouri to the promised land. Compared to the trials and sufferings endured by this party on its tedious journey of six months, the hardships of the voyagers in the *Mayflower* seem positively slight. The colonial Pilgrims were in the hands of good sailors who knew the sea and the stars and were at home on the wide ocean paths. The Bidwell adventurers, on the contrary, crossed an almost uncharted continent, their wisest guides knowing little about the route save that it lay in a westerly direction.

For days they toiled through the horrors of the alkaline desert where thirst consumed them and where mirages lured them to agonizing delusions. After terrible experiences they arrived at the mountain wall where, compelled to cast off

and abandon their heavy baggage, they soon came face to face with starvation; before they got over the barrier they were so tormented by hunger that a bit of broiled fat from the windpipe of a coyote seemed a rare delicacy. Certainly the events of this path-breaking expedition recorded in the journal left to posterity by Bidwell, though not as celebrated in annals of history as the doings of the Pilgrims immortalized by Bradford, deserve their vivid chapter in the great American epic.

And yet the Bidwell pioneers fared happily as compared with the Donner party that followed them five years later. Starting merrily in the early spring of 1846 also from Missouri, the second band of emigrants crossed the plains and desert without serious mishap; but while they were on their way over the mountains the members of one division were caught in the icy grip of an early winter. Seeing that they could neither go forward nor retrace their steps, they hastily threw up huts of wood and turf against the cutting blasts and towering snow drifts.

In these wretched shanties, men, women, and children huddled for months; all food failed them except oxhide soup and pounded bones; some of them were driven in their indescribable misery to eat the flesh of their dead. Recoiling from this abyss of madness, nine men and six women made a desperate dash across the snow-bound mountains. Two men and five women, overcoming the perils of the journey, at last carried the tale of horror to the settlements of California. Immediately volunteers sprang to the rescue, scaled the mountains and brought the survivors at the camp on to safety. Of the seventy-nine who wintered in the huts of death, forty-five endured the terrible ordeal—among whom were women with children at their breasts. In the middle of a cabin the rescue party found only one living inhabitant, a breathing skeleton, surrounded by nameless horror, disordered in mind, and evidently guilty of awful deeds that made him an outcast in Sacramento Valley to the end of his days.

If anyone had doubts about the latent powers of civilized women, their fierce will to live, their resolution in the presence of the jungle's law, their heroism when faced by seemingly impossible choices, and their capacity to bring from the unfathomed deeps of their nature resources for unexpected trial, he found a new version of humanity in the story of the Donner migration. Manifest Destiny was in the hands of people with unbreakable will and an unyielding courage.

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While events were bringing California into the American sphere, diplomacy was searching for sanction. The records do not disclose the name of the statesman who first thought of the maneuvre, but it is certain that President Jackson fully appreciated it. He knew the West and was imperial in temper. As we have said, he instructed the American minister in Mexico City to secure California in connection with the purchase of Texas. At a later date, he also encouraged the agent of Texas in Washington to hope for stronger support from the United States in case California could be added by some procedure to the empire of the Lone Star State, thinking no doubt that this would make annexation more palatable to the shipowners and merchants of Philadelphia, New York, and Boston. It would assure them trading bases and good harbors on the western coast, helping to unite capitalists and planters in a common enterprise.

Though the idea had force, Jackson was compelled by circumstances to leave the White House without executing his expansion program. For the moment enthusiasm waned. Jackson's successor, Van Buren, was lukewarm on the project; at heart he was an opponent of slavery, and he could plead domestic financial troubles as a good reason for inaction in foreign affairs. So four years slipped by without a decision. But when Tyler of Virginia came to the presidency, the tide began to turn. He knew what he wanted, yet, like Jackson, he too had to be cautious in

making public gestures; for he had been elected with a Whig candidate to enlist planting support and was compelled to coöperate, nominally at least, with the Whigs. In dealing with Congress, Tyler accordingly chose discretion as the better part of valor.

In the sphere of diplomatic action, however, where the veil of secrecy hid all things, Tyler moved with swiftness and resolution, supported by his efficient Secretary of State, Webster, who was as eager to secure points of support for whaling and the China trade in the Pacific as Calhoun was to get Texas for the planters. Working in perfect harmony, the President and Webster tried to get hold of California. They bombarded the Mexican government with claims, notes, demands, and proposals until the atmosphere was charged with the mysterious electricity of rumor.

The American navy was put on the watch. In fact, one of its officers, Commodore Jones, allowed his wishes to overcome prudence, when, in 1842, in command of a frigate and a sloop, he sailed into Monterey Bay, seized the town, and ran up the Stars and Stripes on the strength of a vague report that war had broken out between Mexico and the United States and that California might be handed over to England. Though Jones pulled down the flag as gracefully as possible when he found his information baseless, the incident had lasting effects. It helped to confirm the Mexicans in their opposition to surrendering California to the United States without a blow. So after Commodore Jones had displayed the mailed fist, diplomacy was more powerless than ever to achieve a peaceful annexation of California.

It was thus made evident that nothing but a crisis could bring down the fruit, and deeds did finally take matters out of the control of the diplomats—a turn in events favored by the drift of affairs on the Coast. As time passed, the military grip of Mexico on California, which had always been weak, steadily relaxed; of the army of occupation now numbering about six hundred, one-half were Mexicans and one-half natives of California, an unpaid, undisciplined,

and poorly equipped rabble. On more than one occasion, when a foreign vessel fired a salute of honor in a California harbor, the local Mexican officer had to borrow powder from his visitor to return the greeting. Even more absurd was the Mexican navy in the Pacific, consisting as it did of one weatherbeaten ship, so crazed with age and hard wear that the captain could not sail her against the wind.

While Mexican defenses were collapsing, Americans in California were growing in numbers and influence. They were not long in discovering that a very slight rebellion might cut the thread which bound the province to Mexico and they often took part in factional disputes among the Californians in the hope that good fortune would finally perch on their standards. All they needed was a little encouragement from Washington and shortly after the inauguration of Polk in 1845 they received it.

The new President was scarcely installed when he coolly told his Cabinet that California was to be annexed. To give effect to his plans, he informed the American consul on the Coast that the government of the United States would protect the people of California if they cut loose from Mexico and he authorized that official to use his own discretion in handling local affairs. This suggestion was a keen anticipation of history; the very next year, before the news of the outbreak of war between Mexico and the United States reached the Pacific shore, a handful of adventurous Americans, aided by Captain Frémont, nominally engaged in scientific exploration, raised the standard of revolution—the Bear Flag—and proclaimed the Republic of California. The moment so impatiently awaited by the administration at Washington was at hand.

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Not long after the Bear Flag was flung to the breeze war began between Mexico and the United States. The crisis had at last been precipitated by the annexation of

Texas. As the sponsors of that project in Washington had never been able to muster the two-thirds majority necessary to carry their treaty through the Senate, they finally grew desperate and resorted to a joint resolution of both houses which called for a mere majority. The conclusion was foregone. In February, 1845, during the closing days of Tyler's administration, Texas was made a part of the American Union by act of Congress. A spark was applied to tinder. Since Mexico had never recognized or accepted the independence of Texas, annexation, as everybody knew, was a signal for the rupture of relations—a step which led the Mexican minister promptly to gather up his papers and go home.

It was at this point that Tyler was succeeded by Polk, of Tennessee, who, we have seen, was bent on adding California to the Texan prize, without war if possible. While Polk was really pacific in temper and hoped to accomplish much without shedding blood, he did not confine his efforts to diplomatic notes. Rather, on coming into office, he made preparations to defend Texas, now a part of the United States, and, as already noted too, told the American consul in California, in effect, that he would be supported if he stirred up a local revolution.

As if to expedite matters, an argument arose with Mexico over a boundary question—out of Texan claims to all the land west and south as far as the Rio Grande and Mexican insistence on fixing the border at the Nueces River and a line drawn in a northerly direction. President Polk felt constrained to accept the Texan view and, not unnaturally, having made his decision, ordered General Zachary Taylor, in command of American forces, forward into the disputed zone. This movement, regarded as an act of defense by Americans, was denounced by Mexicans as a clear invasion of their country. In the spring of 1846, a clash of arms took place, staining the sands of Texas red with blood.

"War exists by act of Mexico!" cried Polk, and his cry was echoed among his followers with interest, Congress

quickly responding by declaring its confidence with a vote of men and money for the prosecution of American rights by arms. And yet there was no little opposition among the northern Whigs, some of it sincere and some of it partisan. Abraham Lincoln, then serving his single term in the House of Representatives, lifted his voice against the war, apparently with no other result than to throw away his chances for reëlection. Senator Corwin of Ohio flung out to the presidential party his famous defiance that haunted him until the end of his political career: "If I were a Mexican I would tell you: 'Have you not room in your own country? . . . If you come into mine, we will greet you with bloody hands and welcome you to hospitable graves.' "

On the floor of the House, Corwin's colleague, Joshua R. Giddings, condemned the proceedings as "a war against an unoffending people, without adequate or just cause, for the purpose of conquest; with the design of extending slavery; in violation of the Constitution, against the dictates of justice, humanity, the sentiments of the age in which we live, and the precepts of the religion which we profess. I will lend it no aid, no support whatever. I will not bathe my hands in the blood of the people of Mexico, nor will I participate in the guilt of those murders which have been and will hereafter be committed by our army there. For these reasons I shall vote against the bill under consideration and all others calculated to support the war." Through New England also flowed a strong current of feeling against Polk's policies and measures, the legislature of Massachusetts, for example, overwhelming by a negative vote a proposal to appropriate funds in aid of a regiment raised by Caleb Cushing; while meetings of protest against the war were held in Faneuil Hall.

Angered by action which they deemed seditious, defenders of the administration spared no invective in flaying its critics. Speaking for his Illinois constituents, Stephen A. Douglas declared in the Senate: "America wants no friends, acknowledges the fidelity of no citizen who, after war is

declared, condemns the justice of her cause or sympathizes with the enemy. All such are traitors in their hearts; and would to God that they would commit such overt act for which they could be dealt with according to their deserts." A close student of the Constitution, Douglas, even so, had either forgotten his history or could not divine the limitless possibilities of sedition laws. If "the Little Giant of Illinois" had been ingenious enough, James Russell Lowell's Biglow Papers would have landed the author in jail and the men and women who could not see the justice of the American cause would have been given ten or fifteen years behind prison bars in which to meditate upon the mutability of human affairs.

The war thus precipitated by "act of Mexico" was prosecuted with vigor by the United States. General Taylor, already on the frontier with a large body of troops, drove southward into Mexico, winning before the lapse of a year four victories, at Palo Alto, Resaca de la Palma, Monterey, and Buena Vista. Indeed he might have delivered the fatal thrust if politics had not intervened. But Taylor was a Whig and Polk, knowing full well American love for military heroes, was anxious to avoid raising up another victorious commander for the opposition to nominate for the presidency. Besides, good strategy was in harmony with politics—the line held by General Taylor was long and as he marched into the interior he left his base of supplies far in the rear.

So the administration at Washington, deciding to divide the honors, sent a second army under General Scott, also a Whig, by sea to Vera Cruz for the purpose of striking directly at Mexico City. In August, 1847, the project was accomplished: the American army was at the gates of the capital of Mexico. If the government of that republic had possessed any strength, peace would have been quickly concluded, but to yield to humiliating terms was beyond the power of any Mexican authority. Not until battles were fought in the suburbs of the city and the American army

marched triumphantly into the Plaza de la Constitución—not until the American general offered protection to the defeated government, threatened by rival factions, could a treaty of peace be signed.

Far away on the California Coast military and naval operations on a smaller scale were completing the work of Manifest Destiny. In June, 1845, months before the conflict with Mexico started, the Secretary of the Navy instructed Commodore Sloat, commander of the American forces in the Pacific, to seize the harbors of California immediately on receipt of news that war had begun. Accordingly, as soon as the instructions arrived in July of the following year, Sloat occupied Monterey without resistance and hoisted the American flag. Coming on the scene a few days later, his successor, Commodore Stockton, took charge of affairs, enrolled the men of Frémont's young republic in the American army, and started the conquest of California, assisted in the operation by a small body of regular soldiers, under General S. W. Kearny, who reached California in December after a toilsome overland journey from Fort Leavenworth by way of Santa Fé. A few sharp clashes, hardly to be characterized as battles, sealed the inevitable. The whalers, the China traders, the Bidwells, and the Donners had done their work. California became American soil.

On February 2, 1848, a formal treaty with Mexico closed this chapter in American history, sealing the annexation of Texas and ceding to the United States California, Arizona, New Mexico, and other large fragments—a domain greater in area than Germany and France combined. Thus Mexico lost, if Texas is counted, more than one-half the territory she possessed when she made the first contract with Moses Austin for American colonization, receiving as a balm nothing except the cancellation of certain American claims for damages and fifteen million dollars in cash. In 1853, through the negotiations of James Gadsden, the United States secured another cession of land along the southern

border of Arizona and New Mexico in return for a payment of ten million dollars.

Thus a collision which a modern historian, Herbert Ingram Priestley, characterizes as "a biological phenomenon" was brought to a conclusion fortunate for the victor. The Americans who favored annexing the whole of Mexico or at least holding all the territory in the north conquered by General Taylor, after some grumbling, accepted the gains of the settlement as the best that could be accomplished in the circumstances.

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On top of this victory came an astounding piece of sheer luck. In January, 1848, while the commissioners were still haggling in Mexico City over the terms of peace, James W. Marshall, a laborer employed by John A. Sutter in his saw mill on the American River, discovered in the tail race something that glittered and was gold. This was not, of course, the first time that the precious metal had been found in the soil of California for the Mexicans had previously unearthed deposits; but for some strange reason the spiritual heirs of Cortez and Pizarro who had searched with feverish eagerness the valleys and mountains of Mexico had not swarmed with pick and pan into promising fields of the Coast. By a strange fatality which an Anglo-Saxon might call "Providential," the great discovery was delayed until American occupation arrived.

At first Sutter was not overjoyed with his fortune. Knowing that it would upset the normal course of agriculture and industry, he tried to keep silence, but, as that was a strain too severe for human nature, the news slowly leaked out. By May it was passing current as a rumor in the streets of Monterey—a bit of vague gossip that was turned into truth by an investigator sent to the spot to inquire. Immediately a spasm of frenzied lust burst out in every California community. Artisans dropped their

tools, farmers left their cattle to die and their crops to rot, lawyers fled from clients, teachers threw aside their books, preachers cast off their cloth, sailors deserted their ships in the harbors, and women left their kitchens—all in one overwhelming rush for the gold-bearing district. Business ceased in the towns; real estate slumped; deserted houses and shops sank into decay. From every direction fortune-hunters swept down like locusts on the region around Sutter's mill, with dishpans and skillets for washing gold and plowshares beaten into picks and shovels.

From day to day, the acquisitive instincts of the miners were aggravated by tales that floated on every breeze. In the course of a week, it was said, two men found $17,000 worth of gold on a single spot containing only a few hundred square feet; a poor journalist armed with a pick, a shovel, and a pan gathered in a hundred dollars in a few hours; a workingman washed out two pounds and a half of gold in fifteen minutes. Even when all discounts were made, reports showed that in less than six months more than half a million dollars worth of precious metal had been wrested from the river drift and the hills.

Before winter came, the news in authentic form had reached the East, President Polk commenting on it officially in his message of December, 1848. In a flash the pages of the newspapers were packed with rumors, letters, and tales referring to the gold rush, and companies were formed to make expeditions to the scene of buried treasure. With their wonted enterprise merchants advertised goods suited to the needs of men bound for the gold fields—guide books, camping outfits, miners' tools and canned sauerkraut "warranted for twenty-one years." A hustling promoter organized a band of women, "none under twenty-five," to go out and marry the successful miners. Photographers urged departing fortune-hunters to leave behind daguerreotypes for their loved ones. Druggists announced specifics for all the ills that afflict the flesh of mortals, and fakers patent devices for locating rich gold-bearing soils.

From eastern cities the sensation spread to Great Britain and Ireland and then to the distant villages of the Continent, arousing so much cupidity that every vessel sailing from Europe was immediately furnished with a full quota of prospectors bent on reaching the Pacific Coast at the earliest possible moment. Along the docks, in the shops and hotels, at wayside taverns, in the stage coaches and canal-boat cabins, all conversation was devoted to the one absorbing theme—gold in California. The chantie of the Argonaut ran through the country:

> Oh! California, that's the land for me!
> I'm bound for Sacramento
> With the washbowl on my knee.

Before the adventurers, booked for the gold fields, lay a choice of many routes, three involving journeys by water. An all-sea voyage carried them around the Horn in a long and tedious trip that occupied from six to nine months. A more popular route lay through Panama and in a short time that narrow strip, where dull monotony had reigned almost uninterruptedly since Balboa's day, became the scene of stirring events, as thousands of Americans and Europeans swarmed in and out dreaming of riches in California. A third route, also including two sea trips, was by way of Mexico. On the score of safety there was little to choose. Since every kind of crazy craft strong enough to move out of an eastern harbor was employed in the business of transporting prospectors, the risks of all the voyages by water were extremely high. Many a ship that sailed away with singing fortune-hunters disappeared without leaving a sign, a rumor, or an echo to hint at the fate of crew and passengers. Those who tried to go by way of Panama or Mexico usually encountered, besides the dangers of the sea, cholera, scurvy, and Chagres fever. Scores who escaped disease were stripped of their money and murdered by robbers.

Though presumably more safe, the continental routes to

California offered hazards of their own. On the two northern roads, one by way of Salt Lake and the Truckee River and the other the Oregon Trail, emigrants had to run the long gantlet of barren plains and mountain passes. Even worse was the southern trail through Santa Fé—worse for the torrid heat of parched deserts often sent the thermometer up to 140°, driving prospectors hopelessly insane and then to a wretched death on the sands. Along all the lines, thirst, starvation, storms, Indians, and disease dogged the steps of the wayfarer. From the frontier to the coast, wrecks of wagons, bones of oxen, and graves of dead emigrants marked the paths of the venturesome gold-seeker, fifteen hundred silent mounds, we are told, dotting the road from Salt Lake to Sacramento.

But none of these things turned the gold hunters from their purpose. Before the first quarter of 1849 had expired, at least seventeen thousand sailed away from the eastern shores. In less than one month, during the spring that followed, eighteen thousand people crossed the Missouri River en route to California. How many started, how many perished on the journey, how many arrived safely is nowhere accurately recorded. But the census of 1850 gave California 92,000 inhabitants, and within ten years the number had grown to 380,000. When it is recalled that the colonizing movement of the seventeenth century did not carry more than thirty or forty thousand Puritans to New England in the course of a hundred years, the magnitude of the famous gold rush of 1849 assumes its true proportions.

But how different the two migrations! It was the lure of a quick and easy fortune that swept most of the gold-rush immigrants into California—reckless adventurers fond of hard drinking, gambling, and fighting, offering a curious contrast to the godly men who sought a humble livelihood by hard work under the leadership of Winthrop and Carver. Not many took families along. Indeed, relatively few women went out in the first days of roaring luck and some of

those who did were, to say the least, not Puritans either. In the names of the mining towns were reflected the tastes of the occupants; in place of the Providences, Goshens, Salems, and Bethels of New England, there rose Slumgullions, You-Bets, and Jackass-Gulches. When the miner burst into song, he chose "Highland Mary," camp doggerel, or a drinking chant, rather than the Psalms of David. Even some "good citizens" were not ashamed to walk down the main street of Poverty Flat with women who would have had to wear the Scarlet Letter in queer old Salem.

For years the exuberance and tempestuous life of the mining camps affected the character of the whole territory, not excepting the districts in the south devoted to cattle raising, grain fields, and vineyards. Even the distant and relatively peaceful city of Los Angeles could report in 1854 a murder a day on the average. "The Queen of the Cow Counties," wrote a vivacious editor of that town, "bangs all creation in her productions. Whether it be shocking murders, or big beets, jail demolishers, expert horse thieves, lynch justices, fat beeves, swimming horses, expounders of new religions, tall corn, mammoth potatoes, ponderous cabbages, defunct Indians, secret societies, bright skies, mammoth pumpkins, Shanghai chickens, grizzlies, coyotes, dogs, smart men, office seekers, coal holers, scrip, or fights . . . she stands out in bold relief challenging competition."

If such was the state of that sedate settlement so far from the gold regions, what must have been San Francisco, where a fever for speculation raged, as the millions from mines and gulches poured in there for export? Quickly overcoming the slump that followed the first exodus to the mines, real estate dealers, hotel keepers, tapsters, and outfitters waxed fat at their trades. Riotous living racked the town and shooting frays made life precarious.

In the tumult, matters went from bad to worse until the more sober elements were driven to form extra-legal associations, known as Vigilance Committees, rough and ready agencies which dealt out summary justice to the most in-

corrigible and brazen disturbers of the public peace, hanging murderers and banishing ballot box stuffers. Under the press of business, mistakes were sometimes made but, on the whole, the work of the Committees was salutary—at least until the organized police force was strong enough and decent enough to function in a normal fashion.

The anarchy of the gold rush made still more imperative the necessity, already appreciated by far-seeing citizens, for a settled system of government; but when the problem was presented to Congress, a vexatious delay ensued. At the moment a bitter quarrel over slavery was occupying both houses, the planters wanting their peculiar institution legalized in California and their opponents insisting on freedom; the two factions were gripped in a political deadlock.

Seeing no immediate relief in the offing, the people of California, with characteristic western initiative, took matters into their own hands. Without any authority from Washington, the territorial governor called for a state convention, which was duly elected and met at Monterey in 1849. Provided with a copy of the constitution of Iowa by one of the delegates, the members at once entered upon grave and decorous deliberations, offering to the people at the close a fundamental law forbidding slavery and involuntary servitude of any kind. In a burst of enthusiasm the proposed constitution was ratified by a huge majority and California, with her document in hand, knocked at the door of the Union, just in time to become involved in the great debate which culminated in the Compromise of 1850 and to receive her statehood as a part of that important settlement.

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During the eventful years which sealed the fate of California, a long conflict with Great Britain over the boundary of the far northwest was brought to an end. In reality this contest was merely the closing phase of a struggle

which had opened in colonial times. From the beginning British merchants had relied upon the fur trade as an unfailing source of profits; and in the protection of that interest they had again and again brought influence to bear on the policy of their government. They had been instrumental in securing the momentous decree of 1763 which shut the gates of the hinterland to American squatters.

Defeated by the Revolution, they moved the seat of their empire westward and, in the War of 1812, made the fur trade once more an issue. On one thing both the English and the Indians agreed: the fur-bearing animals of the wilderness must be protected against the soil-tilling pioneers of the United States. But they were banded together in a fight against fate. Though the second war for American independence culminated in a peace that promised a respite, it merely transferred to diplomacy the old battle between resolute farmers and the British fur traders supported by Indian allies, and as the American frontier advanced, exterminating the fur-bearing animals, the clash of these contending forces was pushed onward until it reached the Pacific northwest. There at the water's edge, in the valley of the Columbia River where the British Hudson's Bay Company had its outpost, the long struggle was fought to a finish.

For more than half a century that territory had been a subject of negotiation among the powers of Europe. Spain, Russia, and Great Britain all had historic pretensions to ownership. Many an intrepid Spanish explorer had skirted the coast line and reported discoveries. In their wake the Russians had plowed the seas: the brave Vitus Behring, acting under orders given by Peter the Great, had, in 1741, sailed the cold and stormy waters that washed the Alaskan shores and for nearly a hundred years afterward Russian fur traders had steadily pushed their activities down along the seaboard, taking their flag with them.

Still more formidable were the claims of Great Britain. In 1777, Captain Cook, on the ill-fated voyage that finally

bore him to his death in the Sandwich Islands, had rounded the Horn, sailed up the coast of North America, mapped the shore line, and set precedents for those English geographers who wrote "New Albion" on their sketches of the Columbia River Valley. Fifteen years later, Captain Vancouver crept along where Cook had swiftly skirted and outlined the contour of the coast with such care that his charts served for many a decade as safe guides to the mariner. Stirred by reports of the forerunners, British fur traders from Canada, by sea and by land, now descended upon the wilderness, planting posts far and wide as they gathered up the rich peltries by traffic with the Indians.

Not far behind was the ubiquitous Yankee. Indeed, among Captain Cook's men was a versatile and courageous son of Connecticut, John Ledyard, who took his bearings as he sailed along under the British flag and on his return to Hartford brought out in 1783 a fascinating story of his expedition, which was widely read in New England. The Revolution had then come to a formal close; and American merchants, emancipated from British dominance, were ready to make the most of their freedom.

Lured by rumors of the profits reaped from the China trade, Boston capitalists sent two ships to open up enterprise in the Far Pacific, receiving their reward, after three anxious years of waiting, when their vessels, having completed a momentous voyage around the world, dropped anchor safely in Massachusetts Bay. Emboldened by this venture they dispatched other expeditions—one of which, under Robert Gray, made extensive explorations on the northwest coast in 1792, crossed the bar and sailed up the mysterious "River of the West" to which he gave the name of his ship, Columbia. "This river, in my opinion," wrote the captain's mate, "would be a fine place for to set up a factory."

Sea paths being broken, the Americans then began to explore the northwest by land, President Jefferson setting a

bold precedent in 1803 by sending out the memorable Lewis and Clark expedition, which made a perilous but triumphant journey from St. Louis to the mouth of the Columbia River and home again, bringing authentic descriptions of the rivers, trails, climate, soil, products, flora, and fauna of the intervening country and the distant coast. From St. Louis American fur traders then began to press into the new territory, exploring as they went and sending back first-hand accounts of the most inaccessible regions until at last the geography of the whole continent was outlined. With an ever-watchful eye for new business, John Jacob Astor of New York organized the American Fur Company, built up a lucrative trade by land and sea, and in 1811 planted Fort Astoria near the mouth of the Columbia River. By strong-willed initiative, therefore, British possession was thus defied and a fine diplomatic issue raised. Unable to settle the boundary question easily, the United States and England made a treaty in 1818 providing for joint occupation of the contested territory during a period of ten years—an arrangement later renewed for an indefinite term.

For a time it seemed as if the British had the better of the bargain. Through the powerful Hudson's Bay Company they gained most of the fur trade and pushed out their operations in every direction. But appearances were deceptive. Before long the American settler with his plow was pressing hard upon the wilderness exploited by the profit-seeking trader, a development in which missionaries played a leading rôle as pioneers. According to tradition four Indians from the mountains made the long journey to St. Louis to ask that preachers be sent to western tribes to proclaim the gospel of Christ, giving a Macedonian call which the Methodist Church answered by raising funds and dispatching two ministers, Jason and Daniel Lee, with one teacher, Cyrus Shepherd, to the Far West.

When they arrived on the coast, they received a cordial welcome from Dr. McLoughlin, the generous chief of the British trading post at Fort Vancouver, and on his excel-

lent advice went into the Willamette Valley. In that garden spot they built their first mission house, choosing as the site a "broad, rich bottom, many miles in length, well-watered, and supplied with timber, oak, fir, cottonwood, white maple, and white ash scattered along the borders of its grassy plains where hundreds of acres were ready for the plough." Before many years elapsed the whole region was penetrated by missionaries, both Catholic and Protestant, among them the indefatigable Marcus Whitman and his indomitable wife, Priscilla, whose names are indelibly written in the records of Oregon.

Though the preachers of the gospel met many discouragements in the task of converting and "civilizing" the natives, they waxed prosperous in the cultivation of the fertile soil about their settlements, gradually diverting their zeal, it seems, to the arts of colonization. In any event, on discovering the economic advantages of the rich country in which they found themselves, they began to advertise far and wide the merits of their new home, by means of letters, circulars, books, and lectures. Aided by two clever Massachusetts propagandists, Hall J. Kelley and Nathaniel Wyeth, who had visited the northwest and grown wildly enthusiastic about its "matchless climate" and fertile soil, the missionaries stirred the East by stories of great opportunities in Oregon.

In response, migratory persons, from the Atlantic seaboard to the Mississippi Valley, veered toward the new colony of the Willamette country. In 1839 a shipload of settlers went out by the way of Cape Horn; four years later the first large company made the overland journey from Missouri; in a little while other pilgrims combined a land and sea trip through Panama. "Did you come the Plains over, the Isthmus across, or the Horn around?" ran the query which greeted the new arrivals. Fed by three streams of immigration, the tiny mission posts expanded into prosperous farming settlements—communities of hardy and industrious American citizens.

With unerring instinct the pioneers soon turned to a social compact for self-government and self-protection, solemnly drafting, in 1843, at a mass meeting held in a barn belonging to the Methodist mission at Champoeg, a plan of provisional government, modelled after the constitution of Iowa. Rousseau had thus crossed the Rockies, or rather, perhaps, the spirit of the Pilgrim Fathers had descended upon the distant community. "We, the people of the Oregon territory," ran the preamble to the compact, "for the purposes of mutual protection and to secure peace and prosperity among ourselves agree to adopt the following laws and regulations until such time as the United States of America extend their jurisdiction over us."

It was now clear that the affairs of Oregon were approaching a crisis. On their part, the British in the Far West, observing the trend toward agricultural economy, realized that the fur trade was doomed and that they could only hold the Columbia Valley by following the American example. Their leading representative, Dr. McLoughlin, the statesmanlike agent of the Hudson's Bay Company, though he had been cordial in his treatment of the American settlers, quickly grasped the inexorable, and made the long journey to London to urge upon the British government the adoption of a colonizing policy, but the aid which he sought was not forthcoming. Defeated in his hopes and plans, he resigned the leadership he had so honorably held, letting the drift of western life pursue its own course.

Sniffing battle in the air, Britons and Americans in the Oregon country opened the fray with skirmishes. Since there was no established authority to make land grants and keep order, they engaged in bitter contests over titles and breaches of the peace, each side accusing the other of making fraudulent entries, of selling firearms and whiskey to the Indians, and undercutting in the fur market. Chafing under the monopoly enjoyed by the Hudson's Bay Company and desirous of bringing the whole region under their control, Englishmen on the ground begged their home gov-

ernment in London to unite the Oregon country with Canada and give it local autonomy. With equal force the pioneer Americans in Oregon, numbering over ten thousand by 1846, urged the administration at Washington to settle their troubled estate, give them self-government, and assure them protection.

In the halls of Congress, echoes of the distant Oregon controversy assumed many forms. There were, of course, some members of far vision who could see with the eye of the imagination the rising empire of the West. For example, in 1820, two years after the treaty of British-American occupation, a Senator from Virginia introduced a resolution calling for an inquiry into the expediency of occupying the Columbia River Valley, buttressing his plea with a powerful argument furnished by that unquenchable Oregon enthusiast, Hall J. Kelley. No tangible results flowed from this effort. Again when the joint occupation term was renewed indefinitely in 1828 the issue was once more raised in Congress, where Senator Benton, that stalwart and picturesque representative of Missouri, who had seen Asia from the banks of the Mississippi, opposed the continuance of the arrangement with all the strength he could command—and that was tremendous—and insisted on a sweeping assertion of American rights, including the definite establishment of American sovereignty.

Though, as time passed, Benton's advocacy kindled the interest of an ever-wider circle, there were to the very end men of little faith who confined their affections to their own states and in some cases could hardly see beyond their neighboring counties. Of this school, Senator McDuffie of South Carolina was the leading exponent. "What do we want with this territory?" he asked in the Senate in 1843. With the assurance of an imperious wiseman, he declared that a state as far away as Oregon could not possibly live under the government of the Union. "To talk about constructing a railroad to the western shore of this continent," he exclaimed, "manifests a wild spirit of

adventure which I never expected to hear broached in the Senate of the United States." The wealth of the Indies, he asserted, would not suffice to build it and for his climax he drew a terrifying picture of almost insurmountable physical barriers of desert and mountain, clinching his argument with the impossible.

When at last, in spite of the pessimists, enough politicians were rallied to the Oregon cause by the insistent call for action, extremists sprang to the front and partisan frenzy confounded deliberations. "We will have all the territory up to the line of 54° 40′!" shouted the intransigent Democrats, making "Fifty-four forty or fight!" their popular slogan. By a clever stratagem they united the Oregon and Texas issue in the campaign of 1844—declaring the occupation of Oregon and the reannexation of Texas "the burning issues" of the hour. In all seriousness, they seemed prepared to carry out the pledge of their slogan to the letter if necessary. "It is not to be supposed that we shall get out of this scrape," roared Benton in the Senate, "without seeing the match applied to the priming or having the cup of dishonor held to our lips until we drink it to the dregs."

In a whirlwind campaign the Democrats carried the country while the alarmists held their breath. Was there to be a war on two fronts, one against Great Britain and the other against Mexico? The direful question was soon answered by the triumphant Polk. Though, as we have seen, he pursued with Mexico a policy which culminated in an armed conflict, he became as mild as a cooing dove in his negotiations with Great Britain over Oregon. When he was offered a compromise, a boundary line at the forty-ninth parallel, he promptly consulted his party colleagues in the Senate and closed the bargain in 1846. The thundering of cannon was already reverberating along the Rio Grande. While Texas and California were being won by the sword, the great Oregon claim was reduced by diplomacy. War with Mexico being one thing and war with

England another, discretion conquered audacity, especially as the southern planters had no vital interest in the extension of free soil.

Naturally the administration did not escape from this adjustment without taunts from critics. "Texas and Oregon were born the same instant," snapped Senator Hennegan of Indiana, "nursed and cradled in the same cradle, and they were at the same instant adopted by the democracy throughout the land. There was not a moment's hesitation until Texas was admitted, but the moment she was admitted, the peculiar friends of Texas turned and were doing all they could to strangle Oregon! . . . We were told that we must be careful not to involve ourselves in a war with England on a question of disputed boundary. There was a question of disputed boundary between us and Mexico; but did we hear, from the same quarter, any warnings about a collision with Mexico when we were about to consummate the annexation of Texas?" Senator Benton, though a loyal Democrat of the Jackson school, agreed. "Oh! mountain that was delivered of a mouse," he sneered, "thy name shall be fifty-four forty."

The best reply that could be made was framed by Calhoun, who, as Secretary of State, had pressed the annexation of Texas to a successful issue. Boldness in that direction, he said, was necessary to victory while caution was wise in the case of Oregon. "I believe," he argued, "that precipitancy will lose you Oregon forever, no, not forever, but it will lose you Oregon in the first struggle and it will require another struggle hereafter when we become stronger to regain it." Thus the philosophy of the Oregon question was formulated by the master logician of the planting interest. In the end the English offer became the law of the land, for the Senate, under southern leadership, ratified the treaty of compromise. In 1859 a part of the Pacific Northwest was admitted to the Union as the state of Oregon.

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On the long trail to Oregon and California was founded in 1847, just after the conclusion of the irritating boundary dispute, the Mormon colony at Salt Lake—in some respects the most unique among the many strange settlements planted on this continent. The religious sect which made this excursion into the barren and forbidding wastes of the Utah country had been established about fifteen years before, springing, according to legend, from heavenly revelations made to Joseph Smith, of New York, discoverer and translator of the "Book of Mormon."

For a time its adherents wandered to and fro in the Mississippi Valley, suffering severely from the buffets of fate. After stopping a while in Ohio, they journeyed far into Missouri, where they met a hostility that turned them back for a brief period on their westward march. Notwithstanding their professions of peace, they were soon charged with "outrages" and accused of trying to erect a sectarian "dominion."

At any rate, the Mormons were set upon by their critics, beaten and compelled to move across the Mississippi into Illinois. There misfortune continued to pursue them, the New Canaan proving to be no more tranquil than the Old. Their leader, Smith, was shot by a mob and they were all threatened with extermination if they did not leave the state. Now suspected of being committed to the theory and practice of polygamy, they could see little possibility of coming to terms with their Illinois neighbors. Consequently many hailed with joy a proposal of the second prophet-leader, Brigham Young, to migrate far beyond the reach of civilization into the valleys of the Far West where they hoped, as they read in the Bible, that the weary could be at rest.

In the spring of 1847 Young and a picked band of the faithful went forth in search of the promised land. By midsummer they reached the Salt Lake country, where they pitched their tents and within two hours began to break the tough soil with their plows. Soon they were joined by

a host that had been left behind, in all fifteen hundred strong, men, women, and children. Convinced that they had found their final haven, the elders of the church dispatched missionaries to the eastern states, to England, Scotland, and the Continent of Europe to win converts and bring back immigrants.

By way of support for this work a perpetual fund was created and an economic argument was adroitly mingled with the religious appeal. To poverty-stricken peasants and struggling artisans of the Old World they offered security and prosperity as well as the consolations of a new faith. To polygamous men they promised wives in abundance; to forlorn maids at least a share in a husband.

Within three years after the soil of the valley was first turned, eleven thousand people were in the Salt Lake district and the community which they called Deseret was large enough to attract the attention of the federal government. In 1850, it was erected into the territory of Utah under Clay's last great compromise. Though the movement which produced such quick results was rightly characterized by the historian, Katherine Coman, as "all in all, the most successful example of regulated immigration in American history," it was accompanied by terrible hardships and an appalling loss of life from hunger, drought, disease, and snowstorms, among other calamities.

In no small measure the amazing outcome of the adventure was due to the economic system directed by Brigham Young. Tested by the widespread prosperity which it eventually produced, in spite of all the difficulties, that system was in most respects superior in results to the methods adopted in any other American settlement organized on communal principles. In the early days of the experiment, speculators and the commercial profiteers were both restrained with an iron hand. Land was not sold at first to settlers outright; but each family was allotted a share—proportioned to its needs—to till for private profit as long as it was thrifty and industrious. None was al-

lowed to accumulate a large estate and the industrious poor were given advantages in competition with their richer neighbors. The purchase of supplies and the sale of produce were carried on through a common store, while irrigation works to provide water for the arid soil were built by community action and service rights granted to all families on equitable terms. Iron, woolen, printing, and mining industries were managed also on the coöperative principle, fair wages being paid and the profits going into the common chest for the promotion of fresh undertakings.

Although the whole system of economy was directed by the Church fathers, apostles and elders, in theocratic style under the severe regimen of President Young, although many leaders managed to acquire goodly estates, the central idea was general comfort, not the enrichment of individuals—an idea pursued with keen discrimination, as Young steered a steady course between the perils of communism and the menace of disruptive individualism. While the faithful were bound to strict obedience, there were no wretched outcasts such as were to be found in every other part of the civilized world. On one thing, all travelers who visited the colony agreed, even when they denounced "plural marriages" in unmeasured terms, namely, that the ancient and persistent enemy of mankind, undeserved poverty, was nowhere to be seen.

Among the Mormons, temperance was proclaimed a virtue, and before the Gentile invasion of Salt Lake, there were no saloons, gambling houses, or brothels. Although whiskey and beer were made in moderate quantities, there was no drunkenness and little crime; strictly speaking, the life of the community was marked by sobriety, frugality, and industry; idlers who would not till the land allotted to them were expelled from the colony without mercy, and the same summary treatment was meted out to brawlers, topers, and "godless persons" in general. Those who walked in the paths of labor and piety, according to Mormon tenets,

were commended publicly in Church; those who lapsed from grace were warned, blacklisted, and, if necessary, banished. In fact, a discipline of Puritan-like rigor held the entire colony down to the hard and unremitting toil required to win the victory over a barren and forbidding soil in an unfavorable climate.

Whether internal dissensions would have finally broken the economic unity of the Utah settlement is idle speculation, for the Mormons had scarcely founded their settlement when alien forces appeared to disturb their harmony. The discovery of gold in California and the migration to Oregon made Salt Lake a haven of rest and refuge for the thousands of adventurers, travelers, and homeseekers who moved east and west over the long trail. If the sale of foodstuffs and manufactured goods to these visitors brought astounding profits to the Mormons, affording them an immense capital for the extension of their economic operations, the gains in riches were offset by losses in communal solidarity. Lawless elements were introduced and tares were sowed among the faithful. In the face of local protests, Gentiles insisted on settling down in Utah to engage in agriculture, merchandising, and industry, bringing with them their customs and religious beliefs.

Then came federal intervention. On the organization of Deseret into a regular territory in 1850 the supervision of the national government followed as a matter of course and in a very few months eventuated in an armed conflict, spreading echoes of strange events in Utah all over the continent. Charges of outlawry and murder, not always groundless, were brought against the Mormons; President Young himself was accused of instigating assassination; polygamy, in its best light revolting enough to the national mind, was portrayed in the most vivid language, horrifying the public. Unavoidably, therefore, the Mormons and their plural marriage became a national issue.

Taking account of the rising tide of opinion, the young Republican party in its platform of 1856 called upon Con-

gress to prohibit in the territories "those twin relics of barbarism, polygamy and slavery." And in the fulfillment of their pledge, the Republicans included in the Morrill bill of 1862 a provision designed to put an end to the peculiar institution of Utah. Proving to be a dead letter in practice, this measure was succeeded by other acts of the same tenor until, in the Edmunds bill of 1882 and the Edmunds-Tucker law of 1887, a vital blow was struck at polygamy by a threat to confiscate property.

By that time Mormon communities had spread over the West from Iowa to California; the church, controlled by a small body of officials, had grown rich; individuals had amassed fortunes; the original communal economy had practically dissolved; and the Latter Day Saints, as the Mormons were now known, while still professing the creed of their fathers, had become as worldly-minded as the descendants of the Puritans. Monuments to their enterprise still stood in their Temple and Tabernacle, in their good roads, irrigation works, and industries. And scattered over the world from Hawaii to Scandinavia were congregations of Mormons who looked to Salt Lake City as the Rome of the new dispensation, the eternal home of the "Church of Jesus Christ of Latter Day Saints." But the pentecostal fervor of the early days and the serene assurance of Brigham Young's faith were hardly more visible in Utah than were the enthusiasm and somber resolution of Bradford and Carver in contemporary Plymouth.

## CHAPTER XIV

## *The Sweep of Economic Forces*

THE expansion of the United States to the Pacific—the acquisition of a vast territory adapted to planting in the South and to farming in the North—seemed to assure the indefinite predominance of the agricultural interest, the main support of Jeffersonian democracy as rededicated by Andrew Jackson. Indeed, for three decades after the overthrow of John Quincy Adams, in 1828, the events of American politics appeared to confirm the faith of those who upheld the banner of Jefferson and Jackson in a war on Hamilton's system of economy.

During these years, the Democratic party won all the presidential elections save two and the exceptions were historical accidents rather than direct defeats on questions of policy. On those two occasions the Whigs, who carried the day, nominated military heroes, made no declaration of principles, framed no platform, and swept the polls in the smoke and confusion of a general uproar. Had they definitely confronted the country with a clear-cut program including the bank, the protective tariff, ship subsidies, and

the assumption of debts repudiated by states, it is doubtful whether they could have stampeded the voters into electing either of their martial statesmen.

While the Whigs were trying to capture the citadel of political power, under the cover of noise and evasion, the Democratic leaders worked toward a more and more specific definition of doctrines, making their appeal to the planting and farming classes more and more precise. In their platform of 1840 they wrote their dogmas in language so plain that the most simple-minded pioneer or mechanic could understand it. They declared their inflexible opposition to the tariff, a public debt, the bank, internal improvements, and all interference with the domestic institutions of the states—the labor supply of the planters. At every presidential election until the fateful campaign of 1860, Democrats reiterated this economic creed as their unchanging profession of faith.

In no official statements did they make any attempt to conceal the essential character of the conflict. On the contrary, their victorious candidates on the hustings and in state papers frankly and specifically named the place and the weapons. In any one of a sheaf of documents, the canonical articles could be found. For example, in a message to Congress in December, 1848, a message solemnly recording the views of his party, President Polk enumerated the regular devices of the Federalists and the Whigs against which the Democratic organization was arrayed—the bank, protective tariffs, the debt, internal improvements, and the recent project for the distribution of public lands among the states—scornfully referring to the "popular names and plausible arguments" employed by their champions in defense and justification. Then, in official form, he branded them all as schemes principally and deliberately contrived to transfer money "from the pockets of the people to the favored classes" and revealing a tendency "to build up an aristocracy of wealth, to control the masses of society, and monopolize the political power of the country."

The Whigs' victory in the presidential election of that year really meant no triumph for the party of Hamilton and Webster. Their candidate, General Taylor, a Louisiana planter and hero of the Mexican war, had no positive ideas on politics whatever; and in their appeal to the voters they deliberately avoided making any statement of principles at all. Hence, by electing Taylor they won no popular indorsement of their economic program. And this was their last victory—at all events, under the name which they had long utilized in conjuring the voters.

When the Whigs resorted to the same tactics again in 1852 under the leadership of Winfield S. Scott, also a general in the Mexican War, they were utterly discomfited; for the Democrats, besides distinctly avowing their agricultural program, gave the opposition a dose of its own medicine by also selecting as a standard bearer a man of Mars, General Franklin Pierce of New Hampshire. In the campaign that followed the Whigs were simply routed, the Democrats sweeping every state in the Union except Massachusetts, Vermont, Kentucky, and Tennessee. If the counting of heads meant anything, the party of Alexander Hamilton, Henry Clay, and Daniel Webster was dead and buried under an avalanche of public contempt.

At all events, with a grand air of assurance, Pierce announced that the general principle of tariff for revenue only could now be regarded as "the settled policy of the country." With equal confidence, the new President relieved the planting members of his party on the point of their labor system, waving aside with a disdainful flourish the agitators who tried to foment trouble "in the supposed interests of the relatively few Africans in the United States." The stars in the heavens were fixed. The American political system was rigid. At least so things appeared to the President of the United States in 1853, only ten years before the emancipation of the slaves.

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Such assurance would doubtless have been justified if the American social order had been as unchanging as the structure of feudalism in the Middle Ages, but it happened to be at that moment the most dynamic society in the world. While it is true England was then gathering the fruits of triumphant industry and continental states were convalescing from the violent upheaval of 1848, in none of those countries was agriculture as well as manufacturing undergoing a swift and radical transformation.

In the United States nothing was static—not even the sacred and immutable Constitution. Inventors were altering the face of the earth and the sea; builders of factories and railroads were striding forward in seven league boots followed by their swelling army of industrial workers; steamships were beginning to drive sailing vessels from the deep; and packages of securities in strong boxes were growing bulkier day by day. Even the agricultural scene was changing, for the frontier was pushing westward as the economy of capitalism moved into the Ohio Valley, that stronghold of Jacksonian Democracy. From the Far West, California was pouring her golden stream into the national treasury, adding to the working capital of the nation. Moreover, the planting system, which in older days seemed to have a stability akin to that of the feudal order, was being turned upside down by the development of the cotton gin—undergoing a revolution scarcely less fundamental than that which had overtaken the handicrafts on the introduction of steam and machinery. Inevitably the intellectual life of the country was being stirred by fresh currents of inquiry and criticism, ranging from the Mormonism of Joseph Smith, through the transcendentalism of Ralph Waldo Emerson to the socialism of Horace Greeley. New England's dominant ideas were now as far from the mystic assumptions of Cotton Mather as the steam-driven spinning mill from the one-spindle wheel of Priscilla.

The history of technology, so vast and so vital, increasing in significance every year, belongs obviously to special-

ists in physics and chemistry. It cannot yet be written because the materials have not been assembled. The history of business likewise remains obscure because those who follow in the footsteps of Gibbon and Carlyle are prone to give more attention to the titled ruler of a little principality or the petty politician of Buncombe county than to the great captain of industry who takes the whole world for his realm. And as for the labor leaders of the middle period, marshaling their militant hosts, it is only necessary to note that the first comprehensive account of the American trade union movement came from the press in the second decade of the twentieth century. To this very hour, the marvelous development of American agriculture awaits the maker of its mighty sagas. Finally, psychology concentrating on the mind and its behavior has not yet explored the processes by which sentiment is woven in and out through the fabric of economy.

For these and other reasons the politician has continued to occupy the center of the historical stage, in spite of the fact that he is the shadow rather than the substance of things. Moreover, his proportions have been curiously distorted in the mirror of recorded legend by the contingencies of fate. The storm of the Civil War, the revolution wrought by the abolition of slavery, and the passions aroused by the conflict made it impossible for those who wrote immediately after the red years had passed to observe the "fabulous forties" and the "fitful fifties" in a clear perspective through the murky gloom. When at last the cloud lifts, when the fundamental course of American civilization is seen in a long, unbroken development, when the sharp curves of years are smoothed by the reckoning of centuries, then if all signs do not fail the middle period of American history will appear as the most changeful, most creative, most spirited epoch between the founding of the colonies and the end of the nineteenth century. The Civil War itself, called in these pages the "Second American Revolution," was merely the culmination of the deep-running trans-

formation that shifted the center of gravity in American society between the inauguration of Jackson and the election of Lincoln.

On the material side, the leaders of this transformation were the inventors and the business men who, then as always, were bent on immediate ends and took little thought about the distant fruition of their labors. To the vast array of machines which revolutionized all industry, the United States furnished more than its quota during the Victorian age. Heavy borrowings, of course, were made from England—the steam engine of Watt, the locomotive of Stephenson, and the spinning machinery of Arkwright and Crompton—but in every case American inventors added to the contrivances they appropriated. Fulton put the steam engine into a ship and opened a new era of navigation; Howe created the sewing machine; McCormick and Hussey by giving the reaper to the farmer made obsolete at one blow the sickle and scythe that had come down from days beyond Tut-ankhamen; Morse, with his telegraph, spanned the continent, bringing around one table the business transactions of a whole nation; Whitney's cotton gin smashed an old economy created in the childhood of the race—challenging the spinners at their wheels in New England and the cotton planters with their armies of slaves far away under the burning sun of Mississippi and Louisiana.

For every inventor there stood a captain of industry ready to snatch the machine from the workshop, collect the capital to put it in motion, organize the labor forces necessary to production, and seek out the markets for the stream of goods that flowed from its whirling wheels. In every respect, the nature of American society in the North favored the enterprise of business men. No intrenched clergy or nobility overshadowed them in national life or branded their labors, as through all the long past, with the stamp of contempt.

Available for every kind of manufactures were unparalleled natural resources—timber, coal, iron, lead, and cop-

per—to be had in many cases from a friendly government almost for the asking, if indeed that courtesy was made necessary by the easy ethics of the hour and place. Supplementing the sons and daughters of American farmers was an ever-growing supply of stalwart European laborers from which to draw recruits for mills, mines, and industrial undertakings of all grades and types.

Nor was capital wanting. As the flood of American grain, cotton, and gold rolled into the Old World, American credit was raised abroad. English and Continental investors, though often pinched by the chicanery of American communities, were eager to lend money at a higher rate of interest than they could get at home. Finally, the American manufacturer had an immense domestic market at his command; even when the Democrats managed to cut the tariff down to the lowest point, the barrier of the sea and the knowledge of the terrain gave him a distinct advantage over his English competitors.

In these circumstances American business men rose exuberantly to their opportunities, showing themselves in talents and initiative not one whit behind their British brethren. Beyond cavil, the Abbots, Lawrences, Astors, Browns, Forbeses, Vanderbilts, and Brookses of American enterprise conceived and executed economic undertakings of such magnitude and gathered in profits so princely as to earn a just place among the heroes celebrated by that Plutarch of English capitalism, Samuel Smiles. They were all flesh and blood men, keenly alive to every advantage, active in promoting their political interests, and as determined in their modes as the planters were in theirs.

By the middle of the century they were ready in numbers, in wealth, and in political acumen to meet in the arena of law or war the stanchest spokesmen of the planting aristocracy. For every southern master commanding an army of bondmen in the field, there was now a northern captain of steam and steel surrounded by legions of working people. If many a planter could boast of a thousand

slaves, many a captain of industry could pride himself on his thousand free laborers. On down the scale ran parallel the structures of the two economies, ending at the petty boss with two or three apprentices and the master with two or three slaves. When the Civil War came, the planting group of the South, high and low, could show an enrollment of 350,000 slave owners, large and small; in 1866 the treasury records of the federal government reported 460,000 persons, mainly in the North, paying income taxes. Both groups were ably led, well informed about the processes of government, and equally alive to the protection of their interests as they conceived them. One great difference was discernible, however: the planters frequently sent members of their own order to Congress to represent them, whereas the captains of industry relied mainly on lawyers to speak for them in the legislative chambers.

By an inexorable process beyond the will of any man or group, the sovereignty of King Cotton and the authority of his politicians were rudely shaken, the rapidity of the operation being recorded in ledgers and carefully set forth by the census. In the decade preceding Lincoln's election, the output of domestic manufactures, including mines and fisheries, almost doubled in value while the output of southern staples showed an increase of less than twenty-five per cent—a fact more portentous than all the oratory in Congress. In 1859 the domestic manufactures just enumerated yielded a return of $1,900,000,000 while the naval stores, rice, sugar, cotton, and tobacco of the South offered only a total of $204,000,000—a fact more ominous than Garrison's abolition. When Lincoln was inaugurated, the capital invested in industries, railways, commerce, and city property exceeded in dollars and cents the value of all the farms and plantations between the Atlantic and the Pacific —a fact announcing at last the triumph of industry over agriculture. The iron, boots, shoes, and leather goods that poured annually from the northern mills alone surpassed in selling price all the cotton grown in southern fields.

And the drift could not be reversed: the acreage of land available for farms and plantations was fixed by nature while the amount of capital that could be accumulated, the variety of machines that could be invented, and the number of people who could be sustained by manufacturing had no limits discernible to the human mind. By the middle of the century, the balance of power in the United States had already been shifted and every year saw the center of gravity advanced still further in the new direction. King Cotton had lost his scepter and nothing but a severe jar was necessary to overturn his throne. The supreme question to be debated, if contemporaries had only known it, was whether the political revolution foreshadowed by the economic flux was to proceed peacefully or by violence.

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No less significant in releasing dynamic forces and changing the direction of social currents was the rapid development of new means of communication, especially to the northwest. In whole sectors of the frontier transportation facilities now destroyed the economic basis of Jacksonian Democracy with its political and cultural reflexes. The revolution in this sphere began in 1807 with the successful trip of Fulton's little *Clermont* up the Hudson. Within four years there were steamboats on the Mississippi, inaugurating the age of thrilling adventure made epic by Mark Twain. The races, explosions, comedies, and tragedies of the mighty waterway, while they furnish color for the drama, were not the essence of the story, however. More fundamental was the prosaic fact that cargoes could now be carried up stream and to the eastern markets as well as to New Orleans.

A second stage in the evolution of transportation came with the construction of grand trunk canals. Two of these, the Erie opened in 1825 and the Pennsylvania system completed nine years later, linked the West with the eastern

seaboard—with New York City and Philadelphia. Then followed swiftly the commencement of the third and still more revolutionary era; the banks of the new waterways were hardly carpeted with grass when they were sprinkled with the soot of locomotives. It was in 1828 that the ground was broken for the Baltimore and Ohio Railway, with great ceremony.

Within a decade or two, the chief cities of the coast were united by short lines; and railway promoters, with a keen eye upon the future, were reaching out along the trunk canals to the Mississippi Valley. By 1860 the Baltimore and Ohio, the Pennsylvania, and the New York Central systems had tapped the stronghold of Jacksonian Democracy. St. Louis, Cincinnati, Indianapolis, Chicago, and Cleveland by that date were brought within a distance from the Atlantic that could be measured in hours instead of in days. The stream of migration westward became a torrent; in return the stream of wheat, corn, and bacon from the farms became an avalanche.

The economic results flowing from this network of transportation were startling in range and intensity. With the swift expansion of the national market, textile mills in New England roared louder, blast furnaces in Pennsylvania flamed higher. As their crops multiplied and their land values increased, farmers of the old Northwest gathered in the increment, invested in government bonds and railway stocks, moved to neighboring county seats, started local banks, and passed out of the physical and moral atmosphere of the backwoods to other cultural circumstances. All over the Middle West, crossroads hamlets grew into trading towns, villages spread out into cities, cities became railway and industrial centers.

By 1860, the wide-scattered ganglia of the new economic system were well established: Cincinnati, Detroit, Cleveland, Sandusky, Columbus, Indianapolis, Madison, Terre Haute, St. Louis, Chicago, and Milwaukee were the scenes of lively business enterprise. Cincinnati was the pork-

packing, clothing, and wine metropolis of the Ohio Valley. "I heard," wrote a visitor of that far-off time, "the crack of the cattle driver's whip and the hum of the factory: The West and the East meeting." Of the two thousand woolen mills recorded in the census of the year in which Lincoln was first elected President, one-fourth were in the western states. At the Republican national convention in Chicago, which nominated him, growers and carders of wool from Ohio and Indiana joined the spinners of New England and iron masters of Pennsylvania in cheering for the protective tariff plank. By 1860 the output of the grist mills, fed largely from the fields of the North and West, was almost equal in value to the whole annual crop of King Cotton.

In the presence of such indubitable and dynamic facts, the theories of Jacksonian Democracy lost some of their appeal—at least to the higher beneficiaries of the new order. As quick transportation carried farm produce to eastern markets and brought ready cash in return, as railways, increasing population, and good roads lifted land values, brick and frame houses began to supplant log cabins; with deep political significance did prosperity tend to stifle the passion for "easy money" and allay the ancient hatred for banks. At last beyond the mountains the chants of successful farmers were heard above the laments of poor whites, the equality of the primeval forest and stumpy field passing away forever, taking with it the psychological fringe.

Railroad lawyers now mingled in state legislatures with men in homespun from the farms, the great Lincoln himself serving as an efficient representative of the Illinois Central directors at Springfield. Well-groomed preachers damped the fires of Peter Cartwright's hell; while ladies formerly garbed in linsey-woolseys put on alpacas and silks, read Godey's Lady's Book on the fashions, and improved their grammar. From log academies the "Hoosier Schoolmaster" retired into the darker places of the backwoods, as teachers of the classics arrived on the

banks of the Wabash and dancing masters came to introduce the manners of the ballroom—for a consideration.

In the sweep of things the old Northwest Territory was assimilated more and more to the economy and culture of the Northeast, the two sections drawing closer together every day in bands of steel and gold. By the railroads the trade and the interests of the upper Mississippi Valley were turned away from New Orleans to New York, Philadelphia, and the Atlantic seaboard generally. At the middle of the century an eminent southern economist complained, with full warrant, that "the great cities of the North have severally penetrated the interior with artificial lines until they have taken from the open and untaxed current of the Mississippi the commerce produced on its borders. . . . The Illinois canal has not only swept the whole produce along the line of the Illinois River to the East, but it is drawing the products of the Upper Mississippi through the same channel, thus depriving not only New Orleans but St. Louis of a rich portion of their trade."

To the mechanics of easy transportation, eastern capitalists added credit devices, advancing good bank notes of conservative eastern institutions to western operators on the security offered by commodities to be shipped to seaboard markets. "These moneyed facilities," lamented the same southern writer, "enable the packer, miller, and speculator to hold on to their produce until the opening of navigation in the spring, and they are no longer obliged, as formerly, to hurry off their shipments during the winter, by way of New Orleans, in order to realize funds by drafts on their shipments. The banking facilities of the East are doing as much to draw trade from us as the canals and railways which eastern capital is constructing." Thus planters who needed cheap corn and bacon for their slaves as well as political support from the Northwest found invincible competitors in eastern capitalists who, besides offering expansive credits and easy shipping facilities to the farmers, helped to make over the frontier in the image of great

industry. It was all plain as day to southern statesmen, but no effort of will and imagination could overcome the flow of fortune. The economic basis was being laid for a new partisan adjustment—and in 1860 spinning fates wrought the patterns.

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With the multiplication of manufacturing establishments and railways came another natural consequence: the rapid growth of a working class separated from the soil and congested in the cities. With every census the industrial army loomed larger on the horizon. In 1860, it was written down by the census taker that one-third of the entire population of the country was sustained by "manufacturing industry" and that the white population dependent upon daily wages for a livelihood, upon what Jefferson called the "caprices and casualties of trade," far exceeded the number of slaves laboring on the estates of King Cotton. And the rate of increase foretold in measured strokes the ultimate shift of the social base with riches from the country to the city.

Meanwhile the ranks of the working class were being transformed by new racial infusions—the supply of labor from the farms, men, women, and children of native stock, steadily augmented by a swelling stream of immigrants. As the Nordic planter of the South, in his passionate quest for wealth, was willing to submerge his own kind in a flood of Negroes from the wilds of Africa, so the Nordic mill owner of New England, with his mind on dividends, took little thought about the nationality or color of those who stood patiently at his spindles and looms or huddled into the tenements of his cities. A time was to come when the greatest industry in the land of John Alden and Cotton Mather was to be directed by a Portuguese Jew with an Anglo-Saxon name; when Governor Winthrop's Puritan capital was to be ruled by an Irish Catholic mayor. Under

the stimulus of feverish profit-making, the gates of the land were flung open to the peoples of the earth and it seemed highly moral to write over the portals the fine humane phrase: "Asylum for the Oppressed of Every Land."

America's inducements were made all the more alluring to immigrants by the conditions of labor in the Old World at the middle of the century. In those decades, the artisans of England seemed to be sinking into hopeless poverty; on any reckoning the terrible picture of their state drawn by the sharp pen of Friedrich Engels in 1844 was accurate. The truth of this awful indictment was borne out by the chartist movement, which threatened the English ruling classes with a revolution of violence, and by the eagerness of skilled mechanics to escape from their native land to the United States.

In worse distress, no doubt, were the peasants of Ireland, groaning beneath the burden of absentee landowners. Celtic in race and Catholic in religion, they had for centuries chafed under the dominion of London. Forced to pay rents to English lords, contribute tithes to the English Church in Ireland, and obey laws made by the English Parliament in which they had a minority of members, the Irish thought their wrongs too heavy for human endurance. Then as a climax came the potato famine, adding torment to despair. Hundreds perished of starvation; travelers along the highways reported that unburied dead lay where they fell, with their mouths stained green by weeds and thistles eaten for nourishment in their last extremity.

Literally driven from home by starvation, the peasants of Ireland swarmed to America. Within two decades, more than one-half the laboring population of that unhappy country was carried across the Atlantic and incorporated into the social and political order of the United States. When the federal government took its first census of the foreign born in 1850, it found nearly a million Irish among them—in ratios, forty-two per cent of the total; and, within ten years, more than half a million new immigrants from Ireland were

added to this brigade of industrial recruits. Coming without capital, often with nothing better than rags on their backs, they flocked to the factories of the urban centers or joined the gangs of workmen busy on the canals, railways, and other structures that marked the rise of American capitalism. If, in virtue of their economic status or their agricultural inheritance, they generally joined the party that waged war on Hamilton's system, they contributed none the less to the fortunes of those who were soon to lay Jefferson's planters low in the dust and multiply the demand for industrial labor.

During the same period, conditions similar to those prevailing in Ireland sent a flood of German immigrants to seek their fortunes in the New World. The blight that blasted the potato crops of Ireland likewise visited the Rhine Valley and sections of southern Germany, leaving in its wake misery equally galling if less widely extended. To this economic affliction was added political discontent. Though German peasants and laborers were not ruled by an alien race, they had in general no more voice in their government than did the Irish; and with a kindred zeal they united under bourgeois leaders in a national democratic movement.

Taking advantage of the furor unchained by the French revolution of 1848, German radicals made heroic efforts to cast off the despotic rule of kings and princes by agitations and uprisings. At first success attended their revolts, only to be followed by a fierce reaction in which severe penalties were inflicted upon the defeated champions of liberty. To these victims of poverty and politics, America was indeed an asylum. In 1847 over fifty thousand Germans entered the United States; during the decade following 1850 they came at the rate of ninety thousand a year; and when the United States was to be tried by fire near the middle of the century over a million Germans were among the foreign born, some living in the towns as mechanics and merchants, others as farmers in the interior—

even far and wide on the frontiers of Wisconsin and Minnesota.

Another important element in the changing economic and social order of the middle period was the women who flocked from the native homesteads and from the immigrant ships to the mills, offices, schoolrooms, and stores. Women had laid the foundations of the textile business in colonial America; at their wheels and looms they had nourished it throughout the handicraft age. When at length the steam engine drew the industry away from firesides to factories, they naturally followed it, their labor remaining the basis of that industry. Of the six thousand persons employed in the cotton mills of Lowell in 1836, nearly five thousand were, according to a French visitor, "young women from seventeen to twenty-four years of age, the daughters of farmers from the different New England states."

Indeed, in all except the heavier metal industries, women were an essential factor; by the middle of the century more than a hundred trades were employing them and they were also to be found behind the counters in great mercantile establishments. And as they streamed from their homes the rigid domestic system inherited from the colonial age began to crumble. The theory, the law, and the politics of the facts soon reflected the economics. It was no accident that "the women's rights movement" rose among the maids and matrons of the industrial North with its relative independence for those who labored, rather than in sheltered mansions on southern plantations where ladies still bowed to the economic and social institutions of their grandmothers.

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Like every other class in history called forth by economic processes, the new industrial workers of America, as their numbers mounted, began to draw together in associations and evolve ideas of defense and aggression. Even before

the beginning of the transformation in society brought about by steam and machinery, artisans in many staple crafts had formed local societies and started campaigns for higher wages, shorter hours, and milder legislation. In all the important towns of the young republic, such unions had appeared. While Washington was still President, the shoemakers of Philadelphia established a trade society and in 1799 they struck against their employers, thus serving on the nineteenth century notice of events to come.

Startled by the growing power of their workmen, masters resorted to the courts, attempting through indictments and prosecution to dissolve the aligning forces that loomed before them. But they could not stay by judicial decrees the movement of consolidation. By the time Jackson and his conquering hosts swept into the White House, artisans of the standard crafts in every large industrial town were organized in unions and in each leading business center existed a federation of these "locals" for coöperative action. In 1836 there were fifty-three unions in Philadelphia, fifty-two in New York, twenty-three in Baltimore, and sixteen in Boston; among women workers as among men the beginnings of association had appeared, especially in the textile industry.

When once the labor groups of various localities had become well organized, a national federation seemed the logical next step. Indeed, the course of American economy required it, if efforts to control wages and other labor conditions were to be successful; for the rapid rise of manufacturing cities in the Middle West and the constant migration of labor from town to town made coöperation over the whole area vital to effective action anywhere. Meanwhile the development of the steamboat and railway, reducing the cost of traveling, rendered centralization on a large scale apparently feasible. Believing that the time was ripe, labor leaders attempted in 1834 to bring about a solidarity among workers of every craft and grade at a general con-

vention of delegates of local unions held in New York "to unite and harmonize the efforts of all the productive classes of the country." Though auspiciously begun, the tentative federation formed at this conference just managed to stumble along for three years, meeting disaster in the panic of 1837. The foundations for a national structure were not yet properly laid.

Quick to catch the import of this failure, leaders in the most powerful trades set about the more business-like enterprise of consolidating for national action the local unions already formed in each of the great industries. So while Clay and Webster and Calhoun were arguing political questions in the Senate, obscure workers were traveling up and down the country in the interest of their crafts, welding the various local societies into separate national federations. Before the titanic social war broke in upon the peace of the land in 1861, printers, machinists, iron molders, stone cutters, hat finishers, and other special groups were well organized in the industrial cities and more or less effectively federated on the national stage. If it had not been for the multitudes of foreign immigrants, the constant drift of mechanics to the cheap lands of the frontier, and the possession of the ballot by practically all native and naturalized workingmen, the American labor movement of the mid-century would probably have matured in a national form as early as that of England. Even so, American trade unionists during the forties were more powerful in their influence on the course of domestic politics and legislation than were the disfranchised and uneducated laborers of the English mill towns.

Moreover, there was hardly a phase of the European labor agitation that was not duplicated in this country during the period. There were strikes and demonstrations, far-reaching, prolonged and repeated, never more volcanic in character than in the decade that preceded the Civil War. With the ebbing and flowing of strikes, surged a torrent of revolutionary theories that fired the imagination of working

people and colored the thoughts of journalists and philosophers no less potent than Horace Greeley, Charles A. Dana, George William Curtis, Ralph Waldo Emerson, Margaret Fuller, and James Russell Lowell.

Especially did utopian socialism make a deep impression on the mind of the age. Profoundly moved by the poverty of industrial centers, intellectuals with tender sympathies freely declared that the solution of the problem of misery in the United States as in Europe lay in either communism or in phalansteries combining agriculture and industry. The way being prepared by native criticism, the teachings of French dreamers, particularly St. Simon and Fourier, were accepted with enthusiasm. No less hearty was the welcome given to the gospel of the British socialist, Robert Owen. Indeed, in more than one respect Owen belonged to the United States too; if he made a great social experiment at New Lanark in Scotland, he also established a communal colony at New Harmony in Indiana; if he appealed to the governing classes of Europe, he likewise addressed the House of Representatives in the United States with the same fervor; his New Moral World was read in Pittsburgh and Indianapolis as well as in London and Manchester.

Throughout the middle period, certainly after 1825, radical beliefs kept the industrial section of America in constant turmoil. Innumerable labor journals, some dedicated to political agitation, some to the promotion of labor solidarity and unionism, were issued under the direction of able editors and exerted a strong influence in working-class districts. Long before the close of Jackson's first administration in 1833, there was in full blast a labor press that compelled governing persons and artisans alike to give heed to new voices. Moreover, after repeated calls for independent political action, signs of revolt against party machines had become unmistakable in the cities of New England and of the middle states, with the nomination of labor candidates for local offices in many centers and their election in some instances. "The balance of power has at length got into

the hands of the working people where it properly belongs," declared a reformist paper of Philadelphia in 1829. Premature as was this rejoicing, it took a good deal of skillful maneuvering on the part of regular politicians to quell the uprising; and in the operation the bulk of social legislation piled up in state capitals. Imprisonment for debt was abolished, the beginnings of free popular education were made, and laws safeguarding the life and health of workers in the factories were enacted.

Keen observers of the time, especially from the planting section, watching this turbulent current in the North, were moved to exclaim that the structure of industrial society was in imminent danger of dissolution, menaced by the rising tide of radicalism. "Do socialism and agrarianism and Fanny Wrightism find foothold at the North and threaten the destruction of private property and endanger private rights?" inquired an Alabama Congressman in 1858. The answer was inherent in the question: "At the South every man is secure from mobocratic misrule." Though no doubt there was a high pitch of excitement in such notes, a growing discontent in industrial districts did in fact offer burning issues to statesmen, economists, and manufacturers; if they had not exercised discretion and if the Civil War had not intervened, the labor movement might have taxed their powers of negotiation long before Samuel Gompers and Eugene V. Debs entered the arena.

But they had at their command the experience of the past. More than once in the history of humanity, popular distempers of revolutionary vehemence had been allayed, temporarily at least, by the confiscation and distribution of property. This had occurred in the plebeian uprisings of Rome, the peasants' revolts of western Europe, and the French Revolution of the eighteenth century. It was to occur again—in America, under another guise. The property that was now to be seized and tossed to the disinherited was not that of patricians, earls, marquises, or bishops. It belonged in law to the whole people of the United States

and was held in trust for them by the federal government. It was the public domain of the West.

The disposition of that land in the form of a general largesse was, of course, not an idea created for the occasion. Jefferson had proposed to use the public domain for the purpose of building up a nation of free farmers, in his opinion the only enduring basis of a republic; and, before Jefferson died, Benton of Missouri had started to advocate a policy of free distribution. Politicians and speculators in the vanguard had been keeping their eyes on it for more than a generation. But a widespread propaganda in favor of relieving the poverty and discontent of the industrial East by giving away land in the West was a new emphasis in American affairs, producing a profound effect on the public mind. It touched all the radical labor leaders and brought even communists, such as Weitling, under the spell of an agrarian gospel. It appealed to the German immigrants who came in such throngs in the fifties. It crept up into the middle class of native Americans. Horace Greeley, who thought he had found a solution of the industrial problem in a kind of socialism, added the Homestead article to his profession of faith.

In this economic situation, so peculiar to American life, lay at least a partial explanation of the developments that took place in the labor movement of the middle period. Energies which in the normal course of affairs would have been devoted to building up trade unions and framing schemes of social revolution were diverted to agitation in favor of a free farm for every workingman whether he wanted it or not. A Homestead Act, ran the argument, would emancipate him from the iron law of misery; it would enable him either to go West and take up an estate or, as the price of staying home, to demand higher wages from his industrial employer. Thus, in the literature of the great social debate land reform assumed a radical color. Indeed, it was so tainted with communistic associations that President Buchanan, in vetoing the Homestead bill of 1860,

could say with no little justice that the attempted raid on the public domain had the savor of the subversive doctrines then fermenting in Europe.

In spite of Buchanan's protest the agrarian creed had spread so far and penetrated so deeply that nothing could stop its progress. It was supported by the indisputable fact of industrial misery, sustained by a promise of liberty. Making a strong appeal to the urban masses, it sank like a wedge into the ranks of mechanics and laborers who had gathered under the standard of Jacksonian Democracy. And when the Republicans in their platform of 1860 offered free land to the workingmen of the world, in exchange for a protective tariff, the way was already prepared for a tumultuous response. When in the midst of the Civil War the Republicans fulfilled their pledge by beginning to fling the land to the clamoring multitude, the economic revolution was begun. If labor could continue its process of organization to win higher wages, there was little for the socialists of the period to do except haul down the red flag.

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On no point did southern orators dwell with more assurance than on the stability and solidity of the social order in the South, when compared with the turbulence and perils of the North. The enslavement of the whole body of laborers, as one of them remarked at the time, went "a long way to neutralize the ruinous effects of universal suffrage and to limit the absolute quality of popular sovereignty," while it furnished to some extent a counterpoise to "liberty of conscience, free inquiry, and endless discussion." Everything seemed to promise peace in the South. "The perfect subordination of the laborers, spread thinly over wide surfaces," continued the same philosopher; "the isolation of families, forming diminutive centers of small communities bound together by the closest ties of mutual affection, dependence, and interest; the peaceful occupations of hus-

bandry; the plenty which everywhere abounds; the almost utter absence of want; the intimate communion with nature; all things, in short, tend to tranquillize society and exclude the sentiments and riotous scenes so common in denser communities and in large manufacturing districts crowded with free white laborers who are at the same time noisy politicians, debaters, and voters."

For the moment appearances seemed to support the argument of the confident orator. Slavery stood four square to all the winds of agitation. The heavy sanction of the centuries was still upon it. When the curtain rose on the historic stage bondmen were even then toiling under the lash, tilling the fields, guarding flocks, and rearing monuments to their rulers. Strange as it may seem, slavery had marked an upward stage in social evolution: prisoners of war who had formerly been put mercilessly to the sword were spared and sent to labor in servitude. Through the long epochs of antiquity, slavery formed the foundation of kingdoms, empires, and republics—the civilizations of Babylon, Persia, Egypt, Greece, and Rome.

If with the collapse of the Roman empire and the rise of Christianity slavery almost disappeared in the western world, the peasants who labored in the fields of Europe during the Middle Ages were serfs bound to the soil, not freemen in any modern sense. Though the Church frowned upon the enslavement of Christians by "heathen" races, though medieval economy was unfavorable to chattel bondage in any form, neither the Bible nor the Papacy laid slavery strictly under the ban. If Christians on principle cherished a deep-seated antipathy to the institution as such, most of them quickly overcame that repugnance when an opportunity to profit from it was presented. At all events it is not recorded that any of the great powers—either Catholic or Protestant—whose conquerors and colonizers followed in the wake of Columbus forbade their subjects to enslave the natives in the lands they discovered or prohibited the practice of snatching Negroes from Africa for

servitude. Undoubtedly English theologians were for a time vexed by the question as to whether bondmen should be taught the Christian doctrine of salvation, but that problem in casuistry in no way involved the validity of slavery itself.

It was therefore under ancient and religious ordinances that the institution became lawful in all the thirteen English colonies in America. Under similar sanctions the carrying trade, traffic in Negroes, flourished. Puritan shipowners, who seized and transported Africans to the planting districts, seemed to suffer no more pangs of conscience than southern masters who bought them. Women like men shared in the trade and lived by the system.

Though chattel servitude was lawful in the northern states when the republic was established, there were then only about forty thousand slaves in that section as compared with seven hundred thousand in the South. And most of the northern bond folk were domestic servants rather than laborers in field and shop. Climate, soil, and economic practices, as already indicated, hindered the extension of slavery in the North, while the influx of free white laborers, more skilled and more industrious, also helped to restrict the area of its utility. Moreover, the growth of commerce and industry steadily diminished its relative importance in the North.

Hence, by the time the importation of Negroes was forbidden by federal law in 1808, chattel slavery was on the wane everywhere above the Delaware River—and the moral objection to the institution was deepening. The Massachusetts constitution of 1780 abolished it by implication and Pennsylvania in the same year made provision for gradual emancipation. In 1787, the Congress prohibited slavery in the Northwest Territory by the memorable ordinance for government and liberty. In 1799, New York declared that all children of slaves born after July 4 of that year should be free, though held as apprentices for a term; and about a quarter of a century later it removed

the last dwindling vestiges of human bondage. By one method or another all the commonwealths north of Delaware gradually outlawed slavery.

If none of the southern states emulated these examples, it did not follow that opinion in the South was at first altogether unanimous on either the moral or the economic aspects of the subject. On the contrary, there were in the early days of the republic many southern statesmen who saw in slavery a wasteful system of labor and the one source of difference between the two sections that boded ill for the future. In Delaware and Maryland, the growth of trade and the increase in the number of free white farmers thrust slavery somewhat into the background. In North Carolina, where so much of the soil consisted of broken upland, slavery was confined by nature within relatively narrow bounds. In Virginia, likewise, the whole western region, unsuited to plantations, was possessed by white farmers who were in constant political conflict with their slave-owning neighbors on the coastal plain. Even the seaboard region of Virginia was being impoverished by slave labor and the number of slaves was multiplying too rapidly for the output of agricultural produce.

In these circumstances shrewd observers questioned the economic advantages of a system which in effect hindered the inflow of free artisans and adventurous capital, exhausted the primeval fertility of the soil, and created a master class steeped in pride and complacency. More than one Virginia thinker believed that the state would be better off in every way without slavery. Jefferson, as we have said, was opposed to it and at the time of the American Revolution was prepared to abolish it, believing that it was contrary to the genius of American liberty. "I tremble for my country," he said, "when I reflect that God is just; that his justice cannot sleep forever."

With his national outlook, Washington hoped that emancipation could be brought about in good time. "Not only do I pray for it on the score of human dignity," he once

remarked, "but I can clearly foresee that nothing but the rooting out of slavery can perpetuate the existence of our union by consolidating it in a common bond of principle." Only in South Carolina and Georgia, where the high mortality of slaves in the rice swamps and the hotter climate made tropical labor seem more desirable, were the spokesmen of the planters fairly consistent champions of the institution from the beginning to the end. Even so, the planting interests in general were so pliant on the issue that the Missouri Compromise dedicating the lion's share of the Louisiana territory to freedom could be carried through the Congress of the United States in 1820.

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During the next forty years, however, there occurred in the slave system itself a revolution almost as shattering as that wrought in handicraft industry in the North by steam and steel, drawing it into the very same economic transformation. While the spinning jenny and the loom altered the economy of New England, they introduced new elements into the planting system of the South, especially after Eli Whitney patented the cotton gin in 1794. Unaided by machinery a slave could extract the seeds from about one pound of raw cotton in a whole day; but with Whitney's first crude instrument a slave could clean fifty pounds and, when the invention was improved and harnessed to steam, a thousand pounds a day.

Henceforward, owing to the continual improvement of textile machinery, the use of power, and the perfection of the cotton gin, the earth's multitudes were to have cloth for a few cents a yard and the demand for raw cotton was to stretch to the breaking point the energies of southern planters. Until the end of the eighteenth century, rice, indigo, and tobacco had been the chief staples raised by the labor of slaves. The cultivation of rice was restricted by nature to certain areas and the demand for tobacco,

though growing, was not equal to the demand for cloth to cover nakedness.

Under the pressure of the expanding textile market, the call for cotton rose from year to year, and before half a century had elapsed the economic order of the South was overturned. When the transformation began, planters of the old régime had settled down into a position very much like that of the English landed gentry—fairly content with established estates and the scheme of refinement transmitted by their ancestors. From generation to generation, their broad acres had been cultivated by slaves that had come down in the family. If masters frequently added new sections of land with the natural increase of their labor supply, they were seldom fired into feverish activities by the passion for making huge accumulations of riches. But under assaults by ruthless, aggressive, profit-making managers of slaves bent on an ever-increasing output of cotton to feed to hungry mills of England and the North, customary practices were compelled to yield—to give place to a force that was akin in spirit to the dynamic and acquisitive capitalism of the industrial world.

The results of this powerful surge were exigent and disruptive. A relentless drive was begun to secure more land for exploitation, additional areas in the old states and still more territory in the southwest, the Caribbean, and Central America. In this fierce quest for acreage, planters of North Carolina, South Carolina, Georgia, and Alabama advanced into the piedmont, adding small farms to their domains, enlarging the area of slavery, and thrusting their white neighbors into the mountains or out into the Northwest. Never satisfied, they pressed onward, across the Mississippi, through Louisiana and into Texas. After helping to bring an imperial realm into the Union, they turned their eyes southward for still new worlds to conquer, threatening in the flaming Ostend manifesto of 1854—issued by three American ministers abroad—to wrest Cuba from Spain. With equal urgency they placed a premium on

large-scale production and multiplied their demand for Negro labor.

Stirred by the volcanic energies of capitalism, slavery of the traditional type underwent a drastic change. Even in the older sections where cotton culture did not flourish and where the law of diminishing returns had threatened the ultimate extinction of chattel servitude, the institution was now given a new lease on life. Since surplus men, women, and children could be sold to the planters of the cotton belt, the breeding of slaves for an expanding market became a highly lucrative business, stimulating the acquisitive instincts of masters in the border states.

So everywhere in the South the drive for profits now imperiled those practices of humanity which in the best of conditions had bound owners and slaves by cords of interest and sympathy—ties akin to those which had united the master workman and his employees in the days of the handicrafts. Just as the northern manufacturer often treated his laborers as mere commodities for exploitation and threw them into the streets in times of business depression, so the cotton planter of the new régime frequently looked upon his slaves as animals to be worked in gangs and driven to the limits of endurance under the pressure of immediate gain. An overseer who could not "make" the maximum amount of cotton in a year was in mortal danger of losing his position at the head of the human machine.

Forced ever onward by the cumulative passion for gain, cotton culture within the brief span of fifty years conquered the whole South, thrusting itself upward in the end as the dominant interest fated to rule all minor concerns with an iron hand. When George Washington was inaugurated President only two million pounds of cotton were produced annually in the United States; by 1860 the output had risen a thousandfold, to more than two billion pounds. When Jefferson Davis took his place at the head of the Southern Confederacy, nearly two-thirds of all the slaves in America were engaged in cultivating that crop alone. To this revo-

lution in the internal economy of the South was added the centripetal influence of foreign connections. Furnishing the staple upon which a vast system of English industry depended for its very life, cotton growers were inexorably drawn into the sweep of English polity, with something approaching free trade as the logical and unavoidable corollary. Thus the cotton drive focused the attention of the slave states mainly upon a single interest and held it there with remorseless tension. According to outward signs, King Cotton seemed invincible at the middle of the century. "No man living will see the end of slavery," declared even Emerson, the idealist, in 1859.

At that very hour, however, a crisis in cotton was in sight. The area of rich virgin soil to be exploited by slave labor had a fixed boundary in western Texas and when the last fertile belt was brought under the plow an amazing era of advance drew to an end. Though imagists dreamed of annexing Cuba and making excursions into Central America, their mirages dissipated in failure. By 1860 the limits of the American cotton kingdom were definitely fixed.

In the meantime the law of diminishing returns was beginning to tell in the older provinces of the realm. It had been by wearing out the land and moving on to new mines of fertility that the greatest fortunes were made in the grand years of prosperity. Hence a day of reckoning was inescapable: the necessity for applying expensive fertilizers and introducing more efficient methods of cultivation could no longer be avoided. Moreover, the margin of profit being thus put in peril, every kind of tribute collected on manufactured commodities bought by the cotton planters became doubly galling. Like Prometheus, the South was stretched upon the fateful rock, said Jefferson Davis, and only by an almost superhuman effort could the fetters now be broken. How was the impending crisis to be averted?

Several promising avenues of evasion seemed to open before those who scanned the horizon. If the federal government could be held in fee or a balance of power

maintained at Washington, then the duties on goods bought by the planters could be kept at a minimum and all the advantages of independence be secured under the Constitution. Another solution offered was the introduction of manufacturing into the South. Under the tutelage of Webster, Whig leaders often counseled this procedure. Cotton mills at hand, they argued, would provide local markets, free them from the shackles of the New York exchange, and emancipate them from the servitude to distant spinners. Under the stimulus of this idea, societies were formed to encourage the development of industries; indeed some noteworthy experiments were made from time to time.

In the main these efforts bore little fruit. Planters did not take kindly to manufacturing; their rural habits of life ran against it—possibly they had the tribesman's instinctive dislike for unaccustomed ways. Skilled labor, as much as business enterprise, was wanting; white immigrants from Europe did not go in large numbers to a section where all manual toil, whether in the home, field, or shop, lay under a stigma; and slaves, though sometimes used in industries, afforded poor material for technical branches of manufacturing in which they had received no training. Further, there was a lack of capital for such undertakings. American financiers, finding abundant opportunities for profitable investments in the North and West, showed little disposition to push into unpromising regions dominated by slave owners.

Still another scheme for giving strength to the cotton kingdom was a proposal for a closer economic union with the Northwest. Observing the drift of trade away from New Orleans to the Atlantic ports, architects of southern fortunes sketched elaborate plans for linking the upper Mississippi Valley with the planting region. This was an engrossing theme and it was enthusiastically discussed at a railroad convention held in Knoxville, Tennessee, on July 4, 1836, under the presidency of Hayne, the famous orator who worked in economics besides constitutional

law. One of the fine dreams of the hour was a line from Cincinnati to Charleston, making the South Carolina metropolis a rival of Philadelphia and New York; but it burst under the stern duress of realization.

Another project was a railway from the Lakes to the Gulf and, under the management of that astute Yankee, Stephen A. Douglas, this was finally accomplished. Though popularly known as the competitor of Lincoln in the momentous debates of 1858, and as the author of "squatter sovereignty," Douglas had a surer claim to distinction for his work on the Illinois Central Railroad linking two strongholds of Democracy—Illinois and Mississippi.

On his arrival in the Senate in 1847, he started a movement to wring from Congress a huge grant of federal land in aid of railway construction in his state and in spite of many obstacles he made his way to the goal. Chicago capitalists opposed his first plan for making Galena the northern terminus; he won them over by choosing their city instead. Southern statesmen offered grave constitutional objections to federal aid for internal improvements; by extending his line all the way from the Ohio to the Gulf he overcame their scruples. After much wriggling and writhing, enough votes were won, and in 1850 Congress dedicated an immense area of the public domain to the project for a "Lakes to Gulf" railway. The step was important but too late. Before the line could be completed, Baltimore, Philadelphia, and New York had rail connections with the Mississippi Valley and were weaving all parts of the North into one system of economy.

Notwithstanding every effort to give a new direction to the sweep of economic forces, the dominant interest of the South, the cotton planters, showed an increasing tendency to swing away from the center of American life. Their best market was England, then the textile center of the world, where they could both sell their produce and buy cheap manufactures of every kind. Confined by climate and soil to special regions, their main basis of operation was of

necessity in the Far South, in the districts most remote from the citadels of northern industry and finance. By 1850, two-thirds of the cotton crop in the United States was produced west of Georgia.

In its onward march the cotton interest had borne its seat of power into the Mississippi Valley and worked a revolution in the Jacksonian frontiers of Alabama, Mississippi, and Louisiana. When South Carolina made her call for nullification in 1832, all those states had given her a sharp negative answer. In three decades, however, the face of things had changed. When South Carolina renewed her appeal in 1860, it was these very states of the Southwest that raised the flag of revolution while Virginia, North Carolina, Tennessee, and Kentucky still held back. Not until the Confederate government had been inaugurated and the first blow had been struck did the upper South cut loose from the national moorings. And to the end, four of the fifteen slave states—Kentucky, Maryland, Delaware, and Missouri—and the western half of Virginia remained in the Union. They belonged rather to the system of the North than to the specialized cotton system of the Far South.

Under the drive of economic forces, it was the cotton interest that led the slave states into the appalling crisis. European conflicts, such as the Crimean War of 1854-56, might raise hopes for an abnormal demand for cotton, but an industry founded on uneducated labor, an industry that was engaged in exhausting and selling the pristine fertility of the soil was fated for a crash. In truth a decline in its economic strength was under way while its faith in political action was mounting: capacity to endure the tax of a protective tariff was diminishing while the determination of the manufacturing interests to have their subventions was rising to the point of an explosion. Thus the cotton industry faced three alternatives: an internal reconstruction, independence, or a foreign war. Following the historic precedents set by interests in a similar plight, its spokesmen

chose what seemed to them the easiest course and found, as often happens, not safety thereby, but ruin.

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Like all the regions of the United States to the east and south, the western frontier underwent a striking transformation between 1830 and the Civil War. At the former date, the line of settlement, if we exclude a few communities around the mouth of the Mississippi and in the Missouri Valley, ran roughly along the western border of Alabama and Tennessee, crossed the Mississippi River into Missouri, and then turned back in a northeasterly direction through Illinois, Indiana, and Ohio to Lake Erie. Within three decades, it had moved hundreds of miles to the west and north; it had been broken by western trails and by the steady march of pioneers up the slopes of the treeless plains. Michigan had been admitted to the Union in 1837, Iowa in 1846, Wisconsin in 1848, and Minnesota in 1858.

In the meantime the new Middle West had been supplemented by a second frontier on the coast where California and Oregon stood as sentinels on the Pacific. They too had no special economic interests or continental ties to bind them to the cotton kingdom. Many Oregon farmers doubtless remembered that it was southern politicians who had been loudest in shouting "fifty-four forty or fight" and quickest in compromising the boundary dispute with England. In any event there was no slavery in Oregon. Neither was there any chattel bondage in California, a mineral state opposed, as Webster said, by the law of nature to the planting system. American traders had established commercial interests there and miners had made them secure beyond all cavil. "Gold is king," firmly announced a Californian member of Congress when he heard the praise of cotton sung once too often on the floor. If there was a touch of excessive pride in his boast, gold and grain, without doubt, were to be reckoned in every new political combination.

The frontier that had nourished Jacksonian Democracy had now moved far to the West and it had also altered its character, whereas the borders of the cotton kingdom had become fixed by a law that no political party could demolish, no act of Congress could repeal.

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The revolution wrought by steam and machinery was by no means limited in its effect to factory districts, corn fields, cotton plantations, and mining camps. It widened the borders of economic empire by the extension of American commerce into the Far Pacific. Though obscured to the vulgar eye by the dust of domestic conflict, the construction of that commercial dominion went forward rapidly from the foundation of the republic. The very year after Cornwallis surrendered to Washington at Yorktown, the *Empress of China,* fitted out partly at the expense of Robert Morris, merchant prince and "financier of the American Revolution," sailed from New York to Canton, carrying the American flag into the midst of the Dutch and British pennants that fluttered in the breezes of Chinese waters. Before the Fathers completed the framing of the Constitution, at least nine voyages had been made to the Far East by enterprising Yankees.

In the year of Washington's inauguration, ten ships from Salem plowed the waters of the Indian Ocean. Before he delivered his "Farewell Address," warning his countrymen against foreign entanglements, American captains were at home in the ports of China, Java, Sumatra, Siam, India, the Philippines, and the Ile de France. In 1797, the date of his retirement to Mt. Vernon, a crew of thirty boys, the oldest not over twenty-eight, took the *Betsy*, a boat of less than a hundred tons, on a voyage around the world by way of the Horn, Canton, and Good Hope, netting on an outlay of about eight thousand dollars the neat profit of a hundred and twenty thousand.

Meanwhile Congress under a Constitution formed, as Webster remarked, mainly for the advancement of commerce, granted to merchants trading with the Far East protective rates and special privileges of royal generosity—advantages which assured magnificent returns except in the most adverse of circumstances. As Senator from Pennsylvania and a promoter of business with China, Robert Morris could speak with authority among his brethren in the Congress of the United States.

The trade thus begun at the very inception of the republic, while it waxed and waned with the fortunes of war, politics, and business in the western world, showed a general tendency to advance. In the decade ending in 1840, American business with China alone amounted to nearly seventy-five millions, a sum greater than the total debt of the American Revolution which timid souls in Hamilton's day thought the country could never pay. By that time American manufacturers, especially the cotton spinners, had come to view China's teeming multitudes as the marginal customers who were to keep their wheels whirling and their coffers full. In 1857 over a hundred and fifty American ships cleared from Indian ports carrying goods worth upwards of ten millions.

When the guns of Sumter echoed over the plains and through the valleys of the United States, shrewd American business men had already gathered into their ships more than half the trade to and from the port of Shanghai and had made themselves masters of the lion's share of the commerce up and down the turgid current of the Yangtze. The challenge of planters to captains of industry slowed down this enterprise in the Far East—but only for a day. Within a generation after the guns had ceased to fire on brothers at home, the Stars and Strips were flying over the American outpost of traffic in the Far East—the Philippines. In this titanic process, disputes over slavery and even the Civil War itself were incidents that delayed but did not halt the giant of steam in his seven-league boots.

## CHAPTER XV

## *The Politics of the Economic Drift*

THE distinctions which characterized the three great sections of the United States evolved in the sweep of economic forces were not fanciful; they were woven out of the tough facts of daily existence. The leaders in all these regions were of the same race, spoke the same language, worshipped the same God, and had a common background of law, ethics, and culture. Their differences in sentiments, patterns of thought, and linguistic devices—their social psychology—sprang mainly from divergences in necessary adjustments to environment: labor systems, climate, soil, and natural resources producing conspicuous variations in modes of acquisition and living.

Never before in the history of human societies had there been just such a disposition of affairs. Conflicts between agriculture and capitalism were, of course, as old as the empires of antiquity. The agrarian movements that shook Rome to her foundations, the first French Revolution which assured the triumph of the bourgeoisie and the peasantry, and the long partisan struggles in England were all the

outward signs of internal divisions similar to those found in every civilized community. Nevertheless no European country had ever had a highly developed group of capitalists, a large body of independent farmers, and a powerful landed aristocracy each to a marked degree segregated into a fairly definite geographical area. No European country had ever had gigantic industries battling for the possession of the domestic trade and at the same time a highly specialized branch of agriculture, like cotton raising, almost solely dependent for its profits upon a wide and attractive market in foreign countries.

The social conflicts of the Old World arose from horizontal rather than from vertical divisions; that is, from the antagonism of classes dwelling together rather than from the friction of economic groups localized in separate districts. It is true that strife based largely upon economic differences had from time to time disturbed the various federations which had made their bow on the European stage, especially Germany, but in none of them did the contest bear exactly the signs that characterized the American schism. Moreover, no modern European country ever possessed an immense domain of virgin land available for distribution among the populace by political methods and viewed as a means of commanding party majorities requisite for other ends.

Hence it came about that what may be called the rhetoric of the American political process in the middle period differed widely from that employed in similar struggles in other countries of the world—a fact usually overlooked by Europeans who attempt to devise formulas for American social phenomena.

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In each of the great geographical sections with its dominant economic interest was evolved a reasoned scheme of political action. Broadly speaking, the capitalists of the Northeast demanded from Congress a liberal immigration

policy to assure an abundance of cheap labor, ship subsidies for the promotion of commerce, internal improvements in the form of roads, canals, and harbor facilities, a sound monetary system to guarantee that loans and interest would be duly met in values at least equal to the nominal figure in the bond, high tariffs for industries, and the preservation of the protected market area by the retention of the southern states in the Union. This was a heroic program which its sponsors could only realize by securing the possession of the executive and legislative branches of the federal government.

To this scheme of positive action a supplement of negation was essential for capitalism, namely, a firm grip on the federal Supreme Court, for that body alone could enforce by appropriate interpretation those clauses of the Constitution which forbade states to issue paper money and impair the obligation of contract—in short, interfere with the normal course of business enterprise. It was well known that debtors of the rural regions, since the days of Daniel Shays, had shown a strong penchant for easy money and loose banking because an inflated currency enabled them to meet their obligations with more facility and less labor. It was equally well known that several states had repudiated their just debts, imposed onerous burdens on business undertakings, and laid taxes objectionable to common carriers.

For these and similar reasons, the capitalist group required as a condition of highly successful operations the enactment of a clear-cut program of federal legislation by Congress and a friendly construction of the Constitution by the federal courts. Of course it could be argued and with cogency that such a program was designed ultimately to benefit all interests and all sections of the country but it is a significant political fact that the leaders of the planting and farming areas did not generally take this view of the matter.

By their common agricultural interests, planters and farmers were drawn together politically and thrown into

opposition to capitalists at many points. They were producers of raw materials and food stuffs and they were purchasers of manufactured goods. As far as they were conscious of economic processes, they naturally wished to sell in the dearest market and buy in the cheapest, namely, in Europe, and especially in England, where capitalist industry was far advanced in skill and technique while labor was ground to the very margin of existence. In brief, they wanted to sell their raw materials and foodstuffs to English manufacturers for high prices and buy English goods, made by cheap English labor, at low prices. Broadly speaking this meant that planters and farmers favored low tariffs—tariffs for revenue only—though not often free trade with the whole world, for that involved direct taxes on themselves for the sustenance of the federal government.

Yet another powerful bond of interest united these two agricultural groups, especially on the frontier belt: both were in need of capital and both were heavy borrowers in the eastern financial market. Farmers often mortgaged their lands and planters their estates and slaves for funds with which to embark on their respective ventures, or to expand them when once they were launched. Hence, being debtors instead of creditors, they were frequently the friends of easy money, of an elastic currency with varying degrees of soundness.

And to promote their monetary designs, they had an appropriate political scheme, supported by a full-blown constitutional theory. They had not yet formulated any project of national banking, dominated by "dirt farmers," that would yield the desired fruit under their control. In the national field their program was to destroy, rather than to construct—to abolish the United States Bank, prevent its revival, and put the issue of the currency in local hands, under the authority of state legislatures in which they were at home and easily supreme. The less scrupulous among the debtors openly favored inflation by local banks as a means of discharging their obligations in money of reduced

value and advocated lenient bankruptcy laws for those who suffered a total shipwreck in finances.

Therefore, in the field of federal politics, the planting and farming groups asked for few favors; on the national stage they were in the main a party of laissez faire. And their constitutional doctrines naturally took on the color of their economic projects. Since they deemed it to their interest to have no protective tariffs and federal banks, it seemed reasonable to them to believe that Congress had no power under the Constitution to promote industries by special legislation and create banking institutions. They were, however, not beyond the necessity of consulting the auguries. They required at the hands of the judiciary a wide interpretation of the Constitution in one particular, at least; that is, a view of the revered document which would permit states to charter and manage banks, issue paper money, and make various modifications in the obligation of contract.

It must be conceded, no doubt, that every planter who stood like Horatius at the bridge for a strict interpretation of the Constitution and every Tennessee farmer who sprang upon a damask chair in the White House to cheer for Jacksonian Democracy had not formulated the whole scheme of things with meticulous precision but beyond question the great leaders of both groups thoroughly comprehended the economic processes of the age. There was ample proof of their understanding in the fact that the general drift of federal legislation and judicial interpretation after 1835 was directed to the interest of planters and farmers until suddenly reversed by the constitutional and social revolution popularly known in the North as the Civil War and in the South as the War between the States.

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It is not here contended that all capitalists with mechanical exactness were drawn to one combination and all plant-

ers and farmers to another. Such a contention would be without historic warrant—contrary to the evidence in the case. In each of the three economic groups were represented varying degrees and kinds of property and prosperity. Planters were not all engaged in producing the same staples; neither did they enjoy the same rate of return on their capital or suffer the same pressure of adversity in times of financial depression. Some of them raised tobacco, some rice, others cane, and still others cotton—the last advancing to leadership in numbers and wealth. If cotton men were keen for a low tariff, cane growers and sugar makers were as eager for a high duty on their commodity as any woolen manufacturer in New England or iron founder in Pennsylvania for a tariff on his peculiar product.

Moreover, among planters of the same class there was inequality of status. Those who worked on the western margin, mining and selling virgin soil, often made huge profits while others to the east, who tilled land which had lost its original fertility, labored heavily under the law of diminishing returns. Account books of Calhoun's own estate reveal a moving story of a losing venture. It is not to be overlooked that the "hot-bed" of secession was South Carolina, where planters had been working worn-out land for a quarter of a century.

Nor did northern capitalists, any more than planters, present a perfectly united front on all matters. Manufacturers and their bankers were, on the whole, rather solidly behind demands for high protection against foreign competition. On the other hand capitalists engaged in the shipping business, while anxious for subsidies and other special favors, were by no means fervent in supporting revenue measures that cut down the volume of the carrying trade. Their intimate associates, importing merchants, although capitalists in a large way, were as enthusiastic as any southern planter for a low tariff admitting the easy flow of commodities into the United States. Furthermore it must be remembered that a large share of the importing mer-

chant's business, especially in New York and Philadelphia, embraced the exchange of southern produce for European manufactures, linking merchandise with planting by substantial, if imponderable, bonds. Even finance was somewhat divided in its affections. In the strong boxes of northern investors mortgages on southern plantations rested by the side of industrial and railway securities; often a rich money lender was a perfectly good Democrat.

Among western farmers there were also divergences. Many of them grew hemp and wool and so welcomed protection by the government; some were prosperous either through industry, luck, or unearned increment in land values; others staggered under a burden of debt, tilling marginal land. Inevitably, therefore, the tension and patterns of their agrarian sentiments varied from community to community and with the seasons of prosperity and adversity.

Each of the three geographical sections, like each of the three classes of individuals, on minute examination, disclosed dissimilarities. The map of slavery giving the distribution of bondmen among the counties of the southern states was a document of prime importance in the economics of politics. Throughout large areas of western Virginia and North Carolina, northern Georgia, eastern Kentucky and Tennessee, northern Arkansas, and Missouri, excepting the river valleys, slaves formed less than twenty-five per cent of the population. The land of those regions belonged to free farmers who owned no slaves, or few, and who tilled the soil themselves; they, rather than the planters, furnished the original substance of Jacksonian Democracy, in their sections.

Nor were the manufacturing states of the Northeast a single economic unit, solely concerned with industrial enterprise. They possessed large agricultural interests likely to manifest varying degrees of agrarian temper. In addition, they had in their cities a growing working class which threatened from decade to decade to play an independent rôle in

politics. Though generally thrown by social differences into opposition to the capitalists, especially in local affairs, the workingmen of the middle period were not all free traders; a large proportion believed that their bread was better buttered by protection than by a tariff for revenue only. Particularly was this true in New England and Pennsylvania with their great productive industries—as contrasted with New York City, the mercantile metropolis.

And the farming states of the West, as previously noted, were not without peculiar aspirations of their own. With astonishing swiftness they passed through the pioneer stage and began to supplement their rural economy by trading and manufacturing. Other times, other manners. A little woolen mill on the bank of an Indiana brook felt the beneficent shade of a high tariff quite as much as a huge building at Lowell, Massachusetts, filled with roaring machinery. Besides benefiting from such economic diversification, agriculture in the Mississippi Valley had its own psychological fringe. Certainly a wide gulf separated the independent white farmer who toiled like a slave in his narrow fields under the burning sun from the great planter who lived like an aristocrat on his broad acres.

While the farmer was as eager as the planter to buy his plows in the cheaper markets of England, he often found it difficult to think of himself in the same class as Louisiana cane growers who spent their summers at northern watering places and attended grand opera in New Orleans in the winter. He was by no means always sure that his interests lay in a pan-agricultural combination for political action. Very often the prospect of rising land values and better markets offered by railways linking the Northwest to Pennsylvania, New York, and Massachusetts had attractions for him that were hard to offset by pictures of the prosperity afforded by free trade with Liverpool and Manchester. It is not surprising therefore that the political architects of the middle period encountered grave difficulties in attempting to erect enduring political associations out of economic

interests that were both sectional and diverse—difficulties which were increased by the periodic cycles of prosperity and panic.

§

Nevertheless, each of the three economic classes struggling for dominance in its own section and in the country at large had able spokesmen who attempted to formulate its program of political action, its scheme of ethical justification, and its line of attack on the opposing forces. In fact, all the resources of history, law, philosophy, logic, theology, and natural science, as they stood revealed at the time, were employed with amazing effect in a mighty triangular struggle that ran through politics, religion, journalism, education, and literature. Every orator who took part in it seemed sincerely convinced that his cause was righteousness itself and was apparently unable to understand why his own arguments failed to persuade others through the sheer force of compelling reasonableness.

If any satirical spectators ever perceived the incongruities of the rationalizing operation, they failed to turn the weapons of Juvenal and Swift upon the diligent apostles of rectitude. Those statesmen who raised themselves with heroic effort a little above the din of the partisan conflict and tried to emancipate themselves from the narrow confines of class psychology could not by the noblest exercise of imagination divine any solution for the contradictions except in some compromise or a balance of interests based upon the delusive assumption that stability and quiescence were possible in a swiftly changing society. Webster and Clay, oppressed by an awful foreboding of a crash to come, could only pray for a postponement of the deluge—ask God to grant that their dying eyes at least might behold no broken and dissevered Union.

§

Of the capitalistic interests in general—manufacturing, transportation, and banking—the one spokesman who towered above all others was, by common consent, Daniel Webster of Massachusetts. He was a true son of New England business enterprise, fully appreciated by those whom he served. When it was discovered that his salary as United States Senator was not sufficient to keep him in that style of living which he had chosen for himself, a number of wealthy men raised a capital fund and placed the income at his service. "Some of those who contributed," remarks Webster's admiring biographer, S. G. Fisher, "were interested in the industries sustained by the protective tariff; though by no means all."

Webster was a philosopher as well as a spokesman of economic forces. That he knew his Aristotle, Harrington, and Montesquieu, his Plymouth Rock oration abundantly demonstrated. He believed that the form and frame of governments were determined by the nature and distribution of property, that American institutions were founded on property, that property ought to have a direct representation in the government, and that the disastrous revolutions of the past had been revolutions against accumulations of wealth. Likewise a student of the Constitution, Webster correctly understood the economic features of that instrument. On this point, he gave the substance of his creed in a speech delivered at Andover in 1843: "We may look at the debates in all the state conventions and the expositions of all the greatest men in the country, particularly in Massachusetts and Virginia, . . . and we shall find it everywhere held up as the main reason for the adoption of the Constitution that it would give the general government the power to regulate commerce and trade."

That Webster firmly grasped the economic character of the political conflict in which he was a figure of gigantic proportions is also evident from numerous speeches. In none of these did he more effectively summarize the points of his doctrine than in an address to his party brethren of

Boston setting forth the objects of what was called "the Whig Revolution of 1840." With trip hammer strokes he drove them home: permanent peace with England, a stable revenue adequate to the needs of the federal government, the protection of domestic industry, the destruction of the compromise tariff of 1833 so inimical to the manufacturing interests, and finally a restoration of the currency and public credit by a sound banking and financial system.

Knowing very well that many a mechanic and farmer who had flocked to Jackson's conquering hosts regarded the tariff as a special privilege rendered by Congress to mill owners, Webster was careful on various occasions to meet the argument at the threshold. "I am looking," he once said, "not for such a law as will benefit capitalists—they can take care of themselves—but for a law that shall induce capitalists to invest their capital in such a manner as to occupy and employ American labor." Then he turned to the agrarian opponents of protection. "If all men in a country were merely agricultural producers, free trade would be very well," he remarked; but he quickly countered by saying that the interests of the United States were widely diversified, leading to a conclusion that seemed inevitable in his mind. "There are many false prophets going to and fro in the land who declare that the tariff benefits only the manufacturer and that it injures the farmer. This is all sheer misrepresentation. Every farmer must see that it is his interest to find a near purchaser for his produce, to find a ready purchaser, and a purchaser at a good price." Such in brief was Webster's view of the essential economic factors involved in the politics of the middle period.

On the outstanding moral issue of the hour, the abolition of slavery, Webster with unerring accuracy summarized the opinion entertained by the wealth and talents of Massachusetts. "I regret," he said, "that slavery exists in the southern states; but it is clear and certain that Congress has no power over it. It may be, however, that in the dispensations of Providence, some remedy of this evil may

occur, or may be hoped for hereafter. But in the meantime I hold to the Constitution of the United States."

§

On the side of the planting interests, the issues of statecraft arising from the economic conflict were logically summarized and expounded by John C. Calhoun of South Carolina, a spokesman no less gifted than Webster. In a remarkable speech delivered in 1839 he traced the history of American politics from the foundation of the republic to his own time—covering in a summary interpretation the events we have already surveyed—and then sketched his map of the new battlefield. He opened by reviewing the old Jeffersonian case against the Federalists. Hamilton's policy, he said, had been to enlist "the more powerful classes of society, through their interests," to the support of his system.

The great Federalist statesman, Calhoun then continued, had chartered the Bank with a capital "to be composed principally of stock held by public creditors; thus binding more strongly to the government that already powerful class, by giving them, through its agency, increased profit, and a decided control over the currency, exchanges, and the business transactions of the country." This was not all; Hamilton had also proposed to pervert the taxing function "from a revenue to a penal power through which the entire capital and industry of the Union might be controlled."

Against this combination of economic forces, Jefferson strove with all his might but such gains as he made were transitory. After the War of 1812, Hamilton's system renewed its youth; the protective tariff, the United States Bank, internal improvements, and the other devices of the moneyed interests were approved again and John Quincy Adams, son of an old Federalist, was given a four-year term in the White House.

Then came the Jacksonian revulsion and the new revo-

lution—the stages of which Calhoun proceeded to enumerate. The first stroke was the expulsion of Adams and his group from power; the second was the discharge of the funded debt; the third was the compromise tariff act of 1833, which professed to close "forever in this government a most prolific source of power, patronage, and corruption;" the fourth was the overthrow of the United States Bank; and the fifth was "the suspension of the connection between the government and the banks."

Calhoun now listed the remaining steps leading to his perfect order: the work of separating the government from banks must be completed; internal improvements must be stopped; the cost of the federal government enhanced by pensions and patronage must be reduced; and the tariff at the expiration of the compromise act in 1833 must be revised in such a manner as to put "an end to the protective system, with all the evils that follow and ever must follow in its train."

Of his real purpose Calhoun made no concealment: "My aim is fixed. It is no less than to turn back the government to where it commenced its operation in 1789; to obliterate all the intermediate measures originating in the peculiar principles and policy of the school to which I am opposed." As for slavery, the foundation of the planting system, that was in the circumstances "a good, a perfect good." There was thus no doubt about the character of Calhoun's economic-political argument. It was precisely stated and diametrically opposed to that of Webster.

In dealing with constitutional questions, the master logician of South Carolina also showed himself the peer of the Massachusetts statesman, though he drew exactly contrary conclusions from identical patterns of language. Notwithstanding the fact that he had himself supported the tariff of 1816, Calhoun came to believe and wrote powerful briefs to prove that the Constitution did not authorize the economic measures which Webster advocated and that his own latest program of low tariffs alone had the sanction

of the Fathers. To their great covenant Calhoun's devotion was no less profound than that of Webster. His conviction that it proclaimed his gospel was no less firmly rooted. For him it simply had one meaning; for Webster another.

§

No towering giant like Webster or Calhoun rose from field and forest to formulate the political and constitutional creed of the independent farmers, East, West, and South; and yet the tillers of the soil had their spokesmen no less than the capitalists and planters. Orators of the second and third magnitude swarmed to their cause, filling pages of the Congressional Globe with arguments prolix and vehement. Two of these—both national figures for a day—presented their thesis with singular force and consistency. The first was Andrew Johnson of Tennessee, such a power in his realm that the Republicans, with an eye upon the farmer vote and additional strength for Lincoln, nominated him for the vice-presidency in 1864. The second was C. L. Vallandigham of Ohio, whom Lincoln later made temporarily famous by expelling him for intransigent opposition to the War. And the popularity which both enjoyed in the rural regions was no doubt largely due to the vigor with which they waged their political campaign against the capitalist class.

In the spirit of Jefferson, sometimes in the very words of the Virginian, Johnson again and again recited in Congress the creed of the farmer and rural mechanic. "The rural population, the mechanical and agricultural portions of this community are the very salt of it," exclaimed the tailor from Tennessee. "Mr. Jefferson never said a truer thing than when he declared that large cities are eye sores in the body-politic: in Democracies they are consuming cancers. . . . Build up your villages, build up your rural districts, and you will have men who rely upon their own industry, who rely upon their own ingenuity, who rely

upon their own economy and application to business for support. . . . Our true policy is to build up the middle class; to sustain the villages, to populate the rural districts, and let the power of this Government remain with the middle class! I want no miserable city rabble on the one hand. I want no pampered, bloated, corrupted aristocracy on the other." To this representative of farmers and village mechanics sustained by agriculture, the policies of Webster and Calhoun were equally odious.

The other advocate of the small farmer, Vallandigham, sang the bucolic song to a similar refrain. According to his view, the conflict of the period was at bottom a contest between plutocracy on the one hand and labor in the shop and field on the other; but in the exigencies of politics he was willing to make use of an alliance with the planting aristocracy to realize his objects.

In a powerful speech delivered in 1861 he drew in bold strokes his history of the long political contest then merging into a revolution. "The great dividing line," he said, "was always between capital and labor—between the few who had money and wanted to use the government to increase and 'protect' it, as the phrase goes, and the many who had little but wanted to keep it and who only asked the government to let them alone." The issues arising from this conflict, he went on, had taken various forms: "a permanent public debt, a national bank, the public deposits, a protective tariff, internal improvements, and other questions of a similar character, all of them looking to the special interests of the moneyed classes." Around these issues the capitalists had rallied parties under various names, but each time they had encountered a formidable combination of sectional interests.

The planting South, he reasoned, "was the natural ally of the Democracy of the North and especially of the West." Why? "Partly because the people of the South are chiefly an agricultural and producing, non-commercial and non-manufacturing people, and partly because there is no con-

flict, or little conflict among them between capital and labor, inasmuch as to a considerable extent capital owns a large class of laborers not of the white race." Out of this national union of planters and farmers had sprung the powerful party of the Democracy and in each great open trial of strength between 1800 and 1860 the popular combination had emerged triumphant.

Then in utter despair, exclaimed Vallandigham, the champions of "the moneyed interest" resorted "to some other and new element for an organization which might be made strong enough to conquer and destroy the Democracy and thus obtain control of the federal government." Searching hungrily for a new combination of power, they eventually discovered "the nucleus of such an organization ready formed to their hands—an organization odious indeed in name but founded on two of the most powerful passions of the human heart: sectionalism, which is only a narrow and localized patriotism, and anti-slavery, or love of freedom, which commonly is powerful in proportion as it is very near coming home to one's self or very far off."

§

In this clash of sectional interests, the outstanding issue of the middle period was the tariff. From it sprang nullification in South Carolina and South Carolina finally led the way into secession. In general it was the representatives of the manufacturing group who fostered the demand for protection and showed the greatest facility in gathering recruits for that cause in national elections. On the whole, opposition to protection and support for free trade, or at all events low rates of duty, came from the agricultural and importing interests.

Yet the matter, as already indicated, was by no means simple. Every revenue law imposing taxes on goods coming into the United States was a complex of many items arranged under several separate schedules—a complex

which in practice reflected the demands of many groups and factions, sometimes even conciliating opposing interests by compensatory favors of real or dubious utility. In these circumstances, American political society presented revolving kaleidoscopic patterns whenever the revenue question was up for controversy. Woolen manufacturers and sheep raisers might be united by a tariff that protected both cloth and raw wool but sent flying asunder by hardware schedules. Hemp and flax growers burned brown under blazing suns might be made to feel a common cause with steel and iron magnates bleeched white in shaded offices. Nevertheless two powerful agricultural groups, cotton and tobacco growers, supplemented by corn raisers, provided a fairly consistent leadership for a relentless war against the general principle of protection for manufactures.

Five times between 1830 and 1860 the tariff was revised, showing on the whole a downward tendency. A sliding-scale cut was made in 1833, as we have seen, under a threat of revolution on the part of South Carolina's planters, and, when, nearly ten years later, the Whigs with aid from the opposition forced the duties upward again, the champions of low tariffs swept the polls in the election of 1844. Then the tide definitely turned, the Democratic party under southern leadership driving the country steadily in the direction of free trade until the grand climax of 1860. By the tariff act of 1846, Congress struck a smashing blow at the protective system, the members of the South and West being in the vanguard of the majority that did the terrible execution; of the ninety-three votes against the measure in the House, New England and the Middle States furnished sixty-three.

As this law soon brought a surplus into the Treasury, triumphant Democracy delivered another savage thrust in 1857 making the rates still lower—in actual operation below the figure set in the famous compromise of 1833. Though the vote on this bill in the House seemed to reveal a confused state of public opinion in the large, it betrayed unmis-

takable tendencies. Members from the South and Southwest cast sixty votes for the measure and but two against it. More salient still was the fact that the West and Northwest furnished thirty-three votes against tariff reduction and only fourteen for it. The South was now almost solid; the West was evidently swinging away from its old moorings and was in a mood for a new political combination—one so adroitly effected at Chicago in 1860.

In the course of the long conflict over the tariff, statesmen from the South worked out a positive theory as to its practical effect on the distribution of wealth. The creed was perfectly formulated in a logical fashion by Senator McDuffie of South Carolina as early as 1830, all elaborations by those who followed in his footsteps being merely fine glosses on his protocol. In the Senator's own words, the argument ran as follows: "Owing to the federative character of our Government, the great geographical extent of our territory, and the diversity of the pursuits of our citizens in different parts of the Union, it has so happened that two great interests have sprung up, standing directly opposed to each other." The first of these interests embraces the manufacturers who cannot thrive in the face of European competition without protection and subsidies from the government; the second is composed of the producers of agricultural staples in the South—staples that can find a market only in foreign countries and can be advantageously sold "only in exchange for the foreign manufactures which come into competition with those of the Northern and Middle States. . . . These interests then stand diametrically and irreconcilably opposed to each other. The interest, the pecuniary interest, of the Northern manufacturer is directly promoted by every increase of the taxes imposed on Southern commerce; and it is unnecessary to add that the interest of the Southern planter is promoted by every diminution of the taxes imposed on the productions of his industry."

Thus the southern statesman reduced this phase of the political struggle of the middle period to its final terms: a

conflict over the distribution of wealth. The planter desired a public policy that put money into his pocket, or, to use his customary language, enabled him to keep it there; the manufacturer of the North clamored for a policy that transferred it into his own. In McDuffie's mind it was the old and simple plan of getting and keeping; no political litany could obscure the issue for the initiates. Within two decades, practically all the statesmen of the planting interest were unreservedly committed to the Senator's faith.

No mere academic theory was this concept of the political battle. Statisticians of the South even tried to visualize it in terms of dollars and cents by figuring out the exact amount of "tribute" paid by the planting class to the capitalists of the North. In that calculation they estimated that forty million dollars in round numbers had been poured into the coffers of northern shipowners by 1850 in the form of freight rates. Finding that southern exports amounted to about one hundred millions annually, they came to the conclusion that this enormous sum was in fact lent without interest to northern merchants for use in the manipulation of foreign and domestic exchanges. The toll levied on the South by machine industry, they thought, was especially burdensome. "Were she to work up her 2,500,000 bales of cotton," exclaimed a southern economist, "and receive the profit of $40 each, she would realize 70 to 100 millions annually." To cap the climax, the calculators estimated that the southern people spent fifteen millions in the North traveling for health and pleasure.

If the figures sometimes missed the mark, the thesis was at least plain: through all the economic processes of trade, manufacture, exchange, merchandizing, and luxury, the South was taxed and exploited—in stark reality, brought down to the status of a tribute bearer to northern capitalism. "The South," lamented one orator, "stands in the attitude of feeding upon her own bosom a vast population of merchants, shipowners, capitalists, and others who, without the claims of her progeny, drink up the life blood of her

trade. It cannot be here asserted that a deduction should be allowed for that portion of the southern crop which is shipped directly from the southern ports to foreign countries. The tonnage register will show that nine-tenths of the shipping employed belong to northern capitalists. . . . Where then goes the value of our labor but to those who, taking advantage of our folly, ship for us, buy for us, sell to us, and after turning our own capital to their profitable account return laden with our money, to enjoy their easily earned opulence at home?"

From this point of view the task before the planting states was, therefore, emancipation from the dominion of northern capitalism. "We confidently affirm," declared McDuffie, "that the people of the southern and southwestern states are invoked by considerations of the most enlightened patriotism, as well as of an enlightened self-interest, to apply a speedy and effective remedy. The means of achieving our commercial independence are abundant."

§

A second phase of this titanic conflict over the distribution of wealth involved the problem of controlling the currency and banking. Was it to be centralized under national auspices or dispersed among the states? As a rule, Hamilton's system of consolidation, while it was in effect, had been favored by northern business men because it afforded elastic credit facilities and guaranteed a sound currency for trade throughout the entire United States. Generally speaking, the opposition to that system had come from the agricultural sections. The party which destroyed the second federal bank so ruthlessly that the Whigs could never restore it was Jackson's farmer-labor combination, the new Democracy of the middle period.

And yet it would be a mistake to assume that the Democrats refused all political relations with banks. On the contrary, they adopted the policy of depositing federal funds

in local banks, chartered under state authority, with a view to securing effective assistance akin to that furnished first to the Federalists and then to the Whigs by the United States Bank—a practice which helped to release the tension of "tight money" in the West and South and afforded funds for land speculation as well. It was not without some justification that the shrewd Davy Crockett, commenting on the fruits of the system, remarked: "It requires an eye as insinuating as a dissecting knife to see what safety there is in placing one million of the public funds in some little country shaving shop with no more than one hundred thousand dollars capital. This bank, we will suppose without being too particular, is in the neighborhood of the public lands where speculators who have everything to gain and nothing to lose swarm like crows about carrion. They buy the United States' lands upon a large scale, get discounts from the aforesaid shaving shop which are made upon a large scale, also upon United States funds; they pay the whole purchase money with these discounts and get a clear title to the land, so that when the shaving shop comes to make a Flemish account of her transactions, 'the Government' (*i.e.*, President Jackson) will discover that he has not only lost the original deposit, but a large part of the public lands to boot."

In fact, so notorious did the evils become that the Democrats in control of the federal government were forced to abandon the distribution of the revenues among banking concerns and safeguard their funds by establishing an independent treasury system. Thus the national government was cut loose from banks altogether. Neither the eloquence of Webster nor the persuasion of Clay could induce farmers and planters to agree to the creation of a third United States Bank; the obvious beneficiaries of such an institution were not as numerous and widespread as those who partook of the advantages of a protective tariff.

The destruction of the second United States Bank, of course, left unsolved the problem of the currency—that

powerful engine which could be used for transferring wealth from one group to another as well as for supplying the means of commerce. Since the Constitution mentioned only gold and silver coin, there were in the early days of the republic a few statesmen who clung fiercely to hard money in the belief that the right to issue paper would be employed to favor the politicians, if exercised by the government, and to enrich the capitalists, if vested in private corporations.

But those who adhered to this view were soon overborne; the volume of metal was too small, the necessities of commerce too great. Accordingly, the first United States Bank founded in 1791 was authorized to emit bills and before it came to an end twenty years later, numerous local banks, chartered by the respective states, had also been empowered to scatter notes broadcast. In 1815 there were already in existence more than two hundred state banks; and after the abolition of the second United States Bank in 1836 they flourished like green bay trees. Each year saw additions to the number until on the eve of the Civil War there were sixteen hundred institutions, with a circulation of $202,000,000 in bills based upon $87,700,000 in specie.

While many of these local banks were managed conservatively, others, especially in the South and West, were in the hands of inexperienced, often unscrupulous, operators; and every time there was a financial crisis some of them went to the wall, causing serious losses to the holders of their paper. For example, one of these "financial institutions," fittingly named "wildcat banks," wound up its affairs in chancery with bills to the face value of $580,000 in circulation and $86.46 in specie on hand for redemption. In vain did official inspectors of state banks seek to prevent such frauds: the devices of the "financiers" were too cunning for the best of watchmen. A specially clever manager, for instance, spread a layer of gold and silver on a foundation of nails and glass in his strong box, giving the appearance

of "great resources." A whole group of banks conspired to defeat the law by sending specie from one to another in advance of the inspector. "Gold and silver," complained a perplexed commissioner, "flew about the country with the celerity of magic; its sound was heard in the depths of the forest, yet like the wind, one knew not whence it came or whither it was going."

With increasing velocity a flood of paper poured out upon the nation, some of it sound, some of it quickly depreciating, and nearly all of it fluctuating violently with the oscillations of business. As time passed, affairs grew worse rather than better. The development of railways spread all over the country the notes of local banks—frequently bills, known in the vernacular as "shin plasters," calling for sums as low as five cents. The growth of interstate commerce aggravated the disease until bewildered merchants and capitalists were driven to desperation trying to keep their accounts straight in paper that went up and down from day to day.

To make a long story short, on the eve of the final crash in 1860, the American currency system, under the drive of an agrarian democracy, had reached a state relatively more alarming, if possible, to business enterprise than it had attained under the Articles of Confederation in the previous century. Only a Daniel Shays was needed to reproduce the earlier terror.

So grave were the evils of loose banking that they could hardly be overlooked by anyone. Indeed they were early assailed by the radical agrarians themselves with scarcely less vehemence than by business men. As a matter of fact the banks chartered under state authority were corporations of capitalists and objects of suspicion to Jacksonian Democrats of the left wing; even farmers did not like to be cheated by bills founded on little or no specie. Accordingly the cry went up in favor of "notes inflated but sound" issued by and on the credit of state governments—in spite of the fact that the Constitution of the United States de-

clared in words strong and exact: "No state . . . shall emit bills of credit; or make anything but gold and silver coin a tender in payment of debts."

Ignoring this clear prohibition Missouri farmers and planters, pinched for money, decided, as soon as they got into the Union, that they would make cash with the printing press. On their demand the local legislature provided in 1821 that the state treasury should issue two hundred thousand dollars worth of certificates in denominations of not less than fifty cents or more than ten dollars, the said bills to be distributed among the counties on the basis of population and lent to the needy on farm mortgages and personal property. The printing was done and the "certificates" were sent on their mission of relief.

Then the authors of the program had to reckon with John Marshall, Chief Justice of the United States Supreme Court, before whose august tribunal the issue finally came. In the course of time a Missouri debtor, with an ironic shrug, refused to pay the state government when it attempted to collect from him a loan originally made in its own notes, alleging in his defense that the issue of the bills was invalid from the beginning because forbidden by the federal Constitution. This case being taken to Washington, the Supreme Court, with Marshall rendering the opinion, sustained the debtor. Scarcely concealing his impatience, the stern old Justice turned a deaf ear to the eloquent argument of Senator Benton, who pleaded the cause of his state; in language that admitted of no ambiguity, Marshall informed the fiat-money party that no state could emit bills of credit designed to circulate as money on the faith of the state itself. So the Missouri paper money law was declared null and void. The chapter was apparently closed.

In fact, however, it was not really closed, for the neighboring state of Kentucky, shrewder in its generation than Missouri, had discovered a more subtle scheme for issuing paper money. Its legislature in 1820 established a bank in the name of the state, chose the directors and president

of the institution, and authorized the corporation to issue notes, receive deposits, and make loans on real and personal property. In due course the validity of this act was questioned before the Supreme Court, where, at a preliminary trial, with two members of the tribunal absent, three of the five judges, Marshall in the lead, concurred in holding the Kentucky law void because in effect the state was issuing bills of credit under it. But since three justices did not constitute a majority of the full Court a rehearing was ordered.

When the case came up again, three years afterward, namely, in 1837, the composition of the bench had been changed; Marshall had passed from the scene and Jackson's stanch friend, Roger B. Taney, had taken his seat. Now dominated by western and southern men, the Court chose Justice McLean, a Jackson appointee from Ohio, to write the opinion in the Kentucky cause. After examining the statute enacted by his neighbors across the river from Cincinnati, the learned Justice came to the conclusion that, in spite of Marshall's declaration, the law did not conflict with the clause of the Constitution forbidding states to issue bills of credit. In other words, a state could charter a bank, hold all its stock, choose its officers, and empower it to issue notes and lend them to citizens, without imparting to the institution any of the "attributes of sovereignty."

With an eloquence marked by pathos, Justice Story dissented from this solemn judgment, speaking for himself and his dear colleague, the late Chief Justice Marshall. In a note of despair, Chancellor Kent of New York, on reading the report of the case, declared that he had lost his "confidence and hopes in the constitutional guardianship and protection of the Supreme Court." The Constitution remained just as written by the Fathers but new men were consulting the auspices. Well might the spiritual heirs of Shays rejoice in western cornfields. To private banks issuing notes could now be added state banks engaged in the same business.

Victorious in the currency field, the party of the easy way

began to draft bankruptcy laws and other legislation touching private rights in tender spots. Here, too, fine juridical points were involved; for it was necessary to take into account that clause of the federal Constitution which forbade states to impair the obligation of contracts. As interpreted by Chief Justice Marshall in the celebrated Dartmouth College case of 1819, and other opinions less famous in the history of constitutional law, those brief words, broadly speaking, commanded local legislatures never to repeal charters, land grants, and other privileges once issued to private persons and corporations, even if corruption had entered into the original transaction. In the same spirit, an act of the New York legislature authorizing bankrupts to discharge their obligations by turning their assets over to creditors in due form was declared invalid as to contracts, notes, and debts made previous to the enactment of the law.

In the course of time, however, changes in the personnel of the Court put Marshall in the minority and, much to his chagrin, his colleagues in the great tribunal sustained a bankruptcy law which applied to debts contracted *after* its passage. This was a decision of high consequence. Webster, who was of counsel in the case, put the situation in a nutshell. "Suppose," he said in his plea, "a state should declare, by law, that all contracts entered into thereafter should be subject to such laws as the legislature, at any time, or from time to time, might see fit to pass. This law, according to the argument, would enter into the contract, become a part of it, and authorize the interference of the legislative power with it for any and all purposes, wholly uncontrolled by the Constitution." Nevertheless, with Marshall vigorously dissenting, the Court declared in effect that whenever a state specifically reserved to itself the right to repeal or alter charters and contracts made in the future, such reservation gave it a free hand, in spite of the clause forbidding it to impair such obligation.

Quickly grasping the import of this decision, restive states

cast off another federal shackle. Wisconsin, for example, in drafting her constitution of 1848 inserted in the article dealing with corporations the pertinent words: "All general laws or special acts, enacted under the provisions of this section, may be altered or repealed by the legislature at any time after their passage."

Thus, in solemn decisions, Jacksonian judges from agrarian states broke down the historic safeguards thrown around property rights by the letter of the Constitution and the jurisprudence of John Marshall. For practical purposes they declared the states to be sovereign. So in 1860 the country stood in fundamental respects just where it did in 1787 under the Articles of Confederation. Nothing but another radical change in the membership of the Supreme Bench or a constitutional revolution, such as that effected in 1789, could repair the havoc wrought in business enterprise by agrarian actions. This second revolution was to come—during the storm of war when the Fourteenth Amendment was forced on the nation by the military power of a Republican administration.

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On the states' rights view of the Constitution, the reduction of the tariff, the overthrow of the United States Bank, and a general easing of the currency, Jacksonian Democracy presented a fairly united front at the turn of the half century, its future seeming to be assured beyond all question. However, among the irrepressible issues thrust upon the country during the middle period by physical fact was the land question, a partisan ghost that could not be laid by political verbalism. The immense public domain was a grim reality, and everybody was interested in its fate.

As we have seen, farmers and mechanics were determined to have it for themselves without paying anything for it; manufacturers were afraid of losing their workmen if this

division was made; and in due time planters came to see in free states of free farmers a menace to their own supremacy unless the peril could be offset by acquisitions of new slave territory. All politicians were deeply enmeshed in the issue. Senators, Representatives, Judges, and Cabinet officers were quite commonly engaged in land speculations, watching like hawks every bill that promised to affect their acquired rights. Eastern capitalists had a stake in the affair; they bought large sheaves of the land warrants issued by the government to soldiers, secured choice sections of the public domain, and withdrew their property from the market in the hope of gain through appreciation. Squatters who had gone to the frontier and settled upon land without permit or title cried out continuously for measures of relief and confirmation. Highway, canal, railroad, and land companies, intriguing and lobbying for land grants, managed to get possession of magnificent principalities, frequently with the aid of members of Congress who personally profited from their projects. In the midst of this lively scramble over the distribution of the national domain towered one lonely figure of heroic stature, Dorothea Dix, laboring in vain for years to wring from the federal government an appropriation of land in aid of the insane poor whose treatment in that period was a disgrace to the United States.

As time passed, the agitation over free homes for the landless drowned all other clamor, swelling to proportions that seemed terrifying to the steersmen at the helm, as every turbulent element in the seething democracy of the age became enlisted in it. Summed up in the alluring slogan, "Vote yourself a farm," the creed appealed with equal force to radical workmen of the eastern cities and to radical farmers of the Mississippi Valley. Labor champions found in the Homestead project a solution for the problem of industrial misery; one of the outstanding agitators of the time, George Henry Evans, a leader in the National Reform Association, organized meetings, held

conventions, and rallied the proletariat to the cause. Editors took it up as a popular movement. German immigrants, fleeing from poverty and oppression, added their pleas to the demands of native Americans. Abolitionists joined the chorus, for they saw in the advance of independent farmers a check on the spread of the slave empire. Philosophers who pondered on human rights shared in the hue and cry. "If any man has a right to life," asserted an apostle of this school, "he has, by inevitable consequence, the right to the elements of life, to the earth, the air, and the water."

Those who opposed the scheme were denounced as the enemies of mankind. "Both old parties" were condemned —charged with being "in favor of selling the fertile soil to mercenary wretches who might as well traffic in the life's blood of the poor." Thus the dangerous doctrines of liberty, equality, and the pursuit of happiness, so menacing to the planting order, were invoked in the struggle over the dispersion of the national domain.

Shortly after the log-cabin and hard-cider campaign of 1840 the homestead agitation, in full force, burst in upon the floor of Congress and from that time forward the drumbeat of the land reformers continued the uproar until an alignment was finally effected. In the process party ranks were broken to correspond more closely with the diversified interests of the country, as the Whigs and the Democrats both split, forecasting the great disruption just over the horizon. In the House of Representatives, that branch of the federal government "nearest to the people," many Democratic members, especially from the districts inhabited largely by independent farmers, voted for free homesteads when the question first came before them; in fact, a majority of the southern delegation favored the project at first. In the Senate, however, where planters were more powerful, opposition was resolute; it was the vote of southern statesmen that defeated the homestead bill of 1852. "The South opposes the movement," wrote

a Whig editor of New York, "and to our mind correctly denounces it as a fraud and as a scheme that could proceed from no other source than demagogism itself."

Seven years later when the measure in a modified form was again pressed in Congress, the sectional pattern was almost perfect, only three southern members in the House placing themselves on the side of free distribution, while the whole northern contingent, except for a handful of Democrats and one Whig, voted solidly for the bill. Once more southern spokesmen in the Senate were obdurate; unable to secure the annexation of Cuba to balance free land in the West, they voted almost unanimously against the measure sent up by the House.

The result of this deadlock was a compromise which fixed a small price for homesteads and provided that, at the expiration of thirty years, any land remaining unsold should be ceded to the states. This measure, largely engineered by Andrew Johnson, the agrarian Democrat from Tennessee, was finally carried through Congress in 1860 by large majorities, to the delight of agitators; but it was killed in the White House by President Buchanan. Ignoring the pleas of the left wing, the President vetoed the Homestead bill, declaring in defense of his action that it would deprive the nation of a valuable heritage, "go far to demoralize the people," and perhaps "introduce among us those pernicious social theories which have proved so disastrous in other countries."

Thus a Democratic executive, who had on other occasions indicated his sympathy for the planting faction of his party, defeated an economic project resolutely backed by Democratic farmers and workingmen of the North and West. Already a third Republican party, bearing the name of Jefferson's old agricultural interest, had accepted the challenge and was rousing the masses with the new slogan, "Vote yourself a farm," while rallying the manufacturers with a kindred cry, "Vote yourself a protective tariff." The hour for the transfer of the public domain to private

persons without compensation and the creation of protective safeguards for American industry was at hand.

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In this clash of forces the two prevailing labor systems of the country—free and slave—inevitably became involved. From the beginning, as already noted, the planting statesmen looked upon the working classes of the industrial cities, in their struggle for power in the government, as a menace to the social order, no matter how much they rejoiced to receive the votes of mechanics. The Jeffersonian fear of the "mobs of the great cities," widely spread among the leaders of the South, had every appearance of being genuine. In the growing strength of an educated white proletariat they saw, or thought they saw, a rising peril to property, liberty, and the Constitution. Again and again, with tireless emphasis, they asserted that belief upon the floor of Congress. They did more than that; they insisted that the system of Negro slavery was not only safer to ruling classes but, considered in terms of humanity, superior to that of wage labor. In any event such was their official creed even though they gladly made use of the northern proletariat to defeat the party of Hamilton and Webster. In the taunt of John Randolph: "Northern gentlemen think to govern us by our *black* slaves; but let me tell them, we intend to govern them by their *white* slaves."

Of the many philosophers who expounded this doctrine, none displayed more dialectics than Senator Hammond of South Carolina. "In all social systems," he said, "there must be a class to do the mean duties, to perform the drudgery of life. . . . Such a class you must have or you would not have that other class which leads to progress, refinement and civilization. . . . We call them slaves. We are old-fashioned at the South yet; it is a word discarded now by ears polite; I will not characterise that class at the North by that term; but you have it; it is there; it is every-

where; it is eternal. . . . The difference between us is that our slaves are hired for life and well compensated; there is no starvation, no begging, no want of employment among our people, and not too much employment either. Yours are hired by the day, not cared for, and scantily compensated, which may be proved in the most deplorable manner, at any hour in any street of your large towns. . . . Our slaves do not vote. We give them no political power. Yours do vote and being the majority, they are the depositaries of all your political power. If they knew the tremendous secret that the ballot box is stronger than any army with bayonets, and could combine, where would you be? Your society would be reconstructed, your government reconstructed, your property divided. . . . You have been making war upon us to our very hearthstones. How would you like for us to send lecturers or agitators North to teach these people this, to aid and assist them in combining, and to lead them?"

On the other side of the line an equally vigorous indictment was formulated against slavery—the economic foundation of the planting class. Like Jefferson's antipathy for the urban "mobocracy," opposition to human bondage was as old as the republic. Many of the founding Fathers from the South as well as the North regretted the existence of slavery in the United States and hoped that the day of its disappearance would come somehow in the course of events.

After that generation had passed, Harriet Martineau, the English critic who traveled widely through the southern states in 1835, recorded that, in all her conversations with planters, she found only one who defended the system without reservations. About the same time the Virginia legislature seriously debated the issue of emancipation, many of the members indulging in the severest criticism of chattel servitude. "Slavery in the abstract," exclaimed Senator Benton of Missouri, a slave state, "has but few advocates or defenders in the slave-holding states." It was the seem-

ingly insuperable difficulties inherent in the problem of freeing slaves, rather than ethical and religious teachings, that afforded the best defense which the early spokesmen of slavery could advance.

And deeds spoke louder than words. From the foundation of the republic there had been an unmistakable display of good faith on the part of those who disliked slavery. Its exclusion from the Northwest Territory in 1787, the abolition of the slave trade in 1808, and the formation of colonization societies to encourage emancipation by the return of slaves to Africa were all signs that the system of human bondage fretted the conscience of statesmen and private individuals. It is true that the territory south of the Ohio was opened to slavery, that some of the opposition to the slave trade came from the breeders of Negroes who wanted to shut off foreign competition, and that many advocates of colonization really desired to get rid of free Negroes whose presence among slaves was not conducive to order.

Yet running through all these movements was a sincere desire to curtail the area of slavery. Even a better evidence of this sentiment was to be found in the Missouri Compromise, by which many southern leaders, bending before strong pressure from the North, agreed to surrender the bulk of the Louisiana territory to freedom. Indeed, it was not until the full effects of the revolution wrought by textile machinery were felt in the planting states, not until the northern attack on southern economic policies was launched all along the line, that opposition to slavery practically disappeared among the statesmen of the cotton belt.

Naturally it was in the North where the value of slavery was slight that hostility to the institution—often mingled with hostility to the political economy of the planters—took the firmer root and flourished with the greater vitality. As early as 1775, before the battle of Lexington and Concord was fought, there was founded in Pennsylvania, under the presidency of Benjamin Franklin, a Society for Promot-

ing the Abolition of Slavery, followed by the formation of similar organizations in other northern states and in Maryland. In 1794 these societies held a national convention, the first of a series assembled at more or less regular intervals for about a quarter of a century. Their discussions, however, were rather platonic and, after slavery was abolished in the northern states, their proceedings evoked no serious interest on the part of the public. It was not until the middle period when the economic struggle between the sections grew tense that the agitation against slavery became relentless and virulent.

It was in 1831, just a year before South Carolina threatened to leave the Union on account of the tariff of abominations, that William Lloyd Garrison issued from his press in Boston the first copy of a belligerent anti-slavery paper, The Liberator. Two forerunners had broken the path but they had been mild in comparison. The Emancipator, founded in 1820 at Jonesborough, Tennessee, by Elihu Embree, a Quaker of radical tendencies, had expired without making more than a ripple in public complacency. Neither did a second venture, called The Genius of Universal Emancipation, started in the summer of 1821 by another Quaker, Benjamin Lundy, ring a militant alarm bell. But Garrison, a man of different temper, had iron in his soul. He had been in prison in Baltimore for writing an article for Lundy's paper alleging that a certain New England merchant had allowed one of his ships to be used in carrying slaves down the coast, and while within the gloomy walls of his jail had meditated on powers and principalities. There he reached a high resolve, and on his release, hurried swiftly back to Massachusetts where, with a shrill cry of impatience, he issued The Liberator from a dingy back room in Boston.

Now an editor on his own account, Garrison broke away from the mild program of Lundy, taking his stand squarely in favor of "immediate and unconditional emancipation" and openly confessing repentance for having once accepted

"the popular but pernicious doctrine of gradual emancipation." His creed simple, his language as imperious as the declamations of the ancient prophets, he contended that slavery was "a crime—a damning crime" and hence that all slaveholders were criminals and their supporters partakers of their guilt. No person or institution was great enough to escape his passionate criticisms. Webster, Clay, Calhoun, all statesmen and politicians, high and low, who defended slavery, espoused compromises, or sought to avoid the issue came in for a full measure of his scathing abuse. To him the Constitution was no sacred parchment; it was a slave-owners' document—a "covenant with death and an agreement with hell." Day and night Garrison cried aloud that "slavery must go!"

And yet he had no definite scheme for realizing his aim, no method of politics or organization. He did not attempt to marshal voters at the polls; neither did he preach revolution. Indeed he had little interest in politics and on principle he was bitterly opposed to violence, believing rather in the doctrine of non-resistance. Just one consuming idea possessed him: slavery is a crime. Just one message poured from his soul: slavery must be abolished. On all mankind he served notice that he would plow his furrow to the end: "I am in earnest—I will not equivocate—I will not excuse—I will not retreat a single inch—and I will be heard."

Soon a band of adherents, men and women, as severe and uncompromising as he, rallied around the Garrison banner. Wendell Phillips, of a fine old New England family, laid aside all plans for a reputable career to devote his consummate arts as an orator and agitator to the cause of emancipation. The Quaker poet, Whittier, turned his craft to framing indictments that meted out rhythmic damnation to slavery and politicians allied with it. In poem and in prose, satire and argument, James Russell Lowell held up to scorn the defenders of "our peculiar institution." Emerson added the weighty words of the philosopher to the cutting

observations of the good hater. In 1852, Harriet Beecher Stowe dramatized the abolitionist creed in a novel, Uncle Tom's Cabin, which stirred the emotions of multitudes that had never read a political speech or heard a serious debate on any theme.

In arousing public sentiment every known instrument was utilized by the agitators. Local anti-slavery societies were formed and then federated into a national organization. Quakers, inspired by the teachings of Elias Hicks, inveighed against cotton broking and dealing in the products of slave labor, opening shops where "free goods" could be bought. Petitions denouncing human bondage were circulated by the thousands, signed, and showered upon Congress for the purpose of forcing debates there. Papers and tracts were widely distributed, even through the post offices of the South. References to slavery agitation were slipped into textbooks and popular works—much to the distress of editors and school trustees below the Potomac. Pressure was brought to bear upon northern legislatures to wring from them measures favorable to the cause, especially "personal liberty laws," granting to fugitive slaves the right of trial by jury, forbidding the use of local jails by slave catchers, and imposing heavy penalties on persons who tried to carry free Negroes into servitude.

Not satisfied with appealing to opinion and to law, many anti-slavery leaders, turning from words to deeds, laid out routes, known as underground railways, along which they spirited slaves from the South to safety in the North or in Canada. Advancing a step further, they occasionally organized mobs to rescue fugitives who were being carried back to bondage by their masters. In short, every conceivable agency was employed to arouse an undying hatred for slavery and the owners of slaves. If some of the agitators tried to keep the campaign on a high level of ethics and argument, others descended to the depths of abuse and scurrility.

The sources of this remarkable movement are difficult to

discover. Westermarck, in two huge volumes devoted to the history of moral ideas, gives no clue to the inspiration of such a crusade. Unquestionably, most of the men and women prominent in the anti-slavery agitation were deeply religious and made constant use of the teachings of Jesus in their appeals for support; Embree and Lundy were Quakers; Garrison was a Baptist in faith, if not in church membership. And yet on the other side were millions of Christians who saw in human bondage nothing inconsistent with their creed, who used the same Scriptures with equal zest in defence of the institution. Again, the abolitionists were also fond of appealing to Jefferson and the Declaration of Independence as their authority, but they could claim no monopoly in that sphere; for the last bulwark of slavery was found in the Democratic party, which professed to represent in politics the humanity of Jefferson.

Nor was sacrificial benevolence a controlling force in the abolition crusade. Indeed, the defenders of slavery taunted the agitators with calling for concessions at the expense of other people, and with a show of reason. Certainly the abolition movement was confined almost entirely to the North where there were no slaves to emancipate; the handful of southerners, such as the Grimké sisters, who freed their bondmen and dedicated themselves to Garrison's cause, merely offering exceptions that proved the rule. The only scathing indictment of slavery that came from the South after the agitation had reached serious proportions —The Impending Crisis by Hinton Rowan Helper—was penned by a man whom slave owners branded with the odious term of "poor white." And yet, conceding that the abolition cry appealed mainly to those who had nothing to lose by the revolution, it remained a fact that devotion to the creed sprang largely from sentiments of a moral nature.

How deeply this agitation went and how many people were really stirred by it can hardly be determined. According to all available figures the smoke was larger than the fire. One historian of the movement estimated that at the

height of the struggle there were in the whole country about two thousand anti-slavery societies with approximately two hundred thousand members. Another reckoning placed the number of petitioners who signed the anti-slavery documents, presented by John Quincy Adams to the House of Representatives, at three hundred thousand. But when some of the abolitionists, greatly overrating their strength, entered the political field in 1844 with their Liberty party, they could muster only sixty-five thousand recruits from among the two and a half million voters who cast their ballots in that election. That was America's answer to a direct call for abolition and, now fully apprised of their voting strength, the advocates of the doctrine never again ventured to present a candidate to the suffrages of their countrymen.

In other words, immediate and unconditional emancipation as the rallying cry for a political party was from the beginning to the end a total failure. If, therefore, the realization of the abolition program had depended on the capture of a majority of the voters, if other factors than moral education had not intervened, the agitators might have waged a forlorn battle indefinitely. In any event, twenty years after Garrison launched The Liberator, the Democratic party on a positive pro-slavery platform carried every state in the Union except four; and that was in 1852, many months after the appearance of Uncle Tom's Cabin, which filled the country with the turbulence of debate. "It deepens the horror of slavery," wrote Ticknor of that novel, "but it does not change a single vote." The balloting seemed to warrant his assertion.

Nevertheless it appears that the influence of the abolition agitation far outran the measurements that were taken at the polls. Within six years after Garrison hoisted his flag in Boston, John Quincy Adams wrote in his diary—not for immediate political purposes—these revealing words: "The public mind in my own district and state is convulsed between the slavery and abolition questions, and

I walk on the edge of a precipice in every step that I take." In the same year, 1837, Webster openly declared that the anti-slavery feeling was not to be "trifled with or despised." In the Senate, his southern colleague, Calhoun, professed to be deeply frightened by it, making in reply, two years later, his famous speech in defense of slavery which called for an unconditional suppression of the abolition agitation as the price of continued Union.

If some were inclined to discount such alarms as mere political effervescence, the fact remained that in several northern states where the parties were fairly equal a few voters held the balance of power and on various occasions exercised their prerogative with deadly effect. In the election of 1844, for instance, the anti-slavery candidate, by taking a few thousand votes away from Clay, the Whig leader, gave the presidency to James K. Polk of Tennessee, spokesman of the Democracy. Continually haunted by fear of such schisms, politicians bent on the possession of office and power had to be careful lest a tiny minority of agitators throw their entire national machine out of gear.

So, after all, the abolitionists did not have to muster a conquering host to frighten the managers of party affairs and to advance their own designs. By little threats, they forced many a Whig candidate out into the open and in turn helped to consolidate all wavering forces in the South behind a single banner—safety to slavery. At the same time they compelled many a northern Democrat to speak softly on the excellence of "the peculiar institution" when he would fain have rallied whole-heartedly to his southern brethren. In a word, the fortunes of politics often hung upon the maneuvers of a "contemptible minority."

And yet it must not be supposed that even the opponents of slavery were solidly united in their creed or in their strategy. The reverse was true: they were divided among themselves into innumerable factions. On the right wing were sentimentalists who regretted the existence of the institution but thought that little could be done to mitigate

its evils or remove it. On the left were Garrison's invincibles who condemned bondage as a crime and were prepared to abandon the Constitution and declare the Union dissolved to get rid of it.

Between these extremes were all shades of opinion. A large number of people were merely opposed to the extension of slavery into the new territories—a policy that seemed both humane and practicable since Congress had proclaimed freedom in the Northwest in 1787 and the northern part of the Louisiana Purchase in 1820 and a further application of accepted doctrine could be readily made. A smaller number, hostile to slavery everywhere on principle, yet hoping that planters could be persuaded to listen to the voice of sweet reason and accept compensation in return for emancipation, suggested that the revenues from the sale of public lands be devoted to this purpose.

Perhaps the major portion of all those who in their hearts disliked slavery were bewildered by the complex character of every solution offered. Lincoln himself, even though in his later years he fought consistently for freedom in the territories, could see no way to emancipation until a crisis forced a decision upon him. Nor is this a matter for wonder. The four million slaves represented a property interest amounting to billions of dollars, ramifying in every direction through the whole planting system and through numerous industrial and commercial activities that rested upon servile labor—involving the North almost as much as the South in its economic net.

Moreover, for the politician who respected established law there were insuperable obstacles in the path of abolition; for under the Constitution the national government had no authority whatever over slavery in the states where it already existed. And if emancipation came, what could be done with the four million slaves themselves? What civil, economic, political rights were to be given them on the morrow of liberty? Practical men simply could not visualize the fiscal and administrative measures necessary to

effect such an enormous social revolution. Perhaps most practical men gave little or no thought to the finalities of the issue. If they felt in their bones that a crisis was ahead, they were in any case powerless to prevent the storm; and in the days to come the little plots and plans which they had evolved were tossed aside as the toys of children. In the economy of Providence, as the orators were fond of saying, abolition agitators were to be justified by history, not by the work of their own hands or by any of the political instruments they had forged.

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On the planters the immediate effect of the anti-slavery clangor was a consolidation of forces and a searching of minds and hearts for an effective answer. Clearly the hour for apologetics had arrived and human intelligence was equal to the occasion. In the long history of defense mechanisms, there is no chapter more fascinating than that which recounts the rise and growth of the extraordinary system of ethics which, at the very height of the slave power, formed the moral bulwark of its established order.

The system did not, of course, spring full blown from the brain of any single thinker. It was the work of many minds, separate departments being added from year to year under the stress of attack from without and the pressure of fusion within. At length it was finished—an exhaustive compendium of historical, legal, constitutional, economic, religious, ethical, and philosophical arguments in support of slavery, a vast and intricate body of logic suffused with the glow of righteous sincerity and adorned with gems of classical eloquence—a ready and inspiring guide capable of sustaining those troubled by doubts and fortifying combatants on the firing line of politics. Representatives in Congress, newspaper editors in their sanctums, clergymen in their pulpits, professors in the institutions of learning, and political leaders ranging from national figures

down to village politicians now had at their tongues' tips a reply to every attack, a foil for every thrust. By the irony of fate the great argument reached its perfection at the very moment when the economic class for which it provided moral assurance had passed the peak of its power and, unknown to its defenders, was tottering on the brink of doom.

On the economic side, the case for slavery was formulated by Calhoun in a powerful speech delivered in the Senate of the United States in 1839 with the precision and solemnity that marked all his great utterances. Advancing to the fray, lance in hand, Calhoun flung out the assertion that slavery, in the existing state of society, was not an evil but "a good—a positive good," a startling proposition which he sustained by two contentions.

First, the slaves had been brought from Africa "in a low, degraded, savage condition" and in the course of a few generations had been raised "to a comparatively civilized condition" under "the fostering care of our institutions." To this he added a second theorem even more fatalistic. In every civilized society, the bearers of culture must live upon the labor of others; this has always been true; it is still true; modes of exploitation merely differ. Under the "subtle and artful fiscal contrivances of modern times," the person who works for wages is exploited more severely than the chattel laborer, and then, in time of sickness, unemployment, and old age, he is committed to the tender mercies of the streets or of the almshouse. On the other hand, less is exacted from the slave and a solicitous attention is paid him in sickness and the infirmities of years. "Compare his condition," exclaimed Calhoun, "with the tenants of the poorhouses in the more civilized portions of Europe—look at the sick, and the old and infirm slave on the one hand, in the midst of his family and friends, under the kind superintending care of his master and mistress and compare it with the forlorn and wretched condition of the pauper in the poorhouse."

No less imposing was the political case for slavery.

Having demonstrated to their satisfaction the excellence of their economic system and the superiority of slave over wage labor, defenders of the institution argued that the relation established between master and servant in their section formed "the most solid and durable foundation upon which to rear free and stable political institutions," to use Calhoun's phrasing. This thesis was unfolded in a neat chain of reasoning: the slaves are of another race; they are kept in ignorance and take no part in government; they do not expect to improve their lot and are affected by no social ferment; they are widely scattered on lonely plantations and cannot be welded into unions for revolt. Thus the repose of the existing order is assured and the Constitution of the United States is afforded a stable economic bulwark; the "monstrous doctrine of equality" now making dangerous progress and threatening the security of private property throughout the civilized world meets in the South invulnerable barriers.

To the inescapable logic of economic and political science was added the authority of religion. While discussing "the moral aspect of this institution" in 1858 a southern member of Congress declared that slavery had the blessing of God and the Bible as well as of the Constitution and profane history. "We learn from the Holy Scriptures," exclaimed the orator, "that Abraham and many wise and good men of that day not only held slaves but exercised acts of ownership over them; and that God Himself, after he had rescued the children of Israel from the house of bondage, sanctioned and recognized slavery both in principle and in practice. In defining the rules for their government and their moral observance, it was prescribed that 'Thou shalt not covet thy neighbor's man-servant nor his maid-servant nor anything that is thy neighbor's.' Thus, sir, not only sanctioning slavery but providing for its protection for all time to come."

In the same vein a Virginia member of the House of Representatives, after a detailed presentation of the theological argument, summed up the whole case of morals and

religion in a moving peroration: "I believe that the institution of slavery is a noble one; that it is necessary for the good, the well-being of the Negro race. Looking into history, I go further and say, in the presence of this assembly and under all the imposing circumstances surrounding me that I believe it is God's institution. Yes, sir, if there is anything in the action of the great Author of us all; if there is anything in the conduct of His chosen people; if there is anything in the conduct of Christ Himself who came upon this earth and yielded His life as a sacrifice that all through His death might live; if there is anything in the conduct of His apostles who inculcated obedience on the part of slaves towards their masters as a Christian duty, then we must believe that the institution is from God." This was both comprehensive and emphatic.

Although the "new psychology" had not yet risen above the intellectual horizon to contribute its decoration to the teachings of economics, politics, history, and religion, a Swedenborgian clergyman set forth "The Spiritual Philosophy" of bondage in the terminology of his sect. "By African slavery the sensual-corporeal principle of the African," he said, "is brought into obedience and subjection to the natural or scientific plane of the white man's life. The white man wills and thinks for him, determines his outgoings and his incomings, his food, his clothing, his sleep, his work, etc. . . . What is the result? His sensual-corporeal is adjusted as a servant to the regenerate natural of the white man and receives influx through it. His hereditary torpor is dissipated; the sphere of order, justice, and active use into which he is inserted is repugnant to his attendant evil spirits and they measurably leave him. . . . He is passing through the process which Almighty God has provided and which will eventuate in his true liberty and his final salvation. 'Bonds make free, so they be righteous bonds.' "

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Those who resisted the agitation of the abolitionists did not confine themselves to arguments. Like their opponents, they seized upon all the weapons of law and custom, going occasionally beyond social peace—to violence and intimidation. When petitions for the abolition of slavery and the slave trade in the District of Columbia began to shower upon the House of Representatives like autumn leaves, in 1836, a member from Georgia proposed to reject them all, thus simply denying the ancient right of petition accorded by the express language of the American Constitution. After an impassioned debate a resolution known as the "gag rule" was carried, condemning slavery agitation and providing that petitions referring to the subject be tabled at once without consideration. Though this restraint on civil liberty was later removed from the records of the House on the insistent demand of John Quincy Adams, it betrayed a firm determination on the part of southerners to brook no interference with their peculiar institution, at any peril to constitutional forms.

In their own section where, of course, they had a free hand, the champions of slavery took even stronger measures in their efforts to stamp out propaganda. Defying the law, southern postmasters made a common practice of destroying abolition literature sent through the mails. Frightened by the specter of servile revolts, a number of states forbade the printing and distribution of attacks on slavery, Louisiana, for one, providing that persons guilty of this offense should be either imprisoned for life or put to death. With an eye to protecting the young, guardians of the established order also scrutinized school texts and other publications that came from the North and from foreign countries. In Appleton's dusty collection of facts, known as A Complete Guide of the World, one self-appointed inspector of public safety found "hidden lessons of the most fiendish and murderous character that enraged fanaticism could conceive or indite." To warn the unsophisticated, lists of dangerous books were compiled and published.

In the North, where abolitionists naturally carried on most of their work, the ordinary engines of resistance to criticism were supplemented by mob action. Garrison was beaten and dragged through the streets of Boston in 1835 by a maddened crowd, "including many gentlemen of property and influence," and escaped death only because the police seized him and put him into jail. One of his disciples, the Reverend Samuel May, was set upon at least six times in Massachusetts and Vermont. In Philadelphia, an attack on the abolitionists assumed the proportions of a riot. At Alton, Illinois, Lovejoy, a preacher and publisher, after suffering the loss of three presses at the hands of a mob, was shot to death while attempting to protect the fourth.

Such rioting, instead of meeting universal condemnation, was generally greeted by respectable people as acts of heroism directed against obnoxious pests who deserved death for disturbing the public and for abusing the grand statesmen of the time. Senator Benton of Missouri rejoiced that mobs had "silenced the gabbling tongues of female dupes and dispersed the assemblages whether fanatical, visionary, or incendiary." Before a great crowd in Faneuil Hall, the attorney-general of Massachusetts compared the Illinois mob that shot Lovejoy with the patriot Fathers who made up the Boston Tea Party and branded the victim as a "presumptuous and imprudent" man who had "died as the fool dieth." In fact all over the North the tactics of the abolitionists called forth denunciation and deeds of vengeance—an efficient counter-reformation. It is highly questionable whether they gained any important numerical strength after the uproar of the first decade that followed the establishment of The Liberator. Indeed, with a show of justification, the more confident statesmen referred to their activities as "a rub-a-dub" agitation.

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But the slavery question, as we have seen, did not stand alone. Leaders among the planters not only wanted to conserve their labor supply. They also wanted free trade, or at least tariff for revenue only. They opposed a national bank and a national currency system built upon such an institution, they assailed ship subsidies, and they were generally against internal improvements designed to add to the ties binding the farming West with the commercial East. Declaring that the western territories "bought by common blood and treasure" should be open to slave owners and their bondmen as well as to farmers, they objected to the free distribution of the public domain among the landless—the peopling of new states with inhabitants not attached to the planting interest. Relying upon the mandate of the Constitution, they demanded a return of all fugitive slaves that fled to the North.

So while southern statesmen might speak with constitutional warrant of slavery as "a local institution solely within the sovereign power of the state," they were in fact themselves aggressively operating in the theater of national politics, and in their forward drive they accumulated a host of enemies who cared little or nothing about slavery itself. Many an orator who might have forgiven the South for maintaining a servile labor system could not forgive it for its low tariff doctrines and its opposition to centralized finance.

By forces more potent than abolition agitation, slavery was therefore swept along with vital economic issues into the national vortex at Washington. The institution itself, though under the control of the states, had many points of contact, under the Constitution, with the processes of the federal government. The importation of Negroes was subject to the control of Congress; it had been abolished in 1808 but the enforcement of the law was vested in the President of the United States, who could be either strict or lenient in his methods. Congress had power to make all needful rules and regulations for the government of the

territories, the District of Columbia, the forts, and other lands belonging to the United States; in enacting laws for these regions it was compelled to decide whether slavery should exist in them. The admission of new states was entrusted to Congress; whenever a territory knocked at the door of the Union, the question of prohibiting or permitting slavery had to be squarely faced by the politicians of all schools.

Under the Constitution, slaves escaping from their masters and fleeing into other states were to be returned; Congress had the power to provide for enforcement of this rule. The postoffice was a federal institution; Congress, having the right to say what mail matter should be carried, was forced to consider projects for excluding abolition literature from the mails. Finally, the First Amendment to the Constitution, guaranteeing to citizens the right to petition, a right inherent in all free governments, gave the abolitionists express warranty for laying before Congress anti-slavery appeals of every character.

Hence, the restriction of slavery to the sphere of state politics was in fact as impossible as its isolation for consideration on intrinsic merits. Slavery was but one element, and if the number of abolitionists is any evidence, a minor element, in the sweep of political and economic forces that occupied the attention of statesmen throughout the middle period and finally brought on the irrepressible conflict.

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By means of argument and bargain, for threescore years and more, representatives of the North and the South were able to make peaceable adjustments among the antagonistic groups in the American Union. The Constitution itself, as all the world knew, represented an exchange of concessions and guarantees. Under its beneficent shelter, the owners of slave property received ample protection in return for favors to northern merchants, financiers, and manufac-

turers. The continuance of slavery in the states was implicitly allowed; certainly Congress was given no power to meddle with the institution. Fugitive slaves were to be returned to their masters and three-fifths of the bondmen were to be counted as inhabitants in apportioning representatives in Congress among the several states.

It was not without some authority, therefore, that abolitionists spoke of the Constitution as "a slave-holder's document" and southerners boasted of the recognition which it accorded to their interests. As a matter of fact, it was a treaty of peace between the commercial and planting states. And the generation that made it showed the same spirit of accommodation in deciding the fate of the western territories: the Northwest being dedicated to freedom and the region below the Ohio opened to slavery.

A quarter of a century later, after slavery had been abolished in the original states north of Delaware, a similar facility for adjustment led the way to a peaceful settlement of another fierce dispute. In 1818 the territory of Missouri sought membership as a state in the Union—with slavery as a matter of course since the institution had been tolerated in that region from the early days of the French settlement. On the very threshold, the applicant was greeted in the House of Representatives by a proposal that no new slaves should be permitted to enter Missouri after the act of admission and that all slaves subsequently born there should be ultimately set free.

A deadlock ensued. The South, having half the Senators, could prevent the passage of this plan for the restriction of slavery and the North, commanding a majority in the House, could keep Missouri out of the Union. Only after a long and stormy debate, which filled the aged Jefferson with anxiety for the safety of his country, was the Gordian knot cut: Maine, separated from the parent state of Massachusetts, was admitted as a free state and Missouri with her slaves. In connection with this settlement it was agreed that the rest of the vast Louisiana territory north of the

parallel of 36° 30′, like the old Northwest, should be forever free, while the southern portion, relatively small in extent, should be by implication open to slavery.

With this adjustment the extremists of neither party were satisfied. Brusque old John Randolph called it "a dirty bargain," sneered at its northern supporters as "dough faces," and mustered a large contingent to vote against it in the last ditch. Unbending critics of slavery, on their part —some of them especially concerned with maintaining the relative power of the Northeast in the Union—looked upon the settlement as an abject surrender to the South. But the leaders pledged to the middle course prevented a crisis. Without a dissenting voice, the members of Monroe's Cabinet, which included Calhoun of South Carolina, Wirt of Maryland, and Crawford of Georgia, agreed to the exclusion of slavery from the northern portion of the Louisiana territory, displaying a conciliatory temper that augured well for the balance of power.

The next collision between the commercial and the planting states, the nullification battle of 1833, did not involve slavery at all but merely the protective tariff so insistently demanded by manufacturing interests, wool growers, and hemp raisers. As we have said, it came nearer disrupting the nation than the battle over the Missouri question a decade before. In fact South Carolina prepared to leave the Union and the federal government made ready to use force against her to prevent secession, creating an emergency which was only resolved by the strenuous efforts of moderate men.

For nearly two decades the conflict of economic sections evoked no disturbing crisis. Then suddenly it again reached an acute stage with the shifting of the political scenery by the annexation of Texas, the war with Mexico, and the acquisition of additional territory stretching to the Pacific coast. The signal for this new test of strength was given on August 6, 1846, a few months after the armed contest with Mexico began, when David Wilmot, a Democratic

farmer of Pennsylvania, introduced in the House of Representatives a resolution declaring that slavery should be entirely excluded from any territory which might be seized in the struggle—a resolution that was to become famous in American history as the Wilmot Proviso. "In the presence of the living God," cried Robert Toombs of Georgia, "if by your legislation you seek to drive us from the territories of California and New Mexico . . . I am for disunion."

The Proviso was voted down but, on the very mention of slavery in connection with the new possessions, a tempest swept the country. Abolitionists insisted that the Mexican War was nothing but a slave owners' scheme to get more land for cotton and bondage. Statesmen of the planting interest replied that it was an insult to deny them the fruits of a joint struggle in which the South had given its full portion of blood and treasure to punish the common enemy and sustain the national honor.

Like many others, this fresh battle of wits might have remained academic had it not been for the fact that, at the close of the Mexican War, arrangements of some kind simply had to be made for the government of the territories newly acquired. Accordingly when Congress met in December, 1849, a verbal battle royal on this issue opened and continued to rage throughout the winter, transfixing the nation. In every way the debate was a memorable forensic contest worthy of a place in the annals of oratory beside the noblest intellectual tourneys of ancient and modern times. It was significant on account of the men who participated, the eloquence and cogency of their arguments, and the results that flowed from their deliberations.

Three masters, gray and bowed with some forty years of labor in the forum, dominated the scene: Calhoun from the Far South, Webster from the Far North, and Clay from the borderland. The first of them was destined to die before the grand argument came to an end. The last, bent with the weight of more than seventy years, had every

reason to believe that his ambitions were at rest and that the veil of the dark portal was soon to part for him. Webster, to all appearances stronger in body and perhaps yet able to grasp the presidency, on which his heart was set, even so was soon to follow his colleagues to the grave. Around the masters were ranged the men of the younger generation who were to hear the tramp of marching armies and to lead contending forces through the four years of war that followed the failure of reason and eloquence.

For the planting interest, Calhoun issued the challenge and laid down the terms on which his section would remain in the Union. He opened by explaining the reasons for southern anxiety in the crisis. One of these was of course the long continued agitation of the slavery question in the North. But "the great and primary cause" of southern fears—lying behind the slavery issue and "intimately" connected with it—was the indubitable fact that the North through its amazing growth had now acquired "the exclusive power of controlling the government," whereas the South was without "adequate means of protecting itself against its encroachment and oppression." In other words, the delicate balance of former days was gone; the commercial and farming states could, if they would, henceforward dominate and oppress the planting states.

With his wonted logical exactness Calhoun then presented his ultimatum: the South was to have an equal right of way in all territories; the North was faithfully to fulfill the provisions for the return of fugitive slaves; the agitation of the slavery question was to cease; and finally there was to be an amendment to the Constitution restoring the equilibrium between the sections and giving the planting states security against the weight of northern majorities—an echo of the central idea of the Hartford Convention just reversed. His statement was clear and explicit—and historically impossible.

For the younger generation peering into the future, for the wing of the extreme left, spoke William H. Seward of

New York. He too was clear and explicit: slavery agitation would not cease. "Has any government ever succeeded in changing the moral convictions of its subjects?" he inquired. The fugitive slave law could not be enforced; the overwhelming weight of public sentiment in the North was against it. The territories would not be surrendered to slavery but consecrated to justice, welfare, and liberty. "There is a higher law than the Constitution," vowed the orator, "which regulates our authority over the domain and devotes it to the same noble purposes"—a battle tocsin which gave cold chills to lawyers who believed that life was encompassed by the walls of jurisprudence.

Having defied Calhoun on every point, Seward boldly declared to his astounded auditors that "emancipation is inevitable and is near; that it may be hastened or hindered; and that whether it shall be peaceful or violent depends upon the question whether it be hastened or hindered; that all measures which fortify slavery or extend it tend to the consummation of violence; all that check its extension and abate its strength tend to its peaceful extirpation."

Into this wide breach Clay flung himself with a compromise, the last of his distinguished career. By powerful speeches and skillful negotiations he labored to rally moderate men to a program of harmony that offered concessions to both extremes. A note of moving pathos ran through every plea that he made for freedom and slavery protected by the Constitution, for compromise as the only alternative to war and calamity. When he spoke of laying aside in a few days all earthly ambitions and honors for the habiliments of the tomb, of caring for nothing save his united country, even hardened cynics in the audience of anxious men and women dropped a tear. Once more, as in 1820 and 1833, Clay was to prevail.

But he won this time only through the aid of Webster. Day after day the Senator from Massachusetts sat in grim silence while the tumult raged around him, watching quietly with his sharp eye the winds that tossed contestants to and

fro. Then on March 7, 1850, casting off all doubts like a strong man preparing for a race, he rose and delivered the extraordinary oration that was fated for all time to bear the date of its utterance. Abolitionists had hoped that he would demand the express exclusion of slavery from all the new territories. Instead—to the dismay of the anti-slavery faction—Webster gave the weight of his great name and his eloquence to a plea for compromise on that point and, enlarging his tender to the planters, agreed to a drastic law for the return of fugitive slaves.

"He is a man who lives by his memory; a man of the past, not a man of faith and hope," was the comment of Emerson when the news reached his ears. "His finely developed understanding only works truly and with all its force when it stands for animal good; that is, for property." Lowell, Whittier, and Longfellow joined in the condemnation. Less generous critics charged Webster with having sold out to the southern Whigs in an effort to gain the presidency. His friends, practical men of affairs, replied that it was not ambition but an overmastering love of the Union that led him to risk all in an effort to preserve it.

At the close of a parliamentary battle that lasted for the better part of a year, the grand results were finally written into a series of laws, all of which were signed in September, 1850, by President Millard Fillmore, who had taken office on the death of General Taylor. To the great joy of the Texas bondholders who had labored long and hard in the interest of their depreciated securities, the boundaries of Texas were adjusted and a large payment was made to that state by way of compensation. On condition that in due course they should be taken into the Union, with or without slavery as their constitutions at the time might decree, the territories of Utah and New Mexico were formally organized—thus rejecting the Wilmot Proviso without guaranteeing the extension of slavery. Offsetting in some measure the concessions to the South, California was admitted as a free state.

The disposition of the new territories was supplemented by two measures touching the subject of slavery. The slave trade—not slavery itself—was abolished in the District of Columbia, an offering to liberty which was immediately counterbalanced by a new fugitive slave law extreme both in letter and in spirit. For the purpose of taking the business from the hands of state and local authorities likely to be swayed by a passion for freedom, the act provided for an array of federal officers to coöperate in the seizure and return of slaves. It laid heavy penalties on all who placed obstacles in the way of enforcing the law. It permitted a master or his agent by a mere affidavit to claim an alleged fugitive and to take the accused for a summary hearing before a federal commissioner—a hearing in which the Negro was denied the right of trial by jury and the privilege of giving evidence in his own behalf. If a federal marshal allowed a slave to slip through his hands, he was liable to a civil suit for damages. For a decision in favor of a claimant, a commissioner received a higher fee than for a judgment releasing a defendant. In this fashion the great statesmen of 1850 planned to put to rest the sectional conflict that threatened once more to destroy the balance of power in the Union.

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The clash of interests and sections over questions of domestic policy was also carried into the sphere of foreign commercial relations, especially in the Orient. If Democratic low-tariff measures caused great shocks in the Northeast, they did not uproot the domestic enterprise of industrial captains or destroy their trade in China, India, and the East Indies. On the contrary, by cutting down the demand for American commodities at home, low tariffs drove northern manufacturers to search with still greater avidity for new outlets abroad—especially in the Far East where prospects were brighter than in the overcrowded markets of Europe.

Moreover, planting statesmen, as eager to make money as cotton spinners, took note of the fact that one of the great southern staples, tobacco, might find—as it ultimately did—an immense sale among the teeming millions of China. "There is reason to suppose," wrote a Democratic Secretary of the Navy to the Senate in 1853, "that our tobacco will be generally received there as a substitute for this poisonous drug [opium]. This article now so abundantly produced by our tobacco-growing states will then become the pioneer of our trade and open the way for our manufactures of cotton, wool, and particularly of cutlery and other manufactures of iron. . . . The production of tobacco would be increased in a measure corresponding to the increased demand of the two hundred millions of Chinese consumers and thus our national wealth would be greatly augmented." Even the stanchest Democrat had no objection if Yankee mill owners sold cloth in China. They merely protested against paying duties on goods they imported and they were only too happy when cargoes of tobacco could accompany boxes and bales of gray shirtings across the Pacific.

Nevertheless the major portion of the Far Eastern trade brought profits to northern ship owners and manufacturers rather than to growers of cotton and tobacco and it was natural that the Whig spokesmen of business, not the agrarian Democrats, should be eager to lend the protection of the State Department and the Navy to the advancement of foreign commerce. By no accident, therefore, did that loyal advocate of industrial prosperity, Daniel Webster, promote, while serving as Secretary of State under Whig Presidents, the three most startling achievements on behalf of American interests in the Pacific Ocean previous to the defeat of Spain by Dewey at the battle of Manila Bay in 1898—namely, the first commercial treaty with China, the specific reservation of Hawaii, and the opening of Japan's barred door.

Perhaps it was no accident either that the first American

naval officer to formulate and apply on the high seas imperial designs for taking naval bases and opening commercial ports by demonstrations of physical force—Commodore Perry who was selected to bring Japan into business relations with the United States—was a sailor from Providence, Rhode Island, long one of the chief centers of the China trade. It was certainly due to no mere whirl of fortune's wheel that the Secretary of State who pulled down the American flag in Formosa on the eve of the Civil War was a Democratic predecessor of the "peerless orator" from Nebraska, William Jennings Bryan, who later declared in favor of independence for the Philippines.

Appropriately enough, the first effective appeal for political and naval guarantees for Oriental trade was laid before the House of Representatives in 1840 by Abbott Lawrence, a cotton-mill owner of Massachusetts, bosom friend and financial backer of Webster. It came from American merchants in Canton asking for armed protection and a commission to secure a treaty of commerce with China. Knowing full well the importance of the China trade, so highly profitable to the metropolis of his state, the Democratic President then in power, Martin Van Buren of New York, ordered the East India squadron under Commodore Kearny, to sail for Chinese waters. Within a few months, the Whigs rode triumphantly into Washington behind their leader, General Harrison, but with their drums muffled.

Hands still more willing and expert, therefore, grasped the wheel. Daniel Webster became Secretary of State and, taking up the threads of Oriental policy, wrote for the President a special message on the China business which was promptly sent to Congress for approval. In this economic document, after calling attention to the fact that the China trade was now worth about nine millions a year, Webster proposed an appropriation for a special mission to visit the Son of Heaven in quest of commercial rights.

Interested and attracted by the idea, Congress voted the money—in spite of outcries on the part of the old Jack-

sonian Democrat, Senator Benton. Caleb Cushing, descendant of a Newburyport shipmaster—a man who knew about the substance of the China trade—was selected to head the delegation, with Webster's son, Fletcher, as secretary. The mission went, saw, and conquered, easily, as it happened, because Great Britain had recently beaten the Chinese in the Opium War and the Mighty One at Peking was in a chastened mood. With a flourish, Cushing signed, on July 3, 1844, a convention with the Imperial minister which secured for Americans commercial privileges in the open ports of China and the right to be tried in their own consular courts when charged with violating Chinese law. "By that treaty," wrote Cushing exultantly, "the laws of the Union follow its citizens and its banner protects them, even within the domain of the Chinese Empire." Thus was inaugurated a formal commercial and political connection between the government of the United States and the government of China.

A few years later, after the Whigs had again ridden into power, this time behind General Taylor, and Webster had once more become Secretary of State, the practices of a firm Oriental policy were resumed. On returning to authority, Webster found that a French naval officer, serving under the weird adventurer, Louis Napoleon, had just made a hostile demonstration against the Hawaiian Islands and was evidently in a mood to seize them. Now the Secretary could easily recall that when he was serving in the State Department under Tyler he had received a delegation of Hawaiians, then visiting America under missionary auspices, and informed them that the government of the United States would permit no European power to seize their country, colonize it, or overturn the native government. Remembering that pledge in 1851, Webster instructed the American minister at Paris to warn the French against undertaking imperial projects in that part of the Pacific.

Some Democrats, it seems, would have been willing to take possession of the islands then and there if details could

have been agreed upon. At all events, Webster's Democratic successor, W. L. Marcy of mercantile New York, did draw up a treaty of annexation but he could not get it ratified by the Senate. It proposed large annuities to the deposed princes and that violated Jeffersonian simplicity. What was more significant, it provided for the ultimate admission of Hawaii into the Union as a free state. The time was not yet ripe.

Far more important than Webster's reservation of Hawaii for American usage was his prompt and efficient action in initiating the mission that opened Japan to American commerce. For over two hundred years the government of that island empire had kept its ports closed to foreign trade—save for one harbor where the Dutch were allowed to carry on a small amount of business—evincing an imperious desire to be let alone by aliens. That was the state of affairs when Americans began to search eagerly for markets all over the Pacific, resolute Americans who were not slow to protest against exclusiveness of any kind. From time to time United States naval officers cruising in the neighborhood were directed to sound the government of Japan on the subject of commercial relations as well as on the matter of protection for shipwrecked American sailors. But all such appeals failed to move the Shogun who ruled in the name of the Emperor over the Land of the Rising Sun.

Not dismayed by repeated rebuffs, merchants of the Atlantic cities, especially of New York, continued to press for action against the restrictive policy that prevailed in Yedo; and Webster now gave more heed to their demands. By way of a preliminary stroke, he issued a commission to an American naval officer in Chinese waters, instructing him to sail for Japan and do what he could to open the door. Among other things, Webster asked for the right to buy coal of the Japanese, informing them that this precious substance was "a gift of Providence deposited by the Creator of all things in the depths of the Japanese islands for the

benefit of the whole human family"—a lofty sentiment that had peculiar reverberations in subsequent years. The appeal was eloquent but the first commissioner was not able to carry out his orders.

Undiscouraged, Webster then sent to Japan a second agent, Commodore Matthew C. Perry, with an imposing, if small, naval force. Having resolved in his own mind to seize neighboring islands by main strength, if necessary to execute his decrees, Perry was in the proper mood to frighten Yedo into concessions. To show his mettle, he ignored the traditional rights of the Japanese, violated their territorial waters, disregarded their laws, and spurned their protests.

But these actions might have been without avail had circumstances not helped the Commodore. Whatever their desires, the Japanese knew that the British had just broken down the barriers of China by arms and that both British and Russian battleships were at hand waiting to work their will on Japan. Moreover, the American sea captain at the front door, besides displaying tenacity, generously offered the Japanese facilities for trade, told them that the Chinese were coming to America, worshiping their own gods freely and growing rich, and gave them a cordial invitation to come and do likewise. So on March 31, 1854, after Webster had gone to his long home, the treaty that "gently coerced" Japan into friendship, to use the language of Seward, was duly signed and four years later, Townsend Harris crowned the work with a commercial treaty.

By this time, under the leadership of forth-putting men like Perry, professionals in the Navy Department had conceived a philosophy of action in the Pacific that was to accomplish results in the years to come. Though the Democrats were careful to oust civilians from office whenever they got possession of the federal government, though they were willing to send as consuls to the East planters who knew nothing of trade or the Orient, they never had the temerity to place vessels of the Navy in command of men

who had never seen the sea. Favored by circumstances, therefore, a consistent naval tradition was easily framed and adopted by men in permanent tenure—and cherished even when triumphant agrarians were in the saddle at Washington.

That tradition, as set forth with great care by Commodore Perry, was startling in its simplicity. "We cannot expect," he said, "to be free from the ambitious longings of increased power, which are the natural concomitants of national success." This seemed axiomatic. "When we look at the possessions in the East of our great maritime rival, England, and the constant and rapid increase of their fortified ports, we should be admonished of the necessity of prompt measures on our part. . . . Fortunately the Japanese and many other islands of the Pacific are still left untouched by this unconscionable government; and some of them lie in a route of great commerce which is destined to become of great importance to the United States. No time should be lost adopting active measures to secure a sufficient number of ports of refuge."

Acting on such ethical assumptions, the Commodore seized the Bonin Islands, raised the American flag here and there, and set precedents. Then, as fate would have it, the Whigs were soon turned out of power and a Democratic Secretary of State, taking the Constitution seriously, told Perry that the President could not take possession of distant territory without the consent of Congress. Ere long, the flag was lowered in the Bonin Islands and Japan reasserted her sovereignty.

The same Democratic indifference to commercial considerations that wrecked for a time such imperial enterprises was also responsible for the loss of Formosa. Although very few citizens of the United States were aware of the fact, that "beautiful island" was actually brought within American grasp by the activities of a zealous commissioner in China, Dr. Peter Parker. Always searching for attractive opportunities, this enterprising official, a medical mis-

sionary translated to a government post, found that an American company, while engaged in exploiting the trade of Formosa, had raised the Stars and Stripes at Takow; and having an eye to good real estate Parker made haste to advise annexation.

With diplomatic instinct Parker immediately wrote to the State Department expressing the hope that "the government of the United States may not shrink from the action which the interests of humanity, civilization, navigation, and commerce impose upon it in relation to Tai-wan." In the meantime an American naval officer on the spot offered to keep the colors flying until word could be received from Washington. That was in 1857. When Parker's letter arrived, if Senator William H. Seward is to be admitted as a witness, the government was dominated by southern planters, cold to the pleas of the commercial interests. At all events the Democratic Secretary of State informed the impetuous Parker that the military and naval forces of the country could only be used "by authority of Congress." The proposal to annex Formosa was not even laid before that august body. So the flag came down in Formosa—to rise forty years later in the Philippines not very far away.

## CHAPTER XVI

## *Democracy: Romantic and Realistic*

THE grand political ideas stamped with popular approval by the American Revolution and by the triumph of Jeffersonian Democracy thrust themselves ever deeper into the thoughts and emotions of the people as the nineteenth century advanced, and shot out their ever-widening circles of implication as new problems of life, labor, and government were flung upward for consideration. Had there been no significant changes in the economic structure of the nation, had there been no novel social forces let loose in the national arena, had there been no additional impacts from revolutionary Europe, the great concepts of human rights and human equality, professed if not always followed by the Fathers, would have altered the intellectual climate for philosophy, letters, and the arts.

But on top of the expanding and reverberating notes of the Jeffersonian anthem, came the sharp vibrations of the revolution made by technology and applied science, doing more to shatter the old patterns of speculation and unfold vistas of endless progress for democracy than all the up-

heavals and renaissances of the centuries that had gone before. Though the age of machinery opened in the latter part of the eighteenth century, though Washington lived to see whirling spindles driven by water power, the machine process really did not get into high momentum until the era of Jackson and Lincoln.

Once the industrial revolution was fairly started, its effects upon culture—upon intellectual interests, æsthetic appreciation, and the institutions for the distribution of knowledge—were swift and cumulative. Under its stresses and strains the whole social structure was recast. To the old fortunes made from shipping and trade were added greater and more numerous fortunes wrung from textiles, steel, hardware, pottery, and railways. There were now large family estates to be taxed for popular education, to afford leisure for sons and daughters, and to offer patronage for letters, science, and the arts. As the shadow follows the sun, so in the wake of the expanding middle class came the ever-swelling industrial proletariat with its tendencies to radical opinion concerning society and government. Equally inevitable was the rise of a large body of women workers for factories, mills, and shops, with swift repercussions on the law and practice of domestic relations.

In the process occurred a rapid concentration of population—a condition so intimately related to stimulating and supporting cultural enterprises. During this middle period, roughly speaking between 1815 and 1860, overgrown villages suddenly became important cities; New York, which had a population of about thirty thousand when Washington was inaugurated, reached half a million before the election of Lincoln. At the latter date, Cincinnati and Chicago combined had more inhabitants than all the cities in the United States when independence was declared. With advancing capitalism came periodical industrial panics which shook the social order from top to bottom, intensified the poverty of the cities, and aroused deep public interest in all phases of social economy. By no means last in cultural

significance, the avalanche of goods which flowed from the machines awakened new wants, created among the masses new desires, and stirred all society with aggravated acquisitive tastes.

Besides releasing terrific economic energies, the technology and science of the machine process thrust all kinds of material devices into inherited customs and modes. Expanding railway lines within the United States and the growing commerce of steam vessels in seven seas set in motion social currents ruinous to local rigidity in thought or practice. The telegraph and power-printing machinery transformed the newspaper business, gave to the country a penny press, made possible the instantaneous dissemination of news from Boston to San Francisco, and permitted the masses to break in upon the intellectual monopoly of the upper classes with relative ease. New magazines and publishing houses, called into being by social changes and technical apparatus, enlarged the market for literary wares and by enabling authors to live by the pen diverted more talent to the field of letters. The scientific spirit that accompanied the technical overturn spread into every department of life and opinion, applying its inexorable analysis to the mysteries as well as the materials of society.

In addition to these capital results of the industrial revolution, which were common to that economic upheaval in all other countries, there were a number of accessory features peculiar to America, in the middle period. For one thing, an increasing proportion of the men and women who worked in the new factories were drawn from alien nationalities; the flood of immigration broke all previous records, complicating the mixture of races and tongues. Parallel in time with this invasion were the gold rush to California and the steady opening of cheap lands in the West which, while disintegrating the older rural communities of the East, carried the center of population rapidly toward the setting sun. Men who were poor one day were millionaires the next; women who did the family washing

on Monday moved into palaces on Wednesday and rode to church on Sunday in carriages. With the inrush of immigrants the Catholic Church, that ancient bugbear of Puritans and Presbyterians, multiplied the number of its communicants, forcing merchants and politicians to adopt circumspection in advertising their wares, and arousing once more the historic antipathy of Protestants.

Given all these turbulent factors, coupled with a surging Jacksonian Democracy of farmers and mechanics uncontrolled by a unified monarchy, clerical hierarchy, or aristocracy, the middle period was inevitably an age of mass movements—an age of lectures, public schools, circuses, museums, penny newspapers, varied propaganda, political caucuses, woman suffrage conventions, temperance reform, proletarian unrest, labor organization, Mormonism, Millerism, mesmerism, phrenology—an age of shoemakers, carpenters, and sons of poor parsons writing poems and essays, of women erecting colleges, asserting rights and taking part in every phase of the American opera, grand or comic—the martial notes of the agitator mingling with the vibrant tones of the moralist, preacher, and educator—pioneers in opinion marching forward, sometimes inspired, often ignorant and usually crotchety, to the conquest of the future in America. "Madmen, and women, men with beards, Dunkers, Muggletonians, Come-outers, Groaners, Agrarians, Seventh-Day Baptists, Quakers, Abolitionists, Unitarians, and Philosophers"—in these lines Emerson summarily described the seething democracy of his time.

The very exuberance of the age—an exuberance which amused and irritated foreign visitors, such as Dickens, and induced a modern writer, Meade Minnigerode, to fling at the decade the disparaging title, The Fabulous Forties—was no mere expression of democratic perversity. Rather did it flow from the dynamic efforts of the struggling multitudes, granted some leisure and an economic surplus, to entertain and decorate themselves after the fashion of classes supposed to be their "betters." Finding a limitless

reproductive power in the machine, captains of industry borrowed, duplicated, and sold to the masses the things already available, the plumage of "superior" persons: oil paintings, pottery, spindle leg chairs, gilt frames, mirrors, and rugs copied after the designs of Versailles and London. Even the blazing chandelier of flashing crystal so conspicuous in the homes of the democracy was imported from drawing rooms soon to be celebrated for all time as Mid-Victorian. No doubt there was something bizarre about the wide distribution of goods, real or imitative, once restricted to limited classes, but whether bitter or sweet it was the natural fruit of the machine.

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Among the many varieties of opinion that streamed from Europe into the deeply agitated America of the middle period were three which helped to deflect thought into novel channels. The first was a new philosophy or pattern of ideas evolved by doctrinaires to combat the scientific theories of the eighteenth century—that chain of theories, hard, mathematical, and mechanical, which stretched from Descartes to Laplace. To all such speculations concerning the nature of things, the political relations of the European powers, during the disturbances which preceded and accompanied the French Revolution and the Napoleonic wars, gave a decided bent. For nearly a quarter of a century, England and France were locked in a deadly war over commerce and empire, and French ideas were, therefore, made tabu in the polite circles of English society—notwithstanding the artistic forms given them by the poems of Wordsworth, Shelley, and Byron.

In this controversy, the ruling classes of Prussia and many minor German states were arrayed on the side of England; by reason of the war and their aristocratic pretensions, they too came to hate France and French radical views. Furthermore, during the armed contest with their

redoubtable foe in Paris, the Germans, long divided among hundreds of principalities, were drawn together in an aggressive nationalism. Once Frederick the Great, despising the literature and language of his native land, had made Voltaire a bosom companion; after the outbreak of the French war and especially after the ruinous defeat at the hands of Napoleon, all Germany was thrown into an uproar over projects for creating a purely German culture—philosophy, science, and the arts. In this period of "Sturm und Drang" came a great flowering of the German intellect; Kant, Goethe, Schelling, Fichte, and Hegel wrought mighty tomes with their pens, sending reverberations around the world.

The outstanding figure in this Teutonic renaissance, a man destined to have directly or indirectly a powerful influence on American thinking, was the Prussian philosopher, of remote Scotch origins, Immanuel Kant. The son of a pious mother, Kant imbibed in his early youth the doctrines of a mildly evangelical Puritanism. Thrown by his early teachings athwart the mechanical creed promoted by scientific thinkers from Descartes and Locke to Hume and Voltaire, he turned with sympathy to the romantic enthusiasms of Rousseau, that arch-agitator and agricultural prophet, who regarded science as an enemy, not a friend of mankind.

Fortified by strong emotions, Kant worked out the Critique of Pure Reason, first published in 1781, a gigantic pile of thought, heavily laden with ponderous words, which kindled anew the philosophy of sentiments, and furnished somber authority for counteracting the destructive effects of analytical reasoning upon established concepts and institutions. The upshot of Kant's system for the man in the street was the declaration that the great ideas of God, soul, freedom, right, duty, and immortality cannot be tested at all by our contacts with the world of material things but "transcend" the experiences of our senses; they are intuitively inexorable and are discovered

to be absolutely true by introspection, or the internal examination of our mental structure. Of course, apostles could light almost any kind of candle at Kant's altar, but in an age of revolt against France and French reason, it was the conservatives rather than the radicals, sentimentalists rather than scientists, who drew energies from the great philosopher of Koenigsberg.

And it was to Germany, at the opening of the nineteenth century, that American students, set free by new accumulations of industrial wealth, turned for light and guidance. They could not go very well to the English universities of Oxford and Cambridge where the monopoly of the Anglican Church was still unbroken. For sons of Northern Federalists, France was also anathema: French radicalism was associated with the devil and with defeat at the hands of Thomas Jefferson. Moreover, in France the reaction against the revolution, under the leadership of men like De Maistre, turned to Catholic doctrines for comfort—doctrines equally proscribed by descendants of Puritan divines.

On the other hand the North German states were Protestant, evangelical, and practically free of French taint. So it was to Germany that an increasing number of American students, especially from New England, flocked during the middle period. There they got transcendental philosophy, a thorough training in classical literature, and a fine hatred for the French "mechanical" school. Those who did not go to Germany got the same medicine indirectly from Thomas Carlyle, great feudal romanticist, and Samuel Taylor Coleridge, poet and dreamer, who made the English-speaking world acquainted with German writers and German philosophy.

The second nucleus of opinions imported from Europe in these decades was a new version of the concept of progress which had been so potent in the days of the early republic. It is one of the curious but neglected facts of history—illustrating again the irrefragable unity of all

western thought—that a French army officer, who proudly wrote himself down as "a descendant of Charlemagne and a soldier under Washington," gave to the nineteenth century the doctrine of socialism as the goal of progress. That officer was Count de Saint-Simon, who fought in the American war of independence—interested, as he said, not in the war, but in its object—and in the New World got a glimpse of an order of things in which the humblest should be freed from the galling chains of poverty and disease.

Accepting at face value the theories of Condorcet and the dreams of the early American republicans, Saint-Simon announced in 1815 the coming "perfection of the social order." To summarize in the language of Bury the process by which this creed was reached: "As the goal of development is social happiness, and as the working classes form the majority, the first step towards the goal will be the amelioration of the lot of the working classes. This will be the principal problem of government in reorganizing society and Saint-Simon's solution of the problem was socialism." Of course elements of this idea were not new and thinkers such as Robert Owen and Charles Fourier reached similar conclusions by other routes, but Saint-Simon furnished the first dynamic drive for the economic dogma.

The third central pattern of theories derived from Europe in the age of Jackson and Lincoln was the thesis of evolution applied not only to society but to all living forms. Though popularly associated in its beginnings with the publication of Charles Darwin's Origin of Species in 1859, the idea was, as students of the history of science well know, long in the stage of formulation. The Greeks and Romans had vaguely hinted at the changing course of all things; and their concept of nature and man, submerged for centuries under Christian theology, finally began to work powerfully on the thought of western Europe, after the classical revival. Then, with the rise of modern science, the notion of development could hardly be escaped, as the various branches—such as botany, geology, zoölogy, and anatomy,

and later biology and anthropology—flourished in the eighteenth century and foliated richly in the early decades of the nineteenth.

On the eve of the French Revolution, Buffon, the great naturalist, with an eye on clerical censorship, cautiously suggested the mutability of species. Across the Rhine, the poet, Goethe, boldly declared that all the more perfect organisms had sprung from a common stock. Meanwhile Lamarck, the distinguished professor of natural history at the Paris Botanical Gardens, caught faint sparkles of the electric word before he passed from the scene, blind and poverty-stricken, in 1829. In England, Lyell, carrying on the work of James Hutton, completed in 1833 his epoch-making treatise on geology, showing the evolutionary story written in the layers of the earth and striking a trenchant blow at traditional cosmogony. In short, Darwin and his co-discoverer, Alfred Russel Wallace, crowned labors that had been transforming all phases of natural science for many decades.

When at length at the close of the middle period, the Darwinian hypothesis was launched in finished form, its ruinous implications for the Miltonic hypothesis were quickly grasped. Disregarding accepted Biblical chronology, it asserted the antiquity of man and the earth. Rejecting the belief that each species of living beings was the result of an original divine act, it proclaimed the mutability of species. It alleged that there were no sharp lines between them; that they were gradually shaded into one another when classified according to characteristics; that they were all branches of a common tree of life; and that they had slowly evolved from simple to complex forms.

Moreover, this evolution was to be explained not by divine interposition but by natural causes—the struggle for existence, adaptation to environment, and the survival of the fittest. In every respect therefore the new theory ran counter to the Christian concept of creation, making grave difficulties for those who tried to reconcile it with the doc-

trines of the fall of man, original sin, Virgin birth, salvation by faith, and resurrection.

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In the currents of religious life in America during this period was revealed in myriad forms the influence of new factors and forces—especially the machine, science, the expanding frontier, democracy, immigration, and imported thought-patterns. The power of devouring science and secularism was made manifest in the continued growth of Unitarianism among the Congregational churches and in the steady retreat of ancient tribal visions of the deity before the devices of rationalism.

At the opening of the epoch, in 1817, died Timothy Dwight, "the last of the Puritans" of the Edwardian lineage; in the hands of the new generation religion assumed a more "liberal" garb, namely, one in closer conformity to the revelations of naturalistic researches. Early in life, Emerson left the pulpit because he could not endure the ceremony of communion even in an attenuated symbolic form. His congregation, generous in its theology, sought to "induce him to remain, he administering the Lord's supper in his sense, the people receiving it in theirs" but the preacher of Concord would not bring himself to accept that charitable accommodation. An age was coming to an end. If Andover Seminary long continued to be the home of orthodoxy, such men as Horace Bushnell, Mark Hopkins, and Henry Ward Beecher carried into pulpits far and wide messages of Christianity that must have made Jonathan Edwards writhe in his grave. And the era had hardly closed when Beecher accepted a mild version of Darwin's evolution as the key to the creative process.

Conforming to the restless spirit of Jacksonian Democracy was a remarkable growth in the Methodist and Baptist churches, especially in the West and Southwest. Undoubtedly J. Franklin Jameson is right in relating the

extension of political equality with the prosperity of the religious bodies that reject the Calvinist doctrine of election for the favored few. How could Jacksonian Democrats who exalted the masses of farmers and mechanics believe in a system of theology which condemned most of them to hell in advance without a hearing and reserved heaven for a select aristocracy favored of God? Of course the Presbyterians also flourished during the middle period, as the Scotch-Irish population increased, but the followers of John Calvin did not maintain the relative strength which they commanded in the colonial age. The unbreakable logic of Edwards still stood but it no longer had the same appeal in many sections of the country.

Intimately affiliated with effervescing democracy—particularly on the frontier—were the new sects and ebullient revival meetings that so distinguished the time. Of course the rise of visionaries and fiery apostles was not a strange phenomenon; Simeon Stylites, in sheer religious ecstasy, spent thirty years of his life on a pillar to demonstrate his devotion; St. Francis, St. Dominic, Luther, and Wesley, each in his day, made clarion calls for religious rededication. But when once the dominion of the hierarchy and clergy was badly shattered, as in America, and everyone, high and low, was permitted to express his religious sentiments and emotions, to declare and to exhort, a bewildering variety of dreams and professions was as natural as the unquenchable enthusiasms of a prosperous population.

It was inevitable therefore that the appearance of sects and schisms should be a matter of annual occurrence. Two-seed-in-the-spirit Predestinarian Baptists set themselves up against Free-will Baptists. Presbyterians broke into four or five divisions. Methodists, while managing to keep fairly close together on points of salvation, split over the slavery question into a Northern and a Southern wing. Still more radical on issues of faith, Alexander Campbell, calling for "a return to primitive Christianity," marshaled a host of followers. Prophesying in great enchantment the

second coming of Christ and the end of the world, William Miller enrolled converts and in 1843 solemnly awaited the heralded occasion; though the failure of the prognostication damped the ardor of Miller's rank and file, the belief in the second advent exerted a continuous influence on religious thinking in America and even penetrated through its devoted evangels into the heart of Korea. In this fermenting era Mormonism also rose and prospered like the green bay tree.

As if to magnify the turmoil among established Protestant sects, whole communities were shaken by boisterous religious revivals. Frontier individualism brought forth fruits in theology and theories of salvation no less than in politics and fostered clerical notions as far removed from the administrative proprieties of Laud, Mazarin, and Bossuet as were its theories of self-government.

Only in one quarter was there a marked increase in the number of those who acknowledged obedience to high prerogative in matters ecclesiastical, namely, among the Catholics, most of whom were to be found in the industrial cities. But the multiplying communicants of that Church represented no wholesale return of American Protestants and skeptics to the ancient creed viewed with such horror in the colonial régime. It was due rather to the swarming invasion of well-disciplined peasants from Ireland and Europe, followed by Catholic clergy prepared to do their best to hold the faith against the swirling, sapping currents of American individualism. Unquestionably the task of keeping the ranks unbroken was difficult and a large portion of the former communicants was lost in the transfer of their political allegiance. Yet, on the whole, the Catholic body grew steadily in strength with the rise of immigration, affording elements of culture quite foreign to the heritage handed down by George Washington, Jefferson, Franklin, and Timothy Dwight.

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With respect to intellectual interests of a secular cast, it was fitting that the age of machinery should give predominance to science, theoretical and applied, at least, in the Northeast where industrialism made its conquests. It is one of the significant phases of history that the development of political democracy during three revolutionary centuries was accompanied by the rise and growth of science and invention. Students have been baffled in their efforts to establish causal relations, to explain why the world had to wait thousands of years for the steam engine and the formula of atomic weights, why Rousseau was working on his Social Contract at the very time that Watt was bringing the steam engine to an operating basis.

Yet the fact remains that political democracy and natural science rose and flourished together. Whether in their inception there were deep connections, researches have not yet disclosed but beyond question their influence upon each other has been reciprocal. Democracy arrested the attention of idle curiosity and demanded that the man of microscope and test tube come into the street to invent, relieve, and serve. Science, on the other hand, helped to determine the course of democratic development. It was itself democratic in that it spurned nothing low or commonplace in its researches—the mold on decayed vegetables, the composition of the dirt in the field, the nature of curds in sour milk. Nothing was sacred to its relentless inquiry. Before it there was neither prerogative nor privilege.

More than that, science pointed the way to progressive democracy in its warfare against starvation, poverty, disease, and ignorance, indicating how classes and nations long engaged in strife among themselves might unite to wring from nature the secret of security and the good life. It was science, not paper declarations relating to the idea of progress, that at last made patent the practical methods by which democracy could raise the standard of living for the great masses of the people. Finally science gave to man revolutionary concepts of the world and of his place in the

great scheme of nature, feeding the streams of thought which wore down ancient institutions of church and state.

Although there might have been no causal relation between science and democracy, it was a striking coincidence that, in the age of renewed revolution in Europe and the Jacksonian upheaval in America, epoch-making generalizations were made by scientists of the Old World and epoch-making machines for lightening toil and multiplying production of goods were invented in the United States. If Faraday could announce the law of electro-chemical equivalents in 1834, Morse could announce the completion of the first successful telegraph line in 1844. If Charles Darwin could span all creation, Cyrus Field could at least span the Atlantic Ocean.

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While there were no kings and lords in Jacksonian democracy to patronize science, it was fostered by one means or another. To illustrate, Harvard subsidized Louis Agassiz, Yale financed Benjamin Silliman, and the federal naval observatory gave Matthew Maury some leisure for his researches. By way of supplement, the sale of textbooks for the multiplying colleges and secondary schools brought additional revenues to scholars and experimenters. Outside the academic world, the employment of scientists by the state and federal governments in the making of surveys and the management of museums also stimulated talent by giving it an opportunity and economic support. Finally, the revolution wrought in the art of printing by the power press and the growth of a huge literate population eager for more knowledge offered both a competency and an independence to writers who could popularize their specialties. Democracy thus had rewards of its own to offer—sometimes capricious, no doubt, but hardly more whimsical than that of noble lords, if Doctor Johnson's experience is a test, and with subtle influences on creative thought not yet clearly understood.

The advance of science in the middle period, as always, was marked by observation, the accumulation of data, generalization, and application. In this work of the age, American specialists rendered constructive services and their number was legion. Among the throng, five or six men stood out in bold relief: for example, Silliman at Yale collecting minerals, assembling a chemical laboratory, and promoting national interest in the leading branches of natural science; Audubon wandering with his wife in the wilds for long years to study and paint plants and birds, building an international reputation as an ornithologist; Agassiz at Harvard laying the foundation for teaching and research in zoölogy; Maury of Virginia exploring the mysteries of the sea's physical geography; Joseph Henry, tireless experimenter in physics and meteorology and creator of the first magnetic telegraph. Through the work of competent specialists, American botanists, with Asa Gray in the forefront, had taken over the study and classification of North American flora by 1850.

To the labors of individuals and colleges was added that of associations, local, state, and national, and the various surveys carried out under government auspices. In keeping with the trend, the older projects for general scientific academies were supplemented by specialization. Between 1815 and the Civil War, the geologists, geographers, ethnologists, and statisticians were separately organized on a national scale. And then these societies were crowned by the American Association for the Advancement of Science called into being at Boston in 1847 to "promote intercourse between American scientists, to give a strong and more systematic impulse to research, and to procure for the labors of scientific men increased facilities and wider usefulness." Shortly after its organization the Association began to issue annual publications.

Accordingly, before the middle of the nineteenth century natural science had become by various means a potent force in the intellectual life of America. Its great depart-

ments—geology, botany, zoölogy, ethnology, chemistry, physics, and the other disciplines—had been staked out here as in Europe. The collections of material objects and recorded data had attained impressive proportions; even the slow-moving federal government falling into line by establishing in 1846 the Smithsonian Institution and National Museum on the basis of a bequest from an Englishman, James Smithson. There were leaders of power, patience, and industry at work enlarging knowledge in every sphere. There were scientific societies and scientific journals available for the interchange of ideas and discoveries. There were constant voyages of exploration, survey, and inquiry in every direction unearthing more data and testing older hypotheses.

To consolidate gains and lay lines for the onward march, textbooks appeared in the several fields: such as Cleaveland's work on mineralogy and geology in 1816; Gray's survey of botany in the northern United States in 1847; and Silliman's elements of chemistry in 1830. Texts were supplemented by articles on the minutiæ of science and by special volumes on local phenomena.

The quality, variety, and amount of American work were so important that European scientists were compelled to take it into consideration. Many of them visited this country to see the huge terrain on which their colleagues were operating. The English geologist, Lyell, was well acquainted with students in the United States and made a long journey through the continent observing American society, studying natural objects, and conversing with the thinkers. Darwin kept a sharp eye open for new materials from this side the water. He was familiar with American publications and in communication with American workers in his particular field; for instance, his correspondence with Asa Gray at Harvard, opened in 1855 and maintained for more than twenty years, was close, frequent, and intimate. It involved a continuous exchange of ideas and information and it showed on the part of the great English pioneer a

wholesome respect for the best expert opinion across the Atlantic. Only one who has spent weeks poring over the old textbooks, government reports, biographies, and records of museums can begin to appreciate the comprehensive, varied, and fruitful labors of scientists in that age, so often belittled by its successors.

Yet it must be acknowledged that the epoch which gave Faraday, Volta, Berzelius, Lyell, Wallace, and Darwin to the world produced in America no supreme generalizer in the realm of pure science. Altogether pertinently that keen French observer, de Tocqueville, profoundest of the Europeans who have surveyed the American scene, remarked of the Jacksonian era: "These very Americans who have not discovered one of the general laws of mechanics have introduced into navigation an engine that changes the aspect of the world. . . . If the democratic principle does not on the one hand induce men to cultivate science for its own sake, on the other, it does enormously increase the number of those who do cultivate it. . . . Permanent inequality of conditions leads men to confine themselves to the arrogant and sterile researches of abstract truths, whilst the social condition and institutions of democracy prepare them to seek the immediate and useful practical results of the sciences. The tendency is natural and inevitable."

In the main this stricture, if it be such, was justified by the facts; and there was doubtless something deeply penetrating in the philosopher's exposition of the course of American science. Absence of generalization may be due to ignorance or to a failure of supreme imaginative qualities—or to a recognition of the baffling complexity of things. Generalizations themselves are nearly always subject to later modifications and rejections; few of them escape the impress of continuous research. American society in this particular era was more fluid than that of any country in the Old World. In any case, American men of science were not ignorant; if they did not find what Emerson called the "electric word," they certainly helped to prepare

the way for new explanations of man and nature and they were equipped by training and knowledge to grasp the import of all advances in European thought and speculation.

A long time before Darwin announced the consummation of his labors, the intellectual operations that were to culminate in his interpretation of life were shared by American scientists. When Benjamin Silliman, after receiving a call to a scientific post at Yale, sought to prepare himself for his teaching mission, he went over to study at Edinburgh where Hutton's cosmic theories, among the most advanced of his time, were being carefully expounded. Grasping the value of research, Silliman, on his return, founded the American Journal of Science and took an active part in promoting the new American Geological Society as a means of stimulating patronage and performance. When Lyell published his startling treatise on the creative process, as revealed by a study of the earth, American scientists were ready to appreciate it; when a few years later he came to this country to lecture, he found a wide and receptive public awaiting him.

The ferment was already at work. Indeed several years previous to that, the able Philadelphia botanist, Rafinesque, had advanced in a tentative fashion the thesis that "all species might have once been varieties and that many varieties are gradually becoming species by assuming constant and peculiar characters." At the same time Samuel Haldeman, the talented naturalist and philologist of Pennsylvania, was evolving the same startling hypothesis.

That Darwin himself was in constant touch with the progress of science in America is revealed in his correspondence with Asa Gray. As we have said, the two naturalists exchanged papers and memoranda, asked each other questions, and advanced theories about various subjects to each other. The year after their first exchange, namely, in 1856, Darwin gave Gray a glimpse of his secret and two years later sent him a long outline of the forthcoming treatise that was to shake the world. Toward the Darwiniar thesis,

when it came to him in sheets as they were printed, Gray was cautious, critical, and hesitant, pointing out errors which Darwin generously and apologetically acknowledged. Indeed, the English scholar placed Gray among the four contemporaries whose judgment he most valued. Eventually convinced that the theory of evolution was sound, Gray became an ardent champion carrying the fight for Darwinism all along the line.

And it must be conceded that the American public was as receptive to the revolutionary doctrine as that of any European country. If the Swiss scholar, Agassiz, rejected it as "mischievous," the head of Trinity College in Cambridge, England, refused to have a copy of Darwin's book in the library. Though many a clergyman in the American hinterland tore his hair and rent his garments, Henry Ward Beecher, the most popular preacher of his time, after much reflection, declared evolution to be the key to the natural world.

Darwin himself stated that "the two most striking reviews" of the Origin of Species appeared in the United States: in the North American Review and the New York Times. That was in April, 1860. The voice of American science was soon to be subdued to the roar of guns. "Great God! how I should like to see the greatest curse on earth—slavery—abolished," wrote Darwin to Gray a few weeks after Lincoln's call for arms. When his wish was consummated, he and Gray continued their correspondence until the long shadows fell upon them both.

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If, through lack of talent, through timidity, or for want of a favorable environment, America made no great contributions to the hypotheses of pure science in the middle period, her advances in the application of physics and chemistry to the satisfaction of human needs were important enough to warrant a long chapter in any balanced history

of western civilization. In the swift accumulations of the Patent Office in Washington a marvelous story was told. Perhaps it was no accident that the plot of land reserved in the original plan of the capital for a "national church square" was dedicated to the use of inventors in 1836 and that behind the façade of a Greek temple was opened in 1841 the largest exhibition room in the United States for the display of the devices which sprang from American inventive genius.

It was on American soil in this period that the idea of using chemicals for the prevention of pain in surgical operations was efficiently developed for the first time. No doubt the possibility of accomplishing this triumph had been sensed by the ancients; primitive races had used leaves as balms, such as the coca from which cocain is derived. Moreover, Faraday and Davy had advocated the trial of drugs as anæsthetics. But it was five American experimenters, Long, Jackson, Wells, Morton, and Warren, who carried vague speculation about anæsthetics into realization —and that in the age of P. T. Barnum and General Tom Thumb, so much better known.

The distribution of honors among these men is hazardous business; the French Academy after full investigation awarded the palm to Dr. Charles Thomas Jackson, born in the old Plymouth colony in 1805; but that decision has not been confirmed by the verdict of history. In any event, on the development of anæsthetics by American doctors was built not only a new surgery but also the science of dentistry, in which national skill has been so preëminently displayed. Within a few years practitioners in the United States had done more for the relief of human pain and suffering than all the soothsayers and shamans of ten thousand preceding generations. For the resignation of the mystics, they substituted the insubordination of the "hard" scientific mind dedicated to "the worship of progress."

It was during this so-called "vulgar" age that electricity, which had long occupied the attention of scientists on both

sides of the ocean, was turned by American inventors to the transmission of messages. A score of individuals worked at this problem but Samuel Morse effected the happy combination of the scientific temper and practical understanding which bore the magnetic telegraph out into the world of affairs and placed it at the service of mankind. In the same age, Matthew Maury, exploring the mysteries of hydrography, became the "pathfinder of the seas," in luminous studies pointing out to captains the safest routes for their vessels and explaining the nature of the ocean bed to scientists dreaming of transatlantic cables. It was on his faith in the work of Morse and Maury that Cyrus W. Field of Massachusetts rested his confidence in the possibility of submarine telegraphy, organized a company, raised the capital, demonstrated the feasibility of the project in 1858, and opened the system to commerce and international relations in 1866.

Although leading in some phases, Americans were borrowing in others with increasing zeal. In fact, during the middle period all the great mechanical devices of the Old World were imported and adapted to American conditions —textile machinery, locomotives, and steam engines. So free, indeed, was the circulation of ideas, so close were the relations of explorers, experimenters, and inventors that it is perilous to attempt to cut the grand republic of science too definitely into independent national sovereignties.

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Under the timid and uncertain patronage of the federal government a start was made toward making science a servant for tillers of the soil who in most countries and ages had been left to struggle along by rule of thumb close to the margin of subsistence. While President of the United States George Washington, a gentleman-farmer, had urged Congress to establish a department for the advancement of agriculture but provincial politicians, think-

ing that it would destroy local virtues, opposed it. Not until 1839 was a beginning made by an appropriation to the Patent Office, used in part for the purchase and distribution of new seeds and plants. The following year agents of the census began to collect the statistics of American agriculture which some day will be quickened by a writer of poetic gifts into the history of the land in the United States.

While politicians were debating the Wilmot Proviso, bleeding Kansas, and the fugitive slave law, men of science were urging, in the highways and byways, the conservation of natural resources and systematic aid from the government for the scientific use of our natural endowment. And this agitation bore fruit in the very midst of the Civil War in the establishment of the bureau of agriculture—a department without the rank—and provision for the creation of agricultural colleges in every state of a Union then hanging in a fateful balance.

In town, as well as country, applied science had work to do; there it had to face new problems created by the growth of huge industrial populations—problems in sanitation, transportation, public safety, and convenience. It is to this epoch that the historian traces impressive movements designed to make the city a safe, healthful, and comfortable dwelling-place. Of course, great waterworks, sewers, parks, and public baths were not novel in the nineteenth century. The chief cities of the Roman Empire, from the capital to the towns of the provinces, carried municipal improvements to an amazing degree of proficiency, but the mass of the people, slaves and artisans, derived small benefit from these mighty engineering achievements, except perhaps from the public fountains where they got their water supply.

The middle ages which followed the decline of Rome exhibited retrogression in every sphere of municipal science; for feudal wars forced the construction of walls around towns and the congestion of population within their con-

fines. Narrow streets swimming in mud, open sewers, disease, and pestilence, no less than beautiful churches, mercantile palaces, and guildhalls, were the outstanding characteristics of the mediæval city. It was not until the seventeenth century that the larger municipalities, such as London and Paris, began to construct waterworks on a comprehensive scale; and at the opening of the nineteenth century most cities were still essentially mediæval in appearance and in practice.

Thus in municipal administration, unlike letters and pure science, America could not turn to the Old World for noble models. In fact, apart from pavements and public buildings, London and Paris were not far in advance of New York in Andrew Jackson's day; the revolution in steam and steel which made possible modern improvements came in America only a few years after it began its wonder-working transformation in England.

In most respects, therefore, American cities moved along lines almost parallel with those of European municipalities. It was in 1822 that Philadelphia opened the Fairmount pumping station which supplied the city with water. It was in 1842 that New York completed the Croton water system, one of the great plants of the modern age. Boston installed public sewerage in 1823; twenty-six years later New York created a sewer department and began to attack her sanitary problem in earnest. The bath tub made its way slowly into the homes of the best families. Sidewalks, extensively introduced in Paris in 1782, appeared in Philadelphia within four years and were afterward rapidly adopted by other cities. Pavements of cobblestones which had been popular in colonial days were gradually extended in some cities and in other places were supplemented by stone and wooden blocks. In 1849, New York made Broadway smoother by paving it with large granite squares. While revolutions and royal donations in Europe were throwing open to the masses magnificent gardens and parks, American democracy was faintly struggling to break the dreary monotony of

streets with open spaces maintained at public expense. Boston continued to cherish the Common inherited from older days; Philadelphia made a striking departure in 1812 by buying a small private park; in 1858 New York started the construction of Central Park, a reservation of nearly a thousand acres.

The middle period also saw the beginnings of many important municipal agencies. It was in this era that the first regular public health service was organized, much to the disgust of citizens who, in spite of the plagues and fevers that periodically devastated their towns, looked upon their health as a strictly private affair. In 1853, New York, following an example set by London twenty-five years before, ordered policemen to don an official uniform—a blue coat with brass buttons, gray trousers, and a regulation cap. After a loud wail about "freemen wearing livery," the town constables succumbed and appeared in the new style. In the same decade, Boston and Baltimore, weary of constant fights among private fire companies, established municipal brigades. Before that decade closed, street cars were running in New York, competing with the hundreds of omnibuses that rattled up and down the main thoroughfares; the long battle over franchises and rates, attended by politics and corruption, had begun. William Marcy Tweed was mewing his mighty youth in the days of Andrew Jackson. If the modern reader gathers from reports of the time that American cities were dirty and unkempt places hardly fit for human habitation—and the impression is largely correct—he will do well to balance accounts by a study of European and Oriental cities, ancient, mediæval and modern.

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In the field of social speculation, the idea of progress, so potent in the early republican era, foliated richly in the new setting afforded by natural science and technology, anticipating in every phase the progressive democracy of the

twentieth century. The concept of the state as a humane institution for the promotion of public welfare, as distinguished from a mere military or police agency, was examined from all angles and vigorously expounded in the age of "Old Hickory" and "Honest Abe." "We believe that the government, like every other intelligent agency," said Horace Greeley, "is bound to do good to the extent of its ability—that it ought actively to promote and increase the general well-being—that it should encourage and foster Industry, Science, Invention, Social, and Physical Progress. . . . Such is our idea of the sphere of Government."

Emerson, in his fragmentary but deep-thrusting way, warned his contemporaries that the recent discoveries of science, in illuminating affairs of state, bade them think of the social order not as fixed but continually in the course of change. He was not sure of the social destiny of mankind but he was firm in his belief that the doctrine of evolution, which he vaguely discussed long before Darwin enunciated his thesis, would utterly "upset" traditional views of politics, trade, and customs.

It was within the framework of a large social philosophy that the most acute analyses of the prevailing issues were effected—banking and currency, free trade and protection, the land question, and the problems of labor. Writers on political economy had not yet committed the fatal error of separating economics from politics as if the production and distribution of wealth could be divorced from the civil law under which the process operates. The great theme, as Adam Smith conceived it, was kept intact; elements, outlines, and manuals of political economy pouring from the presses betrayed a deepening interest in the subject.

Moreover, as in other spheres, appeared some signs of emancipation from foreign dominance, particularly from England. Speaking for a country that had become the workshop of the world and for the moment faced no serious competition in any quarter, English theorists had found in free trade a policy that exactly fitted the occasion. Eng-

lish mill owners wanted cheap bread for their operatives; hence no tariff on food. Since they were not likely to be undersold in any market, they could incur the risk of competition in manufactured products at home. Seizing upon that peculiar condition, which, by the way, was never reproduced, English political economists proclaimed freedom of trade as a scientific doctrine—proclaimed it with such assurance and with such a display of logic that many American professors accepted it as if it were a decree of nature, in spite of the different economic conditions prevailing in their own country.

So it happened that the intellectual operations of the learned, especially in the colleges, did not always coincide exactly with the interests and opinions of practical men engaged in manufacturing. But the requirements of American industry were finally met by Henry C. Carey of Philadelphia, who published in 1837-40 three volumes on political economy in which he sharply criticized the leading preconceptions of the freetraders, sketched a nationalist basis for protection, and laid the logical foundations for a tariff system. To the doctrine of the economic man operated automatically by self-interest—fallacious at bottom and dangerous in application—Carey opposed the doctrine of national interest. Henceforward infant industries were to stand in no need of champions in the schools.

Meanwhile the rising labor movement found spokesmen who produced an immense literature sparkling with anticipations in every sphere. As early as 1826, L. Byllesby made pertinent inquiries into the origins of unequal wealth and the nature of its effect on human happiness. Three years later Thomas Skidmore declared the new rights of man—this time, to property. In a similar vein, Frances Wright preached radical labor doctrines from the platform, especially with respect to the position of women, her addresses issued as a "Course of Popular Lectures" winning a great vogue among working people. A decade later Albert Brisbane proclaimed the coöperative gospel of Fourier, who,

in spite of his fantastic schemes, "helped to familiarize the world with the idea of indefinite progress." Through translations from the French and in a book of his own entitled The Social Destiny of Man, Brisbane created a large school of reformers. Clinging still to their religion while recognizing new imperatives, the Christian Socialists found a genial spokesman in Adin Ballou. Anarchists won a hearing for their creed in trenchant works by Josiah Warren and Stephen Pearl Andrews. On the left also, The Communist Manifesto, of German origin, was given an English dress within a short time after its publication; and the German refugees fleeing to this country from the reaction that followed 1848 colored for a time the American stream of radicalism with this continental hue.

On the whole the note of coöperative idealism was strong and clear during the period, particularly in the eastern states where the population was becoming congested; where the fruits of machine industry, bitter and sweet, were falling upon the earth. Not until the national domain was flung by the Republican party to the hungry proletariat as a free gift, more significant than bread and circuses, did the socialistic idea sink into the background of the labor movement and the strictly realistic business of raising wages and reducing hours monopolize the thought of labor organizers.

This whole evolution was revealed in the case of Horace Greeley who, in the forties, vowed himself a socialist, opened the columns of his Tribune to Karl Marx and the communists, debated Socialism with H. J. Raymond, founder of the Times, threw himself into the Homestead movement, became absorbed in the slavery struggle, and ended in the embrace of respectability. The fact that men of Greeley's mental power and political standing were drawn to the socialistic philosophy is proof that the agitations of the middle period had reached far beyond the obscure circles of working people and were deemed worthy of serious consideration by some who sat in lofty places. Unquestionably the civil cataclysm of 1861 and the free

land opened to labor by the Homestead Act of the following year checked for decades the strong radical drift.

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By delving into the records of the past, historians now helped economists to detach, at least in some degree, the facts of social evolution in America from the glowing periods of revolutionary orators. George Bancroft, in 1834, began to issue a ten-volume History of the United States ranging from the founding of the colonies to the establishment of the Constitution. Though his New England origin and his Democratic politics gave many curious twists to the threads of his narrative, though an avowed intimacy with the purposes of Providence often lifted him far above the dusty way, his long and arduous researches gave his work a value which time has not destroyed.

In a less exalted strain, Richard Hildreth, one of Bancroft's contemporaries, a Federalist from New England, told the American story from the age of discovery to the Missouri Compromise. Declaring that of "centennial sermons and Fourth-of-July orations" there were "more than enough," he vowed that he wanted to portray the founders of the nation as they actually were, "unbedaubed with patriotic rouge, wrapped up in no fine-spun cloaks of excuses and apologies, without stilts, buskins, tinsel, or bedizenment." His inquiries were not as deep as those of Bancroft but his style was more restrained and more scientific.

A disillusioned Federalist, rather than an ardent Democrat, and claiming no special familiarity with the plans of the Almighty, Hildreth gave a colder and calmer view of the sacred past. When his first instalment appeared in 1849, a doleful sound went up from patriot quarters but scholars rejoiced in being able at last to discover something tangible through the mists. The editor of the Edinburgh Review, for example, even though he would have found it hard to discover anything but chauvinistic history at home,

expressed his pleasure in seeing "the muse of American history descended from her stump and recounting her narrative in a key adapted to our own ears."

Not content, however, as a modern wit remarked, with this demonstration of "how civilization came into America by way of New England," writers in the middle and southern states began to take a look at the past for themselves. The novelist, Irving, wrote a substantial biography of George Washington and then, turning aside from ponderous tradition, composed a humorous history of the New York Knickerbockers which delighted everybody except some of the old Dutch families. As if to offset the one-sided view of the country that came from the northern schools, George Tucker of Virginia gave a fine old southern gentleman's impression of the nation's historic past in a solid, if not brilliant, work which deserved deeper consideration than it ever received.

No less important for the development of accurate historical scholarship in America were the collections of original materials which industrious persons now began to assemble—collections from which searchers could form independent judgments. Foremost in this field was a Harvard professor, Jared Sparks, who labored long and hard at the work of compiling and editing. Besides making noteworthy contributions to American biography, he brought out the life and writings of Washington in twelve volumes and the works of Franklin in ten—correcting and polishing the letters of his two heroes instead of printing them exactly as they stood with all their errors in spelling, grammar, and diction. Still, in this editorial mutilation, Sparks inadvertently rendered a service to scholarship, for the opposition aroused by his easy liberties with the texts made his successors more wary and precise.

In the same business of collecting and editing, Sparks had an indefatigable contemporary, Peter Force, who projected a huge library of American archives and got several volumes through the press before the federal government, un-

der whose auspices he was working, cut off its financial support. He managed to make important beginnings and to forward the spirit of scientific research. Thus the period, near its close at least, exhibited a growing interest in history and a bent toward that laborious hunting and assembling which were then making German scholarship the admiration of all students in this special field. In time so-called "scientific history" was to invade the realm so often monopolized by romance and mere convention.

By the side of the luminaries burned many lesser and local lights. In every section of the country, from Maine to Georgia and from the Atlantic coast to the Mississippi Valley, amateur historians and collectors were gathering papers, writing down folklore, and describing contemporary life. Timothy Dwight, journeying far and wide in New England and New York, left behind at his death four great volumes of his observations and impressions. Timothy Flint rendered a similar service for the Mississippi country. In one fashion or another an amazing pile of materials on local life and incidents was amassed. Illinois alone, to use a single example, was discussed under more than four hundred titles in works issued between 1818 and 1865. In college and private libraries and in state archives recorded data were preserved by a thousand hands. The age of oral tradition and gossip was merging into the age of sifted and tested facts. Herculean labor yet lay ahead but by 1860 much ground had been cleared and long strides taken in the work of placing the study of social evolution in the United States on a scientific basis.

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The searches of the economists, historians, and scientists which threw such a flood of light on the nature of social origins and development inevitably reached the subject of the family. If the men had preferred to neglect it, circumstances did not permit them to exercise that preroga-

tive; for in the forties feminism came to the front as one of the disturbing factors that could not be ignored. The accumulation of moderate fortunes which enabled the belles of New York to shine under the chandeliers also gave education and leisure to thousands of women who never saw the ballroom of a brownstone mansion.

Indeed, the significant feature of femininity in the middle period was not the inherited passion for salons done in red and green—that was as old as Trimalchio—but the invasion of women into fields of industry, science, education, letters, and civic affairs. Now thoroughly familiar with creeds such as Charles B. Brown timidly advanced in the eighteenth century, women, in open revolt against the masculine supremacy crystallized in the common law, energetically engaged in formulating political programs which contemplated sex equality. If liberty was the grand catchword for all, if opportunities for advancement were to be opened to all, high and low, then where did women stand? That question had been asked softly in the eighteenth century. In the mid-nineteenth it was asked in tones that could be heard by every editor, preacher, politician, and voter. The lone cry had become a chorus.

This was, as we have seen, the fruition of an agitation which began in the seventeenth century. Inevitably the discussion of the rights of man in America, France, and England raised the question of the rights of woman but, in the political reaction that followed the French Revolution, the hopes of women sank in the general disillusionment. Europe seemed sick, in spite of the continued debate on democracy; and radicals began to look for bold experiments to the United States, where the very newness of things gave promise of an earlier break in the bondage of law and custom. For this reason several keen and able women came from the Old World to study, lecture, or agitate in the democracy of America; from Poland Ernestine Rose, from Scotland Frances Wright, from England Harriet Martineau.

It was not mere unrest, curiosity, or agitation that commanded a hearing for women. They were already making themselves count in the affairs of the world. The age which produced in other countries George Sand, Charlotte Brontë, George Eliot, and Elizabeth Barrett Browning in literature, Rosa Bonheur in art, Caroline Herschell in science, Elizabeth Fry in humanitarian reform, and Florence Nightingale in nursing service on the battlefield also received offerings from America: Harriet Beecher Stowe and Margaret Fuller in letters, Harriet Hosmer in art, Maria Mitchell in science, Dorothea Dix in the care of defectives and delinquents, and Clara Barton in the hospital. Complacent political and military historians, following the traditions of their craft, had left women out of their chronicles of the American Revolution; Mrs. Ellet in a domestic history of that cataclysm partly restored the balance of justice.

With equal determination, Margaret Fuller reminded gentlemen of the pen that the women of the nineteenth century had a will and an understanding of their own. Pained by the slovenly style and the inaccuracies of the school books, Mary Lyon set to work to make a better series in history and geography. The recipient of the first medical diploma granted to women in America in 1849 Dr. Elizabeth Blackwell opened in New York an infirmary for women and children, soon adding to it a medical college for women. As if reëchoing the call of Anne Hutchinson, Antoinette Brown Blackwell, of the famous Morse family, a graduate of Oberlin in letters and theology, entered the pulpit as a fully ordained Congregational minister in 1853. While William Lloyd Garrison with little to lose was leading his crusade for emancipation, the Grimké sisters of South Carolina freed their slaves, braved the wrath of their class, and likewise gave their lives to liberty.

Besides making a real headway amid the turbulence of Jacksonian democracy, a large group of thoughtful women were deeply stirred by all the germinal ideas in theology,

science, and social economy thrown up in that age. In an account of a brief conversation with Lucretia Mott in 1840, for example, Elizabeth Cady Stanton reveals what was going on behind the scenes. "She told me of the doctrines and divisions among the 'Friends'; of the inward light; of Mary Wollstonecraft, her social theories, and her demands of equality for women. I had been reading Combe's 'Constitution of Man' and 'Moral Philosophy,' Channing's works, and Mary Wollstonecraft, though all tabooed by orthodox teachers; but I had never heard a woman talk what, as a Scotch Presbyterian, I had scarcely dared to think."

Mrs. Mott herself was skeptical enough to have pleased Voltaire. "It is often a question," she wrote, "and still is unsettled with me, whether the various religious organizations, with all their errors, are more productive of good than evil. But until we can offer something better in their stead to a people largely governed by religious sentiment and a natural love for association, it requires great care how we shake their faith in existing institutions." In the age of zoölogy, Catherine Beecher dared to announce that "the time is coming when women will be taught to understand the construction of the human frame."

At every corner critical thought and economic change were eating away the foundations of the traditional family system inherited through the republican period from the colonial age. The abolition of primogeniture and the extension of civil marriage were bearing fruit; the factory system and the rise of public schools were offering women wider opportunities; easier divorce laws were giving them a new sense of independence. Furthermore, the opening of the West and the call of the growing cities made girls more defiant of parental authority and more determined to exercise their own pleasure both in the choice of work and of husbands. So marked were these features of American civilization that Harriet Martineau was amazed by the contrast with the subjection of women in England.

In the forties the scattering forces of feminism began to gather for a mass movement. True, a decade before, de Tocqueville, chiefly concerned with keeping French women in their ancient status, declared that he never observed in America an attempt on the part of women to subvert masculine power. "It appeared to me, on the contrary," he solemnly avowed, "that they attach a sort of pride to the voluntary surrender of their own will, and make it their boast to bend themselves to the yoke—not to shake it off." If the philosopher had come to the country in 1848, he might have noticed something else. In that year was held a Woman's Rights Convention at Seneca Falls in the state of New York and this was followed by other conventions, East and West, from Worcester, Massachusetts, to Dublin, Indiana.

In the strain of the eighteenth century document drawn by men, the Seneca Falls assembly issued a Women's Declaration of Independence setting forth again the grand principles of liberty and equality. Faithful to precedent too, it presented a list of grievances, after the manner of the bill of indictment launched against George III: the men had monopolized the lucrative professions and employments, they had closed the colleges of higher learning to woman, they had taxed her to support a government in which she had no voice, they had deprived her of property earned by her own labor, they had called her civilly dead at marriage, they had assigned her a lowly place in the church, and all in all they had put her in the status of serfdom. The implications were evident: political, economic, and intellectual equality. The note was defiant. Grave gentlemen, such as Richard Henry Dana, were shocked and indignant. Sapient editors laughed loud and long, flinging at the ladies their scornful headlines: "The Reign of Petticoats" and "Insurrection among Women."

In a little while the pioneer women in the movement, Lucretia Mott, Martha C. Wright, Elizabeth Cady Stanton, Lucy Stone—granddaughter of a captain in Shays'

army of rebellion and Oberlin graduate—and Susan B. Anthony, were joined in their advocacy of woman's rights by a few men of distinction: Wendell Phillips, Garrison, Channing, Whittier, and Emerson. Far away on the western frontier Abraham Lincoln must have heard echoes of the strife in the early days of his career, for he declared that he favored sharing government with women. Without doubt the agitation for equal suffrage was gaining rapidly and would have gone far in the sixties if the anti-slavery movement and the Civil War had not induced women temporarily to put aside their cause for one that seemed even more impelling.

And yet in spite of that great diversion of feminine energy and enthusiasm, some victories were won by the forerunners before the second half of the century opened. In the domain of civil liberty, champions of the new cause demanded for married women, among other things, the right to hold and acquire property and to enjoy exemption from liability for their husbands' debts. In the masculine camp this claim raised a storm. The real reason was obvious but the good reason advanced was to the effect that the women, in managing property, would be thrust into the hard scenes of the busy world and suffer a diminution of their charms.

Nevertheless, a few outposts were carried with surprising ease. In 1839 Mississippi emancipated women from tutelage in the matter of property; in 1848 New York, Indiana, and Pennsylvania took a similar step; two years later California and Wisconsin swung into line. Once started, the march could not be stopped.

The growing respect for the rights of the individual, and the passion for leveling privileges which inspired the feminist movement also led to inquiries concerning the status of children. Under inherited usages they were in some respects the property of the father while he lived; and of the mother if she survived him. In part this subordination of children arose from the helplessness of infants and

in part from the command of the parents over the earnings of their offspring. That parents were as eager as manufacturers to exploit youth appears written large in the documents of early investigators. Hamilton had boasted that the factory system would lead to the employment of children of "tender years" and fathers and mothers, either through necessity or selfishness, had responded to the call of the early mill owners, the sacredness of the home affording the plea of immunity from state interference.

This form of dominion, however, could not escape the surging forces of Jacksonian Democracy. From all sides parental sovereignty was assailed—by the trade unions which felt the competition of children and desired a restriction of apprentices, by the champions of popular education, by those who were caught in the tide of the new humanism, and by politicians who saw in illiterate citizens now enfranchised a menace to the institution of private property. It was no mere co-incidence, therefore, that the legislative inquiry into child labor undertaken in Massachusetts in 1825, perhaps the first in America, coupled a study of school attendance with an investigation into industry.

Within a little more than a decade there began to pour from state legislatures laws restricting the hours of labor for children, requiring a minimum allowance of time for elementary education, and otherwise restraining the power of parents to dispose freely of the services of their offspring. Before the mid-century was passed, the rights of children, conceived in the interests of humanity and of the community, were looming large in statute books and judicial decisions.

Yet the difficulties of enforcing the new laws were immense, for parents did not lightly surrender the ancient prerogative nor did the children, emancipated from the mill, turn joyfully to the schoolroom, in every case. Moreover, as the public mind was not prepared for drastic action, the legislatures usually left loopholes through which camels could pass. For example, New Hampshire in its law of

1847 provided that no child under fifteen should work more than ten hours a day—except with the written consent of parent or guardian. Halting steps were these but they were the beginnings of a transformation in the status of children. "The levelling system of the present age," lamented a Presbyterian magazine in the forties, "is nowhere more unfavorable than in the family. . . . The parents' authority ought to be early, absolute, and entire." It was a cry from the past.

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The revolution in technology, the reconstruction of the social order under the impact of machine industry, the advance of science into the domain of cosmogony, the economic independence brought to the nation by increased wealth, the ferment of political equality, the changing status of women, the clash of parties over domestic issues, and the new contacts with foreign countries reset the intellectual stage for speculation about life and for all forms of imaginative literature. And the product bore the impress of its environment. After all, nearly everything that is written or painted bears some relation to the natural world, to the things that are done in it, and to opinions about its constitution.

Except for the monk marooned in a mountain fastness with nothing save the books of ancient lore, all artists of brush or word or chisel are caught more or less in the drift of society. That is inescapable even though some who enjoy private fortunes or special patronage may try to hold themselves aloof from contemporary currents and subdue their creative energies to the ancient patterns.

There is, of course, always a lag to literary and artistic culture for so much of it is traditional and cumulative. While the business man tears down his beloved factory when he finds that his profits can be enlarged by erecting a new one, no such transparent motive operates in the realm of the literary and plastic arts. Workers in those

fields find at hand a great pile of conventional materials, often so beautiful that it seems a sacrilege to try to copy them—models by old masters. In any case it is usually more satisfying to bow to them than to break with them and apply naïve simplicity to current use and contemporary environment, infinitely easier than to attempt to pry open the barred gates of the future. All education inclines the mind to tradition; respectability generally urges one to accept it; genuine devotion to the creative achievement of the past often subdues the mind to worship; even a merely technical knowledge of dead languages and old art sets the possessor off from the common herd, giving him some of the distinction which all mankind covets so much.

Nevertheless, as William James cautions us, the worlds of fact and spirit evolve together; the changing circumstances that mark the economic and social development of nations into epochs also give periods to the evolution of arts and letters. Divisions are never sharp but they are undeniable. The America of cotton mills, blast furnaces, and a continental empire was not the America of stagecoaches, handlooms, and seaboard villages.

And the northeastern section now possessed just those conditions of life and economy that were favorable to the flowering of literary and artistic enterprises, being drawn into the center of the great vortex of industry, science, and secularism that was devouring the culture of feudalism and the soil and sweeping the social order inexorably forward into the future. First of all, in that section, the substance of urbanity had been provided by a marked growth in the density of population. The society of New England, besides being especially compact, had several centers of intellectual friction: Boston with its environs, Hartford, New Haven, Providence, and Newport; the inhabitants, relatively homogeneous, ingrowing, given to debate, and trained in self-expression, furnished a larger proportion of people who were city bred, had received a college education, and felt competent to instruct the multitude.

Not only was the rate of social exchange highest in the Northeast, but natural science was there made the servant of machine industry. If Virginia gentlemen or Philadelphia philosophers, like elegant amateurs, had dallied with physics and chemistry as "curious and interesting branches of polite knowledge," smudged and aproned men of affairs in industrial regions now turned those entertaining subjects to the uses of manufacturing, exalting them in the eyes of business practitioners willing to help with largesse and endowments the advancement of inquiries that brought returns to the counting house. In short, all the ruthless forces of the acquisitive instinct were, in the Northeast, put behind the scientific spirit—that protean and dynamic genius of the modern age, so devastating to the cultural legacy of agriculture. Those who believed with the theologians that it could be exorcised by appeals to the thought-patterns of Cotton Mather or with Georgia planters that it was all a perversion of good taste by willful men simply failed to reckon with fate and doom.

Besides cities, industries, and science, the Northeast had in virtue of its economic operations a strong passion for independence. A rising rival of England in the markets of the world, it felt its strength in riches and, while it desired protective tariffs against British competitors, it also cherished the sentiments of nationalism in letters and art. If American cottons and broadcloths were good enough for citizens to wear, why not American books and pictures attractive enough for them to buy?

Intimately related to these concrete economic factors were other conditions conducive to cerebration. The rise of cities, the appearance of the working class, the agitations growing out of strikes, industrial panics, and spreading urban poverty simply thrust into the faces of the most careless bystanders facts and sounds of a new order. With a rudeness that could not be ignored, a vast and complex array of phenomena and ideas broke in upon the calm of agricultural days, directing the sons and daughters of Fed-

eralists to the consideration of matters their ancestors had never dreamed of. At the same time, science driven forward by irresistible forces was disrupting the old and simple plan of salvation that had seemed convincing enough along the shores of Galilee, in the village churches of feudal Europe, and in the rural communities founded by yeomen and gentlemen in colonial America. With doubts about Biblical cosmogony came doubts about the whole epic, filling the air with criticism and speculation.

Stirred by the fierce debates, some thinkers turned one way and some another. Henry Thoreau sought solace in the offerings of sweet nature. George Ripley passed through unitarianism to free thought while his wife went back to the Pope, Saints, and the Church. New versions, guesses, and criticisms showered like sparks from the hot iron of the smith, kindling flames of excitement, large and small, in every direction.

With the ferment rose the demand for literary wares, with the demand the publishing houses, and with the publishing houses new wares feeding new anxieties and interests. In the middle period printing became an important business. The steam engine that drove a cotton mill could also drive a press. Capital that might be invested in a forge or weaving shed might be diverted to a newspaper, magazine, or book-publishing house. Every year saw the establishment of new publishing concerns, some destined to great careers; or of new magazines, fortunate enough to become household staples.

In this manner the market for literary goods was stimulated, and the cubic contents of printed stuff turned from the American presses rose from decade to decade. In 1820 not quite one-third of the publications issued in the United States came from American writers; before the middle period had reached its close more than four-fifths were of domestic origin. Thus the profession of letters was put on a firm economic basis; at all events the writers of good prose—women as well as men, for business enterprise kept

its eyes on ledgers rather than ancient parchments—now had rewards that lifted them well above the seekers of patrons in Johnson's day and the hunters for dinners in Grub Street.

If, as Bryant remarked, poets still found it hard to combine the making of verse with fullness of stomach, writers of novels, stories, essays, reviews, and histories could count on respectable incomes. Harriet Beecher Stowe's Uncle Tom's Cabin ran into the millions of copies; Cooper and Hawthorne made more money with their pens than most preachers, bookkeepers, and pedagogues; Prescott drew perhaps a hundred thousand dollars from the royalties on his works.

Surrounded by a society becoming steadily more urban in composition, stimulated by new ideas, and furnished a market, young people of literary aptitudes in the Northeast could with relative ease embark upon that career. And circumstances favored the germination of just those aptitudes. The line of clerical, professional, and mercantile families had lengthened by two generations since the Declaration of Independence and more families with leisure had been created by the steady amassing of fortunes from manufacturing, merchandizing, and shipping. In this fashion an increasing number of boys and girls who in colonial times would have been submerged in the mere economy of living were now afforded opportunities for education and travel and experimentation.

Thus the personnel for the trade of letters—for letters is a trade—was enlarged. Thousands could be prepared for it, could face its economic risks, and could find a sale for their output. Only a few writers, of course, broke through the everlasting commonplace into fame; but without the market, without the intellectual friction of urbanism, and without criticism, how far could the genius of the middle period have advanced beyond the provincialism of colonial times?

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Nothing more closely fitted the exactions of the age than the high note of nationalism that reverberated through the literature of the period. Clearly sounded in the days of the young republic, it had been amplified by the second war with England, reinforced by growing economic power under the shelter of tariff discriminations, and deepened by the pretensions of Jacksonian Democracy, especially as the countries of the Old World were subjected again and again to the storms of political revolution. All the American writers of the age were conscious of its reality and its appeal—even those who sought to employ the cultural implements of Europe in their intellectual operations.

Echoing the sentiments of Royall Tyler, James Dunlap, and Noah Webster, uttered when the struggle for independence was still keenly mirrored in their minds, Emerson issued a new manifesto in a Phi Beta Kappa Address delivered at Cambridge in 1837. "Our day of dependence, our long apprenticeship to the learning of other lands, draws to a close," declaimed the orator. "The millions that around us are rushing into life cannot always be fed on the sere remains of foreign harvests. Events, actions arise, that must be sung, that will sing themselves. . . . There are creative manners, there are creative actions and creative words . . . that is, indicative of no custom or authority, but springing spontaneous from the mind's own sense of good and fair."

In his view of things, it was subserviency and imitation that made Americans contemptuous of their own powers and hence sterile in the creative arts. With many perpendicular strokes, Emerson brought his hammer down on the American sycophant of his day: "It is for want of self-culture," he said in an essay on Self-Reliance, "that the superstition of Traveling, whose idols are Italy, England, Egypt, retains its fascination for all educated Americans. They who made England, Italy, or Greece venerable in the imagination did so by sticking fast where they were, like an axis of the earth. In manly hours we feel that duty is

our place. The soul is no traveler; the wise man stays at home, and when his necessities, his duties, on any occasion call him from his house, or into foreign fields, he is at home still, and shall make men sensible by the expression of his countenance that he goes the missionary of wisdom and virtue, and visits cities and men like a sovereign and not like an interloper or a valet.

"I have no churlish objection to the circumnavigation of the globe, for the purposes of art, of study, and benevolence, so that the man is first domesticated, or does not go abroad with the hope of finding somewhat greater than he knows. He who travels to be amused, or to get somewhat which he does not carry, travels away from himself, and grows old even in youth among old things. In Thebes, in Palmyra, his will and mind have become old and dilapidated as they. He carries ruins to ruins.

"But the rage of traveling is a symptom of a deeper unsoundness affecting the whole intellectual action. . . . We imitate. . . . Our houses are built with foreign taste; our shelves are garnished with foreign ornaments; our opinions, our tastes, our faculties, lean on and follow the Past and the Distant. The soul created the arts wherever they have flourished. It was in his own mind that the artist sought his model. It was an application of his own thought to the thing to be done and the conditions to be observed. . . . Beauty, convenience, grandeur of thought, and quaint expression are as near to us as to any, and if the American artist will study with love and hope the precise thing to be done by him, considering the climate, the soil, the length of the day, the wants of the people, the habit and form of the government, he will create a house in which all these will find themselves fitted, and taste and sentiment will be satisfied also. Insist on yourself; never imitate. Your own gift you can present every moment with the cumulative force of a whole life's cultivation; but of the adopted talent of another you have only an extemporaneous half possession."

In the realm of imaginative letters, the independence which Emerson thus declared could, of course, take many forms, the simplest being to proclaim the new liberty by choosing American themes. That indeed was the procedure adopted by the most distinguished writers of fiction in the middle period, James Fenimore Cooper, William Gilmore Simms, Washington Irving, Nathaniel Hawthorne, Beverley Tucker, John Pendleton Kennedy, and Harriet Beecher Stowe.

If one of them be taken to illustrate the thesis, it may well be Cooper, whose first novel, published in 1820, was the direct outcome of a boast that he could write a better story than an English tale which he happened to be reading. Ashamed of this book turned out mechanically in the spirit of boyish bravado, Cooper then undertook to compose, as he said, "a work which should be purely American, and of which love of country should be the theme."

Happy circumstances prepared Cooper to be a pathbreaker. Brought up in his youth in the country, on the edge of the open wild, unlearned in the formal literary arts, tutored by a schoolmaster with a dislike for the Puritans, and early thrown against the raw materials of life as a sailor, Cooper was not inclined by nature or training to compete with a Scott or a Balzac in European patterns of thought, or to dabble in the theological ideas of New England. Driven to creative work by his own spirit, he was forced to choose his subjects from the life of his own people on land and sea rather than the shadow-haunted realms of Puritan repressions or the mediæval legends of feudal romance. If he was conventional in his treatment of women, he did but honor the letter and spirit of the common law, a jurisprudence accompanying manners that were beginning to dissolve, unknown to him, before his very eyes.

Without depreciating his experiments in European subject matter, it must be said that his most successful work was American to the core. In that medium he was at home

and there he avowed a thesis: the story of liberty, clash of Patriot and Tory, ardent youth against surfeited age, the adventurous spirit in the primitive forest setting. With a broad and often stiff brush, Cooper painted the varied scene: Indians, pioneers, spies, pirates, slave traders, soldiers, sailors, planters, farmers, hunters, trappers, merchants, women, mountain and plain, lake and ocean. Admitting that he gave the Indian colors far too rosy, all must concede that he portrayed with a firm hand the American types of his age, revealing their ideas and passions, in lines bombastic at times but true to life.

And it was just this treatment of the scene he knew that gave Cooper his standing with contemporary critics abroad as well as at home, caused Thackeray to look upon him as the peer of Scott, induced Hugo to rank his work above that of Scott, and long afterward led Conrad to bow to him as to a master. Cooper was the first to thrust the Indian vividly into the foreground of fiction and that act alone was sufficient, given reasonable competence, to make him significant to the dreamers of the Old World still steeped in the romanticism of Rousseau's natural man.

Herein lay the secret of Balzac's exclamation that Scott was the historian of humanity, Cooper of nature. Indeed, so deep was this impression with Balzac that, one of his critical biographers remarks, "his usurers, his lawyers, his bankers, and his notaries owe too much to the sojourn of his imagination in the cabin of Leatherstocking or in the wigwam of Chingachgook and there are in the Comédie Humaine too many Mohicans in spencers and Hurons in frock coats." At all events, Cooper proclaimed the republic to the Old World for the first time in a form that made a wide popular appeal, making Europeans, young and old, who never heard of Emerson's essays or de Tocqueville's travel book aware of a dynamic country beyond the Atlantic Ocean. Moreover, in letters and essays, Cooper defended the government and people of the United States against European critics of aristocratic leanings—even though the

conduct of the radical elements in Andrew Jackson's farmer-labor party was so hateful for him to contemplate that he opposed all such factions at home.

§

With regard to domestic affairs, as distinguished from the opposition of American civilization to that of other lands, the speculative and imaginative literature of the middle period, in so far as it dealt with the realities of American life, reflected all the issues of political economy and natural science thrown up in the seething democracy of the age. Far away on the right, the conservative agricultural thought of the period—forming one antithesis to the Hamilton-Webster-Clay system—was mirrored in the novels of Simms and Cooper.

The former, born in South Carolina and in his later years a slave owner and planter, represented in fiction the economics that Calhoun represented in politics. An opponent of tariffs and internal improvements, he became with the passing years an ardent advocate of secession as the price of economic freedom. With the same facile adjustment to concrete circumstance, Simms adopted the current defense of chattel slavery. "We beg once for all to say," he wrote defiantly in language echoing Calhoun's doctrines, "to our northern readers, writers, and publishers, that in the South we hold slavery to be an especially and wisely devised institution of heaven; devised for the benefit, the improvement, and safety, morally, socially, and physically, of a barbarous and inferior race." Believing slavery sound in morals, a champion of planting against industrialism, Simms gave his southern readers food seasoned to their palates. His novel, Guy Rivers, to use an illustration, presented to South Carolinians a planting gentleman for a "worn out English lord" and a Georgia outlaw for a "robber baron of the middle ages." In their ensemble, his writings drew a clear picture of southern aristocratic society, with its strong penchant for fighting men, fair

women, fine sentiments, and moonlit romance. Even the very exuberance of his rhetoric merely flowered from the same stem as southern oratory. In the identical rhythmic category with Simms may be placed his friend and co-worker, Beverley Tucker, Virginia gentleman, jurist, and scholar, author of The Partisan Leader, a novel of peculiar power, defending the southern cause, and foretelling secession a decade before the event.

With equal fidelity the spiritual aspirations of the dying agricultural aristocracy of the Hudson River Valley gleam through the pages of Cooper. Springing from the landed patricians of New York, he inherited with his family estate his father's contempt for "the rabble." By economic origins, therefore, he was thrown into temperamental opposition to the financial and industrial classes for whom Daniel Webster's grand orations were delivered; and his early prepossessions were fortified by long sojourns in Europe where surviving feudalism was still strong enough to check the pretensions of the machine man.

To the end of his days, Cooper disliked the money-making bourgeois. With the true instinct of a landed gentleman, he regarded trade as "vulgar," and despised "the wine-discussing, trade-talking, dollar-dollar set" of New York City—which accounted for a great deal of the cursing he received at the hands of certain metropolitan editors. Through the pages of his Monikins the passions of the fight glow like smoldering fire. Of necessity, accordingly, Cooper rejected the Hamilton-Webster party and joined the Democrats, even daring in flashing articles to defend Andrew Jackson against the Senate—a thing as shocking to the "Best People" of New York as the defense of William Jennings Bryan in the great age of Marcus A. Hanna.

And yet for all that, much as Cooper hated the money changers, he had a contempt no less bitter for the rank and file of Jackson's farmer-labor party. Though agricultural in his sentiment, he was not agrarian—no debt-burdened plowman. In a tale of the anti-rent riots waged by tenants

at the expense of the great landlords of the Hudson Valley, he gave vent to his feelings against the levelers—the Gracchi, the Shayses, Bryans, and LaFollettes of his time. "The column of society," he warned his readers in a preface, "must have its capital as well as its base. It is only perfect while each part is entire and discharges its proper duty. In New York, the great landholders long have, and do still, in a social sense, occupy the place of the capital. . . . We would caution those who now raise the cry of feudality and aristocracy to have a care of what they are about." Cooper's Ways of the Hour was frankly written "to draw the attention of the reader to some of the social evils that beset us," especially those springing from the course of democracy. "In trials between railroad companies and those who dwell along their lines," he lamented, "prejudice is usually so strong against the former that justice for them is nearly hopeless."

§

For the industrial right there were few novelists who ventured to draw near the all-devouring, all-becoming vortex, and justify its ways to mankind. In fact it was a bit too ruthless for mellowed men of letters; but its beneficiaries were not without sympathy in literary circles. If Oliver Wendell Holmes protested to James Russell Lowell that he was not "a thorough-going conservatist," he was none the less disinclined to be disturbed by the clamors of Jackson's democracy for what it pleased to call "justice." His general conspectus of the social order was neatly summed up in the following oracular statement made at the Breakfast Table: "The spiritual standards of different classes I would reckon thus: (1) the comfortably rich; (2) the decently comfortable; (3) the very rich, who are apt to be irreligious; (4) the very poor, who are apt to be immoral."

When taken to task for neglecting the agitations of his day looking to the improvement of the lot of the poor, Holmes replied: "I believe I have never treated them

unkindly in any way. I am sure that I feel a deep interest in all well-directed efforts for improving their condition, and am ready to lend my cordial support to such practical measures as furnishing them better dwellings and similar movements." In the main, however, he was personally opposed to all the radical currents of his age, currents which on the one side created the Jacksonian uproar among the masses and on the other the socialistic furor among the intellectuals—Lowell, Curtis, Emerson, and Ripley, for example. Against the abolitionist appeal Holmes was equally dead set, holding until the eve of the Civil War that "we must reach the welfare of the blacks through the dominant race."

Not in the same class as an artist in polite letters, but far more outspoken in his championship of the Hamilton-Webster system was John Pendleton Kennedy, the Baltimore novelist, friend of Thackeray and Poe. From start to finish a thoroughgoing Whig, Kennedy attacked "the dangerous principles" of Jackson's administration, supported protection and the bank, cheered for Henry Clay, and entered Congress as a devoted member of his party. It is true that his best known pieces of fiction, Swallow Barn and Horse-Shoe Robinson, are by no means stereotypes of his political opinions; but his Quodlibet: Containing some Annals thereof, by Solomon Secondthought Schoolmaster is a broad satire on Jacksonian politics, written in a diffuse, bombastic style appropriate to the theme—and to the campaign of 1840, the year of its publication. In no way a foe of those sound old planting Whigs of Virginia, whose sympathies were with Webster rather than Jackson, Kennedy was primarily a friend of the new commercial and industrial order, loyal perhaps to the mercantile traditions in which he was reared.

In that general direction also leaned Washington Irving, son of a New York merchant, although he, like Oliver Wendell Holmes, rather shrank from the fierce battles of the forum. Early in life he declared himself "an admirer

of General Hamilton and a partisan with him in politics," and in his latter years he avowed an equally deep admiration for Daniel Webster, from whom he received an appointment as minister to Great Britain. Though, during the high tide of Jacksonian Democracy, Irving softened in his antipathy for "popular politics," he declined Democratic nominations to public office in New York and a place in Van Buren's Cabinet.

At no time did Irving betray any sympathy for the farmer-labor wing of the Jacksonian army. On the contrary, he confessed in 1838 to "a strong dislike for some of those loco-foco luminaries who have of late been urging strong and sweeping measures subversive of the interests of great classes in the community. . . . I always distrust the soundness of political councils that are accompanied by acrimonious and disparaging attacks upon any great class of our fellow citizens. Such are those urged to the disadvantage of the great trading and financial classes of our country." In other words, with relation to Cooper's "trade-discussing, dollar-dollar set," Irving was on the opposite side of the arena.

§

Off to the left of Cooper, Holmes, and Irving—a sympathetic, though not passionately whole-hearted advocate of Jacksonian Democracy and its tendencies—was Nathaniel Hawthorne, the starveling author of Salem, poorer in purse in his early days than perhaps half the voters who cast their ballots for "Old Hickory." In a truly democratic spirit, Hawthorne accepted the people, instead of patronizing them after the fashion of the Brook Farm reformers. Moreover, he voted the Democratic ticket, called himself a Democrat, and was lifted out of semi-starvation by an appointment to a federal job in the customs service under Jackson's beneficent spoils system.

And this was perfectly natural. Hawthorne had no more faith in aristocracies of land or riches than had Jack-

son; like the General he belonged to neither. "The truth is," he said in The Scarlet Letter, "that once in every half-century, at longest, a family should be merged in the great, obscure mass of humanity, and forget all about its ancestors. Human blood, in order to keep its freshness, should run in hidden streams as the water of an aqueduct is conveyed in subterranean pipes."

Far from accepting at face value high-toned doctrines about the rich and well-born, Hawthorne had about as much reverence for the infallibility of superior persons as any humble professor of the Jacksonian creed. Once when dilating upon the fate of old Matthew Maule, executed for the crime of witchcraft, he remarked with a kind of cold precision: "He was one of the martyrs to that terrible delusion, which should teach us, among its other morals, that the influential classes, and those who take upon themselves to be leaders of the people, are fully liable to all the passionate error that has ever characterized the maddest mob. Clergymen, judges, statesmen—the wisest, calmest, holiest persons of their day—stood in the inner circle round about the gallows, loudest to applaud the work of blood, latest to confess themselves miserably deceived."

Certainly none of the persons, classes, institutions, or practices that agitated the Democrats and their reforming wings entirely escaped Hawthorne's pages—"this crowd of pale-cheeked, slender girls who disturb the ear with the multiplicity of their short dry coughs . . . seamstresses who have plied the daily and nightly needle in the service of master tailors and close-fisted contractors until now it is almost time for each to hem the borders of her own shroud. . . . The prison, the insane asylum, the squalid chamber of the almshouse, the manufactory where the demon machinery annihilates the human soul, and the cottonfield where God's image becomes a beast of burden."

And in a realistic spirit Hawthorne discarded the high theorizing of the transcendentalists. What did a Democrat and an office holder need with that frail support? In

a brief but grinning paragraph, he disposed of the mystical Kant: "At the end of the Valley, as John Bunyan mentions, is a cavern, where, in his days, dwelt two cruel giants, Pope and Pagan, who had strewn the ground about their residence with the bones of slaughtered pilgrims. These vile old troglodytes are no longer there; but in their deserted cave another terrible giant has thrust himself, and makes it his business to seize upon honest travelers, and fat them for his table with plentiful meals of smoke, mist, moonshine, raw potatoes and sawdust. He is a German by birth, and is called Giant Transcendentalist; but as to his form, his features, his substance, and his nature generally, it is the chief peculiarity of this huge miscreant, that neither he for himself, nor anybody for him, has ever been able to describe them."

Still beyond Hawthorne, far beyond him, on the left, the ebullient and unreserved Whitman celebrated a whole-souled and jubilant faith in democracy, accepting and loving the masses as he found them, good, bad, and indifferent—Jackson's farmers and mechanics, rough of jacket and boisterous of word. Son of a farmer and apprenticed to a carpenter, was he not attuned to catch the rebellious spirit of the times? At all events, in Whitman the fermenting democracy of the age was incarnate; singing of America, he sang of himself, a spokesman of a pushing and defiant working class.

"Not a dilettante democrat," he said—"a man who is a double part with the common people and with immediate life—who adores streets—loves docks—loves to talk with free men—loves to be called by his given name and does not care that any one calls him Mister. Knows how to laugh with laughter—loves the rustic manner of workers—does not pose as a proper man, neither for knowledge or education—eats common food, loves the strong smelling coffee of the coffee sellers in the market, at dawn—loves to eat oysters bought from the fisherman's boat, loves to be one of a party of sailors and workers—would quit no matter

what time a party of elegant people to find the people who love noise, vagrants, to receive their caresses and their welcome, listen to their rows, their oaths, their ribaldry, their loquacity, their laughing, their replies—and knows perfectly how to preserve his personality among them and those of his kind."

Born on American soil, Whitman dedicated his genius to it. "These states," he exclaimed, "conceal an enormous beauty, which native bards not rhymers manipulating syllables and emotions imported from Europe should justify by their songs, tallying themselves to the immensity of the continent, to the fecundity of its people, to the appetite of a proud race, fluent and free."

The swiftness with which Whitman's contemporaries responded to his melody and his strong notes confirmed him in his course. If Whittier thrust the first volume of Whitman poetry into the fire as something shockingly unclean, others of more patience and larger discernment saw gold shining through the dross. The ethereal Emerson sent a copy of Leaves of Grass to a friend with the words: "Americans who are abroad can now return: unto us a man is born."

To Whitman himself the Concord sage sent fine words of praise: "I find it the most extraordinary piece of wit and wisdom that America has yet contributed. I am very happy in reading it as great power makes me happy. It meets the demand I am always making of what seems the sterile and stingy Nature, as if too much handiwork or too much lymph in the temperament were making our western wits fat and mean. I give you joy of your free and brave thought. I have great joy in it. I find incomparable things said incomparably well, as they must be." Thoreau, though a sworn foe of the cities and mobs which Whitman praised, also paid tribute, declaring that the new author was the greatest democrat the world had seen, suggested something superhuman, and was the grand type. Bryant, then engrossed in his editorial labors and work as a good citizen,

often went over to Brooklyn to walk and talk with the unconquerable American.

Before many years, Rossetti, Swinburne, and Tennyson saw a new planet swimming within their ken, and in the fullness of time, as the democratic surge shook thrones and classes, there were idolators and imitators the world over—in far off Japan, where ardent young students read Whitman's lines in the original tongue or in the soft cadences of Arishima's translation.

Appreciating on one hand the democratic spirit voiced by Whitman and yet affiliated by birth and training with the culture of the Hamilton-Webster economy, James Russell Lowell was to the end of his days torn by conflicting emotions, by his love of æsthetics and letters, and by his anxiety over the swift advance of industrialism in New England and slavery in the South. Early in his life he became entangled almost against his will in all the currents of agitation and opinion that surged through the society of the period. Taking the New England dialect as an instrument for his Biglow Papers, he attacked the Mexican War in vitriolic lines that scalded and seared the fustian patriots, the president of the peace society who rushed to the support of war, the demagogue who made votes out of it, and the "two-faced politicians" who throve by it—blasted them with a wrath that would have landed him in jail had he performed the ceremony in the era of "the new freedom."

About the same time Lowell wrote a letter to Oliver Wendell Holmes in which he put himself on record against war as a general proposition, against slavery, in favor of temperance, in favor of ameliorating the lot of the poor and reform in the large—foreshadowing a day when he was to describe socialism as a kind of applied Christianity. No matter how strong the artistic, classical, and traditional pull in his nature, Lowell never could resist the call of contemporary voices or forbear taking nervous glances into the future. If he was zealous in searching the past, he was equally eager in scanning the horizon. "My poems have

thus far had a regular and natural sequence," he wrote in 1850. "First Love and the mere happiness of existence beginning to be conscious of itself, then Freedom—both being sides which Beauty presented to me—and now I am going to try more after Beauty herself. Next, if I live, I shall present Life as I have seen it." In homelier lines he expressed his inner conflict:

> There is Lowell, who's striving Parnassus to climb
> With a whole bale of isms tied together with rhyme.
> The top of the hill he will ne'er come nigh reaching
> Till he learns the distinction 'twixt singing and preaching.

Beyond the extreme confines of the left wing, beyond the reach of every "practical" concern labored David Henry Thoreau—ever to be remembered as the author of Walden —who like Whitman was true to the farmer-mechanic order from which he sprang. Deft with his own hands in garden and workshop, simple in taste as any rustic, desiring few things and able to secure them easily, Thoreau was as far removed from the over-elaborate manners of the rich bourgeois as from those of the slave-owning planter. He was of New England but no part of the great audience that cheered Daniel Webster. To him the huge Gothic retaining walls of an industrial and financial society were immense weights on the human spirit—a spirit born to be free in field, forest, and stream.

So he rejected both church and state, their demands, taxes, orders, fulminations, ceremonies, and pretensions, laughing at politics as dull futility—except where positively harmful—and orthodox religious professions as something entirely outside the range of intelligent human beings. "Know ye, all men by these presents," he once solemnly announced, "that I Henry Thoreau do not wish to be regarded as a member of any incorporated society which I have not joined." The state of Massachusetts commanded him to pay taxes for the support of the church; he refused and was imprisoned for his contumacy. A poll tax he like-

wise declined to pay and for that disobedience he also spent a night in jail.

Fiercely, with all the temper of one brought up as a child of nature, Thoreau resented the intrusions of a machine civilization, its routine, its brick walls and streets, its everlasting output of commodities, still more commodities, burying mankind alive in things and laws. "No truer American existed than Thoreau," once exclaimed Emerson; and he might have added "of primitive field and forest days, suffused with pagan culture."

§

Among the dissenters, himself apart from them all, taking the whole range of contemporary things within his catholic sweep, Ralph Waldo Emerson was easily first in penetration and high expression. Like Goethe he was no philosopher, in that he made no system after the fashion of Kant or Hegel; but, as Carlyle would say, by his flashing rush light he illuminated all corners of this dark vale. Six years before Marx and Engels startled Europe with their famous announcement that history is the story of class struggles, Emerson, in a lecture on The Conservative, delivered in Boston in 1841, declared: "The two parties which divide the state, the party of Conservatism and that of Innovation, are very old, and have disputed the possession of the world ever since it was made. This quarrel is the subject of civil history. The conservative party established the reverend hierarchies and monarchies of the most ancient world. The battle of patrician and plebeian, of parent state and colony, of old usage and accommodation to new facts, of the rich and the poor, reappears in all countries and times. The war not only rages in battlefields, in national councils, and ecclesiastical synods, but agitates every man's bosom with opposing advantages every hour."

No one in his time understood better the intimate relation of property to politics. "We might as wisely reprove the

east wind, or the frost," he calmly remarked in his essay on Politics, "as a political party, whose members for the most part could give no account of their position but stand for the defence of those interests in which they find themselves. . . . Ordinarily, our parties are parties of circumstance and not of principle; as, the planting interest in conflict with the commercial; the party of capitalists and that of operatives."

When it came to their merits, he thought that the conservative party, "composed of the most moderate, able, and cultivated part of the population, is timid and merely defensive of property," and that Daniel Webster, the high priest of conservatism, was an exponent of property interests and fleshly living. In the circumstances, Emerson thought that "the philosopher, the poet, or the religious man will, of course, wish to cast his vote with the democrat for free trade, for wide suffrage, for the abolition of legal cruelties in the penal code, and for facilitating in every manner the access of the young and the poor to the sources of power and wealth." But he was under no idealistic delusions. On the contrary he looked upon the popular party with suspicion, as destructive and selfish in its aims, without ulterior and divine ends, lacking in those qualities that give hope and virtue to democracy.

Standing on this broad philosophic platform, Emerson slashed out in every direction—in poem, essay, and lecture —as the issues of the passing pageant filed before him. At an hour when Massachusetts respectability was as silent as the grave, he struck resounding blows at slavery as an institution. In the midst of the agitation over the public schools, he exclaimed that the furor about education among the rich, who had so long neglected the poor, sprang from a desire to subdue the rising generation to the dominion of law and order. "The cause of education is urged in this country with utmost earnestness—on what ground? Why, on this, that the people have the power and if they are not instructed to sympathize with the intelligent, reading,

trading, and governing class, inspired with a taste for the same competitions and prizes, they will upset the fair pageant of Judicature and perhaps lay a hand on the sacred muniments of wealth itself and new distribute the land." Nothing silenced him, no institutional fear.

Then to the horror of the orthodox, he went on: "Religion is taught in the same spirit. . . . If you do not value the Sabbath or other religious institutions, give yourself no concern about maintaining them. They have already acquired a market value as conservators of property; and if priest and church members should fail, the Chambers of Commerce and the presidents of the banks, the very upholders and landlords of the country would muster with fury to their support."

Committed to this realistic view of the political and social scene, Emerson not unnaturally departed from current conventions in matters theological. Trained for the ministry, he left it after a few brief years for a life of literary freedom—for his world pulpit at Concord, where he spoke his mind as things came to him, to the great distress of most persons glued to reputability. Arriving at God through reason and nature—with the assistance of his heritage and Immanuel Kant—Emerson discarded, gently but firmly, most of the orthodox Christian tradition, so firmly in fact that, for an address delivered at the Divinity College in 1838, he was officially excluded from speaking at Harvard for nearly thirty years. Having put aside prescriptive articles of faith, running one, two, three, and so forth, Emerson remained all the rest of his days, as he said, "a chartered libertine," free to speculate on God and man as the foliation of his mind decreed.

Emancipated from all theological fetters, Emerson was prepared to grasp the implications of the new science, especially the notion of evolution, and its bearings upon life and letters. As early as 1833 he visited the Jardin des Plantes in Paris where Buffon and Lamarck had labored so patiently with such fruitful results; and not long after-

wards he began to study both the developmental doctrines of the ancients and the bold hypotheses of the pioneers who were making smooth the way for Darwin. Several years before the Origin of Species appeared, Emerson discovered that the concept of evolution, in the general sense of change or progress as distinguished from Darwin's specific theory of causation, was destined to have a subversive effect on all theories of life, conduct, and religion.

In this connection, his penetrating discernment, as well as his varied knowledge, was amazing; his prescience was equally astonishing. In many passages of his works, the influence of the flying sparks of science was traced—with particular succinctness in his lecture on Poetry and Imagination delivered in 1854. "This magnificent hotel and conveniency we call Nature is not final," he said. "First innuendoes, then broad hints, then smart taps are given, suggesting that nothing stands still in nature but death; that the creation is on wheels in transit. . . . Thin or solid everything is in flight. . . . I believe this conviction makes the charm of chemistry—that we have the same avoirdupois matter in an alembic, without a vestige of the old form; and in animal transformation not less, as in grub and fly, in egg and bird, in embryo and man; everything undressing and stealing away from its old into new form, and nothing fast but those invisible cords which we call laws on which all is strung. Then we see that things wear different names and faces, but belong to one family; that the secret cords or laws show their well-known virtue through every variety, be it animal or plant or planet, and the interest is gradually transferred from the forms to the lurking method. . . . All multiplicity rushes to be resolved into unity. Anatomy, osteology, exhibit arrested or progressive ascent in each kind; the lower pointing to the higher forms, the higher to the highest, from the fluid in an elastic sack, from radiate, mollusk, articulate, vertebrate up to man; as if the whole animal world were only a Hunterian museum to exhibit the genesis of mankind." These words were uttered in

America five years before the Origin of Species rolled from the press!

Moreover, Emerson saw the coming revolution to be wrought in social thinking by the new scientific doctrine even before Herbert Spencer worked it out in close detail. "The hint of unity and development," he remarked in the lecture just referred to, "upsets our politics, trade, customs, marriages, nay, the common sense side of religion and literature which are founded on low nature—on the clearest and most economical mode of administering the material world considered as final." Many years afterward, in repeating this lecture, Emerson had merely to add a reference to the theories of Darwin announced since its original delivery. He had, of course, given an optimistic and lofty tone to the new gospel, affiliating it with, rather than substituting it for, the high philosophy of transcendentalism; but that did not identify him with the clergy of the traditional schools.

As he frankly said himself, the new view of nature ran to the roots of old religious dogma: "The narrow sectarian cannot read astronomy with impunity. The creeds of his church shrivel like dried leaves at the door of his church." The word had gone forth; a few had heard it; it could not be recalled.

Around Emerson, at various distances, gathered groups of reformers and speculators, dubbed by some one "The Transcendental Club," who made experiments in communal living at Brook Farm and in journalism with The Dial, wrote essays, lectured, and preached—among them several of the first thinkers of New England, such, for example, as Bronson Alcott, O. A. Bronson, W. H. Channing, Margaret Fuller, Elizabeth Peabody, and Theodore Parker. If, as some wit said, they were like-minded in that no two of them thought alike, they managed to make quite a stir among the intellectual classes of the period.

For the feminists Margaret Fuller spoke in new lines, carrying the political theories of Mary Wollstonecraft into

the stage of social and economic exposition, and demonstrating by her wide knowledge of continental literature and her critical powers—as editor of The Dial for a time and then as special writer for Horace Greeley's Tribune—that women could be fair competitors of the leading men in matters of taste and opinion. For the experimenters who vainly imagined that the evils of industrial society were to be uprooted by the establishment of communities on utopian socialist lines, Bronson Alcott gave demonstrations, thereby helping to dispel unintentionally the communistic dreams of his generation. Although Alcott's colony Fruitlands, like the more pretentious scheme, Brook Farm, failed, as practical persons had predicted, it made reverberations in educational theory that outlasted the century. If, as scornful editors said, the promoters of The Dial were "zanies, considerably madder than the Mormons," the magazine, in fact, compared favorably in style and substance with the more bulky and lumbering reviews of England and the heavy magazines of the United States, containing articles more pertinent to life, as the future showed, than many a successful contemporary.

Had it not been for the slavery agitation ending in the crash of the Civil War, there is no doubt that the humanizing and urbanizing thought of the left-wing professors of letters would have given an entirely different direction to the intellectual life of the United States during the closing decades of the nineteenth century. But as things turned out no small part of the literary energies of the middle period were diverted to the slavery question. It haunted Lowell even when he explored the classics; it embittered Thoreau's already sharp antipathy to the state; it stirred Emerson to his angriest moods; it inspired Harriet Beecher Stowe to write Uncle Tom's Cabin, a book that was in the nature of things narrow in its range and transitory in its appeal, but a sensation in its day.

To John G. Whittier, slavery was an overpowering issue that appeared at every facet of his mind. Discovered by

that arch agitator, William Lloyd Garrison, and early enlisted in the abolition cause, Whittier could find little heart in making verses for its own sake. While yet a boy, he declared that he would rather be a Wilberforce than a Byron; and drawn with all the intensity of his nature into the contest over slavery, he could only think of the bondmen entitled, as he said, to a full share in the fatherhood of God and the brotherhood of man. Like Lowell, he admitted his prime concern in that issue of the living present:

> And one there was, a dreamer born,
> Who with a mission to fulfill,
> Had left the muses' haunts to turn
> The crank of an opinion mill,
> Making his rustic reed of song
> A weapon in the war with wrong.

If with the passing of slavery reams of Whittier's verses became mere historic documents, if the remainder were dismissed by severe critics as nursery rhymes and rustic jingles, it remained true that the son of a farmer-mechanic etched the life of the great body of home-owning yeomanry, industrious and God-fearing, with a surer hand than Whitman. If the "Good Gray Poet" was a true singer of street car conductors and engineers, their manners and morals, Whittier, in spite of his preoccupation with slavery, was the true singer of plowmen, haymakers, and farm housewives. Those who have passed a long northern winter in a lonely homestead shut in by the chill embargo of the snow know with what vraisemblance the poet caught the scene and its moods.

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Though it is a fact that the great creators of speculative and imaginative literature moved in some relation to the conflicts that engaged the attention of politicians and journalists and can be understood only in that relation, it does not follow, of course, that the literature of the time is to be classified under the head of social economy. Far from it.

While subtle emotions arising from their economic status colored in a myriad ways the work of men like Cooper, Simms, Irving, and Lowell, it did not follow that their prime concern every instant was the relevance of their work to the issues of the hour. That would be to assume a logical intensity of conviction never found in matters literary. On the contrary, in spite of the machine, science, slavery, and the clash of planter, manufacturer, operative, and farmer, all the old interest in problems of human destiny, roughly grouped as religious, in dramatic tales of hair-raising adventure, in the classical past, in sublimated gossip (the chief intellectual amusement of the human race), and in the diversities of American life on land and sea were fed by the imaginative writers of the middle period. Considered from that point of view, it can be said that no phase of American culture escaped their scrutiny. The times may be reconstructed through their eyes.

In New England, where the dissolving effect of science, secular thought, and the machine process upon inherited customs was the most acute, it was only natural that religious ideas and above all Puritan obsessions should be subjected to merciless analysis. That was the psychological operation that absorbed the highest talents of Hawthorne. Disillusioned by his experience with communal living at Brook Farm, caught in the swirl of surrounding skepticism, he became a student of manners and morals.

With merciless steel Hawthorne, the Democrat, dissected the conduct of the great and good, the high and respectable; with unerring accuracy he portrayed the pillars of society as executioners in unjust causes. In the same mood of the physician, he explored the deep recesses of the Puritan conscience, its fear of sin, its hard practical sense, its association of Providence with expediency and success. He also inquired into the new liberalism which, after rejecting established creeds, embarked without compass or rudder on the transcendental ship; and he considered the relation of the dissolving philosophy to Puritan culture. For sin, it offered

self-reliance; for hell or heaven, it offered compensation; for authority, it offered freedom of thought—a procedure very disturbing to those who had a naïve scheme of salvation—but Hawthorne pursued his way to a logical conclusion without any support from Emerson's buoyant optimism. Indeed he may be called the realistic novelist of the crumbling order for which the Concord sage furnished the philosophy.

Another phase of Puritan dissolution was mirrored in the elusive lines of Emily Dickinson, secluded Amherst poet, who, after a sacrificial love affair, retired within her house and garden to brood upon the substance and mystery of life. With a certain relevance, she has been likened to a Hindu adept pondering in solitude over infinity, but the analogy is not altogether precise because an uncanny wit shines through her wonderment. "To multiply the harbors does not reduce the sea . . . No message is the utmost message, for what we tell is done . . . In a life that stopped guessing, you and I should not feel at home . . . A lonesome fluency abroad, like suspended music . . . To be singular under plural circumstances is a becoming heroism." In such vein did she too criticize the smooth and easy creed. And she did it with transparent sincerity, without pose and without care, for Emily Dickinson allowed none of her work to be published during her life, sought no applause, attended no banquets in her honor.

No less accurately were the physical setting for the American adventure and the manners of the several sections drawn by the writers of imaginative literature. New England, material and spiritual, is spread out before us in the pages of Hawthorne, New York in the stories of Cooper, the planting South in the novels of Tucker, Simms, and Kennedy, the southwestern frontier in the sketches of Joseph Glover Baldwin, David Crockett, and Augustus Baldwin Longstreet, and the Middle West in the turgid romances of James Hall and the clever etchings of Caroline Kirkland. Even the far Southwest over against the borders of Mexico

was celebrated in the clear notes of Albert Pike's prose and poetry.

If we want to see a fine old plutocrat, we can find him in English guise in Cooper's Monikins. The slave-owning planter of the grand style passes through his manorial halls in Kennedy's Swallow Barn or a Sojourn in the Old Dominion. The lively horsetraders, planters, farmers, slaves, and poor whites of the Far South throng Longstreet's Georgia Scenes; while the shrewd pushing planters and lawyers of Alabama and Mississippi, too clever by far for old-fashioned Virginia gentlemen, live again in Baldwin's Flush Times. In the rough-hewn pages of David Crockett's Autobiography the political scenes of the frontier are preserved for all time.

Nor was the sea neglected. The middle period was the era of romantic maritime enterprise when clipper ships carried American trade into distant waters, enriching whole towns with the wealth of Cathay. And the hardy sailors who raised their anchors, unfurled their canvas, careened in the breeze, rounded every cape, and visited every port deserved—and found—their epic makers. In 1841, Richard Henry Dana, after two vivid years before the mast, brought the sea, the deck, the yardarm, the smell of salt and tar, and the drama of wide water-spaces to the door of every landlubber in a story that charmed the readers of his day and will live as long as the tongue in which it is written.

Out of wider and deeper experiences, out of a more playful and mystic nature, Herman Melville evolved still more powerful tales of life on the rolling deep. Renouncing the easy ways of clerks and merchants, he deliberately chose the hardships and oppressions of the forecastle, exchanging the dull routine of the quill and ledger for the excitements of shipwrecks, riots, mutinies, and cannibals. Moby Dick, wrought in the golden age of the sailing vessel, published in 1851, was a thrilling narrative, suffused by whimsy, doubts, and mystery that seemed to symbolize an eternal

enmity between man and nature and yet suspend the reader between fact and fancy. So rich in color and philosophy was this romance that Melville could never again rise to the same height. Beyond all question he is one of the noteworthy figures of universal literature—though it was left for this generation to write his biography and pay full tribute to his genius.

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While innumerable forces tended to direct American literary interest to domestic problems and themes, there were others which worked for continual subordination of the American mind to classical and contemporary European modes. Among them none was more potent than the use of the English tongue for it gave the American people immediate access to the established literature of Britain and helped to perpetuate in letters the provincial status that had been repudiated in politics by the war for independence. English writers still set models and styles; English criticism was keenly felt and usually disparaging; English praise was hungrily sought; and all this meant efforts to conform to sentiments alien to New World life, whether the conservatism of Sir Walter Scott and Sydney Smith or the radicalism of Byron and Shelley.

Moreover, the absence of a copyright law strengthened the yoke of foreign authority. Under the system then in vogue, American publishers could "pirate" at pleasure the works of English and European authors, that is, issue their books in the United States without asking their consent or paying them any royalties. As a consequence, foreign novels, plays, poems, histories, and criticism were reproduced in numerous cheap editions, flooding the market with a literature that was alien both in matter and in spirit in many significant respects. Harpers, for instance, began to publish in 1842 a library of select novels and, when the number reached more than six hundred, only eight or ten even then were the work of American authors.

American magazines, following the same practice, filched from their foreign contemporaries reviews, articles, and criticisms without paying a penny for their copy. What was the use of remunerating an American writer for commenting on a book when a review by the best critic of the Old World could be had for the taking? Of course in some instances sensitive publishers asked for publishing rights and paid for the privilege but competition was too strong to permit the exercise of such nice virtues on a large scale. It was not until 1891, when an international copyright law was wrung from Congress by American authors and honorable publishers, that gentlemen eager to protect American pig iron against English rivals consented to put letters on an equal plane and sweep piracy from literature as it had been swept from the high seas.

Inspired by European examples, faced every day by European competition in styles, and convinced that the fundamental lines in prose and verse had been laid for all time by the past, a number of American writers turned from the economic and religious conflict that surged around them to themes and rhythms of other lands. Some of them tried their best to fit their strong sinews into the stiff armor of European culture, daring to invite the Old World to examine their adaptability to its metal. Longfellow was of this school. A collegian, trained in the classics, the first importer of Dante, the president of the Dante Club at Harvard, he preferred the elegance which Whitman spurned. Whitman loved the divergent American language with its twists and turns, its prickly colloquialisms. Longfellow was a professor of established languages, a maker of textbooks, a lecturer on literature, fond of the middle ages, a good academician, essentially derivative rather than creative, true to conventional models even when writing Hiawatha, the Indian saga.

Underneath his load of learning, he was still a Puritan who shared the sentiments of the conservative, if not orthodox, clergy. While Whitman chose to roam with the com-

monalty, Longfellow remained a serene teacher in a quiet grove. Perhaps in that rôle he spoke to a wider audience of his countryfolk engaged in farming and manufacturing than did the roistering poet. At any rate he demonstrated that an American could polish his lines, like any good Victorian, and kindle some fire, even though he could not "strike the stars with his sublime head."

If not as deeply absorbed in European culture as Longfellow, the creator of the first great poem written on the soil of the United States, William Cullen Bryant, was in most of his verses equally remote as a poet, not as a citizen, from the uproar of the forum and marketplace. Starting in his New England youth an aggressive Federalist, he later became, as editor of the New York Evening Post, a mild free-trade Democrat—to the high pleasure of the importers, and then during the contest over slavery went over to the Republican ranks.

But the passions of the political debate did not surge through his rhythmic lines. Though often classed as a Puritan by casual critics, there was nothing Puritanic in his cosmic view of life as a solemn processional symbolizing the unity of man and nature, ending in their complete fusion. No doubt there were in Bryant many Puritan strains: correctness and serenity of private life, conscientious devotion to the task of editing, deep interest in public affairs, eagerness to praise nobleness of example in writers and statesmen, firm faith in the worth of American citizenship, purity of spirit, and respect for virtue. When all these qualities were enumerated and all his lines surveyed there was nowhere to be found Cotton Mather's rejoicing in the Providence of God or Roger Williams' acquaintance with the gentleness of Jesus. If the note of Thanatopsis is not that of lofty pessimism then it would be difficult to find it anywhere in universal literature.

That too was compatible with the stern discharge of duty —plowing the furrow, as it was started in youth, with dignity and contentment, to the end. Nor was it incompatible

with a many-sided mode of living. Bryant could unbend in fairy tales and little lyrics of nature; he could rejoice in long walks and talks with the exuberant Whitman; he could serve as the councilor of statesmen. Still he was no intrepid knight thundering at turreted gates with an iron mallet. Neither was he a languid æsthete at home amid the perfumes of a salon sustained by fixed investments. Bryant was a substantial poet and a solid citizen.

At the opposite pole of temperament, though a warm admirer of Bryant, was the most exotic poet of the middle period, Edgar Allan Poe, in many ways unique. He did not love his own time and habitat like Whitman, flee from it like Longfellow, seek refuge in nature like Thoreau, or rest serene in optimism like Emerson. With all the power of his provocative intellect he sought a key to creative art and at a time when hero worship was the vogue in American literary circles, he so savagely attacked current modes that he won for himself the title of "the tomahawk man." As a critic he laid down dicta on the essence of wit, poetry, and humor; when he wrote romance or verse he bowed to his own rules.

Having defined poetry as "the rhythmical creation of beauty," Poe subjected himself with ascetic zeal to the laws of his own imagination, striving by mathematical calculation and composition in tones to find the music of prose. The result was not ideas but haunting, sonorous cadences that were saved from banality by a deep note of mystery. If, as Lowell said, two-fifths of Poe was "fudge," the remainder was powerful enough to make the age in which he lived noted in the annals of "beautiful" letters.

Among the romanticists who turned from American life for their materials must be reckoned four or five of the most distinguished historians of the period. After giving his countrymen a substantial though not brilliant life of George Washington, as if to pay a personal debt, Washington Irving chose Spain for his second home, charming the people of both nations with his story of Columbus and the

Conquest of Granada. Through years of travel, the mountains and valleys, the waysides and inns, the streets of crowded cities, and the quiet cloisters of monasteries in Spain became as familiar to him as the scenes of his native land; he loved "the rich ore of old, neglected volumes" in Spanish libraries even more than he did the newer manuscripts of his own young nation.

Likewise enamored of Spanish romance, William Hickling Prescott chose the conquest of Mexico and Peru as the subject of his luminous expositions, writing with such power that the authoritative scholars of Europe—Hallam, Guizot, Milman, and Thierry—accepted him as a peer in their realm. Given an alien bent early in life at the Northampton school of the German-trained Bancroft, James Lothrop Motley, a bit soured on Jacksonian Democracy, also exercised his talents on European material, adding a vivid, if thoroughly respectable, volume to the mountainous literature on the Dutch republic.

While confining his explorations mainly to this continent, Francis Parkman chose the conflict between England and France in North America for his deep and wide researches. Released from narrow local ties by the riches of his father, accumulated in the grocery business in Boston, George Ticknor, a ripe scholar and prodigious worker, wrote a history of Spanish literature so erudite and so charming that Macaulay recommended it to Queen Victoria. Thus in the very age when Hegel, writing his profound philosophy of history, saw in America a land of the future, some of the finest historical minds in the United States could find their most engaging themes only in the storied past of other countries.

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The same interests, customs, conflicts which caught the attention of authors, editors, and publishers, the same competition with foreign appeals which ran through imaginative literature in general had their counterparts in the theater,

the romantic drama running parallel with the romantic novel. From intellectual circles, the Puritan tabu had now definitely passed, lingering only among the evangelical sects wrestling with his satanic majesty on the frontier. So pure to the pure had all things become in the very section where once all things theatrical had been evil that even the ballet was enjoyed by Transcendentalists.

In the extension of the dramatic field, mechanical factors operated as effectively as in publishing. While money and leisure built upon money, as usual, provided local patronage for the drama, the development of railways and steam navigation transformed the continent—indeed, the whole Atlantic basin—into a theater for the production of plays. Greater wealth, spread widely over the country, and railways made it possible for the most eminent players to move swiftly from city to city, and encouraged capitalists to put money into the amusement business, as into industrial stocks and bonds—with such feverish haste in fact that overproduction ensued, Philadelphia, for instance, having five theaters fall into bankruptcy in a single season of 1828-29.

Before the period had come to a close, all the cities from coast to coast were bidding for playwrights and players. Scarcely had the miners of '49 erected their shacks in California when they declared that they must have a stage and no sooner did they get rough boards nailed together in a wooden hut than an Australian company arrived to present Othello to the serious and a French vaudeville troupe to raise boisterous laughter among the wielders of the pick. With the help of the railway, Edwin Forrest, Joseph Jefferson, James H. Hackett, and all the leading native actors "toured" the country, at least east of the Mississippi, with plays constructed in America included in their repertoire.

Had the railway developed without a correlative growth in steam navigation, it is conceivable that the course of the dramatic art in the United States would have been more nationalist. Certainly the upheavals of the age, obtruding themes, enthusiasm, and talent called into being native

work of genuine power, while American actors capable of interpreting it gave their lives generously to the task of production. But oceanic navigation brought a rush of foreign performers with foreign plays to sue for favor behind the footlights, among them such celebrities as Edmund and Charles Kean, Charles Mathews, Junius Brutus Booth, William Charles MacCready, and Charles and Fanny Kemble, all with English plays in English interpretations. New York being the chief port of entry, the capitalists of the metropolis were quick to sense the size of gate receipts that would flow from making the outlying cities tributary to its successes. In this opportunity, the "star" system was created as a dramatic phase to business enterprise, throwing the profit-making instinct on the side of heavy importations and keeping the stamp of the province on American work.

Pitted against foreign actors and foreign plays, American actors and playwrights had stubborn problems to face, especially popular love of the exotic, continued emphasis on the traditional, and respect for the authoritative. However deep the actor's desire to give voice to American issues and psychology, he well knew from experience that his plays must be all the more convincing and artistic when handling the democratic theme. No one understood this better than Edwin Forrest, whose loyalty to American life led him, by personal appeal and by experiments in production, to stimulate the writers of Boston and Philadelphia—less submerged than New York by the European flood—to strain every nerve in creative work. So likewise James H. Hackett, famous for his impersonation of American types, though he loved Shakespeare's rollicking figures, never wearied in encouraging local playwrights. Even the poet Longfellow devoted his graduating oration at Bowdoin to an appeal for a greater appreciation of native drama and tried his own ability in that field, but with a foreign conception, The Spanish Student.

Under the stimulus of national idealism, in spite of for-

midable competition from every foreign quarter, at least seven hundred plays by American authors were produced before the close of the middle period in 1860. In all phases the output represented an immense growth in dramatic interest and power compared with the era of the early republic. Unhappily, however, owing to the absence of copyright protection, comparatively little of this work was ever published, especially in the South, leaving posterity to guess at its character and artistic competence. But from the printed plays and from news reports it has been shown that between 1825 and 1860 more than one hundred and fifty plays were constructed on the events and personages of the American Revolution alone; that all the economic and political struggles of the age invaded the actor's art—the battle over the Bank, the triumph of Jackson, campaigns of Whigs and Democrats, disputes over Maine and Oregon boundaries, the gold rush, the Mexican War, and the Mormon migration to Utah; that among domestic plays Rip Pan Winkle took the lead; and that Yankees, planters, farmers, Negroes, countrymen, sailors, and townspeople were repeatedly, and often cleverly, portrayed.

It was significant that plays built around the theme of the masses casting off the classes were among the most popular dramas written and produced in America during the time. With Europe repeatedly stirred by political upheavals and America roused first by the Jacksonian battle and then by the struggle between planting and capitalism, Richard Montgomery Bird, a Philadelphia playwright, found the intellectual climate favorable to tragedy of a popular cast. Responding to this appeal, he wrote The Gladiator to celebrate the uprising of the slaves of Rome against their masters; Pelopidas picturing the revolt of the Thebans against Spartan tyranny and Oralloossa representing the Indian rebellion against Spanish conquerors. A Whig and stanch opponent of slavery, Bird made abolitionist opinion leap from the tongue of Spartacus once more, in the oratorical form still the vogue in that day:

> Death to the Roman fiends, that make their mirth
> Out of the groans of bleeding misery!
> Ho, slaves arise! it is your hour to kill.
> Kill and spare not—for wrath and liberty!
> Freedom for bondmen—freedom and revenge!

More than a thousand times, Edwin Forrest played The Gladiator to cheering audiences in the North; and long after Bird and Forrest were dead and the slaves of the South had been emancipated, it still appeared on the boards of New York.

Conceived in the same spirit and appealing to the democratic sentiments of the time, Robert T. Conrad's historical play, Jack Cade, celebrating the courage of that Daniel Shays of Tudor England in a portrayal of an uprising by serfs and yeomen—as interpreted by Edwin Forrest—had a run that must have pleased Andrew Johnson and his followers. In short, the humors, gossip, customs, and deeper passions of the middle period all found their way into the theater, now accidentally, now subtly, now with gusto, making it seem as if a thousand years had passed since Jonathan Edwards preached damnation to the giddy.

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Akin to the drama, especially on its operatic side, but more sublimated, more remote from the hard rationalizing processes of industry and science, the art of musical composition and production in America was subjected to even greater competition from abroad. French, Italian, and English opera companies boarded the swifter and safer steamers for experiments in the American marketplace, and singers and instrumentalists from Germany in particular surged in to exploit the concert and teaching field. After all, the roots of the world's musical masterpieces then as always lay deep in religious and martial sentiments older than reason or trade, and America of the middle period was essentially, almost crassly, economic.

In any event, the American people were dominated by no single church comparable to the state establishments of Europe which gave unity to religious emotions and patronage to the correlative elaboration of devotional music. Appealing primarily to farmers and mechanics, distrusting large military and naval establishments, Jacksonian Democracy, though it adored wars and military heroes, did not nourish the continuous martial ardors that often stimulate the production of music. In the rush and roar of economic development, moreover, little place was left for the quiet life of song and reflection conducive to lyrical compositions and nowhere in the country could be found a rich folklore upon which to build—save perhaps the elusive and exotic Indian mythology.

In the metropolitan centers offering the concentration of population necessary for æsthetic appreciation and the wealth for patronage, there was an extraordinary chaos in historical backgrounds for musical development. Boston, for example, long accustomed to the choral singing of hymns, now moving out and on under the influence of religious liberalism through oratorios into the sphere of secular music, displayed a coldness for the feudalism of European opera. In New York, where the Protestant Episcopal sect was strong and where Trinity Church had begun to give oratorios in the early days of the republic, it was easier to awaken an interest in anything Europe had to present, especially the opera with its social corollaries. Representing still a third type, the Quakers of Philadelphia had neither vocal nor instrumental music in their religious worship nor in the homes of the strict; nevertheless, with less of the Puritan passion in their make-up, they found it a simple matter to accept secular music when the Musical Fund Society, organized in 1820, opened the symphonic era in their city. It was New Orleans, Spanish and French in origin, possessing riches for patronage, mainly Catholic in religion, sustained under American possession by the economy of semi-feudal landlords, that first welcomed

whole-heartedly French and Italian opera; for it was as far removed from Boston and Philadelphia in musical taste as it was in geography.

No city, however, had the conditions favorable to the flowering of native talent in the temper and cast of the Old World, even had such lain dormant. It was easier to make money from cod and cotton and pay foreign musicians than to foster native composition—if indeed by any method the creative musical faculty could have been awakened at that time. So leadership in such affairs passed naturally and completely into the hands of Europeans, of Germans especially. "The father of American orchestral music," for instance, was a Hanoverian, Gottlieb Graupner, who, after drifting through one of King George's regiments to London and thence to Charleston, South Carolina, eventually settled in Boston the year of Washington's death. There, with the assistance of such local and alien players as were available, he organized the first orchestra credited to America.

Another German, Carl Zerrahn, who came to the United States during the great exodus from his fatherland in 1848, became the leader of the Handel and Haydn Society in Boston and for more than forty years organized and conducted orchestral and choral festivals in various parts of the country, receiving in recognition an outpouring of money and appreciation that was lavish in proportions. German refugees also founded the Germania Orchestra, which gave concerts in the leading cities and helped to raise the level of orchestral music wherever budding experiments were made. To Theodore Thomas of Hanover belongs perhaps the highest honor of the middle period for enterprise and success in driving the New World along the musical paths of the Old; arriving in 1845, he inaugurated New York's first chamber concerts and devoted the remaining years of his long career to the development of the art in America. If none of these foreign musicians was a supreme master, yet the people of the United States owe heavy debts to them all.

Great as was this obligation to foreigners, it would be a mistake to overlook native participation and coöperation. Certainly, on the side of promotion, Lowell Mason of Massachusetts deserves a place in the chronicle. While a clerk in a Georgia banking house, he made a compilation of sacred music which won him immediate recognition; in 1827 he became the conductor of the young Handel and Haydn Society in Boston and, after holding that post for twenty-three years, transferred his interest with his residence to New York. As a compiler of church music, an organizer of choral societies, a partner in an organ factory, and an originator of conventions for the training of music instructors in the public schools, Mason impressed himself indelibly on the democracy of his time.

It would be an equal mistake, no doubt, to neglect the efforts that were made to resist the foreign invasion and the "systematized effort for the extinction of American music"—by the production of native composition. With this ideal in mind, William H. Fry of Philadelphia and George F. Bristow of Brooklyn attempted operatic flights. Fry's Leonora, performed in New York in 1858, was received with great applause—an applause that died away with ominous haste, however. Bristow's Rip Van Winkle was performed by one of the best of the foreign opera companies only to meet the same fate. Nor did his oratorios and symphonies prove to be more than transitory incidents in American cultural history. If these native artists built nothing enduring, if even they had to rely on European models, they at least labored sincerely and with slight commercial advantage to express and evoke creative genius in their own country. Whatever their natural talents they had to depend solely upon popular patronage, as few, if any, of the great composers on the world's roll had been forced to do, and the verdict of the people was against them.

As seemed congruous in a democratic society bent on raising the general level of culture, it was the institutions for interesting and educating the populace that made the

striking music achievements of the day. Indeed the annals of the time were crowded with entries recording the formation of societies, academies, schools, conservatories, and publishing concerns devoted to this special art. Beginning with the Handel and Haydn Society, which was organized in Boston in 1815, successive decades saw the multiplication of all sorts of associations for the promotion of music, among the most notable being the Philadelphia Musical Fund Society established in 1820, the Boston Academy of Music in 1833, the New York Academy of Music in 1852, the Milwaukee Musikverein in 1851, and the New York Liederkranz in 1847. Popular enthusiasm was winning support also in institutions of learning where music courses were added to the curriculum, Boston setting a brave example in 1838 by introducing such instruction in the public schools. Old Federalists who thought that the end of the world had come when John Quincy Adams was rejected of Jacksonian Democracy could hardly say with justice that the artistic sense of the nation had been extinguished with the advent of the masses to political power.

Even the commercial enterprise that made new fortunes every year conspired in various ways to deepen the musical interest of the millions. This was the age which witnessed the rise of the regular manufacture of American pianos in something approaching mass production under the leadership of Jonas Chickering.

A cabinet-maker's apprentice who tempted fortune by going to Boston in 1818, Chickering joined the Handel and Haydn Society, penetrated into the fascinations of musical composition and instrument-making, and then embarked in business for himself. By numerous inventions, he soon made the American piano known over the world for its durability; while his business acumen put it on the market at a relatively low figure. Thus in making it possible for thousands to have pianos where but a few had enjoyed them before, Chickering contributed largely to the distribution of musical education and taste; and out of the riches

he acquired from the trade in the instrument itself, he gave generous sums for the promotion of talent among the poor. One of the by-products of his industry was national concentration on piano-playing and piano-composition—the history of all music being interwoven with the instruments in favor at various times and for specific reasons.

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The sweep of economic and social forces which carried America away from the cultural order of the colonial epoch, touching even the esoteric realm of music, influenced still more profoundly those arts which portrayed man and nature —painting, sculpture, and drawing. In this sphere as in music there was, of course, the cultural lag due to the load of tradition and classical training but the march of events was steady. Gentlemen of the old school who had fought a losing battle with Jefferson and another with Jackson had relied as firmly as any of Louis XIV's courtiers on the classics and on divinity for their verbal and moral support. Just as they had opposed the westward advance of the economic American empire under the drive of Jacksonian farmers they had looked to Europe rather than to native powers for guidance in matters of the spirit. To them the right of the rich and well-born to rule in the arts was as divine as the same right seemed to James I or Louis XIV in matters political.

It was therefore as natural for the wielders of the brush to carry on the ceremonial spirit of Trumbull in painting as for certain manipulators of the pen to concentrate on the classics. Indeed efforts to acclimatize traditional art became more numerous as students were enabled by new wealth and easier travel to study the old masters in Italy, as more models and copies of antique art were imported with the weakening of Puritan tabus, as rich patrons provided the means, and as schools in connection with the academies began to train Americans on the classical basis.

Of the artists of the middle period who painted in the grand style, John Vanderlyn, blacksmith-apprentice of Kingston, New York, won perhaps the most distinction, receiving for his picture of Marius among the Ruins of Carthage a medal from Napoleon the Great and for his Ariadne applause from the students who copied in Rome. In the same vogue sculpture, which now made its way with the aid of native aspirants, offered national statesmen in togas or with Greek draperies flung over frock coats and cylindrical pants. If Tories could not make Washington a king, they could at least make him over in the image of a Roman senator or emperor. For artists who chose themes out of a remote past unrelated to their own lives and times, it seemed perfectly congruous to use Greek columns draped with textiles as a background for heroic figures of American politics.

But the philosophy and practice of the grand style in art as in life had its antithesis. Faced by a monopoly of divinity in the persons of their opponents everywhere, advocates of democracy turned from God to nature for guidance and inspiration. Jean Jacques Rousseau, who went on before the democratic masses like a cloud by day and a pillar of fire by night, had preached the gospel of emancipation through a return to nature. In a like vein Thomas Jefferson, when he flung out the Declaration of Independence and blew the blast that echoed down through the middle period, appealed first to "the laws of nature" and then to the laws of "nature's God." It was the more devout and conservative brethren in the Continental Congress of 1776 who compelled him to insert at the end, "with a firm reliance on the protection of Divine Providence." What the democratic politician invoked for support—an all-surrounding and all-sustaining nature—poets of the new age celebrated and the men of science investigated with relentless and revolutionary persistence.

With the appeal for a "natural" government, the essence of democracy, as distinguished from an artificial

and ceremonial government, there ran also through the arts a simple call for a return to nature. In Europe where revolutionary upheavals of the thirties and forties were keeping the intellectuals in excitement with the new ideas, American students of art came into contact with the rebellious spirit. And those who for one reason or other could not go abroad saw the modes of Peale and Trumbull disintegrating at home under the fire of Jacksonian democracy, under the influence of naturalism. With a flair for the temper of the new age an American art critic exclaimed in 1853: "The future spirit of our art must be inherently vast like our western plains, majestic like our forests, generous like our rivers."

In response to just such moods the most distinctive work was done by landscape artists, such as Frederick E. Church, John F. Kensett, S. R. Gifford, Thomas Cole, and Homer D. Martin—a group of whom were known as the Hudson River School on account of their intense preoccupation with the scenes of that great valley. Technically deficient as their work was, and photographic in minutiæ, still it could be said for them that they were nearer to reality—that is, to subjects within the range of their comprehension—than the expatriates who worked in mythology and the grandiose.

The shift of interest from imitative art was stimulated by the new technical processes which revolutionized printing and, besides making the reproductions of old masters in cheap form available, widened the market and opportunities for American artists who cared to work with the living things about them. This was the age of budding magazines, popular histories, travel books, gift books, and illustrated sets; it saw also the spread of the political cartoon.

And all this made for democracy in art, setting engravers and artists to work to supply the demand of a nation becoming literate and curious about its own scenery, its own people, urban and rural, Indians, city dwellers, rustics, fiddlers, Negroes, dancers, and politicians. Besides the seri-

ous and somber work done for The National Portrait Gallery of Distinguished Americans, issued in 1834-39, for the grand family Bible brought out by Harpers in 1843, "embellished with a thousand historical engravings," and for the Atlantic Souvenir, the Baltimore Book, the Lady's Album, and a hundred more ephemeral volumes, there was a perfect flood of political and social caricatures. In fact from the drawings of the artists who interpreted the passing show the politics and social life of the era could be reconstructed, if its printed words were destroyed: Whigs, Republicans, and Democrats, all the great figures from Andrew Jackson up or down, woman's rights, prohibition, slavery, abolition, labor, socialism, Catholicism, Mormonism, and Millerism.

In other directions than in the themes and affections of painters, sculptors, and engravers, the scientific and industrial drive of the middle period counted heavily. If domestic manufacturers were to get the full benefit of the protective tariff and make headway against foreign importations in clothing, furniture, and material commodities of every kind, they evidently needed the coöperation of artistic talent. With a closer reference to practical things, with a frank avowal of competing with the Academy of Fine Arts for public favor, the National Academy of Design was founded in New York in 1825. Under the leadership and inspiration of Samuel F. B. Morse, the painter-inventor, open criticism was made of the rival institution, charging its patrons and defenders with subservience to power, title, and rank. Tangent also to business requirements were the Philadelphia School of Design for Women opened in 1853 and the Cooper Institute of New York "devoted forever to the union of art and science in their application to useful purposes of life." Already it had become apparent that the handicrafts supported by apprenticeship had broken down before the steel fingers and the factory mind of the machine age and that some substitute comparable to the old affection for the product would have to be devised, unless,

forsooth, all art was to perish in the dry decay of everlasting copying.

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The technical revolution which called into being the great urban centers, created a huge working class, accelerated the westward movement, spanned the region east of the Mississippi River with railways, and afforded a substantial basis for nationalistic democracy—a technical revolution which in short invaded every sphere—naturally destroyed the journalism of the handpress appropriate for local market towns and pointed the way to the monster journalism of the modern age. A demonstrated success by 1844 and used with great effect in the Mexican War, the telegraph completely changed the whole process of reporting events and made possible the newspaper as distinguished from the former political and literary organ. "You are going to turn the newspaper office upside down with your invention," said Horace Greeley to Morse when he witnessed a private demonstration of the magnetic telegraph. Within a few years, wires linked the editorial sanctum with Washington as well as with every other section of the country; political journalism was thus decentralized.

At the same time the steady development of the power press made possible large scale production. The London Times was printed by steam in 1814, an event more momentous than the downfall of Napoleon the next year, and the Hoe cylinder rotary press was installed in the office of the Philadelphia Ledger in 1846, announcing the triumph of the penny press. Without exaggeration it may be said that a new era was opened in America by the establishment of the New York Sun in 1833 as a one-cent daily paper. Two years later James Gordon Bennett launched the Herald, proclaiming at the outset his contempt for party principles and politics—"a sort of steel trap to catch the public"—and declaring that he would stick to the business of gathering and reporting the news of everyday life—inter-

spersed as it happened with scandal and blackmail. It was not long before every city, East, West and South, had its cheap daily paper that reached far down into the lower strata of literacy.

In another generation the increasing requirements of capital to finance the new machinery of reporting and printing were to drive from the field the independent editors of the old school. But it was still possible at the middle of the century for a few men, such as Samuel Bowles of the Springfield Republican, Thomas Ritchie of the Richmond Enquirer, and Henry J. Raymond of the New York Times, to maintain the personal journalism of the early days—to make their principles and their courage count in spite of the approaching doom of anonymity which was destined to engulf journalism in time. It was yet possible for Horace Greeley to own the paper which he edited and, as he said, to "keep an ear open to the plaints of the wronged and suffering, though they can never repay advocacy, though those who mainly support newspapers will be annoyed and often exposed by it; a heart as sensitive to oppression and degradation in the next street as if they were practiced in Brazil or Japan; a pen as ready to expose and reprove the crimes whereby wealth is amassed and luxury enjoyed in our own country as if they had only been committed by Turks or Pagans in Asia some centuries ago."

In the technical advance of the printing and illustrating arts, appeared a whole flock of weeklies and monthlies, literally by the hundreds, to flourish as a rule for a few months and then pass into oblivion. Of the vast array Godey's Lady's Book, founded in 1830 and continued until long after the Civil War, reaped perhaps the richest harvest in cash, by making a successful combination of delicate fiction suited to chaste minds with tasteful articles on embroidery and dinner-table management. Having money with which to pay for manuscripts, it commanded while it lasted some of the best talent of the period to mingle with the banalities.

Of the monthlies devoted to letters, only two—Harper's Magazine established in 1850, and the Atlantic Monthly floated seven years afterward—managed to survive, together with the older North American Review, the buffets of fortune into the twentieth century. Scores of temperance, religious, anti-slavery, labor, reform, scientific, and special interest magazines borrowed and begged their way through varying periods, long or short, throwing high upon the neglected shelves of libraries the materials from which in due time illuminating chapters on the social and intellectual history of the United States will be written. Journalism and the lyceum were making "adult education" a factor in national life.

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The social and economic conditions which so distinguished the middle period from the early republican era inevitably impressed themselves on educational institutions and practices—conspiring to give new powers and new direction to popular learning in America, enabling this country to lead all the world in removing the stamp of class-rigidity, sect, and charity from the training of the masses. England at that time still kept her universities and preparatory schools far removed from the commonalty, as centers for gentlemen who either intended to manage paternal estates or enter the Church, the army, the navy, or civil service, giving the poor almost nothing except bare rudiments offered in sectarian charity schools grudgingly aided by government doles. France, under Napoleon I, had subjected education to the dominion of the state, extending the elementary schools in the operation, and the successive governments continued the system with modifications; but the barriers that lay in the way of the ambitious poor had never been destroyed. Prussia had also established a program of class education. "The state," said the king after his ruin was recorded in the treaty of Tilsit, "must replace by intellectual forces the physical forces which it has lost"; but

the educational scheme was worked out on the class basis; that is, the masses were to remain in the condition "prescribed by Providence" while the privileged were to enjoy the advantages of higher education. Such was the example set to American democracy by the older cultures of Europe at this time. At best they offered few adventures in intelligence—rather, scholastic devices for assuring the privileges and pleasures of the upper classes.

Now all the circumstances of the social order in America, especially in the North, worked against the maintenance of the rigid lines of feudalism in the sphere of education. In the industrial part of the United States there was no fixed landed aristocracy; nowhere was there a clerical or military establishment with its vested interests. With the working class and the farmers enfranchised and enjoying a certain economic surplus, it was impossible either to hold them in ignorance or to keep them contented with the charitable and "ragged schools" which had come down from colonial days.

For a nation of farmers and mechanics, bent on self-government and possessed of the ballot, there was only one kind of an educational program in keeping with self-respect, namely, a free and open public school system supported by taxation and non-sectarian in its control. Did not the grand Jeffersonian tradition, with its respect for human nature, require that careers should be open to talent? Did not the republican Fathers look to education as a source of republican strength?

In fact, a wide array of forces combined to translate the theory of popular education into practical achievement. With the rise of political democracy an effective drive was given to the demand of the idealists for public schools—organized labor, so restive in the age of the Jacksonian uprising, taking a lead in demanding from the legislators the establishment of free and equal common schools. While the spirit of natural science was transforming the mind of the intellectual classes and working for a secularization of

social processes, the multiplication of religious sects and their unending rivalry speeded up the operation.

Moreover, the increasing flood of Irish and Continental immigrants, likely to fall under Catholic direction if educated at all in charity schools, frightened Protestants of every proclivity, making them willing to accept secularism rather than papal authority. Finally, as Emerson viewed it, the alarming radicalism of Jacksonian Democracy made property owners—who had once resisted the taking of money out of their pockets to educate the children of the poor—more amenable to appeals for funds to support institutions for popular discipline. Thus from many angles the problem of educating the masses was attacked when the republic became a democracy.

Of course the nature of the American federal system made impossible anything like the military uniformity of the Prussian system which was so often studied as a model. Hence the educational movement varied in form and force from state to state, becoming strongest naturally in the regions where political democracy was most advanced, namely, in the agricultural West and the industrial East.

Indeed it made the most rapid strides in the frontier states where there were fewer vested sectarian interests to hamper the action of government. Unquestionably, it seems, the honor of leadership belongs to Michigan, where, in 1817, the legislature sketched in detail on paper a full program of education from primary school to university, laid the foundations for common schools in 1827, and in 1837, after admission to the Union, created a university with four departments—Literature, Science and the Arts, Law, and Medicine. This was pioneer work in many respects for all the world. Other states had established fragments of such a system but none had constructed it from pediment to capital. Nothing remained for Michigan to do except to elaborate the details and enlarge the structure; in 1848 it added an institution for the instruction of the deaf, dumb, and blind; in 1855 it organized an agricultural

and industrial college; fifteen years later the doors of its university were opened to women, thereby completing the democracy of the scheme.

It was not easy for the older states with traditions and vested interests to follow this radical example, because the sects were more firmly entrenched in their midst and numerous schools representing both religious convictions and economic endowments were already in full operation. The private academies, which had marked a forward step in former days, now with property rights at stake themselves, naturally resented the inroads of democracy. Where counties and towns had been empowered by state laws to raise money through taxation for local education, the prosperous districts had excellent schools but the backward regions had either wretched institutions or none at all; and those who were well provided under such a régime saw little excuse for changes and less reason for aiding the unfortunate. Notwithstanding Emerson's claim, many merchants and farmers were reluctant to endure taxes for the benefit of artisans and laborers; while the very notion that girls should share in continuous educational privileges was repugnant to respectable thinking.

Against these powerful forces the educational reformers of the East had difficulty in making headway. In breasting the current, they were forced to use even the argument of threat. They pointed out to property owners the peril that lay in an ignorant democracy just enfranchised, proving by investigations into illiteracy how great the danger already was. They demonstrated that the peril was rising as the stream of immigrants from Europe continued to swell; instruction in citizenship would prepare the alien for the right use of the ballot soon to be thrust into his hands.

Education was also offered as a panacea for every other ill—for pauperism and for the revolutionary distempers imported from Old World monarchies, for the growing radicalism among the ranks of American labor, for the

spread of socialistic and anarchistic ideas, and for the opposition of the ignorant to the new scientific requirements of public health. Arguments such as these were strengthened by events. The strife among the religious sects, the struggle of each denomination to subdue all the pupils in its schools to its theological bias, and the resistance of parents all combined to augment the demand for general public schools supported by taxes and freed from clerical control. America had not become irreligious but no one sect was strong enough to dominate the whole terrain. And secular instruction was the only thing on which all the sects could agree. To these drives were added the upward thrust of Jacksonian Democracy, determined to destroy privilege in education as in politics and to provide ladders by which ambitious individuals could climb into the professions.

In the thirties and forties the educational movement became a potent political force. Appropriations of money were multiplied, the salaries of teachers were increased to attract a better class, state supervision was introduced, the school year was lengthened, school buildings and textbooks were improved, societies for the promotion of education were founded, and educational journals were launched. By the middle of the century New York, Massachusetts, and Pennsylvania had built elementary systems on stable foundations but they still left higher learning to private enterprise supported in the main by fees and endowments.

In New Jersey and Delaware the reformers could not wring from the legislatures anything beyond permissive statutes allowing districts to act. In the South no statewide system of public education was actually set working before the great cataclysm of 1860. In the larger southern cities—Baltimore, Charleston, Savannah, New Orleans, and other centers—there were schools supported by taxation and in most of the southern states important beginnings were made in the creation of school funds, the enactment of permissive laws, and the subsidizing of elementary institutions for the poor. Nearly every one of them like-

wise provided a state, county, and district organization—thus framing the skeleton structure for the future. The most advanced in democratic sympathies, North Carolina, had made significant experiments and even when the Union army was at her very gates in 1863 undertook to carry out a project for grade schools and to provide systematic training for teachers. Dominated, however, by the planting aristocracy and removed from the main currents of science and industrialism, the South in general was content with its few private institutions for the upper classes and with classical instruction as the basis of collegiate learning.

Meanwhile, as was to be expected in a farmer-mechanic democracy, advocates of agricultural and technical education appeared on the ground. As usual, experiments were first made with private funds. The Rensselaer Polytechnic, founded in 1824 by Stephen Van Rensselaer, flowered by the middle of the century into a regular engineering college with a four-year course. Under the patronage of the state board of agriculture, a few energetic citizens of Pennsylvania organized in 1855 the Farmers' High School, which in due course became the Pennsylvania State College. Two years after this institution opened its doors, Michigan, as we have noted, established her Agricultural College. About the same time the beginnings of scientific schools were made at Harvard and Yale.

Thus the way was prepared for the great Morrill Act of 1862, which dedicated an empire of public land to the promotion of mechanical and agricultural education. The spirit was already quickened when the financial support came. Therefore, we may say that the foundations of education in technology—the handmaid of democracy in the conquest of the material universe—were securely laid in the fabulous forties and the fermenting fifties. In the same age schools of law and medicine were created by private enterprise in many parts of the country and the crude system of apprenticeship supplemented by opportunities for higher discipline in the classroom and laboratory.

In keeping with the humanism of the time, moreover, was the growth of interest in the special training of defectives and delinquents, as state institutions for the insane were established and efforts to segregate and heal the curable were developed into a system. Studies of the deaf, dumb, and blind were carried on in a scientific spirit and the preparation of that class of defectives for useful work in society was made a matter of public concern. Reform schools with provisions for industrial education, such as the New York House of Refuge, established in 1848, sprang up as the movement for salvaging juvenile offenders spread far and wide. A long stride was this from the treatment of "sinful" children under the blue laws of colonial New England! In the East these experiments were usually sectarian and only partly sustained by state subsidies; in the West they were as a rule carried out under official auspices with regular grants from the public treasury.

So it may truly be said that every essential feature of modern public education was either worked out or fairly anticipated in the United States by the middle of the nineteenth century. Unquestionably the borrowings from the Old World were immense, especially from the Prussian system, but in every case European ideas were put through the alembic of this democracy. Less rigid and stratified than the European, American society gave way quicker to the inexorable march of science and technology. With technology triumphant it was apparent in practice that a humble mechanic like Howe, or Richard Hoe, or McCormick, or Hussey might become more significant than a score of princes—nothing to boast about, just a revolutionary and indubitable fact. The pride of caste secreted by a feudal order was simply inappropriate to an industrial régime founded on applied science. Moreover, in such a society much of the higher learning which had been evolved in esoteric circles seemed akin to magic and occultism, from which no small part of it undoubtedly sprang. If the result of this natural course was the condition described

in the oft-repeated observation that "America is the best half-educated country in the world," still it could be asked without invidious discrimination: "According to whose criteria and viewed from what immovable center?" With such bickerings, however, the historian has no more real concern than the biologist or physicist.

True to the processes of democracy, the educational revolution of the middle period was wrought by thousands of workers, nameless, from necessity, in the small compass of a general treatise. And yet it would be neglecting the powerful element of leadership to pass over in utter silence a few outstanding figures, for in the annals of this sphere there are names not less worthy of place than those in science, letters, and politics.

High in the list must be placed Horace Mann, a graduate of Brown University, abandoning jurisprudence for "the larger sphere of mind and morals," making the dead letter of the Massachusetts school law live in classroom and community intelligence, attacking child labor in factories as a bar to education, studying the educational value of physiology and hygiene, supporting the introduction of music and the expulsion of the rod, patiently seeking ways to help the defectives and delinquents, aiding women in the contest for equal privileges in the schools, visiting Europe in the search for germinal ideas, and finally going out to Antioch College in the Middle West to devote his last years to the cause of education in a virgin field.

A peer of Mann in every respect was Henry Barnard, trained at Yale and in Germany, making, in 1835, an American contribution to the literature of juvenile delinquency, establishing the first state teachers' association in the United States in 1845, organizing libraries with such vigor that in every town in Rhode Island except three there was soon a collection of five hundred volumes or more, writing a treatise on school literature, helping to found the American Association for the Advancement of Education, serving as its first president in 1855, publishing the first

American account of the Froebel kindergarten, founding the American Journal of Education, editing it more than a quarter of a century, translating the writings of Comenius, Rousseau, and Pestalozzi for the use of teachers, directing the young University of Wisconsin, toiling in the federal bureau of education—itself largely the outcome of his labors—and closing his career at the ripe old age of eighty-nine full of honors and appreciation, with his many monuments standing secure against time around him. Nor must we overlook Bronson Alcott, dreamer and humanist, who feared the growing power of the state and chose rather to set examples of private enterprise that nurtured wisdom in gentleness amid wholesome physical surroundings.

In this great warfare against illiteracy and ignorance were enlisted scores of able women, usually self-educated and burdened with heavy domestic responsibilities, who either fought all along the line for education or carried special redoubts for their sex alone. Emma Willard, the sixteenth of seventeen children, helped to refashion the whole program of education for women. Her activities were wide like those of her male contemporaries: she wrote texts on universal history, astronomy, and geography, translated Mme. de Saussure's Progressive Education to serve in her campaign, traveled in three years more than eight thousand miles on packet boats, canal barges, and stages to plead the cause before the multitudes, took her place among the pioneers in founding educational associations, went with Henry Barnard to an educational conference in London to demonstrate the new right of women to take part in public assemblies, and founded the Troy Seminary, forerunner of Vassar by half a century.

Emma Willard's sister, Mrs. Almira Phelps, if less varied in her labors, was no less indefatigable in chosen fields of education, waging the battle of science against the classics, for women. Catherine Beecher, the eldest of Lyman Beecher's thirteen children, while charged with the care of the flock, discovered the necessity for training in

domestic science and with abounding energy promoted interest in the subject by writing and lecturing upon it. To assure continuity for her ideals and to advance the higher professional education of her sex, she founded, in 1852, the Woman's Education Association.

Another dynamic daughter of New England, Mary Lyon, starting out as a district school teacher at seventy-five cents a week with board, rose by combining teaching and study to a position of commanding influence in the educational world. Early in life she vowed that she would have a seminary for women and in spite of all the jeers at her "rib factory" and her "Protestant nunnery," she fulfilled her pledge by laying broad and deep the foundations for Mount Holyoke College.

After managing a publishing business in Boston and issuing The Dial for a season, Elizabeth Peabody, one of Emerson's transcendentalist group, acquired, through Mrs. Carl Schurz, an interest in the Froebel kindergarten, and became the dominant figure in the Froebel movement in the United States at the inception stage. She established an institution of her own, studied the experiment at first hand in Germany, and then organized in the United States a training school for kindergarten teachers in 1868. While Miss Peabody was widening education at the base, Dorothea Dix was humanizing philanthropy by arousing the country to the importance of separating the incurable insane from those that offered a promise of improvement and restoration to society.

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In no department of education was the conflict of classes and ideals that surged through the politics of the middle period more subtly represented than in the realm of the higher learning, involving as a matter of course all questions of financial support, administrative control, and curriculum —inseparable elements of the collegiate system. In the nature of things, there were only four ways of supporting an

institution for advanced instruction, namely, tuition fees—an impossible method as long as there was any eleemosynary competition—endowments from persons of wealth, subscriptions in small sums from large religious bodies, and grants from the public treasury.

Since the rich and well-born, particularly in the North, were generally of the Hamilton-Webster party there was not much likelihood of transferring to Jacksonian democracy the control of the higher learning as long as it was monopolized by private institutions. If, therefore, the popular party was to secure an easy access to that upper realm—and such a ladder of access was demanded by its highly vitalized individualism—then there were only two choices before it: the conquest of existing institutions by legislative action and the establishment of new state colleges supported by land grants and public revenues, bringing the rich to book through the tax collector's office.

In the end, as things turned out, there was no choice at all, for an attempt to conquer the older colleges by political control was defeated by Chief Justice Marshall in the celebrated Dartmouth College case, decided in 1819—a spectacular event more important in American educational history than the founding of any single institution of higher learning. By securing the boards of trustees of endowed educational institutions against political interference, the Dartmouth decision in effect decreed that a large part of the terrain of the higher learning should be forever occupied and controlled by private corporations composed of citizens empowered to select their own successors, collect and disburse money, choose presidents and professors, and more or less directly determine the letter and spirit of the curriculum.

In the story of that famous lawsuit are revealed entertaining phases of the economics and politics of the period. Dartmouth College was founded in the reign of King George III by a royal charter and was managed by a small self-perpetuating board of trustees, fashioned on the

model of the trading corporation. In the natural course of things the board passed into the control of stanch Federalists who adhered to the ways of their party. But with the uprush of Jeffersonian Democracy discontent appeared in the state of New Hampshire and also in the college. Under the pressure of the new forces, a Democratic legislature and governor attempted a conquest of the college by changing it into a university, enlarging the board of trustees, adding a number of political appointees, and in effect transforming it into a state institution.

Not to be outdone by this Jeffersonian maneuver, the Federalist faction began to fight the state legislature through the courts of law, carrying the case finally to the Supreme Court at Washington, where that loyal Federalist, John Marshall, still held the wheel, with failing grip, it is true, but yet powerfully. Very astutely, the old board of trustees engaged as its counsel Daniel Webster, that formidable opponent of everything Jeffersonian, to wage its judicial battle. When the case was tried at the state court in Exeter, Webster made the first of his sentimental speeches, introducing into a purely legal argument, as Rufus Choate said, a "pathos" that hardly seemed "in good taste."

Before the Supreme Court in Washington, Webster resorted to the same tactics, suffusing and crowning his legal argument with shrewd appeals to Federalist emotions and word-patterns, none of which was lost on Marshall, who hated Jefferson and all his works with an almost immeasurable intensity. Marshall was easily convinced, but at first, it appears, a majority of the Court, now coming steadily under current influence through judicious appointments, was against Webster and the old board of trustees. Discreet, as well as valorous, Marshall postponed the decision until his colleagues could be brought around to his views. When at length the decision was reached, it was announced that the charter granted by King George to the college was a contract; that the obligation of the contract was transferred to the state at the time of the Revolution; and that

under the federal Constitution the state legislature could not "impair" its binding force. In short, there was to be no political interference with educational companies.

The way was thus definitely cleared for the development of control over the higher learning in America. Private corporations—usually religious in origins, for skeptics seldom endowed colleges—were free to go on with their historic mission secure from popular storms. Under the protection of the Dartmouth doctrine, established colleges, such as Harvard, Yale, and Princeton, continued, gathering in slowly, very slowly, gifts of money to augment their meager endowments. And under the same ægis, the religious sects, Methodists, Baptists, Presbyterians, and all the others, founded new colleges in the East and South—and all over the West as the frontier advanced toward the setting sun—small colleges usually, poorly endowed, mainly sustained by tuition fees and subscriptions of the faithful, theological in spirit, and generally managed by clergymen of the denomination, the most active and interested parties to the undertakings.

Running parallel with this development, nevertheless, was the growth of state colleges and universities in the South and West; a slow growth owing to the competition of private and sectarian colleges and the unwillingness of farmers to tax themselves heavily for the support of higher learning. If any one of these institutions is to be singled out for comment it must be the University of Virginia, inspired by Thomas Jefferson and opened in 1825, the year before his death. Created by the state legislature and governed by a board of visitors appointed by the governor and council, freed, in theory though not in fact, from sectarian control, and reflecting the spirit of its founder, this university broke from the classical traditions of the original semi-theological institutions, provided a broad curriculum, and permitted students to elect their course from among eight programs: ancient languages, modern languages, mathematics, natural philosophy, moral philosophy, chemistry,

medicine, and law. To assure instruction of the highest grade, Jefferson selected the best professors he could find at home or abroad to fill the first chairs, setting a noble example to his successors, especially to the small sectarian colleges where denominational orthodoxy, rather than high competence, was the prime consideration.

Yet, notable as Jefferson's experiment was, it received small tribute from the organizers of public institutions in other states, even in the West in the days of triumphant Jacksonian Democracy, partly, perhaps, on account of clerical influences, the prevalence of New England traditions among the upper classes, and in the later period at least the influx of Prussian concepts of university organization, such, for example, as were adopted in the case of Michigan University, opened in 1841. After all it was not surprising that the democracy of the age found expression slowly in the higher learning—as in the upper ranges of judicial control.

With respect to curricula, the advocates of science and humanistic subjects were able to make only a few inroads upon the classical monopoly handed down from time immemorial. Clerical control in the old and new private colleges assured close adherence to Greek, Latin, logic, and moral philosophy; and the new state institutions, even Jefferson's defiant University of Virginia, could not escape the denominational drive on boards of trustees. Still, sheltered as they were from the wind and the rain, the colleges could not evade entirely the impact of worldly interests less subtly utilitarian than theology, law, and medicine.

Steadily, if gradually, science, called by a critic "the religion of modern industry," made headway in collegiate curricula, culminating near the close of the period in the establishment of the Lawrence Scientific School at Harvard, endowed by Webster's great friend and patron, Abbot Lawrence, and the Sheffield Scientific School at Yale, with the financial assistance of Joseph Sheffield, a rich merchant, one of the charter members of the New York and New Haven

Railway Company. In keeping with the growing recognition accorded to science was a rising appreciation of political economy and modern languages. Between 1820 and 1835, Harvard, Yale, Columbia, Dartmouth, Princeton, and Williams added the study of mercantile and business affairs to the respectable themes inherited from the landed clergy of medieval times, forecasting a time when "economics" was to become a favorite topic of instruction and learning.

With the drift of American students to Germany—a drift indicated by figures showing four of them in German universities in 1835 and seventy-seven in 1860—and their return to assume places of leadership in American university life, the secular and critical trend already evident in academic disciplines was accelerated, marking there as everywhere in culture the all-devouring operation of practical and earthly concerns.

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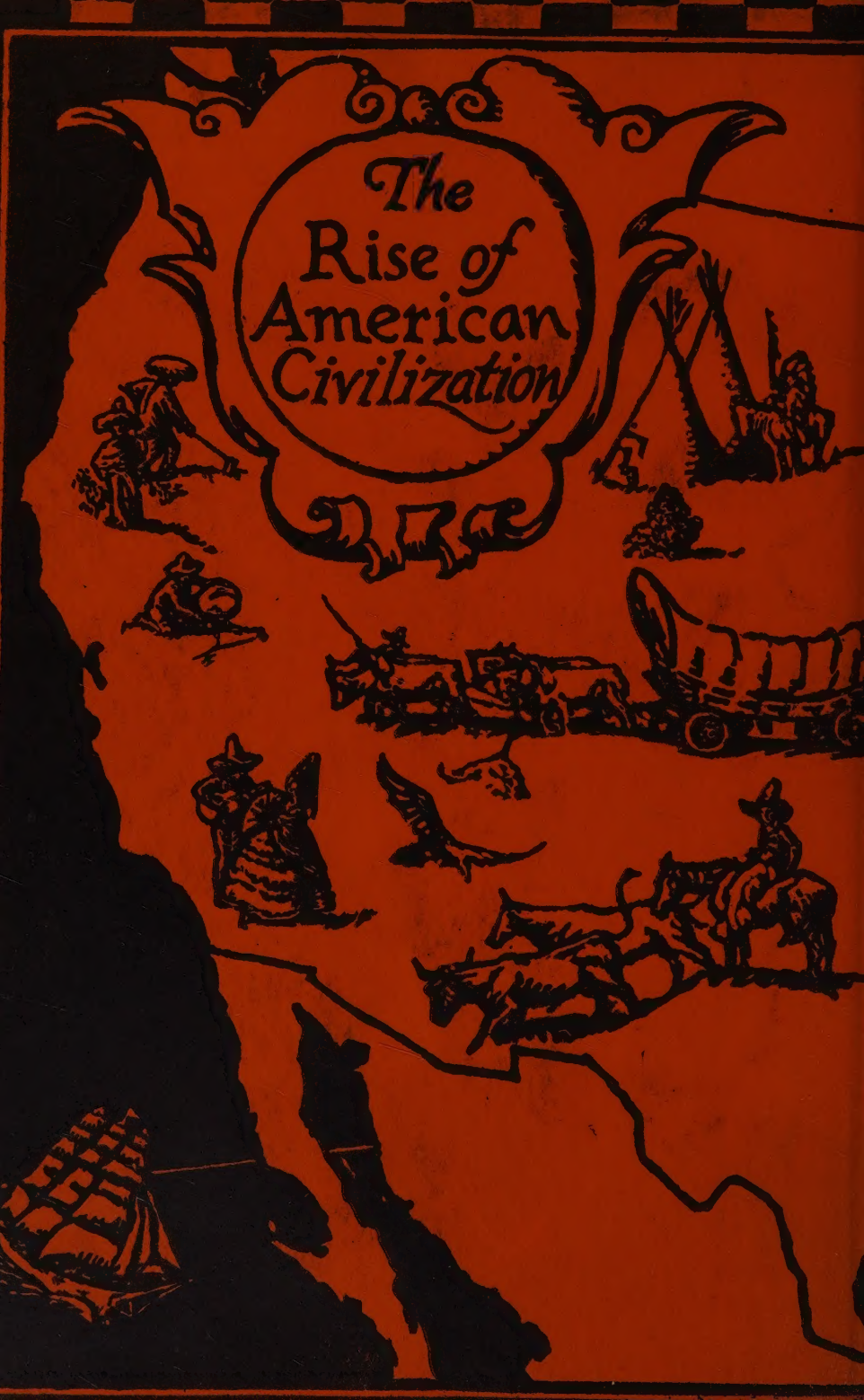
However heavy were the borrowings of America from Europe, her political institutions, social customs, and intellectual development arrested the thought of those philosophers of the Old World who were trying to cast horoscopes of the future. The machine process was marching with seven league boots upon the already straggling ranks of peasants, feudal lords, and clergy. And all who stood upon the watchtower—those who faced to-morrow in confidence and those who filled the hours with lamentations—had to take note even of that Jacksonian democracy which the British Foreign Quarterly called "horn-handed and pig-headed, hard, persevering, unscrupulous, carnivorous . . . with an incredible genius for lying."

Like locusts a host of travelers descended upon the land and those given to literary expression wrote volumes on every phase of American life. And when their reflections and strictures were all thoroughly sifted, it was made apparent that both critics and friends of American institu-

tions were addressing themselves to groups and classes in their native lands rather than to the experimenters on this side of the water. Every chapter of de Tocqueville's democracy in America mirrored his own political moods and bore a relation to the political currents in which he floated in France. The same was true of Harriet Martineau's volume on American society written in the midst of Jackson's triumphant career as President. Bringing to her travels in the United States a liberal and humanitarian mind, she saw clearest those phases of American life most directly tangent to the matters she was interested in at home. "Not by aggression," wrote Oliver Wendell Holmes, "but by the naked fact of existence we are an eternal danger and an unsleeping threat to every government that founds itself on anything but the will of the governed." As Maitland long afterward exclaimed in another connection: "Such is the unity of all history."

# The Rise of American Civilization